# **Data Integration and Exchange**

#### **LECTURE 1: Review of Relational Databases**

- Relational model
- Schemas
- Relational algebra
- Relational calculus
- SQL
- Constraints (keys, foreign keys)

#### The relational model

- Data is organized in relations (tables)
- Relational database schema:

```
set of table names

list of attributes for each table
```

- Tables are specified as: :<list of attributes>
- Examples:

```
Account: number, branch, customerId
Movie: title, director, actor
Schedule: theater, title
```

- Attributes within a table have different names
- Tables have different names

#### **Declarative vs Procedural**

- In our queries, we ask **what** we want to see in the output.
- But we do not say **how** we want to get this output.
- Thus, query languages are **declarative**: they specify what is needed in the output, but do not say how to get it.
- Database system figures out **how** to get the result, and gives it to the user.
- Database system operates internally with different, **procedural** languages, which specify how to get the result.

#### Declarative vs Procedural: example

```
Declarative:
\{ \text{ title } | \text{ (title, director, actor)} \in \text{movies } \}
Procedural:
for each tuple T=(t,d,a) in relation movies do
  output t
end
In relational algebra: \pi_{title}(Movies).
in SQL:
SELECT title FROM Movies
```

#### **Relational Calculus**

- Codd 1970: Relational databases are queried using first-order predicate logic.
- Relational calculus: another name for it. Queries written in the logical notation using:

```
relation names (e.g., Movies)
constants (e.g., 'Shining', 'Nicholson')
conjunction ∧, disjunction ∨
negation ¬
existential quantifiers ∃
universal quantifiers ∀
```

•  $\land$ ,  $\exists$ ,  $\neg$  suffice:

$$\forall x F(x) = \neg \exists x \neg F(x)$$
$$F \lor G = \neg (\neg F \land \neg G)$$

#### Relational Calculus cont'd

- Bound variable: a variable x that occurs in  $\exists x$  or  $\forall x$
- Free variable: a variable that is not bound.
- Free variables are those that go into the output of a query.
- Two ways to write a query:

```
Q(\vec{x}) = F , where \vec{x} is the tuple of free variables \{\vec{x} \mid F\}
```

• Examples:

```
 \{x,y \mid \exists z \ \big(R(x,z) \land S(z,y)\big)\} 
 \{x \mid \forall y R(x,y)\} 
 \{ \text{ dir } | \ \forall \text{ (th, tl)} \in \text{ schedule} 
 \exists \text{ (tl', act)} : \text{ (tl',dir,act)} \in \text{movies } \land \text{ (th, tl')} \in \text{ schedule } \}
```

## Relational Algebra

- Procedural language
- Six (=5+1) operations:
  - $\circ$  Projection  $\pi$
  - $\circ$  Selection  $\sigma$
  - $\circ$  Cartesian product  $\times$
  - ∘ Union ∪
  - Difference —
  - $\circ$  Renaming  $\rho$
- Renaming changes names of attributes
- $\rho_{A \leftarrow C, B \leftarrow D}(R)$  turns a relation with attributes C, D into a relation with attributes A, B.

#### Relational Algebra cont'd

- Projection: chooses some attributes in a relation
- $\pi_{A_1,...,A_n}(R)$ : only leaves attributes  $A_1,\ldots,A_n$  in relation R.
- Selection: Chooses tuples that satisfy some condition
- $\bullet$   $\sigma_c(R)$ : only leaves tuples t for which c(t) is true
- Conditions: conjunctions of

R.A = R.A' – two attributes are equal

R.A = constant – the value of an attribute is a given constant

Same as above but with  $\neq$  instead of =

• Examples:

Movies.Actor=Movies.Director

Movies.Actor=Movies.Director ∧ Movies.Actor='Nicholson'

## Relational Algebra cont'd

- Cartesian Product: puts together two relations
- ullet  $R_1 imes R_2$  puts together each tuple  $t_1$  of  $R_1$  and each tuple  $t_2$  of  $R_2$
- Example:

## Relational Algebra cont'd

- ullet Union  $R \cup S$  is the union of relations R and S
- ullet R and S must have the same set of attributes.
- Difference R-S: tuples in R but not in S.

• Every declarative query has a procedural implementation:

Relational Calculus = Relational Algebra

## SQL

- Structured Query Language
- Developed originally at IBM in the late 70s
- First standard: SQL-86
- Second standard: SQL-92
- Latest standard: SQL-99, or SQL3, well over 1,000 pages
- De-facto standard of the relational database world replaced all other languages.

## **Examples of SQL queries**

• Find titles of current movies

SELECT Title FROM Movies

- SELECT lists attributes that go into the output of a query
- FROM lists input relations

#### Examples of SQL queries cont'd

• Find theaters showing movies in which Nicholson played:

```
SELECT Schedule.Theater
FROM Schedule, Movies
WHERE Movies.Title = Schedule.Title
          AND Movies.Actor='Nicholson'
```

#### Differences:

- SELECT now specifies which relation the attributes came from because we use more than one.
- FROM lists two relations
- WHERE specifies the *condition* for selecting a tuple.

## Joining relations

- WHERE allows us to join together several relations
- Consider a query: list directors, and theaters in which their movies are playing

```
SELECT Movies.Director, Schedule.Theater FROM Movies, Schedule
WHERE Movies.Title = Schedule.Title
```

- This operation is called **join**.
- Notation: Schedule ⋈ Movies

#### Join cont'd

- Join is not a new operation of relational algebra
- It is definable with  $\pi, \sigma, \times$
- Suppose R is a relation with attributes  $A_1, \ldots, A_n, B_1, \ldots, B_k$
- S is a relation with attributes  $A_1, \ldots, A_n, C_1, \ldots, C_m$
- $R \bowtie S$  has attributes  $A_1, \ldots, A_n, B_1, \ldots, B_k, C_1, \ldots, C_m$

$$R\bowtie S \\ = \pi_{A_1,...,A_n,\ B_1,...,B_k,C_1,...,C_m}(\sigma_{R.A_1=S.A_1\wedge...\wedge R.A_n=S.A_n}(R\times S))$$

## Beyond simple queries

- So far we mostly used  $\pi, \sigma, \bowtie$  in relational algebra.
- It is harder to do queries with "for all conditions".
- Query: Find directors whose movies are playing in all theaters:

$$\pi_{\mathsf{director}}(M) - \pi_{\mathsf{director}}\Big(\pi_{\mathsf{theater}}(S) \times \pi_{\mathsf{director}}(M) - \pi_{\mathsf{theater},\mathsf{director}}(M \bowtie S)\Big)$$

• They don't look easy in relational algebra

## For all and negation in SQL

- Two main mechanisms: subqueries, and Boolean expressions
- Subqueries are often more natural
- SQL syntax for  $R \cap S$ :

  R. INTERSECT S
- SQL syntax for R-S:
  R. EXCEPT S
- Find all actors who are not directors:

SELECT Actor AS Person
FROM Movies
EXCEPT
SELECT Director AS Person
FROM Movies

also directors:

SELECT Actor AS Person
FROM Movies
INTERSECT
SELECT Director AS Person
FROM Movies

## For all and negation in SQL cont'd

- Find directors whose movies are playing in all theaters.
- SQL's way of saying this: Find directors such that there does not exist a theater where their movies do not play.
- Because:  $\forall x \ f(x) \Leftrightarrow \neg \exists x \ \neg f(x)$ .

```
SELECT M1.Director
FROM Movies M1
WHERE NOT EXISTS (SELECT S.Theater
FROM Schedule S
WHERE NOT EXISTS (SELECT M2.Director
FROM Movies M2
WHERE M2.Title=S.Title
AND
M1.Director=M2.Director))
```

## Other features of SQL

- Datatypes, type-specific operations
- Table declaration, constraint enforcement
- Aggregation

#### Simple aggregate queries

Count the number of tuples in Movies

SELECT COUNT(\*)
FROM Movies

Add up all movie lengths

SELECT SUM(Length) FROM Movies

Find the number of directors.

SELECT COUNT(DISTINCT Director)
FROM Movies

## Aggregation and grouping

For each theaters playing at least one long (over 2 hours) movie, find the average length of all movies played there:

```
SELECT S.Theater, AVG(M.Length)
FROM Schedule S, Movies M
WHERE S.Title=M.Title
GROUP BY S.Theater
HAVING MAX(M.Length) > 120
```

#### **Database Constraints**

- In our examples we assumed that the *title* attribute identifies a movie.
- But this may not be the case:

title	director	actor
Dracula	Browning	Lugosi
Dracula	Fischer	Lee
Dracula	Badham	Langella
Dracula	Coppola	Oldman

- Database constraints: provide additional semantic information about the data.
- Most common ones: functional and inclusion dependencies, and their special cases: keys and foreign keys.

#### Constraints cont'd

• If we want the *title* to identify a movie uniquely (i.e., no Dracula situation),

we express it as a functional dependency

• In general, a relation R satisfies a functional dependency  $A \to B$ , where A and B are attributes, if for every two tuples  $t_1, t_2$  in R:

$$\pi_A(t_1) = \pi_A(t_2)$$
 implies  $\pi_B(t_1) = \pi_B(t_2)$ 

## Functional dependencies and keys

• More generally, a functional dependency is  $X \to Y$  where X, Y are sequences of attributes. It holds in a relation R if for every two tuples  $t_1, t_2$  in R:

$$\pi_X(t_1) = \pi_X(t_2)$$
 implies  $\pi_Y(t_1) = \pi_Y(t_2)$ 

- A very important special case: keys
- Let K be a set of attributes of R, and U the set of **all** attributes of R. Then K is a key if R satisfies functional dependency  $K \to U$ .
- In other words, a set of attributes K is a key in R if for any two tuples  $t_1$ ,  $t_2$  in R,

$$\pi_K(t_1) = \pi_K(t_2)$$
 implies  $t_1 = t_2$ 

• That is, a key is a set of attributes that uniquely identify a tuple in a relation.

#### **Inclusion constraints**

- We expect every Title listed in Schedule to be present in Movies.
- These are **referential** integrity constraints: they talk about attributes of one relation (Schedule) but refer to values in another one (Movies).
- These particular constraints are called **inclusion dependencies** (ID).
- Formally, we have an inclusion dependency  $R[A] \subseteq S[B]$  when every value of attribute A in R also occurs as a value of attribute B in S:

$$\pi_A(R) \subseteq \pi_B(S)$$

- As with keys, this extends to sets of attributes, but they must have the same number of attributes.
- There is an inclusion dependency  $R[A_1, \ldots, A_n] \subseteq S[B_1, \ldots, B_n]$  when

$$\pi_{A_1,\ldots,A_n}(R) \subseteq \pi_{B_1,\ldots,B_n}(S)$$

#### Foreign keys

- Most often inclusion constraints occur as a part of a foreign key
- Foreign key is a conjunction of a key and an ID:

$$R[A_1, \dots, A_n] \subseteq S[B_1, \dots, B_n]$$
 and  $\{B_1, \dots, B_n\} \to \text{all attributes of } S$ 

- ullet Meaning: we find a key for relation S in relation R.
- Example: Suppose we have relations:
   Employee(EmplId, Name, Dept, Salary)
   ReportsTo(Empl1, Empl2).
- We expect both Empl1 and Empl2 to be found in Employee; hence: ReportsTo[Empl1] ⊆ Employee[EmplId]
   ReportsTo[Empl2] ⊆ Employee[EmplId].
- If EmplId is a key for Employee, then these are foreign keys.