

# **Seminar III**

## **A Boolean-valued Modal Set Theory**

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# Extension vs. Intension

$$\exists y [x = y \wedge \Phi(y)] \text{ vs. } \Box \Phi(x)$$

Different principles hold in different contexts:

$$\sigma = \tau \wedge \Phi(\sigma) \rightarrow \Phi(\tau)$$

vs.

$$\Box[\sigma = \tau] \wedge \Phi(\sigma) \rightarrow \Phi(\tau)$$

The prime example of an intensional mapping:

$$\Box[\Phi \leftrightarrow \Psi] \leq [\Box \Phi \leftrightarrow \Box \Psi]$$

# Extensional Powersets

**Definition:** Given a complete  $\mathbf{M}$ -set  $A$  the *extensional powerset* of  $A$  is the collection of  $P: A \rightarrow \mathbf{M}$  where, for all  $x, y \in A$ , we have  $P(x) \wedge \llbracket x = y \rrbracket \leq P(y)$ .

And we can use the definition:

$$\llbracket P = Q \rrbracket = \bigwedge_{x \in A} (P(x) \leftrightarrow Q(x))$$

**Theorem:** The extensional powerset of  $A$  is a complete  $\mathbf{M}$ -set.

**Note:** *A Principle of Comprehension follows for extensional predicates.*

**Theorem:**  $\mathbb{R}_{\mathbf{M}}$  together with its extensional powerset satisfies the *Dedekind Completeness Axiom*.

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**Note:** A Principle of Comprehension follows.

**Question:** Should we be able to iterate this notion of powerset?

# A Modal Boolean-Valued Universe

$$V^{(M)} = \{v : \text{dom } v \rightarrow M \mid \text{dom } v \subseteq V^{(M)} \ \& \\ \forall x, y \in \text{dom } v [ v(x) \wedge \Box \llbracket x = y \rrbracket \leq v(y) ] \}$$

$$\llbracket u \in v \rrbracket = \bigvee \{ v(y) \wedge \Box \llbracket u = y \rrbracket \mid y \in \text{dom } v \}$$

$$\llbracket u = v \rrbracket = \bigwedge \{ u(x) \rightarrow \llbracket x \in v \rrbracket \mid x \in \text{dom } u \} \wedge \\ \bigwedge \{ v(y) \rightarrow \llbracket y \in u \rrbracket \mid y \in \text{dom } v \}$$

The new insight:

intensional



$u \in v$



extensional

**Note:** All automorphisms in  $\Gamma$  extend to the model  $V^{(M)}$ .

# For Technical Details See:

John L. Bell, **Set Theory: Boolean-Valued Models and Independence Proofs**, Third Edition, OUP 2005, xviii + 191 pp.

Nicolas D. Goodman, *A genuinely intensional set theory*, in: Stewart Shapiro (ed.), **Intensional Mathematics**, North-Holland 1985, pp. 63-80.

Nicolas D. Goodman, *Topological models of epistemic set theory*, **Annals of Pure and Applied Logic**, vol. 46 (1990), pp. 119-126.

A.G. Kusraev and S.S. Kutateladze, **Boolean Valued Analysis**, Kluwer 1999, xii + 322 pp.

# What is MZF?

**Substitution** (A number of previous lemmata are needed.)

$$\Box [u = v] \wedge \Phi(u) \rightarrow \Phi(v)$$

**Extensionality & Comprehension**

$$\forall u, v [ u = v \leftrightarrow \forall x [x \in u \leftrightarrow x \in v]]$$

$$\forall u \exists v \Box \forall x [x \in v \leftrightarrow x \in u \wedge \Phi(x)]$$

**Singleton**

$$\forall u \exists v \Box \forall x [x \in v \leftrightarrow \Box [x = u]]$$

**Intensional Leibniz' Law**

$$\forall x, y [\Box [x = y] \leftrightarrow \forall u [x \in u \rightarrow y \in u]]$$

**Definable Modality**

$$\{\emptyset\} = \{\emptyset \mid \Phi\} \leftrightarrow \Phi$$

$$\Box \Phi \leftrightarrow \forall u [\{\emptyset\} \in u \rightarrow \{\emptyset \mid \Phi\} \in u]$$

# Two Membership Relations?

## Extensional Membership

$$u \in v \leftrightarrow \exists y [u = y \wedge y \in v]$$

## Extensional Comprehension

$$\forall u \exists v \square \forall x [x \in v \leftrightarrow x \in u \wedge \exists y [x = y \wedge \Phi(y)]]$$

## Extensional Singleton

$$\forall u \exists v \square \forall x [x \in v \leftrightarrow x = u]$$

## Extensional Leibniz' Law

$$\forall x, y [x = y \leftrightarrow \forall u [x \in u \rightarrow y \in u]]$$

## Intensional Powerset

$$\forall v \exists w \square \forall u [u \in w \leftrightarrow \square [u \subseteq v]]$$

## Extensional Powerset

$$\forall v \exists w \square \forall u [u \in w \leftrightarrow u \subseteq v]$$



# Foundation and Collection

## Scedrov's Modal Foundation

$$\Box \forall x [\Box \forall y \in x. \Phi(y) \rightarrow \Phi(x)] \rightarrow \forall x. \Phi(x)$$

## Foundation

$$\forall x [\forall y \in x. \Phi(y) \rightarrow \Phi(x)] \rightarrow \forall x. \Phi(x)$$

## Goodman's Modal Collection

$$\Box \forall y \exists z. \Phi(y, z) \rightarrow \forall x \exists w \Box \forall y \in x \exists z [\Box z \in w \wedge \Phi(y, z)]$$

## Collection

$$\forall y \exists z. \Phi(y, z) \rightarrow \forall x \exists w \forall y \in x \exists z \in w. \Phi(y, z)$$

**Comment:** It seems plausible that **stronger** principles are valid and that the modalities can be **generalized**.

# A Refutation

**Theorem.** In  $V^{(M)}$  the following has truth value 0:

$$\forall u, v [ u = v \leftrightarrow \forall x [x \in u \leftrightarrow x \in v]].$$

**Proof:** Find  $p \in M$  with  $0 < p < 1$  and  $\Box p = 0$ . (How?)

Let  $a = \{\emptyset\}$  and  $b = \{\emptyset \mid p\}$ , and  $u = \{a \mid p\}$  and  $v = \{b \mid p\}$ .

We have  $\llbracket a = b \rrbracket = p$ , and  $\llbracket a \in u \rrbracket = p$  and  $\llbracket a \in v \rrbracket = 0$ .

It follows that  $\llbracket u = v \rrbracket = \neg p$ . We also calculate that

$$\llbracket x \in u \rrbracket = \llbracket x = a \rrbracket \wedge p \text{ and } \llbracket x \in v \rrbracket = \llbracket x = b \rrbracket \wedge p.$$

But then  $\llbracket x \in v \rrbracket = \llbracket x = a \rrbracket \wedge p$  as well. From this we get:

$$\llbracket u = v \leftrightarrow \forall x [x \in u \leftrightarrow x \in v] \rrbracket = \llbracket u = v \rrbracket = \neg p.$$

**The conclusion of the theorem then follows  
by the 0-1 Law for M.**

# Using Russell's Paradox

**Theorem.** For each stage  $V_\alpha^{(M)}$  of the universe it is possible to find an element  $a$  of the model such that

$$\llbracket a = y \rrbracket = 0 \text{ for all } y \text{ in } V_\alpha^{(M)}.$$

**Proof:** Apply the *Extensional Comprehension Principle* to have an element  $a$  where for all  $x$  in the model:

$$\llbracket x \in a \rrbracket = \llbracket x \in \mathbf{V}_\alpha \rrbracket \wedge \llbracket \neg x \in x \rrbracket,$$

where  $\mathbf{V}_\alpha$  is the constant function 1 on  $V_\alpha^{(M)}$ .

Putting  $a$  for  $x$ , we have  $\llbracket a \in \mathbf{V}_\alpha \rrbracket = 0$ .

The desired conclusion then follows.

# Another Refutation

**Theorem.** In  $V^{(M)}$  the following has truth value 0:

$$\exists v \forall u [u \in v \leftrightarrow u = \emptyset].$$

**Proof:** Again, find  $p \in M$  with  $0 < p < 1$  and  $\Box p = 0$ .

Suppose we had  $v$  in the model where  $\llbracket u \in v \rrbracket = \llbracket u = \emptyset \rrbracket$

for all  $u$  in the model. Now  $v$  is a function with  $\text{dom } v \subseteq V_\alpha^{(M)}$

for some stage  $\alpha$ . Find an  $a$  with  $\llbracket a = y \rrbracket = 0$  for all  $y$  in  $V_\alpha^{(M)}$ .

Take  $u = \{a \mid \neg p\}$  which implies  $\llbracket u = \emptyset \rrbracket = p$ . We then have

$p \leq \llbracket u \in \mathbf{V}_\alpha \rrbracket = \bigvee \{ \Box \llbracket u = w \rrbracket \mid w \in V_\alpha^{(M)} \}$ . But we find

$$\Box \llbracket u = w \rrbracket = \Box (\neg p \rightarrow \llbracket a \in w \rrbracket) \wedge$$

$$\Box \bigwedge \{ w(y) \rightarrow \llbracket y \in u \rrbracket \mid y \in \text{dom } w \} \leq \Box p,$$

But, this is impossible.

**Note: We can also refute:**  $\forall v \exists w \forall u [u \in w \leftrightarrow u \subseteq v]$ .

# Pairs, Products, & Relations

**Definitions:** In  $V^{(M)}$  the following are defined:

- (i)  $\{u\} = \{(u, 1)\}$ ;
- (ii)  $\{u, v\} = \{(u, 1), (v, 1)\}$ ;
- (iii)  $(u, v) = \{\{u\}, \{u, v\}\}$ ; and
- (iv)  $a \times b = \{(x, y), a(x) \wedge b(y) \mid x \in \text{dom } a \wedge y \in \text{dom } b\}$ .

**Theorem:** In  $V^{(M)}$  we have:

- (i)  $\forall u, v [\{u\} = \{v\} \leftrightarrow \Box u = v]$ ;
- (ii)  $\forall u, v, s, t [\{u, v\} = \{s, t\} \leftrightarrow \Box [u = s \wedge v = t] \vee \Box [u = t \wedge v = s]]$ ;
- (iii)  $\forall u, v, s, t [(u, v) = (s, t) \leftrightarrow \Box [u = s \wedge v = t]]$ ; and
- (iv)  $\forall a, b, t [t \in (a \times b) \leftrightarrow \exists x, y [x \in a \wedge y \in b \wedge \Box t = (x, y)]]$ .

## Relational Comprehension

$$\forall a, b \exists w \subseteq (a \times b) \Box \forall x \in a \forall y \in b [(x, y) \in w \leftrightarrow \Phi(x, y)]$$

# Embedding $\mathbf{M}$ -Sets

**Theorem.** Ordinary sets  $u$  in the two-valued universe  $V$  can be embedded into the modal universe  $V^{(\mathbf{M})}$  by the following well-founded definition:  $\underline{u} = \{(\underline{x}, 1) \mid x \in u\}$ .

**Definition.** Given a reduced  $\mathbf{M}$ -set  $A$  with equality  $\llbracket x = y \rrbracket$ , define maps  $s_a: \underline{A} \rightarrow \mathbf{M}$  for all  $a \in A$  by  $s_a(\underline{x}) = \llbracket x = a \rrbracket$  for all  $x \in A$ . Note that in  $V^{(\mathbf{M})}$  we have  $\llbracket s_a = s_b \rrbracket = \llbracket a = b \rrbracket$  for all  $a, b \in A$ . Then define  $\mathcal{E}(A) = \{(s_a, 1) \mid a \in A\}$ .

**Theorem.** In the modal universe  $V^{(\mathbf{M})}$ , the element  $\mathcal{E}(\mathbb{R}_{\mathbf{M}})$  plays the rôle of the *real numbers* in the set theory.

# Applying Ergodic Theory?

**Recall:** In the measure-algebra model of MZF, every continuous, measure-preserving automorphism of  $M$  induces an automorphism of the **whole universe**  $V^{(M)}$ .

$\Gamma$  is the **group** of all such automorphisms.

## Furstenberg's Multiple Recurrence Theorem.

Let  $\tau \in \Gamma$ , and let  $\llbracket \Phi(a) \rrbracket \neq 0$ , where  $\Phi(a)$  has no other parameters. Then for all  $k$  there exists an  $n$  such that

$$\llbracket \Phi(a) \wedge \Phi(\tau^n(a)) \wedge \Phi(\tau^{2n}(a)) \wedge \Phi(\tau^{3n}(a)) \wedge \dots \wedge \Phi(\tau^{kn}(a)) \rrbracket \neq 0.$$

# Two Sub-Universes

$$\mathbb{U}^{(M)} = \{v : \text{dom } v \rightarrow M \mid \text{dom } v \subseteq \mathbb{U}^{(M)} \ \& \\ \forall x, y \in \text{dom } v [ v(x) \wedge \Box [x = y] \leq \Box v(y) ] \}$$

$$\mathbb{W}^{(M)} = \{v : \text{dom } v \rightarrow M \mid \text{dom } v \subseteq \mathbb{W}^{(M)} \ \& \\ \forall x, y \in \text{dom } v [ v(x) \wedge [x = y] \leq v(y) ] \}$$

**Note:** (i) The universe  $\mathbb{U}^{(M)}$  models an intuitionistic  $G$ -valued set theory.

(ii) The universe  $\mathbb{W}^{(M)}$  models the usual  $M$ -valued, extensional Boolean-valued set theory.

(iii) Both universes are definable in the modal universe  $\mathbb{V}^{(M)}$ .



# Truth by Degrees?

**Comment:** There are **many** subframes of  $M$ . For example  $D \subseteq G \subseteq M$ , defined as  $D = \{ [0, r]/\text{Null} \mid r \in \mathbb{R} \}$ , is closed (in  $M$ ) under **arbitrary** sups and infs.

The modal operator  $\Delta$  defined by

$$\Delta p = \bigvee \{ d \in D \mid d \leq p \}$$

is, of course, stronger than  $\Box$  but **not intensional**.

**Questions:** But is  $\Delta$  at all interesting?

Would propositions with values in  $D$  be

interesting? **Suggestions welcome!**

# Are You Ready for Multiverses?

**Observation:** Large cBa's usually have many subframes (= abstract topologies). Each one gives a model for MZF. And indeed one cBa may give rise to many of these. For example:

**M** measurable

**G** open

**S** cylindric (using higher dimensions)

**D** real-valued degrees

**E** broad degrees (small, medium, large)

**T** binary degrees (all or nothing, 0 or 1)

And we have both modal and intuitionistic versions.