Hierarchical Reinforcement Learning in Communication-Mediated Multiagent Coordination

Felix Fischer, Michael Rovatsos, Gerhard Weiss

Al/Cognition Group, Department of Informatics, Technical University of Munich {fischerf,rovatsos,weissg}@cs.tum.edu

Communication and Openness

- ► Traditional approach to interaction and communication in a MAS:
 - Agent communication languages with speech-act based semantics (KQML/KIF, FIPA-ACL) Communication protocols (CNP, auctions, ...)
- ► Leads to problems in open multiagent systems characterised by
 - Changing populations of autonomous agents (self-interested or even anti-social agents)
 - Heterogenous (and mutually unknown) agent design
- ► Central question:
 - If adherence to communication languages and protocols cannot be taken for granted, how can meaningful and coherent communication be ensured?
- ► One possible answer: empirical communication semantics [3], i.e. grounding the meaning of commu-

▶ Hierarchical approach: select best frame $F^* \in \mathcal{F}$ according to w and KB, then select best continuation m^* according to F^*



nication in its expected consequences

Reasoning about Communication with Empirical Semantics

► Expectation networks [1]: graph-based representation of (statistical) correlations between successive utterances to allow for (probabilistic) statements about the continuation of an ongoing interaction



- ▶ Interaction Frames and Framing Architecture InFFrA [2]: a meta-framework for the representation and strategic use of (a simple kind of) expectation networks; no formal semantics, not readily implementable
- ► Practical problem: utterance-level reasoning about continuation probabilities yields a vast search space
- ▶ Proposed solution: view communication as hierachical decision process, use interaction frames as (abstract) communication policies
- ▶ m²InFFrA ("Markov-square"): A formalisation of InFFrA for two-party, turn-taking interactions based on this interpretation

A Formalisation of Interaction Frames

- Frame level: use the hierarchical RL framework of options [4] to learn which frame to choose in a given situation
 - $F \in \mathcal{F}$ induces an option $o_F = (\mathcal{I}_F, \pi_F, \beta_F)$
 - Input set \mathcal{I}_F of states s = (w, KB) in which F can be invoked, i.e. where the Prefix of T(F)matches w and the corresponding suffix is executable under KB
 - Policy π_F assigns a probability of 1 to m^* (which is to be determined on the action level)
 - Termination criterion β_F is given by T(F), w and KB (in analogy to \mathcal{I}_F) and by a private desirability criterion
 - Update equation for SMDP Q-learning:

$$Q(s, o) \leftarrow (1 - \alpha)Q_k(s, o) + \alpha \left[r + \gamma^{\tau} \max_{o' \in \mathcal{O}_{s'}} Q_k(s', o') \right]$$

- Optimal "framing" policy: $\pi^*(s, o) = 1 \iff o = \arg \max_{o'} Q^*(s, o')$
- Action level: expected utility maximisation based on continuation probabilities P(w'|w, F)
 - Requires utility estimate u(w', KB)
 - Three different kinds of variable substitutions:
 - "fixed" substitution $\vartheta_f(F, w)$: bindings induced by the observation w
 - "own" substitution ϑ_o : binds variables in the frame steps to be executed by the agent
 - "peer" substitution ϑ_p : binds those in the other's steps
 - Expected utility of "own" substitution ϑ_s :

$$E[u(\vartheta_s, F, w, KB)] = \sum_{\vartheta_p} P(\vartheta_p | \vartheta_s, F, w) \ u(postfix(T(F), w)\vartheta_f(F, w)\vartheta_s\vartheta_p, KB))$$

Probability for "peer" substitution estimated from previous instantiations of F:

$$D(aQ \land aQ \mid E au) = D(aQ a(E au)aQ aQ \mid E au)$$

- ► An m²InFFrA *frame* is a tuple $F = (T, \Theta, C, h_T, h_{\Theta})$, where
 - $\blacksquare T = \langle p_1, p_2, \dots, p_n \rangle$, the *trajectory* of the frame, is a sequence of message patterns describing possible instances of F by means of variables in p_i ;
 - $\Theta = \langle \vartheta_1, \ldots, \vartheta_m \rangle$ is an ordered list of *variable substitutions*, representing previous enactments;
 - $\Box C = \langle c_1, \ldots, c_m \rangle$ is an ordered list of *condition sets* encoded in a logical language, such that c_i is the condition set relevant under substitution ϑ_i ;
 - $\bullet h_T \in \mathbb{N}^{|T|}$ is a trajectory occurrence counter list counting the occurrence of each prefix of the trajectory T in previous encounters;
 - $\bullet h_{\Theta} \in \mathbb{N}^{|\Theta|}$ is a substitution occurrence counter list counting the occurrence of each member of the substitution list Θ in previous encounters.
- ► Example: Interaction frame for the success path of the FIPA Contract Net Protocol:

```
F_{cn} = \left\langle \left\langle \begin{array}{c} \frac{5}{\rightarrow} \operatorname{cfp}(A_1, A_2, \langle R, P \rangle) \right\rangle \xrightarrow{3} \operatorname{propose}(A_2, A_1, Q) \\ \xrightarrow{3} \operatorname{accept-proposal}(A_1, A_2, Q) \xrightarrow{2} \operatorname{do}(A_2, A_1, R) \right\rangle,
                                              \langle \{\iota X(P=Q)=Y,
                                                   \neg Bref_{A_1}(any \ X \ I_{A_2}Done(R, P)) \land \neg B_{A_1}I_{A_2}Done(R) @1,
                                                  B_{A_2}I_{A_2}Done(R,Q) @2,
                                                   B_{A_1}I_{A_1}Done(R,Q) \wedge B_{A_1}I_{A_2}Done(R,Q) @3,
                                                   B_{A_2}Q @4\}, \{\}, \{existsLink(A_2, agent_2)\}\rangle,\
                                                 \xrightarrow{0}
                                                  \xrightarrow{1} [A<sub>1</sub>/agent<sub>1</sub>, A<sub>2</sub>/agent<sub>2</sub>, R/addLink(A<sub>2</sub>, A<sub>1</sub>, 2),
                                                         P/greater(X, 0), Q/equal(X, 2)],
                                                  \xrightarrow{1} [A_1/agent_3, A_2/agent_1, R/modifyRating(A_2, agent_2, -3),
                                                        P/greater(-2, X), Q/equal(X, -3)]\rangle\rangle
► Frame semantics:
```

$$P(\vartheta_p|\vartheta_s, F, w) = \frac{P(\vartheta_p \land \vartheta_s|F, w)}{P(\vartheta_s|F, w)} = \frac{P(\vartheta_f(F, w)\vartheta_s\vartheta_p|F, w)}{\sum_{\vartheta} P(\vartheta_f(F, w)\vartheta_s\vartheta|F, w)} \propto \sigma(\vartheta_f(F, w)\vartheta_s\vartheta_p, F)$$

► Selection of optimal substitution and action:

$$\vartheta^*(F, w, KB) = \arg \max_{\vartheta_s \in \Theta_{poss}(F, KB, w)} E[u(\vartheta_s, F, w, KB)]$$

 $m^*(F, w, KB) = T(F)[|w| + 1]\vartheta^*(F, w, KB)$

Experimental Results

- Scenario: Automated Website Linkage (agents represent Web site owners who negotiate over linkage)
- ► Agents with Prolog-like inference mechanism and BDI-based goal generation and planning
- ► Comparison between agents that simply issue requests if they cannot perform an action by themselves and m²InFFrA agents



Given a set $\mathcal{F} = \{F_1, \ldots, F_n\}$ of frames, a conversation prefix w and a knowledge base KB ■ . . . derive a continuation probability

 $P(w'|w) = \sum_{F \in \mathcal{F}} P(w'|F, w) P(F|w) = \sum_{F \in \mathcal{F}, ww' = T(F)\vartheta} P(\vartheta|F, w) P(F|w)$

Probability of ϑ under F is proportional to its *similarity* to F:

 $P(\vartheta|F,w) \propto \sigma(\vartheta,F) = \sum_{i=1}^{|\Theta(F)|} \underbrace{\sigma(T(F)\vartheta,T(F)\Theta(F)[i])}_{i=1} \underbrace{frequency}{h_{\Theta}(F)[i]} \underbrace{relevance}{c_i(F,\vartheta,KB)}$

Learning and Decision-Making with Frames

▶ Problem: given a (possibly abstract) state (w, KB) corresponding to a conversation prefix w and a knowledge base KB and a set $\mathcal{F} = \{F_1, \ldots, F_n\}$ of frames, derive the optimal continuation m^*

0 100 200 300 400 500 600 700 800 0 100 200 300 400 500 600 700 800 Simulation rounds
References
[1] M. Nickles and M. Rovatsos. Communication Systems: A Unified Model of Socially Intelligent Sys- tems. In K. Fischer and M. Florian, editors, <i>Socionics: Its Contributions to the Scalability of Complex</i> <i>Social Systems</i> .
[2] M. Rovatsos. Interaction frames for artificial agents. Research Report FKI-244-01, AI/Cognition Group, Department of Informatics, Technical University of Munich, 2001.
[3] M. Rovatsos, M. Nickles, and G. Weiß. Interaction is Meaning: A New Model for Communication in Open Systems. In J. S. Rosenschein, T. Sandholm, M. Wooldridge, and M. Yokoo, editors, <i>Proceedings of the Second International Joint Conference on Autonomous Agents and Multiagent Systems</i> (AAMAS'03), Melbourne, Australia, 2003.
[4] R. S. Sutton, D. Precup, and S. P. Singh. Between MDPs and semi-MDPs: A framework for temporal abstraction in reinforcement learning. <i>Artificial Intelligence</i> , 112(1-2):181–211, 1999.