

# Reasoning About Languages with Binding

Can we do it yet?

Randy Pollack

LFCS, University of Edinburgh

Version of February 9, 2006

## Outline

Needed: A challenge problem set

### Approaches to Formalizing Binding

- First Order Representations

- Higher Order Abstract Syntax (HOAS)

- Weak HOAS

- Logics with “freshness”

### Some Naturally Occurring Formalisation Problems

- Eigenvariables: Freshness of globally bound variables

- Simultaneous Substitution for Structural Recursion

- Infinite Structures

## Summary

# Outline

## Needed: A challenge problem set

### Approaches to Formalizing Binding

First Order Representations

Higher Order Abstract Syntax (HOAS)

Weak HOAS

Logics with “freshness”

### Some Naturally Occurring Formalisation Problems

Eigenvariables: Freshness of globally bound variables

Simultaneous Substitution for Structural Recursion

Infinite Structures

### Summary

## Many technical approaches proposed to reason formally about languages with binding

- ▶ Concrete approaches
  - ▶ Curry/Feys, de Bruijn, Stoughton, McKinna/Pollack, Gordon/Melham, Hendriks/van Oostrum, ...
- ▶ Higher Order Abstract Syntax (HOAS):  $(exp \rightarrow exp) \rightarrow exp$ 
  - ▶ LF (Harper, Honsell, Plotkin)
  - ▶ Hybrid (Ambler, Crole, Momigliano)
  - ▶ Twelf (Pfenning, Shürmann)
  - ▶ Miller/McDowell/Tiu
- ▶ Weak HOAS:  $(atom \rightarrow exp) \rightarrow exp$ 
  - ▶ Context Calculus (Honsell, Miculan)
  - ▶ Desperoux/Felty/Hirschowitz
- ▶ “Freshness” approaches
  - ▶ FM, nominal (Pitts, Gabbay, Urban, Cheney)
  - ▶ Schöpp/Stark

## Is it feasible to reason formally about languages with binding?

Can any of these approaches express all the definitions and arguments we want to use?

- ▶ Concrete approaches surely can, but at expense of long-winded reasoning.
- ▶ Can more convenient approaches do the job?
- ▶ We also want statements and proofs to be *natural*.

How can we know if an approach is adequate before investing significant effort in using it?

## Needed: A challenge problem set for reasoning about binding

- ▶ In order to test technical approaches to reasoning about binding, a challenge problem set has been developed: POPLmark.
- ▶ Is it challenging enough?

Please suggest problems that give difficulty in one of the established techniques.

## POPLmark Solutions; Jan 2006

**CMU solution (Ashley-Rollman, Crary, and Harper)** HOAS in Twelf.

All parts. First solution finished.

**Stefan Berghofer** Pure de Bruijn in Isabelle. All parts.

**Xavier Leroy** Locally nameless (McKinna/Pollack style) in Coq. Part 1a only. Other parts clearly possible, but very messy.

**Jérôme Vouillon** Pure de Bruijn in Coq. Parts 1 and 2.

**Jevgenijs Sallinens** Pure de Bruijn in Coq (following Vouillon's solution.) Parts 1a, 2a.

**Aaron Stump** Names for bound variables, be Bruijn *levels* for parameters. (The only solution where alpha equivalence wasn't the same as syntactic identity.)

**Hongwei Xi** In ATS. Only part 2a. Is part 1 possible?

**Christian Urban et al.** Nominal logic in Isabelle/HOL. Only part 1a. This is a brand new implementation. The only solution that comes with an implemented tool.

## Outline

Needed: A challenge problem set

### Approaches to Formalizing Binding

First Order Representations

Higher Order Abstract Syntax (HOAS)

Weak HOAS

Logics with “freshness”

Some Naturally Occurring Formalisation Problems

Eigenvariables: Freshness of globally bound variables

Simultaneous Substitution for Structural Recursion

Infinite Structures

Summary



## First order representations

Clumsy, but can handle any informal structure and argument.

- ▶ Naive first order representation (Curry and Feys)
  - ▶ quotient by  $\alpha$ -equivalence : feasible in an extensional logic?
  - ▶ substitution not structural
- ▶ Stoughton: named variables, simultaneous substitution.
  - ▶ simultaneous substitution is structural
- ▶ De Bruijn representation: nameless variables.
  - ▶ hard to work with, but there are developed libraries of lemmas
- ▶ McKinna/Pollack: distinct classes of free and bound names.
  - ▶ long-winded, but concrete and structural
- ▶ Locally nameless, Gordon/Melham, Buchholz
  - ▶ The best concrete approach?
- ▶ Adbmal (Hendriks/van Oostrum)
  - ▶ Good for reasoning about efficient implementation?

## Unitary Substitution Not Structural

- ▶  $[-/-]b$  is defined by recursion on *length* of  $b$ ,
  - ▶ not on *structure* of  $b$ , since  $[z/y]b$  is not a subterm of  $\lambda y.b$ .

$$[c/x]y \quad := \quad \text{if } x = y \text{ then } c \text{ else } y$$

$$[c/x](b_1 b_2) := ([c/x]b_1) ([c/x]b_2)$$

$$[c/x](\lambda y.b) := \lambda z.[c/x][z/y]b \quad z \text{ sufficiently fresh}$$

## Simultaneous Substitution is Structural

$$x\rho \quad := \quad \rho x$$

$$(a b)\rho \quad := \quad (a\rho) (b\rho)$$

$$(\lambda x.b)\rho := \lambda z.(b(\rho, x=z)) \quad z \text{ sufficiently fresh}$$

- ▶ The choice of fresh  $z$  can be canonical;
  - ▶ then applying any substitution alpha-normalizes (Stoughton).

## A *Locally Nameless* Representation of Expressions

- ▶ Two classes of variables:
  - ▶ Parameters (“free” variables): an infinite type of names with decidable equality.
  - ▶ de Bruijn indexes (for bound variables) are natural numbers.

```

Inductive exp : Set :=
| vp : par -> exp          (* free variables *)
| vv : nat -> exp         (* locally bound *)
| vlam : exp -> exp
| vapp : exp -> exp -> exp.
  
```

- ▶ The intention is that only de Bruijn - closed expressions are syntactically correct.
- ▶ Operations of *replacement* are structural. (No de Bruijn lifting.)
  - ▶  $psub : par \rightarrow exp \rightarrow exp$  ; replace a parameter by a term.
  - ▶  $vsub : exp \rightarrow exp$  ; replace index 0 by a term.

## Syntactically correct locally nameless terms

An inductively defined predicate: no free indexes.

$$\frac{}{vclosed\ p} \quad \frac{vclosed\ u \quad vclosed\ v}{vclosed\ (app\ u\ v)} \quad \frac{vclosed\ (vsub\ p\ u)}{vclosed\ (lam\ u)}$$

- ▶ Instead of inducting over term structure, we induct over a derivation that the term is *vclosed*.
- ▶ Thus no case for free indexes arises when reasoning by induction over *vclosed* terms.

## Why This Representation?

- ▶ Terms are equal up to  $\alpha$ -equivalence .
  - ▶ In extensional logics (e.g. HOL) the correctness predicate *vclosed* can be made into a type.
- ▶ Substitution is simple and structural.
  - ▶ Since correct terms are de Bruijn closed, substitution does *not* require lifting of free indexes.
  - ▶ Since parameters are not bound, substitution can never capture parameters.
- ▶ Concrete treatment of free variables.
  - ▶ Natural statement of definitions and lemmas.
  - ▶ Supports explicit contexts, simultaneous substitution, environments . . . .
- ▶ **But there is no uniform treatment of eigenvariables.**
- ▶ **Seems to need generalised inductive definition.**

## Gordon/Melham/Buchholz representation

- ▶ Built on top of locally nameless representation.
- ▶ A derived operation of named abstraction.
  - ▶  $\lambda x.t$  defined to be “de Bruijn abstraction of  $t$  after replacing every occurrence of  $x$  with index 0”.
- ▶ Then define an extensional subtype of proper terms ( *vclosed* ).
  - ▶ Only possible in extensional logic.
- ▶ Implementation: Gordon/Melham axioms for alpha-conversion.
  - ▶ Extensive examples by Michael Norrish in HOL.
- ▶ Also see recent note by Wilfried Buchholz on this approach.
- ▶ **Still no uniform treatment of eigenvariables.**

## Higher Order Abstract Syntax (HOAS)

- ▶ Meta-type of binder:  $(exp \rightarrow exp) \rightarrow exp$ .
- ▶ Expressions are not inductively defined: positivity requirements.
- ▶ Can any HOAS approach handle simultaneous substitution?

Some examples:

- ▶ Pure logical framework (Edinburgh LF).
  - ▶ No induction/recursion over object structure.
- ▶ Logical frameworks with modalities, schema checking, . . . .
  - ▶ Twelf (Pfenning/Schürmann)
  - ▶ Some induction/recursion over object structure.
- ▶ Less pure LF, such as Isabelle.
  - ▶ No induction/recursion over object structure.
- ▶ *Hybrid* (Ambler/Crole/Momigliano)
- ▶ Stratified (several level) LF, e.g. Miller/McDowell, Felty.

## Weak HOAS

- ▶ Datatype of expressions  $e ::= var \mid e @ e \mid lam(var \rightarrow e)$ .
- ▶ This type is not faithful because of “exotic” terms:

$$lam(\lambda v. \text{if } v = v_0 \text{ then } 2 \text{ else } 3)$$

- ▶ Exotic terms must be removed by some logical means.
- ▶ Substitution is a user-defined relation.

Some examples:

- ▶ Honsell/Miculan context calculus.
  - ▶ axiomatic
- ▶ Desperoux/Felty/Hirschowitz.
  - ▶ validity predicate

These approaches get complicated in practice.

Also, complicated justification.



## Logics with “freshness”

- ▶ Pitts/Gabbay/Urban/Cheney nominal based approaches.
  - ▶ care must be taken with AC
  - ▶ new working implementation (Urban)
- ▶ Fiore/Plotkin/Turi abstract syntax.
  - ▶ not implemented
- ▶ Work by Ian Stark and Ulrich Shöpp (CSL '04, Shöpp thesis).
  - ▶ Gabbay/Pitts idea, with dependent types
  - ▶ not yet developed, but very interesting!
- ▶  $FO\lambda^{\Delta\nabla}$  of Miller/Tiu (TOCL).
  - ▶ not seriously implemented

## Outline

Needed: A challenge problem set

Approaches to Formalizing Binding

First Order Representations

Higher Order Abstract Syntax (HOAS)

Weak HOAS

Logics with “freshness”

**Some Naturally Occurring Formalisation Problems**

Eigenvariables: Freshness of globally bound variables

Simultaneous Substitution for Structural Recursion

Infinite Structures

Summary

## **Eigenvariables: Freshness of globally bound variables**

## Simply typed lambda terms

- ▶ Let  $A, B, \dots$  be **simple types** (implicational propositions).
- ▶  $x, y, z, \dots$  are variables.
- ▶ Define terms ( $a, b, c, \dots$ ):

$$a ::= x \mid \lambda x. b \mid (ab).$$

- ▶ *Valid contexts* ( $\Gamma, \Delta$ ) are lists of uniquely labelled assumptions:
  - ▶  $x_1:A_1, \dots, x_n:A_n$  where the  $x_i$  are pairwise disjoint.
- ▶ Define **subcontext**:

$$\Gamma \sqsubseteq \Delta \quad \text{iff} \quad \forall x, A. x:A \in \Gamma \implies x:A \in \Delta$$

$\Delta$  contains every assumption occurring in  $\Gamma$ .

## Assignment of simple types

As usual ...

*ass*  $\Gamma \vdash x : A$

$\Gamma$  valid,  $x:A \in \Gamma$

*elim* 
$$\frac{\Gamma \vdash c : A \rightarrow B \quad \Gamma \vdash a : A}{\Gamma \vdash ca : B}$$

*intro* 
$$\frac{\Gamma, x:A \vdash [x/y]b : B}{\Gamma \vdash \lambda y.b : A \rightarrow B}$$

$x \notin b$

## Problem: Prove weakening for this judgement

### Lemma (Weakening)

$$\Gamma \vdash a : A \wedge \Gamma \sqsubseteq \Delta \wedge \Delta \text{ valid} \implies \Delta \vdash a : A .$$

### Remark (de Bruijn notation precludes natural statements)

- ▶ *If we use de Bruijn indexes for free (global) variables, neither the definition of  $\sqsubseteq$  nor the statement of the lemma take the natural forms given above.*
- ▶ *Permuting the context requires lifting free indexes.*
- ▶ *Even more messy with dependent types.*

## Prove weakening

$$\Gamma \vdash a : A \wedge \Gamma \sqsubseteq \Delta \wedge \Delta \text{ valid} \implies \Delta \vdash a : A.$$

**Proof:** Attempt proof by induction on the derivation of  $\Gamma \vdash a : A$

- ▶ Consider case for rule *intro*: 
$$\frac{\Gamma, x:A \vdash [x/y]b : B \quad x \notin b}{\Gamma \vdash \lambda y.b : A \rightarrow B}$$

- ▶ By rule *intro* it suffices to show

$$\Delta, x:A \vdash [x/y]b : B \quad \text{for any } x \notin b.$$

- ▶ We have IH:

$$\forall \Phi. (\Gamma, x_0:A \sqsubseteq \Phi \wedge \Phi \text{ valid}) \implies \Phi \vdash [x_0/y]b : B$$

for some particular  $x_0 \notin b$ .

- ▶ It seems we want to instantiate  $\Phi$  in IH with  $\Delta, x_0:A \dots$
- ▶ ... but  $\Delta, x_0:A$  may not be valid, as  $x_0$  may occur in  $\Delta$ .

## Proof of weakening (contd)

This proof by Pitts; adopted by Norrish in a concrete setting.

- ▶ Let  $(x\ y)\cdot b$  mean *permute all occurrences of  $x$  and  $y$  in  $b$* .
- ▶ As a lemma (**equivariance**), show

$$\Gamma \vdash a : A \implies \forall x\ y. (x\ y)\cdot\Gamma \vdash (x\ y)\cdot a : A. \quad (1)$$

- ▶ Now, pick  $z \notin x, \Delta, b$ . It suffices to show

$$\Delta, z:A \vdash [z/y]b : B$$

which, by (1) is equivalent to

$$(z\ x_0)\cdot(\Delta, z:A) \vdash (z\ x_0)\cdot([z/y]b) : B$$

which follows from IH.



## We have a nice proof; what's the problem?

- ▶ We have to prove the equivariance property for every new judgement.
  - ▶ For some judgements, it isn't as easy as this example.
- ▶ This is a meta-fact; it doesn't really depend on the particular judgement.
- ▶ The meta-logic should handle equivariance uniformly ...
  - ▶ ... for example, Gabbay/Pitts/Urban, or Miller/Tiu.

But even if we use a meta-logic that proves equivariance uniformly ...

- ▶ ... we still use swapping explicitly (as in the *weakening* proof above) to handle each example where eigenvariable problems appear.

**Better: we can package this swapping reasoning once and for all.**

- ▶ This technique from McKinna/Pollack (1993).

## A more uniform solution to eigenvariable problems

The following judgements are equivalent:

*ass*  $\Gamma \vdash x : A$   $\Gamma$  valid,  $x:A \in \Gamma$

*elim* 
$$\frac{\Gamma \vdash c : A \rightarrow B \quad \Gamma \vdash a : A}{\Gamma \vdash ca : B}$$

*intro* 
$$\frac{\Gamma, x:A \vdash [x/y]b : B}{\Gamma \vdash \lambda y.b : A \rightarrow B}$$
  $x \notin b$

*ass*  $\Gamma \Vdash x : A$   $\Gamma$  valid,  $x:A \in \Gamma$

*elim* 
$$\frac{\Gamma \Vdash c : A \rightarrow B \quad \Gamma \Vdash a : A}{\Gamma \Vdash ca : B}$$

*intro* 
$$\frac{\forall x. x \notin \Gamma \implies \Gamma, x:A \Vdash [x/y]b : B}{\Gamma \Vdash \lambda y.b : A \rightarrow B}$$

## Proof?

**Lemma**  $\Gamma \Vdash a : A \implies \Gamma \vdash a : A$  .

- ▶ Proof direct by induction on the derivation of  $\Gamma \Vdash a : A$  .

**Lemma**  $\Gamma \vdash a : A \implies \Gamma \Vdash a : A$  .

- ▶ Attempt proof by induction on the derivation of  $\Gamma \vdash a : A$  .
  - ▶ Consider the case of rule *intro*.
  - ▶ Any derivation of  $\vdash$  will use a particular variable, say  $x_0$  .
  - ▶ The IH for this case is

$$\Gamma, x_0:A_0 \Vdash [x_0/y]b : B \quad (x_0 \notin b)$$

but to use the *intro* rule for  $\Vdash$  we need the premise

$$\forall x. x \notin \Gamma \implies \Gamma, x:A_0 \Vdash [x/y]b : B$$

- ▶ We cannot reason from a particular variable to all variables!

## Proof continued: $\Gamma \vdash a : A \implies \Gamma \Vdash a : A$

We solve the problem using swapping, as in the *weakening* proof.

- ▶ As a lemma, show **equivariance** of  $\Vdash$  :

$$\Gamma \Vdash a : A \implies \forall x y. (x y) \cdot \Gamma \Vdash (x y) \cdot a : A. \quad (2)$$

- ▶ Now, pick  $x \notin \Gamma$ . From IH and (2) have

$$(x x_0) \cdot (\Gamma, x_0 : A_0) \Vdash (x x_0) \cdot ([x_0/y]b) : B$$

i.e.  $\Gamma, x : A_0 \Vdash [x/y]b : B$  as required.

## Why are we interested in this equivalence?

- ▶ *Weakening* for  $\Vdash$  is easily proved by induction on derivations ...
  - ▶ ... hence, equivalently, weakening for  $\vdash$ .
- ▶ Similarly, many eigenvariable problems are handled by induction on derivations of  $\Vdash$ .
- ▶ **The equivalence of  $\vdash$  and  $\Vdash$  “packages” the eigenvariable reasoning that we need for all examples I know of.**
  - ▶ Introduction of  $\vdash$  is easy: only need property for one fresh variable.
  - ▶ Elimination of  $\Vdash$  is powerful: get the IH for all sufficiently fresh variables.

**But do we need two forms, with an ad hoc equivalence proof, for every judgement we define?**

## Definition using a *freshness* quantifier

**Do we need two forms, with an ad hoc equivalence proof, for every judgement we define?**

- ▶ In a nominal approach we hope to define one judgement using a freshness quantifier:

$$\frac{\text{fresh } x. \Gamma, x:A \vdash [x/y]b : B}{\Gamma \vdash \lambda y.b : A \rightarrow B}$$

- ▶ If this can be made to work, we get both the easy introduction rules of  $\vdash$ , and the strong IH of  $\Vdash$ , uniformly from the meta-logic.

## Simultaneous Substitution

To allow definition by structural recursion,  
rather than by well founded recursion.

# A PER semantics for typing judgements of a Logical Framework

From Coquand/Pollack/Takeyama,  
Fundamenta Informaticae 65(1), 2005

- ▶ Pure  $\lambda$ -terms as above  $a, b, M, N \dots$
- ▶ A syntax of dependent types:

$$A, B ::= EI M \mid \text{fun } x^A . B \mid \star$$

- ▶ Objects in  $\star$  are “names” of types.
- ▶ For  $M : \star$ ,  $EI M$  is the type named by  $M$ .

Informal example:  $\lambda a . \lambda x . x : \text{fun } a^\star . (\text{fun } x^{EI a} . EI a)$

even more informally:  $\lambda a . \lambda x . x : \text{fun } a^\star . (EI a \rightarrow EI a)$



# Outline: Semantics of Categorical Judgements (judgements from no assumptions)

- ▶ Simultaneously define:
  1. Type equality:  $A = B$  is a PER on syntactic types,
    - ▶ Write  $A \in \mathbf{Type}$  for  $A = A$ .
  2. Interpretation of a type: for  $A \in \mathbf{Type}$ ,  $\bar{A}$  is a PER on objects.
    - ▶ Write  $M = N : \bar{A}$ .
    - ▶ Write  $M : \bar{A}$  for  $M = M : \bar{A}$ .
- ▶ The definition is parameterised on base cases:
  - ▶ a given PER,  $\bar{*}$ , interpreting  $*$ ,
  - ▶ a given family,  $\mathcal{E}$  over  $\bar{*}$ , ( $\mathcal{E}(u)$  interprets  $El\ u$ ).
  - ▶ These must have a property *saturated* (see the paper).

The point for this talk: **use of simultaneous substitutions to make the definition of  $\bar{A}$  structural.**

# Informal definition of categorical semantics

Three cases in the definition:

1.  $\bar{\star} = \star$  where  $\bar{\star}$  is the given PER.

2. 
$$\frac{M = N : \bar{\star}}{EI M = EI N}$$
 where  $\overline{EI M}$  is  $\mathcal{E}(M)$ .

3. 
$$\frac{A_1 = A_2 \quad M_1 = M_2 : \overline{A_1} \implies B_1[M_1] = B_2[M_2]}{\text{fun } x_1^{A_1}.B_1 = \text{fun } x_2^{A_2}.B_2}$$

where  $F_1 = F_2 : \overline{\text{fun } x^A.B}$  iff

$$M_1 = M_2 : \overline{A} \implies F_1 M_1 = F_2 M_2 : \overline{B[M_1]}.$$

## How to formalize this definition?

### Problems:

- ▶ induction-recursion not available in current proof tools
- ▶ occurrence of  $B[N_1]$  is not a substructure of  $\text{fun } x^A.B$  in:

$$F_1 = F_2 : \overline{\text{fun } x^A.B} \text{ iff } N_1 = N_2 : \bar{A} \implies F_1 N_1 = F_2 N_2 : \overline{B[N_1]}.$$

### Solution: Unwind induction-recursion into

- ▶ first, a recursive definition of  $\bar{A}$  ...
- ▶ ... parameterized by a simultaneous substitution to make the definition structural;
  - ▶ We could use well-founded recursion to define  $\bar{A}$ ,
  - ▶ but that is *much* harder to reason about in intensional type theory.
- ▶ second, an inductive definition of  $A = B$ .

## First, the interpretation of a syntactic type

Binary relation  $\bar{A}$  defined by recursion on syntactic structure of  $A$ .

- ▶ To make this definition structural, parameterise it by a substitution.

$$\begin{aligned} \overline{\star, \sigma} &:= \overline{\star} \\ \overline{EIM, \sigma} &:= \mathcal{E}(M\sigma) \\ \overline{\text{fun } x^A.B, \sigma} &:= F_1, F_2 \mapsto \\ &N_1 = N_2 : \overline{A\sigma} \implies F_1 N_1 = F_2 N_2 : \overline{B, (\sigma, x=N_1)} \\ \overline{A} &:= \overline{A, \sigma_{\text{id}}} \end{aligned}$$

- ▶ Formalisation must support simultaneous substitution ...  
... can any variant of HOAS handle that?

$\bar{A}$  is not yet a PER; later show that if  $A \in \mathbf{Type}$  then  $\bar{A}$  is a PER.

## Second, the relation of being equal (correct) types

Defined by induction.

$$\frac{}{\star = \star} \qquad \frac{M = N : \star}{EI\ M = EI\ N}$$

$$\frac{A_1 = A_2 \quad M_1 = M_2 : \overline{A_1} \implies B_1[M_1] = B_2[M_2]}{\text{fun } x_1^{A_1}.B_1 = \text{fun } x_2^{A_2}.B_2}$$

### Finally, correctness

**Lemma** If  $A = B$  then

- ▶  $\overline{A} = \overline{B}$  (extensionally)
- ▶  $\overline{A}$  is a PER on objects
- ▶  $- = -$  is a PER on syntactic types

# Infinite Structures

## Outline: Hypothetical judgements (from assumptions)

- ▶ Introduce *environments*,  $\rho$ , *contexts*,  $C$ .
- ▶ Define a judgement  $\rho_1 = \rho_2 : C$ .
- ▶ Simultaneously define hypothetical judgements
  - ▶  $C$  valid ,
  - ▶  $A_1 = A_2 [C]$  ,
  - ▶  $M_1 = M_2 : A [C]$  .
- ▶ **Idea**: hypothetical judgement  $A_1 = A_2 [C]$  holds if every categorical instance  $A_1\rho = A_2\rho$  holds for  $\rho : C$ .
- ▶ For  $C$  valid ,  $\rho_1 = \rho_2 : C$  ,  $A_1 = A_2 [C]$  and  $M_1 = M_2 : A [C]$  are PERs.
- ▶ Hypothetical judgements satisfy the rules of Type Theory.

The point for this talk: **environments mention infinitely many variables.**

## Environments

- ▶ An environment,  $\rho$ , is a function  $var \rightarrow term$ .
- ▶  $\rho_0$  is the identity environment.
- ▶ Environments are applied as simultaneous substitutions:  $M\rho$ ,  $A\rho$ .
- ▶ Write  $(\rho, x=M)$  for the *update* of  $\rho$ , defined by

$$(\rho, x=M)(x) = M, \quad (\rho, x=M)(y) = \rho(y) \text{ if } y \neq x.$$

Environments are infinite, and mention every identifier ...  
... can freshness logics handle that?



# Contexts

As usual ...

$$C ::= \nabla \mid C, x:A \quad (\nabla \text{ is the empty context.})$$

- ▶ Write  $x \in C$  if  $x:A$  in  $C$  for some  $A$ .
- ▶ In writing  $C, x:A$  we assume  $x \notin C$ .

## Equal environments

Inductively define a judgement of form  $\rho_1 = \rho_2 : C$  :

$$\overline{\rho_1 = \rho_2 : \nabla}$$

$$\frac{\rho_1 = \rho_2 : C \quad A\rho_1 \in \mathbf{Type} \quad \rho_1 x = \rho_2 x : \overline{A\rho_1}}{\rho_1 = \rho_2 : C, x:A}$$

Write  $\rho : C$  for  $\rho = \rho : C$  .

- ▶ It may look like like finite environments would do ...
- ▶ ... but some delicate lemmas follow that I don't know how to prove with any finite presentation.

## Hypothetical judgements defined

Simultaneously define three judgement forms:

**validity**

$$\frac{}{\nabla \text{ valid}} \quad \frac{x \notin C \quad A = A[C]}{C, x:A \text{ valid}}$$

**type equality** (write  $A \text{ type } [C]$  for  $A = A[C]$ )

$$\frac{C \text{ valid} \quad \forall \rho_1, \rho_2 . \rho_1 = \rho_2 : C \implies A_1 \rho_1 = A_2 \rho_2}{A_1 = A_2 [C]}$$

**object equality in a type** (write  $M : A [C]$  for  $M = M : A [C]$ )

$$\frac{A \text{ type } [C] \quad \forall \rho_1, \rho_2 . \rho_1 = \rho_2 : C \implies M_1 \rho_1 = M_2 \rho_2 : \overline{A} \rho_1}{M_1 = M_2 : A [C]}$$

## Some delicate lemmas

### Lemma (Hypothetical judgements make sense)

*Let  $C$  be valid . The following relations are pers:*

$$\begin{aligned} \rho_1, \rho_2 &\mapsto \rho_1 = \rho_2 : C, \\ A_1, A_2 &\mapsto A_1 = A_2 [C], \\ M_1, M_2 &\mapsto M_1 = M_2 : A [C] \end{aligned}$$

### Lemma (Equality of environments only depends on context)

- ▶ *Let  $\rho_1 = \rho_2 : C$  and  $y \notin C$  . For any  $M$  ,  $\rho_1 = (\rho_2, y=M) : C$  .*
- ▶ *Let  $C$  be valid ,  $\rho_1 = \rho_2 : C$  and  $y \notin C$  . For any  $M$  and  $N$  ,  $(\rho_1, y=M) = (\rho_2, y=N) : C$  .*

The proofs use the infinite representation of environments.

## Infinite structures

- ▶ **Böhm trees** is another example of infinite structures that are used in practice (suggested by Barendregt).

## Outline

Needed: A challenge problem set

Approaches to Formalizing Binding

- First Order Representations

- Higher Order Abstract Syntax (HOAS)

- Weak HOAS

- Logics with “freshness”

Some Naturally Occurring Formalisation Problems

- Eigenvariables: Freshness of globally bound variables

- Simultaneous Substitution for Structural Recursion

- Infinite Structures

## Summary

## Summary

- ▶ **Formal reasoning about binding is still difficult in practice.**
- ▶ Many technical approaches are proposed in the literature ...
  - ▶ (far fewer have usable implementations)
- ▶ ... but does any proposed approach support all the (correct) definitional and reasoning styles used informally?
- ▶ **Please tell me about other problematic examples you have encountered.**
- ▶ I look forward to continued technical progress in this area
  - ▶ especially with nominal approaches.