Hermitian Laplacians, Cheeger inequalities, and 2-variable linear equations

Luca Zanetti (Cambridge)

Joint work with Huan Li (Fudan) and He Sun (Edinburgh)

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Facts:

- if the system is satisfiable, it is trivial to find a satisfying assignment
- otherwise, finding an optimal solution is NP-hard
- there exists an SDP-based algorithm that, given a $(1-\epsilon)$ -satisfiable instance, finds an assignment satisfying a $1-O\left(\sqrt{\epsilon\log k}\right)$ fraction of equations
- almost optimal according to the Unique Games Conjecture

Max-2-Lin(k) and Max-Cut

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- the system is satisfiable if and only if G is bipartite (i.e., there exists a cut containing all edges in the graph)
- Maximising the number of satisfied equations is equivalent to finding the largest cut in the graph

Recall: cut = "edges across a bipartition of the vertices"

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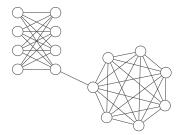
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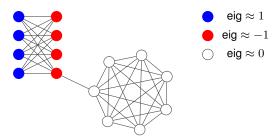
Not exactly:

- for the ⇒ part we need to be more careful

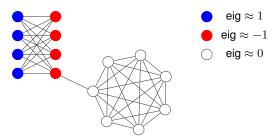
Example:



Example: most negative eigenvalue has large absolute value



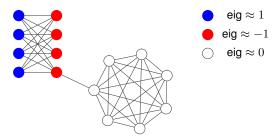
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• smallest eigenvalue is $\leq -(1-\epsilon)d \Rightarrow$ there exists a subgraph with a cut containing a $(1-O(\sqrt{\epsilon}))$ -fraction of its edges

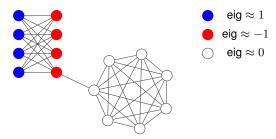
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Q? Can we generalise this framework to arbitrary 2-variable linear systems?

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We define the adjacency operator $A_{\mathcal{S}} \in \mathbb{C}^{n \times n}$ as

$$A_{\mathcal{S}}(i,j) = \begin{cases} \omega_k^{c_{ij}} & \text{if } i \xrightarrow{c_{ij}} j \\ \overline{\omega_k}^{c_{ij}} & \text{if } j \xrightarrow{c_{ij}} i \\ 0 & \text{o.w.} \end{cases}$$

where $\omega_k = e^{2\pi i/k}$ is the k-th root of unity.

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Notice:
$$x_i - x_j \equiv_k c_{ij} \implies x_j - x_i \equiv_k -c_{ij}$$
 and $\omega_k^{-c_{ij}} = \overline{\omega_k}{}^{c_{ij}}$.

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KEY FACT: A_S is Hermitian \Rightarrow real eigenvalues and orthonormal eigenvectors

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We then define the Laplacian operator $L_{\mathcal{S}} \in \mathbb{C}^{n \times n}$ as

$$L_{\mathcal{S}} = I - D_{\mathcal{S}}^{-1/2} A_{\mathcal{S}} D_{\mathcal{S}}^{-1/2}$$

where $D_{\mathcal{S}}$ is diagonal and $D_{\mathcal{S}}(i,i) = \sum_{i} |A_{\mathcal{S}}(i,j)|$.

Let λ be the smallest eigenvalue of $L_{\mathcal{S}}$. By Courant-Fischer,

$$\lambda = \min_{y \in \mathbb{C}^n \backslash \{0\}} \frac{\sum_{i \to j} \left| y(i) - \omega_k^{c_{ij}} y(j) \right|^2}{\sum_{i \to j} |y(i)|^2}$$

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• $\Rightarrow \lambda = 0$ \square

Can we prove a robust version of this fact?

A Cheeger-type inequality for Max-2-Lin(k)

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$$p_{i,j}^{\phi} \triangleq \begin{cases} 1 & \phi(i), \phi(j) \neq 1 \ \land \ \phi(i) - \phi(j) \not\equiv_k c_{ij} \\ 1 & (\phi(i) = \bot \land \phi(j) \neq \bot) \lor (\phi(j) = \bot \land \phi(i) \neq \bot) \\ 0 & \text{o.w.} \end{cases}$$

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Theorem

Let $\mathcal S$ be a system of 2-variable equations modulo k, and $\lambda(L_{\mathcal S})$ the smallest eigenvalue of its Laplacian. Then,

$$\lambda(L_{\mathcal{S}}) \lesssim \min_{\phi:[n] \to [k] \cup \{\perp\}} u(\phi) \lesssim k\sqrt{\lambda(L_{\mathcal{S}})}$$

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Proof ideas

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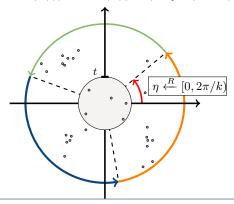
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- Otherwise, (randomly) divide the complex plane in k regions corresponding to the k possible assignments

Let $y \in \mathbb{C}^n$ be the bottom eigenvector of $L_{\mathcal{S}}$. Assume (w.l.o.g.) $\max_i |y(i)| = 1$. Rounding algorithm:

- Draw $t \in [0,1]$ such that t^2 is distributed uniformly over [0,1]
- ${\color{red} \bullet}$ Set $\phi(i) = \bot$ for any i s.t. |y(i)| < t
- Draw $\eta \in [0, 2\pi/k]$ u.a.r.
- $\bullet \ \, \mathsf{Set} \ \phi(i) = j \ \Longleftrightarrow \ |y(i)| \geq t \wedge \Theta(y(i), \mathrm{e}^{i\eta}) \in [2\pi j/k, 2\pi (j+1)/k)$

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Algorithm

INPUT: a system of equations ${\cal S}$

- 1. Compute the eigenvector y corresponding to $\lambda(L_{\mathcal{S}})$
- 2. Apply the rounding procedure to find a partial assignment ϕ
- 3. Let $\operatorname{vol}(\phi) = \sum_{i \to j} \mathbf{1} \{ \phi(i) \neq \bot \}$
- 4. if $u(\phi) \geq (1-1/k)\operatorname{vol}(\phi)$ then Return a full random assignment
- 5. else if ϕ is a full assignment then Return ϕ
- 6. else Recurse on a set of equations defined on variables $\{x_i : \phi(i) = \bot\}$.

Thank you