#### **Computational Interaction Frames**

#### Michael Rovatsos

CISA, School of Informatics The University of Edinburgh

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#### Outline

Introduction

The Conceptual Level: InFFrA

The Formal Level: m<sup>2</sup>InFFrA

Application & Results

Summary & Conclusions

## The bottom line (abstract)

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Given a set of conversational **interaction patterns**, our method allows agents to **learn** to choose the most appropriate of these in order to maximise their own utility based on past communication **experience**.

Multiagent learning/communication learning

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- More specifically: dialogue management & conversation policy selection learning

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- Multiagent learning/communication learning
- More specifically: dialogue management & conversation policy selection learning
- Goal: design social reasoning architecture, build agents with these capabilities
- Formal and theoretical underpinnings, but focus on realism

### The bottom line (technical)

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Agents who use the suggested methods ....

 Maintain sequences of speech-act like messages m(s, r, c) as models of conversation runs

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- Apply reinforcement learning to these "macro-actions" (=patterns+instances+frequencies+constraints)
- Employ them in communication given own utility estimates and feedback from the environment

Communication & Open Systems Empirical Semantics Sociological Foundations The InFFrA Architecture

#### Introduction

#### The Conceptual Level: InFFrA

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The Formal Level: m<sup>2</sup>InFFrA

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Communication & Open Systems Empirical Semantics Sociological Foundations The InFFrA Architecture

- Traditional approach to interaction and communication in a MAS:
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- Question: If adherence to communication languages and protocols cannot be taken for granted, how can meaningful and coherent communication be ensured?
- One possible answer: **empirical semantics**

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#### **Empirical Semantics**

- Meaning of a message is only defined in terms of its consequences (i.e. messages/actions likely to follow it)
  - Immediate reactions of other agents and oneself
  - "Second-order" impact on the expectation structures of any observer

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- Knowledge about the effects of messages must be derived from empirical observation
- Meaning can only be constructed through the eyes of an agent, in relation to its goals

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#### **Communication Systems**

General way of viewing structure and evolution of communication: **expectation networks** 



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### **Communication Systems**

Advantages over "traditional" models of communication semantics:

▶ No mentalistic assumptions, least commitment approach

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- ▶ No mentalistic assumptions, least commitment approach
- Allows for context-sensitivity and uncertainty
- Modelling of local and/or global meaning
- Able to capture evolution of meaning
- But: how do we get them into agents' heads (practically speaking)?

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#### Sociological Foundations

 "Frame" & "Framing" concepts grounded in the sociological theory of Erving Goffman (1922-1982)

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"the participants' own conceptualisation of the structure within which they are interacting, which may change very quickly as the situation develops"

or

"the answer to the question 'what is going on here?' that everyone poses to oneself in an interaction situation"

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"the answer to the question 'what is going on here?' that everyone poses to oneself in an interaction situation"

Framing = strategic application of frames

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#### The InFFrA Architecture

▶ InFFrA = Interaction Frames and Framing Architecture

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- Abstract architecture for social reasoning and learning
- Uses frames to capture regularities of interaction processes
- Framing = social reasoning mechanism that builds around frames as central data structure
- Intended to be combined with sub-social reasoning components (e.g. BDI reasoner)

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#### InFFrA – Frames



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### InFFrA – Framing



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Abstract architecture, many possible designs

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## InFFrA – Summary

- Abstract architecture, many possible designs
- Generic model for agent-level reasoning about interaction
- Difference between frames and interaction protocols/conversation policies:
  - Not fixed a priori, evolving
  - Include information about context and experience
  - Are vulnerable to manipulation (e.g. deception)
  - Actors move fluidly/rapidly between frames

Frames & Empirical Semantics Framing in mInFFrA Action-level Decision Making Frame-level Learning

#### Introduction

#### The Conceptual Level: InFFrA

# The Formal Level: m<sup>2</sup>InFFrA

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## m<sup>2</sup>InFFrA

 m<sup>2</sup>InFFrA: an instance of InFFrA for two-party, discrete, turn-taking interactions

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- "Markov-square": two-level hierarchical MDP view of frame-based interaction

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- "Markov-square": two-level hierarchical MDP view of frame-based interaction

Frame 
$$F = (T, \Theta, C, h_T, h_{\Theta})$$

- T a sequence of message patterns, the trajectory
- $\Theta$  a list of variable substitutions
- *C* a list of condition sets (in a propositional language)
- $h_T$  trajectory occurence counter
- $h_{\Theta}$  substitution occurrence counter

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#### An example

$$F = \left\langle \left\langle \begin{array}{c} \frac{5}{\rightarrow} \operatorname{request}(A_1, A_2, X) \xrightarrow{3} \operatorname{accept}(A_2, A_1, X) \\ \frac{2}{\rightarrow} \operatorname{confirm}(A_1, A_2, X) \xrightarrow{2} \operatorname{do}(A_2, X) \right\rangle, \\ \left\langle \left\{ \operatorname{self}(A_1), \operatorname{other}(A_2), \operatorname{can}(A_1, \operatorname{do}(A_1, X)) \right\}, \\ \left\{ \operatorname{agent}(A_1), \operatorname{agent}(A_2), \operatorname{action}(X) \right\} \right\rangle, \\ \left\langle \begin{array}{c} \frac{4}{\rightarrow} \langle [A_1/\operatorname{agent\_1}], [A_2/\operatorname{agent\_1}], [X/\operatorname{deliver\_goods}] \rangle \right\rangle \\ \end{array} \right\rangle$$

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#### Frame semantics

► Given a conversation prefix w and a knowledge base KB, a set F = {F<sub>1</sub>,..., F<sub>n</sub>} of frames induces a continuation probability

$$P(w'|w) = \sum_{F \in \mathcal{F}} P(w'|F, w) P(F|w) = \sum_{F \in \mathcal{F}, ww' = T(F)\vartheta} P(\vartheta|F, w) P(F|w)$$

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• Define probability of  $\vartheta$  proportional to its *similarity* to *F*:

$$P(\vartheta|F, w) \propto \sigma(\vartheta, F) = \sum_{i=1}^{|\Theta(F)|} \underbrace{\sigma(T(F)\vartheta, T(F)\Theta(F)[i])}_{i=1} \underbrace{frequency}_{h_{\Theta}(F)[i]} \underbrace{\sigma(F, \vartheta, KB)}_{i=1}$$

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# Framing in m<sup>2</sup>InFFrA

Frames represent classes of interactions

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- Frames represent classes of interactions
- Proposed hierarchical approach:
  - 1. Select the appropriate frame for a given situation (i.e. classify the situation)
  - 2. Optimise within the selected frame while disregarding other frames

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- Proposed hierarchical approach:
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- Learning methods can be applied to both levels (frame-level/action-level)

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# Framing in m<sup>2</sup>InFFrA

framing decisions + long-terr					n payofi	fs	=	fram	ng utility		
	╉	#2	<b>#</b> >				0.812	0.868	0.918		
					]	►	0.762		0.611	0.534	
	-	<b>*</b> 7	#8				0.705	0.655	0.611	0.388	
framing frame level											
action level											
in-frame action decisions + immediate payoffs = action utility											
	1	+		+1			0.455	0.686	0.874	+1	
	ł	t		t	_	-	0.512	0.112		0.766	
	-+	-1	-	-			0.377	-1	0.245	0.621	

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Frames & Empirical Semantics Framing in mInFFrA Action-level Decision Making Frame-level Learning

#### Action-level Optimisation

Substitution *fixed* by conversation prefix *w* in frame *F*:

$$\vartheta_f(F, w) = unifier(w, T(F)[1:|w|])$$

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#### Set of substitutions still possible:

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- "Own" and "Peer" substitution  $\vartheta_s$  and  $\vartheta_p$
- (Private) utility estimate over future message sequences

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#### Action-level Optimisation

• Expected utility of "own" substitution  $\vartheta_s$ :

$$\begin{split} E[u(\vartheta_s, F, w, KB)] &= \sum_{\vartheta_p} P(\vartheta_p | \vartheta_s, F, w) \cdot \\ u(\textit{postfix}(T(F), w) \vartheta_f(F, w) \vartheta_s \vartheta_p, KB) \end{split}$$

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### Action-level Optimisation

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Expected utility maximisation to determine optimal action

$$\vartheta^*(F, w, KB) = \arg \max_{\vartheta_s \in \Theta_s} E[u(\vartheta_s, F, w, KB)]$$
  
$$m^*(F, w, KB) = T(F)[|w| + 1]\vartheta^*(F, w, KB)$$

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#### Action-level Optimisation

Conditional probability for "peer" substitution estimated from previous instantiations of F:

$$P(\vartheta_{p}|\vartheta_{s}, F, w) = \frac{P(\vartheta_{s} \land \vartheta_{p}|F, w)}{P(\vartheta_{s}|F, w)} = \\ = \frac{P(\vartheta_{f}(F, w)\vartheta_{s}\vartheta_{p}|F, w)}{\sum_{\vartheta} P(\vartheta_{f}(F, w)\vartheta_{s}\vartheta_{p}|F, w)} \\ \propto \sigma(\vartheta_{f}(F, w)\vartheta_{s}\vartheta_{p}, F)$$

Frames & Empirical Semantics Framing in mInFFrA Action-level Decision Making Frame-level Learning

#### Frame-level Learning

 Reinforcement learning (RL): learning an *optimal* policy π\* in an MDP

Frames & Empirical Semantics Framing in mInFFrA Action-level Decision Making Frame-level Learning

- Reinforcement learning (RL): learning an *optimal* policy  $\pi^*$  in an MDP
- Maximisation of the expected profit,

i. e. 
$$\pi^* = \arg \max_{\pi} E(\sum_{\tau=1}^{\infty} \gamma^{\tau-1} r_{t+\tau} | s_t = s.\pi)$$

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- Leads to semi-MDP (SMDP) i. e. state transition probabilities and rewards epend on the *history* of states since the macro has been invoked and to hierarchical RL

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#### SMDPs – Intuitively speaking . . .



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options-induced SMDP



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### Frame-level Learning & Options

 Options: a framework for hierarchical RL, blends nicely with interaction frames

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#### Frame-level Learning & Options

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$$\begin{array}{ll} \bullet \quad option \ o = (\mathcal{I}, \pi, beta) \\ \mathcal{I} \subseteq \mathcal{S} & \text{inp} \\ \pi : \mathcal{S} \times \bigcup_s \mathcal{A}_s \to [0, 1] & (\text{in} \\ \beta : \mathcal{S} \to [0, 1] & \text{Ter} \end{array}$$

input set (intra-option) policy Terminierungsbedingung

Frames & Empirical Semantics Framing in m InFFrA Action-level Decision Making Frame-level Learning

#### Frame-based Options

For each frame *F*, define an option  $(\mathcal{I}_F, \pi_F, \beta_F)$ :
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"expectation" computed by comparing the (projected) present encounter with past ones stored in  $\Theta(F)$  (using  $\sigma$  similarity measure)

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"expectation" computed by comparing the (projected) present encounter with past ones stored in  $\Theta(F)$  (using  $\sigma$  similarity measure)

 $\beta_F$  determined by T(F), w and KB (as  $\mathcal{I}_F$ ) and by a private desirability measure

Automated Web Link Exchange Experimental Results Interest-based Negotiation

#### Introduction

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The Formal Level: m<sup>2</sup>InFFrA

Application & Results Automated Web Link Exchange Experimental Results Interest-based Negotiation

Summary & Conclusions

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# LIESON

LInk Exchange SimulatiON System

Automated Web Link Exchange Experimental Results Interest-based Negotiation

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- LInk Exchange SimulatiON System
- Objective: increase linkage transparency on the WWW using automated link exchange

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- Includes implementation of BDI-like agents with m<sup>2</sup>InFFrA engine
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  - maximise dissemination of own opinion
  - (highly) boundedly rational

Automated Web Link Exchange Experimental Results Interest-based Negotiation

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  - self-interested agents
  - maximise dissemination of own opinion
  - (highly) boundedly rational
- Experimented with two kinds of negotiation:
  - proposal-based negotiation
  - interest-based negotiation

Automated Web Link Exchange Experimental Results Interest-based Negotiation

#### The LIESON System



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Automated Web Link Exchange Experimental Results Interest-based Negotiation

#### Proposal-based negotiation

$$\begin{split} F_{1} &= \left\langle \left\langle \begin{array}{c} \stackrel{0}{\rightarrow} \operatorname{request}(A,B,X) \xrightarrow{0} \operatorname{accept}(B,A,X) \xrightarrow{0} \operatorname{confirm}(A,B,X) \xrightarrow{0} \operatorname{do}(B,X) \right\rangle, \\ &\left\langle \operatorname{can}(B,X) @3, \operatorname{effects}(X) @4 \right\rangle \right\rangle \\ &\left\langle \begin{array}{c} \stackrel{0}{\rightarrow} \langle \rangle \rangle \right\rangle \\ F_{2} &= \left\langle \left\langle \begin{array}{c} \stackrel{0}{\rightarrow} \operatorname{request}(A,B,X) \xrightarrow{0} \operatorname{propose}(B,A,Y) \xrightarrow{0} \operatorname{accept}(A,B,Y) \xrightarrow{0} \operatorname{do}(B,Y) \right\rangle, \\ &\left\langle \left\{ \operatorname{can}(B,Y) @3, \operatorname{effects}(Y) @4 \right\} \right\rangle \\ &\left\langle \begin{array}{c} \stackrel{0}{\rightarrow} \langle \rangle \rangle \right\rangle \\ \end{array} \right\rangle \\ F_{3} &= \left\langle \left\langle \begin{array}{c} \stackrel{0}{\rightarrow} \operatorname{request}(A,B,X) \xrightarrow{0} \operatorname{propose} -\operatorname{also}(B,A,Y) \xrightarrow{0} \operatorname{accept}(A,B,Y) \\ \xrightarrow{0} \operatorname{do}(B,X) \xrightarrow{0} \operatorname{do}(A,Y) \right\rangle, \\ &\left\langle \left\{ \operatorname{can}(B,X) @3, \operatorname{effects}(X) @4, \operatorname{can}(A,Y) @4, \operatorname{effects}(Y) @5 \right\} \right\rangle \\ &\left\langle \begin{array}{c} \stackrel{0}{\rightarrow} \langle \rangle \rangle \right\rangle \\ \end{array} \right\rangle \\ \end{split}$$

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#### Random agents



Michael Rovatsos Computation

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#### Non-communicating BDI agents



Michael Rovatsos

Automated Web Link Exchange Experimental Results Interest-based Negotiation

#### Communicating BDI agents



Michael Rovatsos C

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### No desirability test (single run)



Michael Rovatsos

Automated Web Link Exchange Experimental Results Interest-based Negotiation

### No desirability test (100 runs)



Michael Rovatsos Computational Interaction Frames

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#### Framing desirability test (single run)



Michael Rovatsos

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### Framing desirability test (100 runs)



Michael Rovatsos Computat

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#### Learning different responses



Accepted requests, counter-proposals, deliberate rejection

Michael Rovatsos Computational Interaction Frames

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### No desirability, no learning (100 runs)



Michael Rovatsos Computational Interaction Frames

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#### Desirability test, no learning (100 runs)



Michael Rovatsos Comp

Automated Web Link Exchange Experimental Results Interest-based Negotiation

## Interest-based Negotiation (IBN)

A special kind of argumentation-based negotiation

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- Our goal: not performance improvement, but coping with more complex communication "regime"
- Approach due to Rahwan et al.

Automated Web Link Exchange Experimental Results Interest-based Negotiation

### IBN – Dialogue model



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## IBN – Goal graphs



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## IBN – Goal graph (detail)



Automated Web Link Exchange Experimental Results Interest-based Negotiation

#### IBN frames – Example

$$\begin{split} F_{AGM} = & \left\langle \left\langle \stackrel{0}{\rightarrow} \texttt{request}(A, B, X) \stackrel{0}{\rightarrow} \texttt{ask-reason}(B, A, \texttt{request}(X)) \stackrel{0}{\rightarrow} \right. \\ & \texttt{inform-goal}(A, B, G) \stackrel{0}{\rightarrow} \\ & \texttt{attack-goal}(B, A, \textit{alternative-action}(Y)) \\ & \stackrel{0}{\rightarrow} \texttt{concede}(A, B, Y) \stackrel{0}{\rightarrow} \texttt{do}(B, Y) \right\rangle, \\ & \left\langle \{\textit{can}(B, X), \textit{goal}(A, G), \textit{achieves}(X, G), \textit{achieves}(Y, G), \right. \\ & \left. X \neq Y, \textit{can}(B, Y) \texttt{@5}, \textit{effects}(Y) \texttt{@6} \right\} \right\rangle, \left\langle \stackrel{0}{\rightarrow} \left\langle \right\rangle \right\rangle \right\rangle \end{split}$$

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 Performance of m<sup>2</sup>InFFrA agents comparable to that with proposal-based frames

Contributions Future Work

#### Introduction

The Conceptual Level: InFFrA

The Formal Level: m<sup>2</sup>InFFrA

Application & Results

Summary & Conclusions Contributions Future Work

Contributions Future Work

### Main Contributions

 Abstract social reasoning architecture based on interaction frames

Contributions Future Work

- Abstract social reasoning architecture based on interaction frames
- "Open" alternative to rigid protocols (empirical semantics as an alternative to pre-speccied ACL semantics)

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- "Open" alternative to rigid protocols (empirical semantics as an alternative to pre-speccied ACL semantics)
- Bridging the gap between protocol design and agent design
- Application of machine learning techniques to agent-level communication learning
- Integration of different components to a practical, implemented system
Contributions Future Work

### What this talk did not cover

 Frame merging (generalisation using cluster validation methods)

Contributions Future Work

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- Frame concatenation (in a planning sense), iterative interest-based negotiation frames

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- State abstraction in Q-learning (hot topic!)

Contributions Future Work

- Frame merging (generalisation using cluster validation methods)
- Frame concatenation (in a planning sense), iterative interest-based negotiation frames
- Entropy-based desirability criteria
- State abstraction in Q-learning (hot topic!)
- Further applications
  - Opponent classification in multiagent games
  - Deontic autonomy specifications
  - Combination with macro-level communication systems

Contributions Future Work

## Future Work

State and action abstractions for communication to encode the status of a conversation, e.g. in negotiation

Contributions Future Work

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- Meta-communication: negotiating frame conceptions themselves

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- Looking at other applications, in particular Semantic Web with focus on interaction

Contributions Future Work

# Future Work

- State and action abstractions for communication to encode the status of a conversation, e.g. in negotiation
- Meta-communication: negotiating frame conceptions themselves
- Looking at other applications, in particular Semantic Web with focus on interaction
- Leightweight implementation (volunteers?)

Contributions Future Work

# Thank you for your attention! Questions?

Contributions Future Work

## Digression: Markov Decision Processes

 ▶ Definition (discrete, stochastic MDP):
 S set of states
 A<sub>s</sub> sets of (admissible) actions for s ∈ S
 p<sup>a</sup><sub>ss'</sub> = P(s<sub>t+1</sub>|s<sub>t</sub> = s, a<sub>t</sub> = a) state transition model
 r<sup>a</sup><sub>s</sub> = E(r<sub>t+1</sub>|s<sub>t</sub> = s, a<sub>t</sub> = a) (expected) reward if a is executed in s

Contributions Future Work

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- Markov-property: p<sup>a</sup><sub>ss'</sub> and r<sup>a</sup><sub>s</sub> solely depend on the current state s

Contributions Future Work

# Digression: Markov Decision Processes

▶ Definition (discrete, stochastic MDP):  $S \quad \text{set of states}$   $A_s \quad \text{sets of (admissible) actions for } s \in S$   $p_{ss'}^a = P(s_{t+1}|s_t = s, a_t = a)$   $state \ transition \ model$   $r_s^a = E(r_{t+1}|s_t = s, a_t = a)$ 

(expected) reward if a is executed in s

- Markov-property: p<sup>a</sup><sub>ss'</sub> and r<sup>a</sup><sub>s</sub> solely depend on the current state s
- Agent behaviour modelled using a (discrete, stochastic) policy π : S × ∪<sub>s</sub> A<sub>s</sub> → [0, 1]

Contributions Future Work

### Digression: Q-Lerning with options

Q-learning solves the RL problem by learning the value Q\*(s, a) of executing a in s, thereafter following π\*

Contributions Future Work

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Contributions Future Work

## Digression: Q-Lerning with options

- Q-learning solves the RL problem by learning the value Q\*(s, a) of executing a in s, thereafter following π\*
- Done by updating an approximation of Q\* from sampled state trasitions and rewards
- Upate equation for SMDP Q-learning

$$Q(s, o) \leftarrow (1 - \alpha)Q_k(s, o) + \alpha \left[ r + \gamma^{\tau} \max_{o' \in \mathcal{O}_{s'}} Q_k(s', o') \right]$$

Contributions Future Work

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An optimal policy is then given by

$$\pi^*(s,a) = \arg\max_{a'} Q^*(s,a')$$