

A Formalism for Graph Databases and its Model of Computation

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Abstract. Graph databases are directed graphs in which the edges are labeled with symbols from a finite alphabet. In this paper we introduce a logic for such graphs in which the domain is the set of edges. We compare its expressiveness with the standard logic in which the domain the set of vertices. Furthermore, we introduce a robust model of computation for such logic, the so called graph pebble automata.

1 Introduction

The study of graph structured data has received much attention lately, due to numerous applications in areas such as biological networks [12, 15], social networks [17], and the semantic Web [9]. The common database model proposed by such applications is most commonly denoted as *graph databases*, in which nodes are objects, and edge labels define relationships between those objects [2].

For querying graph structured data, one normally wishes to specify certain types of paths between nodes. Most common examples of these queries are conjunctive regular path queries [1, 14, 6, 3]. Those querying formalisms have been thoroughly studied, and their algorithmic properties are more or less understood. On the other hand, there has been much less work devoted on other formalisms other than graph reachability patterns, say, for example, the integrity constraints such as labels with unique names, typing constraints on nodes, functional dependencies, domain and range of properties. See, for instance, the survey [2] for more examples of integrity constraints.

Our intention is to study formalisms for such graph databases which is capable of expressing these integrity constraints, while at the same time still feature manageable model checking properties. Obviously such formalisms depend on how the underlying directed graph of the databases are represented in the first place.

The standard representation of directed graphs is simply a set of nodes, together with a binary relation on these nodes to represent the edge among them. The labeling of the edges is represented as a function from the edges to the finite alphabet of symbols. We call such representation the *vertex* representation.

Another less common way to represent directed graphs is to take the edges as the domain, together with some well defined binary relations on these edges to indicate how two edges intersect. The labeling of the edges is represented as a set of unary predicates on the domain. We call such representation the *edge* representation.

In the first part of our paper we propose a vocabulary for the edge representation, which we call \mathbb{E} -vocabulary. We call \mathbb{V} -vocabulary the vocabulary for the vertex representation. We study the expressive power of \mathbb{E} and compare it to \mathbb{V} -vocabulary. In this respect our contributions are the following.

- The logic that we propose for edge representation is robust, in the sense that for each graph database in the vertex representation, there exists a unique (up to isomorphism) graph database in the edge representation that have the same underlying directed graph. Vice versa, for each graph database in the edge representation, there exists a unique (up to isomorphism) graph database in the vertex representation that have the same underlying directed graph.
- Next, we turn our attention to expressivity of \mathbb{E} -vocabulary. For first-order logic (FO) we show that it is equivalent to \mathbb{V} -vocabulary. On the other hand, for the existential monadic second-order logic (\exists MSO), as well as monadic second-order logic (MSO), the \mathbb{E} -vocabulary is more expressive than the \mathbb{V} -vocabulary. That is, there are \exists MSO and MSO sentences in \mathbb{E} -vocabulary that cannot be expressed in sentences in \mathbb{V} -vocabulary in \exists MSO and MSO logics, respectively.

In the second part of our paper we introduce a notion of automata for graph databases. We follow the direction in [19] by defining pebble automata for directed graphs.

Pebble automata was initially introduced for words over finite alphabet in [8]. Later it was extended words over infinite alphabets in [16]. Roughly speaking, a k pebble automaton, in short k -PA, is a finite state automaton equipped with k pebbles. The pebbles are placed on/lifted from the input word in the stack discipline – first in last out – and are intended to mark positions in the input word. One pebble can only mark one position and the most recently placed pebble serves as the head of the automaton. The automaton moves from one state to another depending on the equality tests among data values in the positions currently marked by the pebbles, as well as, the equality tests among the positions of the pebbles.

Later in [19] the connection between graphs and pebble automata was initially introduced. The main idea in [19] is that a word of even length over an *infinite* alphabet can be viewed as a directed graph, hence pebble automata for words over infinite alphabets can be viewed as a model of computation for directed graphs.

In this paper we extend this connection to the case of graph databases, i.e., directed graphs in which edges are labeled with symbols from a finite alphabet Σ . Some of the results in this paper are the following.

1. We define the notion of k pebble graph automata, or in short k -PA, for graph databases.
2. Every first-order sentences of quantifier rank k over graph databases can be simulated by k -PA.
3. We demonstrate the robustness of pebble automata by showing the equivalence between *two-way alternating* k -PA and *one-way deterministic* k -PA. This result settles a question raised in [16]. It was first spelled in [19] for words over infinite alphabet, but no formal proof has been given until now.
This robustness immediately implies that the class of families of directed graphs captured by k -PA is closed under boolean operations.

We also note that almost all results in [19] can be carried over to the case of graph databases, including the fact that reachability from the source node s to the target node t can be checked by k -PA if and only if the distance from s to t is less than or equal to 2^k . This fact, together with item (1) above, yields the fact that reachability can be

expressed by first-order sentence of quantifier rank k if and only if the distance between source and target nodes is less than or equal to 2^k . As the proof is non standard, in the sense that we do not use the standard Ehrenfeucht-Fraïssé approach which is commonly used in most finite definability results, it is worth to mention that pebble automata can be a potentially useful tool to prove definability results in first-order logic over graph databases.

Related work. Closely related to our work is Courcelles work [5], which appears to be the first ones that suggest including the graph edges as part of the domain. The results and definitions here do not follow from [5]. The first reason is that the logic introduced by Courcelle is essentially two sorted logic. That is, the domain consists of two kinds of elements: the vertices and the edges. Whereas, the logic that we define here has only the edges as the domain. Thus, the logic is defined with different vocabulary than ours. The second reason is that it has not been shown that every structure defined in the vocabulary in [5] is indeed a directed graph. It is not clear at all in the first place why it is true. We prove in this paper that indeed such is the case.

Later on in the paper [10] monadic second-order logic was introduced for abstract matroids, which are extensions of graphs. It was shown in [10] that many results in [5] also hold in this setting. However, the emphasis in [10] is decidability issue for satisfaction problem. So naturally it only considers the family of matroids with bounded *branch width*, the analog of *tree width* for graphs. While in our paper we are more interested in a model of computation for graph databases that feature manageable model checking properties.

Another work related to ours is the work in [4]. In that paper two models of computation for directed graphs are introduced, the so called V -automata and E -automata. In brief, given an input directed graph G , a V -automaton marks the vertices of G with symbols from finite alphabet. The decision to accept G or not depends on this labeling. E -automata operate in the same manner, except that they mark the edges, instead of vertices. It is shown in [4] that V -automata are weaker than E -automata.

These models, the V - and E -automata, are incomparable to our graph pebble automata. On one side, E -automata are capable of simulating μ -calculus on directed graphs, but they are not closed under negation. On the other side, our graph pebble automata are capable of simulating the whole first-order logic on directed graphs, closed under all boolean operations.

Organization. This paper is organized as follows. In Section 2 we define the vocabularies \mathbb{V} and \mathbb{E} . Then in Section 3 we define the notion of *structural equivalent*, the notion to compare two structures from \mathbb{V} and \mathbb{E} logics. In Section 4 we compare the expressive power between \mathbb{V} and \mathbb{E} logics. We introduce graph pebble automata in Section 5. We then extend all previous definitions to the labeled edges graphs in Section 6. Finally we conclude with a future direction for our work in Section 7.

2 Representation for graph databases

Graph databases are usually defined as finite edge-labeled directed graphs [2]. In this paper, in order to keep the presentations simple, we shall work only with unlabeled

directed graphs. We will explain how to extend these results for the case of labeled graphs in Section 6.

In what follows, we state two representations for graph databases. The first is the standard one, where a directed graph is just a set of vertices equipped with a binary relation on the vertices. We will denote its vocabulary by \mathbb{V} .

The second one is our proposed representation for directed graphs where the edges are the domain. We will denote its vocabulary by \mathbb{E} .

The vocabulary \mathbb{V} . The vocabulary \mathbb{V} simply consists of one binary predicate E . We denote by $\text{STRUCT}[\mathbb{V}]$ the set of structures of \mathbb{V} , which are simply directed graphs. A \mathbb{V} -structure is a structure in $\text{STRUCT}[\mathbb{V}]$.

We will usually write $G = (V(G), E(G))$ for structures in $\text{STRUCT}[\mathbb{V}]$, where $V(G) = \text{Dom}(G)$ is the domain and $E(G)$ is the binary relation on the elements in $V(G)$.

The atomic formula in the logic \mathbb{V} is either $x = y$ or $E(x, y)$. The meaning of $E(x, y)$ is simply $(x, y) \in E$. The first-order logic $\text{FO}[\mathbb{V}]$ is obtained by closing the atomic formulas under the Boolean connectives and first-order quantification over V . The logic $\text{MSO}[\mathbb{V}]$, which stands for monadic second-order, is obtained by adding quantification over unary predicates on the domain. If the unary predicates quantifications are all existential, then we denote it by $\exists\text{MSO}[\mathbb{V}]$. A \mathbb{V} -sentence is a sentence using the vocabulary \mathbb{V} . A sentence φ defines a set of directed graphs via $\mathcal{G}(\varphi) := \{G \mid G \models \varphi\}$.

For the sake of presentation, we only consider graphs $G \in \text{STRUCT}[\mathbb{V}]$ in which there is no isolated vertices and there is no self loop.

The vocabulary \mathbb{E} . Intuitively, rather than viewing a directed graph $G = (V, E)$ as a set V of vertices and E a binary relation on V , we take E as the domain and define some relations among the elements in E .

Let u and v be two vertices and e be an edge from u to v . What we mean by the *head* of e is the vertex v , while the *tail* of e is the vertex u . Now the vocabulary \mathbb{E} consists of the binary relations **HeadHead**, **HeadTail** and **TailTail** on the directed edges, where the intentions of each predicate are as follows.

- **TailTail**(e_1, e_2) means that the tails of e_1 and e_2 are the same.
- **HeadHead**(e_1, e_2) means that the heads of e_1 and e_2 are the same.
- **HeadTail**(e_1, e_2) means that the head of e_1 is the tail of e_2 .

As above, $\text{STRUCT}[\mathbb{E}]$ denotes the set of all structures of \mathbb{E} and an \mathbb{E} -structure is a structure in $\text{STRUCT}[\mathbb{E}]$. We assume that the structures in $\text{STRUCT}[\mathbb{E}]$ satisfy the following axioms.

- E1.* Both **HeadHead** and **TailTail** are equivalence relations.
- E2.* If **HeadHead**(e_1, e_2) and **HeadTail**(e_1, e_3), then **HeadTail**(e_2, e_3).
- E3.* If **TailTail**(e_1, e_2) and **HeadTail**(e_3, e_1), then **HeadTail**(e_3, e_2).
- E4.* If **HeadTail**(e_1, e_3) and **HeadTail**(e_2, e_3), then **HeadHead**(e_1, e_2).
- E5.* If **HeadTail**(e_3, e_1) and **HeadTail**(e_3, e_2), then **TailTail**(e_1, e_2).
- E6.* If **HeadHead**(e_1, e_2) and **TailTail**(e_1, e_2), then $e_1 = e_2$.

E7. For all e , $\neg\text{HeadTail}(e, e)$.

The purpose of axioms *E1–E5* are for consistency, that is, the structures in $\text{STRUCT}[\mathbb{E}]$ are really graphs in the ordinary sense of graphs as structures in $\text{STRUCT}[\mathbb{V}]$. (See Proposition 2 below.) Axiom *E6* does not allow multiple edges, whereas Axiom *E7* does not allow self-loop. Axioms *E6* and *E7* are not essential, but they will be useful for our convenience in the presentation.

As usual, $\text{FO}[\mathbb{E}]$, $\text{MSO}[\mathbb{E}]$ and $\exists\text{MSO}[\mathbb{E}]$ denote the classes of first-order, monadic second-order and existential monadic second-order sentences in the logic \mathbb{E} . An \mathbb{E} -sentence is a sentence using the vocabulary \mathbb{E} .

We will usually write \mathcal{E} to denote the elements in $\text{STRUCT}[\mathbb{E}]$ and $\text{Dom}(\mathcal{E})$ to denote the domain of \mathcal{E} . A sentence φ in \mathbb{E} -logic defines a set of \mathbb{E} -structures via

$$\mathcal{G}(\varphi) := \{\mathcal{E} \mid \mathcal{E} \models \varphi\}.$$

3 The equivalence between edge and vertex representations

In this section we will show that both the edge and the vertex representations essentially denote the same class of objects.

Definition 1. Let $G \in \text{STRUCT}[\mathbb{V}]$ and $\mathcal{G} \in \text{STRUCT}[\mathbb{E}]$. We say that G and \mathcal{E} are structurally equivalent if there exists a 1-1 mapping $\xi : E(G) \rightarrow \text{Dom}(\mathcal{E})$ such that for all $(v_1, v_2), (v_2, v_3), (v_1, v_3) \in E(G)$ and $e_1, e_2 \in \text{Dom}(\mathcal{E})$,

1. $\xi(v_1, v_2) = e_1$ and $\xi(v_2, v_3) = e_2$ if and only if $\text{HeadTail}(e_1, e_2)$;
2. $\xi(v_1, v_2) = e_1$ and $\xi(v_1, v_3) = e_2$ if and only if $\text{TailTail}(e_1, e_2)$; and
3. $\xi(v_1, v_3) = e_1$ and $\xi(v_2, v_3) = e_2$ if and only if $\text{HeadHead}(e_1, e_2)$.

The 1-1 mapping ξ is called a (\mathbb{V}, \mathbb{E}) -isomorphism.

In other words, if G and \mathcal{E} are structurally equivalent, then they essentially denote the same underlying directed graph. The following proposition states that this notion is robust.

Proposition 1.

- (a) Let G be a \mathbb{V} -structure and $\mathcal{E}_1, \mathcal{E}_2$ be \mathbb{E} -structures. If both \mathcal{E}_1 and \mathcal{E}_2 are structurally equivalent to G , then \mathcal{E}_1 and \mathcal{E}_2 are isomorphic.
- (b) Let G_1, G_2 be \mathbb{V} -structures and \mathcal{E} be a \mathbb{E} -structure. If both G_1 and G_2 are equivalent to \mathcal{E} , then G_1 and G_2 are isomorphic.

Moreover, the following proposition shows that both edge and vertex representations are equivalent, in the sense that each graph stored using the standard vertex representation can be coded as a graph under the edge representation, and vice versa.

Proposition 2. 1. For every \mathbb{V} -structure G , there exists a unique (up to isomorphism) \mathbb{E} -structure \mathcal{E} which is structurally equivalent to G .

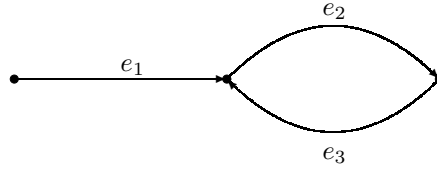
2. For every \mathbb{E} -structure \mathcal{E} , there exists a unique (up to isomorphism) \mathbb{V} -structure G structurally equivalent to \mathcal{E} .

We do not state the full proof, but rather give an example of how the edge to vertex translation works.

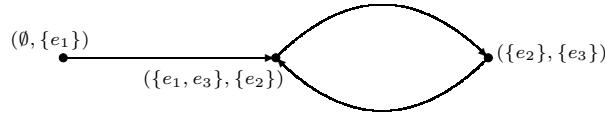
Example 1. Let \mathcal{E} be an \mathbb{E} -structure, where

- $\text{Dom}(\mathcal{E}) = \{e_1, e_2, e_3\}$;
- $\text{HeadHead} = \{(e_1, e_1), (e_2, e_2), (e_3, e_3), (e_1, e_3), (e_3, e_1)\}$;
- $\text{TailTail} = \{(e_1, e_1), (e_2, e_2), (e_3, e_3)\}$;
- $\text{HeadTail} = \{(e_1, e_2), (e_2, e_3), (e_3, e_2)\}$.

The following picture well illustrates the structure of \mathcal{E} :



We can get a \mathbb{V} -structure $G = (V(G), E(G))$ equivalent to \mathcal{E} as follows. Let \mathcal{H} be the equivalent classes of HeadHead and \mathcal{T} the equivalent classes of TailTail , i.e. $\mathcal{H} = \{\{e_1, e_3\}, \{e_2\}\}$ and $\mathcal{T} = \{\{e_1\}, \{e_2\}, \{e_3\}\}$. Then we define $G = (V(G), E(G))$ as follows. The set of vertices is $V(G) = \mathcal{H} \times \mathcal{T}$, and $((H_1, T_1), (H_2, T_2)) \in E(G)$ if and only if $T_1 \cap H_2 \neq \emptyset$. It is depicted as follows.



4 Vertex and edge representations and their logics

In this section we will study the relation between the expressive power of logics using vertex or edge vocabularies. We need the following definition. For a set $\mathcal{A} \subseteq \text{STRUCT}[\mathbb{V}]$, we define $\text{Equiv}^{\mathbb{E}}(\mathcal{A})$ as the set of \mathbb{E} -structures which are equivalent to the structures in \mathcal{A} . Formally,

$$\text{Equiv}^{\mathbb{E}}(\mathcal{A}) = \{\mathcal{E} \in \text{STRUCT}[\mathbb{E}] \mid \mathcal{E} \text{ is structurally equivalent to some } G \in \mathcal{A}\}.$$

Vice versa, for a set $\mathcal{B} \subseteq \text{STRUCT}[\mathbb{E}]$, we define

$$\text{Equiv}^{\mathbb{V}}(\mathcal{B}) = \{G \in \text{STRUCT}[\mathbb{V}] \mid G \text{ is structurally equivalent to some } \mathcal{E} \in \mathcal{B}\}.$$

By Proposition 1, it is immediate that for every sets $\mathcal{A} \subseteq \text{STRUCT}[\mathbb{V}]$ and $\mathcal{B} \subseteq \text{STRUCT}[\mathbb{E}]$,

$$\mathcal{A} = \text{Equiv}^{\mathbb{V}}(\text{Equiv}^{\mathbb{E}}(\mathcal{A})) \text{ and } \mathcal{B} = \text{Equiv}^{\mathbb{E}}(\text{Equiv}^{\mathbb{V}}(\mathcal{B}))$$

From this we immediately get that $\mathcal{A} = \text{Equiv}^{\mathbb{V}}(\mathcal{B})$ if and only if $\mathcal{B} = \text{Equiv}^{\mathbb{E}}(\mathcal{A})$.

Now we introduce the notion of (\mathbb{V}, \mathbb{E}) -equivalent, the logical version of Definition 4.

Definition 2. A \mathbb{V} -sentence φ and an \mathbb{E} -sentence ψ are (\mathbb{V}, \mathbb{E}) -equivalent if $\mathcal{G}(\varphi) = \text{Equiv}^{\mathbb{V}}(\mathcal{G}(\psi))$, or equivalently, $\mathcal{G}(\psi) = \text{Equiv}^{\mathbb{E}}(\mathcal{G}(\varphi))$.

Using the notion of (\mathbb{V}, \mathbb{E}) -equivalent, we can now compare the expressive power between vertex and edge representations. Our first proposition shows that the edge representation is as least as expressive as the vertex representation:

Proposition 3. Let \mathcal{L} in $\{\text{FO}, \exists\text{MSO}, \text{MSO}\}$. Then, for every sentence $\varphi \in \mathcal{L}[\mathbb{V}]$, there exists a sentence $\psi \in \mathcal{L}[\mathbb{E}]$ such that φ and ψ are (\mathbb{V}, \mathbb{E}) -equivalent

The proof is pretty straightforward, thus omitted.

The natural question is whether the converse holds, that is, whether for every sentence using the edge representation we can find an equivalent sentence using the vertex representation. As we show below, it turns out that this is not true even for $\exists\text{MSO}$ sentences, nor if the full power of MSO is allowed.

Theorem 1. 1. There exists a sentence $\psi \in \exists\text{MSO}[\mathbb{E}]$ such that for all sentence $\varphi \in \exists\text{MSO}[\mathbb{V}]$, ψ and φ are not (\mathbb{V}, \mathbb{E}) -equivalent.
2. There exists a sentence $\psi \in \text{MSO}[\mathbb{E}]$ such that for all sentence $\varphi \in \text{MSO}[\mathbb{V}]$, ψ and φ are not (\mathbb{V}, \mathbb{E}) -equivalent.

Proof. We begin with the $\exists\text{MSO}$ case. The idea is to use the fact that (s, t) -reachability in directed graph is not expressible in $\exists\text{MSO}[\mathbb{V}]$ (see, for example, [13, Theorem 7.16]).

For this we need to add two constants s and t to both \mathbb{V} - and \mathbb{E} -vocabularies, denoting the source and target vertices respectively. The interpretation of the constants s and t in \mathbb{V} -structures are the source and the target vertices, while their interpretation in \mathbb{E} -structures are two edges: one whose tail is the source vertex, and the other whose head is the target vertex.

We define the following class of \mathbb{V} -structures consists of directed graphs in which there is a path from s to t .

$$\mathcal{R}_{\mathbb{V}} = \left\{ G \in \text{STRUCT}[\mathbb{V}] \mid \begin{array}{l} \text{there are } v_1, \dots, v_k \text{ s.t. } v_1 = s \text{ and } v_k = t \text{ and} \\ \text{for each } i = 1, \dots, k-1, (v_i, v_{i+1}) \in E(G) \end{array} \right\}$$

It can be readily seen that the class $\text{Equiv}^{\mathbb{E}}(\mathcal{R}_{\mathbb{V}})$ is expressible in $\exists\text{MSO}[\mathbb{E}]$ in the following sentence. There exists a set P such that

- there is an edge y in P such that $\text{TailTail}(y, s)$ holds;
- there is an edge y in P such that $\text{HeadHead}(y, t)$ holds;
- for every edge y in P where $\neg\text{HeadHead}(y, t)$, there is an edge z in P such that $\text{HeadTail}(y, z)$ holds.

This immediately implies that $\exists\text{MSO}[\mathbb{E}]$ is strictly more expressive than $\exists\text{MSO}[\mathbb{V}]$. This proves the first case of the theorem.

The proof for the second case goes along the same lines, this time using the fact that directed graph hamiltonicity (i.e., whether a graph is hamiltonian) is not expressible in $\text{MSO}[\mathbb{V}]$ (see, for example, [13, Corollary 7.24]). On the other hand, directed graph hamiltonicity can be expressed in the following $\text{MSO}[\mathbb{E}]$ sentence. There exists a set U such that

- every two edges in U are connected (can be expressed as in the proof above); and
- for every edge x , x is adjacent to some edge y in U (either $\text{HeadHead}(x, y)$, $\text{TailTail}(x, y)$, or $\text{HeadTail}(x, y)$ holds);

□

Next, we compare the two representations for the case of first-order logic. It turns out that the edge and vertex representations are equivalent if one disallows second-order quantification. Moreover, we also show that this transformation involves only a slight increase in quantifier rank.

Proposition 4. *For every sentence $\psi \in \text{FO}[\mathbb{E}]$, there exists a sentence $\varphi \in \text{FO}[\mathbb{V}]$ such that they are (\mathbb{V}, \mathbb{E}) -equivalent and $\text{qr}(\varphi) = 2\text{qr}(\psi)$.*

With respect to the vertex to edge transformation, the following is immediate from the proof of proposition 3

Corollary 1. *For every sentence $\varphi \in \text{FO}[\mathbb{V}]$, there exists a sentence $\psi \in \text{FO}[\mathbb{E}]$ such that φ and ψ are (\mathbb{V}, \mathbb{E}) -equivalent and $\text{qr}(\psi) = \text{qr}(\varphi) + 1$.*

5 Graph pebble automata

In this section we define pebble automata for directed graphs. It is based on the idea of pebble automata (PA) for words over infinite alphabet [16]. Let \mathfrak{D} be a set of infinite symbols. We assume that the nodes in the directed graphs always come from \mathfrak{D} .

Briefly the way graph PA with k pebbles works as follows. If G is a directed graph, and $(a_1, b_1), \dots, (a_n, b_n)$ are the edges in $E(G)$, then we feed a sequence $w = \binom{a_1}{b_1} \cdot \dots \cdot \binom{a_n}{b_n}$ into graph k -PA. The pebbles are numbered from 1 to k . The automaton starts the computation with only pebble k on the sequence w . The pebbles are placed on/lifted from w in the stack discipline according to the strict order of the pebbles: Pebble i can be placed only when pebbles $i + 1, \dots, k$ are above the sequence w . Each pebble is intended to mark one position in w and the smallest numbered pebble on w , or, equivalently the most recently placed pebble, serves as the head of the automaton. The automaton moves from one state to another depending on whether the edges read by the pebbles satisfy the HeadHead , TailTail , HeadTail relations.

Definition 3. *A two-way alternating graph k -pebble automaton, (in short graph k -PA) is a system $\mathcal{A} = \langle Q, q_0, F, \mu \rangle$, where*

- $Q, q_0 \in Q, U \subseteq Q$ and $F \subseteq Q$ are a finite set of states, the initial state, the set of universal states and the set of final states, respectively; and
- μ is a finite set of transitions of the form $\alpha \rightarrow \beta$ such that

- α is of the form

$$(i, P, V_{00}, V_{10}, V_{01}, V_{11}, q)$$

, where $i \in \{1, \dots, k\}$, $P, V_{00}, V_{10}, V_{01}, V_{11} \subseteq \{i+1, \dots, k\}$, and

- β is of the form (q, act) , where $q \in Q$ and

$$\text{act} \in \{\text{left}, \text{right}, \text{place-pebble}, \text{lift-pebble}\}.$$

Given a sequence of edges $w = \binom{a_1}{b_1} \dots \binom{a_n}{b_n}$, a *configuration of \mathcal{A} on $\langle w \rangle$* is a triple $[i, q, \theta]$, where $i \in \{1, \dots, k\}$, $q \in Q$ and $\theta : \{i, i+1, \dots, k\} \rightarrow \{0, 1, \dots, n, n+1\}$. The function θ defines the position of the pebbles and is called the *pebble assignment*. The symbols in the positions 0 and $n+1$ are \triangleleft and \triangleright , respectively.

The *initial configuration* is $\gamma_0 = [k, q_0, \theta_0]$, where $\theta_0(k) = 0$ is the *initial pebble assignment*. A configuration $[i, q, \theta]$ with $q \in F$ is called an *accepting configuration*.

A transition $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, p) \rightarrow \beta$ *applies to a configuration* $[j, q, \theta]$, if

- (1) $i = j$ and $p = q$,
- (2) $P = \{l > i : \theta(l) = \theta(i)\}$,
- (3.a) $V_{00} = \{l > i : a_{\theta(l)} = a_{\theta(i)}\}$,
- (3.b) $V_{10} = \{l > i : b_{\theta(l)} = a_{\theta(i)}\}$,
- (3.c) $V_{10} = \{l > i : a_{\theta(l)} = b_{\theta(i)}\}$, and
- (3.d) $V_{11} = \{l > i : b_{\theta(l)} = b_{\theta(i)}\}$.

A transition $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, p) \rightarrow \beta$ *applies to a configuration* $[j, q, \theta]$, if conditions (1)–(3) above hold.

We define the transition relation $\vdash_{\mathcal{A}}$ as follows: $[i, q, \theta] \vdash_{\mathcal{A}} [i', q', \theta']$, if there is a transition $\alpha \rightarrow (p, \text{act}) \in \mu$ that applies to $[i, q, \theta]$ such that $q' = p$, for all $j > i$, $\theta'(j) = \theta(j)$, and

- if $\text{act} = \text{left}$, then $i' = i$ and $\theta'(i) = \theta(i) - 1$,
- if $\text{act} = \text{right}$, then $i' = i$ and $\theta'(i) = \theta(i) + 1$,
- if $\text{act} = \text{lift-pebble}$, then $i' = i + 1$,
- if $\text{act} = \text{place-pebble}$, then $i' = i - 1$, $\theta'(i - 1) = 0$ and $\theta'(i) = \theta(i)$.

As usual, we denote the reflexive, transitive closure of $\vdash_{\mathcal{A}}$ by $\vdash_{\mathcal{A}}^*$.

The acceptance criteria is based on the notion of *leads to acceptance* below. For every configuration $\gamma = [i, q, \theta]$,

- if $q \in F$, then γ leads to acceptance;
- if $q \in U$, then γ leads to acceptance if and only if for all configurations γ' such that $\gamma \vdash \gamma'$, γ' leads to acceptance;
- if $q \notin F \cup U$, then γ leads to acceptance if and only if there is at least one configuration γ' such that $\gamma \vdash \gamma'$, and γ' leads to acceptance.

A sequence of edges $\binom{a_1}{b_1} \dots \binom{a_n}{b_n}$ is accepted by \mathcal{A} , if the initial configuration γ_0 leads to acceptance. The language $L(\mathcal{A})$ consists of all sequence of edges accepted by \mathcal{A} . Obviously, the sequence w induces a set of directed edges G_w as explain in the beginning of this section.

We have presented here the notion of *alternating graph PA*, since it is easier to work with for our purposes. However, it is not difficult to define instead the notion of *deterministic graph PA*. The next theorem shows that this choice is without loss of generality, as both models are equivalent.

- Theorem 2.** 1. For each $k \geq 1$, two-way non-deterministic graph k -PA and one-way deterministic graph k -PA have the same recognition power.
 2. For each $k \geq 1$, graph k -PA languages are closed under boolean operation.

Next, we introduce the relationship between graph PA and First Order logic.

Theorem 3. For every FO \mathbb{E} -sentence ψ , there exists a graph k -PA \mathcal{A}_ψ such that $k = \text{qr}(\psi)$ and $L(\mathcal{A}) = \mathcal{G}(\psi)$.

Proof. The proof is an adaptation of similar result in [19]. First, by Theorem 5, PA_k is closed under boolean operations. Let $\varphi = Qx_k\psi(x_k)$ where $Q \in \{\forall, \exists\}$ and $\psi(x_k)$ is of quantifier rank $k - 1$.

The proof is by straightforward induction on k . A k -PA \mathcal{A} iterates pebble k through all possible positions in the input. On each iteration, the automaton \mathcal{A} recursively calls a $(k - 1)$ -PA \mathcal{A}' that accepts the language $L(\psi(x_k))$, treating the position of pebble k as the assignment value for x_k .

- If $Q = \forall$, then \mathcal{A} accepts w if and only if \mathcal{A}' accepts on all iterations.
- If $Q = \exists$, then \mathcal{A} accepts w if and only if \mathcal{A}' accepts on at least one iteration.

□

Notice that Theorem 3 is optimal in the sense that all k pebbles are needed. More precisely, it is possible to adapt the proof of [19] to show that for every $k \geq 2$ there exists an FO \mathbb{E} -sentence ψ , with $k = \text{qr}(\psi)$, and such that $L(\mathcal{A}) \neq \mathcal{G}(\psi)$ for every graph PA \mathcal{A} using less than k pebbles.

6 When the edges are labeled with symbols from finite alphabet

In the usual graph databases setting the edges are labeled with symbols from a fixed finite alphabet. Each symbol can be viewed as a unary predicate on the edges.

In this section we extend the vocabularies \mathbb{V} and \mathbb{E} with unary predicates on the edges, which we called *extended* \mathbb{V} and \mathbb{E} vocabularies. We also extend the definition of graph pebble automata for edges labeled with symbols from a fixed alphabet.

In the following we let Σ be a fixed finite alphabet.

Extended \mathbb{V} logic. The vocabulary for the extended \mathbb{V} logic consists of σ for each $\sigma \in \Sigma$, where each σ is a binary predicate on the domain. We denote by \mathbb{V}^* the extended \mathbb{V} logic.

An *extended \mathbb{V} -structure* is a tuple $G = (V, \{\sigma\}_{\sigma \in \Sigma})$ such that V is the domain of nodes and the sets $\{\sigma\}_{\sigma \in \Sigma}$ are disjoint. Intuitively, each relation σ denotes the set of edges which are labeled with the symbol $\sigma \in \Sigma$. Since no edge can be labeled with two different symbols, the sets $\{\sigma\}_{\sigma \in \Sigma}$ are disjoint.

Extended \mathbb{E} logic. The vocabulary consists of **HeadHead**, **HeadTail**, **TailTail**, $\{\sigma\}_{\sigma \in \Sigma}$, where each $\sigma \in \Sigma$ is unary predicate on the domain. We denote by \mathbb{E}^* the extended \mathbb{E} logic.

An *extended \mathbb{E} -structure* is a tuple $\mathcal{E} = (U, \text{HeadHead}, \text{HeadTail}, \text{TailTail}, \{\sigma\}_{\sigma \in \Sigma})$, where U is the domain of edges, the relations **HeadHead**, **HeadTail**, **TailTail** on U are defined as before, and each $\sigma \in \Sigma$ is a unary predicate on U .

It is straightforward to show that all results on the vocabularies \mathbb{V} and \mathbb{E} still hold for the extended logics \mathbb{V}^* and \mathbb{E}^* . In the following we will elaborate this point more precisely.

Definition 4. Let G be an \mathbb{V}^* structure and \mathcal{E} an \mathbb{E}^* structure. We say that G and \mathcal{E} are structurally equivalent if there exists a 1-1 mapping $\xi : E(G) \rightarrow \text{Dom}(\mathcal{E})$ such that for all $(v_1, v_2), (v_2, v_3), (v_1, v_3) \in \bigcup_{\sigma \in \Sigma} \sigma$ and $e_1, e_2 \in \text{Dom}(\mathcal{E})$,

1. for each $\sigma \in \Sigma$, $(v_1, v_2) \in \sigma$ if and only if $\xi(v_1, v_2) \in \sigma$;
2. $\xi(v_1, v_2) = e_1$ and $\xi(v_2, v_3) = e_2$ if and only if **HeadTail** (e_1, e_2) ;
3. $\xi(v_1, v_2) = e_1$ and $\xi(v_1, v_3) = e_2$ if and only if **TailTail** (e_1, e_2) ; and
4. $\xi(v_1, v_3) = e_1$ and $\xi(v_2, v_3) = e_2$ if and only if **HeadHead** (e_1, e_2) .

The 1-1 mapping ξ is called a $(\mathbb{V}^*, \mathbb{E}^*)$ -isomorphism.

Theorem 4. 1. Let \mathcal{L} in $\{\text{FO}, \exists\text{MSO}, \text{MSO}\}$. Then, for every sentence $\varphi \in \mathcal{L}[\mathbb{V}^*]$, there exists a sentence $\psi \in \mathcal{L}[\mathbb{E}^*]$ such that φ and ψ are $(\mathbb{V}^*, \mathbb{E}^*)$ -equivalent

2. There exists a sentence $\psi \in \exists\text{MSO}[\mathbb{E}^*]$ such that for all sentence $\varphi \in \exists\text{MSO}[\mathbb{V}^*]$, ψ and φ are not $(\mathbb{V}^*, \mathbb{E}^*)$ -equivalent.
3. There exists a sentence $\psi \in \text{MSO}[\mathbb{E}^*]$ such that for all sentence $\varphi \in \text{MSO}[\mathbb{V}^*]$, ψ and φ are not $(\mathbb{V}^*, \mathbb{E}^*)$ -equivalent.

Next we define a graph pebble automata with unary predicates on the edges. It is also pretty much straightforward extension of Definition 3. In this case the input is of

the form: $\begin{pmatrix} \sigma_1 \\ a_1 \\ b_1 \end{pmatrix} \cdots \begin{pmatrix} \sigma_n \\ a_n \\ b_n \end{pmatrix} \in \Sigma \times \mathfrak{D} \times \mathfrak{D}$, where $\sigma_i \in \Sigma$ is the label of the edge (a_i, b_i) .

The transitions are of the form: $(i, \sigma, P, V_{00}, V_{10}, V_{01}, V_{11}, p) \rightarrow (q, \text{act})$. It is straightforward to show that all the results in the previous section can be adapted for such graph pebble automata. More precisely,

Theorem 5. 1. For PA with unary predicates, for each $k \geq 1$, two-way non-deterministic graph k -PA and one-way deterministic graph k -PA have the same recognition power.

2. For each $k \geq 1$, graph k -PA (with unary predicates) languages are closed under boolean operation.
3. For every FO \mathbb{E}^* -sentence ψ , there exists a graph k -PA \mathcal{A}_ψ with unary predicates such that $k = \text{qr}(\psi)$ and $L(\mathcal{A}) = \mathcal{G}(\psi)$.

7 Future directions

We would like to apply our logics and graph pebble automata in a more application oriented settings. Also, it is well known that the emptiness problem for graph pebble automata is undecidable. One direction that we would like to pursue is to characterize a subclass of pebble automata, for which the emptiness problem is decidable. We also would like to define and study similar logics for matroid and extend the graph pebble automata for abstract matroid.

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A Proof of Proposition 1

Proposition 1.

- (a) Let G be a \mathbb{V} -structure and $\mathcal{E}_1, \mathcal{E}_2$ be \mathbb{E} -structures. If both \mathcal{E}_1 and \mathcal{E}_2 are structurally equivalent to G , then \mathcal{E}_1 and \mathcal{E}_2 are isomorphic.
- (b) Let G_1, G_2 be \mathbb{V} -structures and \mathcal{E} be a \mathbb{E} -structure. If both G_1 and G_2 are equivalent to \mathcal{E} , then G_1 and G_2 are isomorphic.

Proof. We prove part (a). Let $\xi_1 : E(G) \mapsto \text{Dom}(\mathcal{E}_1)$ and $\xi_2 : E(G) \mapsto \text{Dom}(\mathcal{E}_2)$ be the (\mathbb{V}, \mathbb{E}) -isomorphisms from G to \mathcal{E}_1 and from G to \mathcal{E}_2 , respectively. Let $f = \xi_2 \circ \xi_1^{-1}$ be the 1-1 mapping from $\text{Dom}(\mathcal{E}_1)$ to $\text{Dom}(\mathcal{E}_2)$. We show that f establishes the isomorphism between \mathcal{E}_1 and \mathcal{E}_2 .

Let $e, e' \in \text{Dom}(\mathcal{E}_1)$. We claim that the following holds.

1. $\text{HeadHead}(e, e')$ holds in \mathcal{E}_1 if and only if $\text{HeadHead}(f(e), f(e'))$ holds in \mathcal{E}_2 .
2. $\text{HeadTail}(e, e')$ holds in \mathcal{E}_1 if and only if $\text{HeadTail}(f(e), f(e'))$ holds in \mathcal{E}_2 .
3. $\text{TailTail}(e, e')$ holds in \mathcal{E}_1 if and only if $\text{TailTail}(f(e), f(e'))$ holds in \mathcal{E}_2 .

We prove only for the case of HeadHead . All the other cases can be proved in a similar manner. $\text{HeadHead}(e, e')$ holds in \mathcal{E}_1 if and only if for some $(v_1, v_3), (v_2, v_3) \in E(G)$,

$$\xi_1(v_1, v_3) = e \text{ and } \xi_1(v_2, v_3) = e'$$

if and only if

$$(v_1, v_3) = \xi_1^{-1}(e) \text{ and } (v_2, v_3) = \xi_1^{-1}(e')$$

if and only if

$$\xi_2(v_1, v_3) = f(e) \text{ and } \xi_2(v_2, v_3) = f(e')$$

if and only if $\text{HeadHead}(f(e), f(e'))$ holds in \mathcal{E}_2 . This shows that \mathcal{E}_1 and \mathcal{E}_2 are isomorphic.

Now we prove part (b). Let $\xi_1 : E(G_1) \mapsto \text{Dom}(\mathcal{E})$ and $\xi_2 : E(G_2) \mapsto \text{Dom}(\mathcal{E})$ be the (\mathbb{V}, \mathbb{E}) -isomorphisms from G_1 to \mathcal{E} and from G_2 to \mathcal{E} , respectively. The function $g = \xi_2^{-1} \circ \xi_1$ is a 1-1 mapping from $E(G_1)$ to $E(G_2)$. That G_1 and G_2 are isomorphic follows directly from the fact that ξ_1 and ξ_2 are (\mathbb{V}, \mathbb{E}) -isomorphisms. \square

B Proof of Proposition 2

Proposition 2.1. For every $G = (V, E) \in \text{STRUCT}[\mathbb{V}]$, there exists a unique (up to isomorphism) \mathbb{E} -structure \mathcal{E} which is structurally equivalent to G .

Proof. We simply take \mathcal{E} , where

- $\text{Dom}(\mathcal{E}) = \{(u, v) \mid (u, v) \in E(G)\}$;
- $\text{HeadHead}((u, v), (w, v))$ holds in \mathcal{E} for every $(u, v), (w, v) \in \text{Dom}(\mathcal{E})$;
- $\text{HeadTail}((u, w), (w, v))$ holds in \mathcal{E} for every $(u, v), (w, v) \in \text{Dom}(\mathcal{E})$;

- $\text{TailTail}((u, w), (u, v))$ holds in \mathcal{E} for every $(u, v), (w, v) \in \text{Dom}(\mathcal{E})$.

It is immediate that \mathcal{E} satisfies all the Axioms $E1$ – $E7$. \square

Proposition 2.2. *For every \mathbb{E} -structure \mathcal{E} , there exists a unique (up to isomorphism) \mathbb{V} -structure G structurally equivalent to \mathcal{E} .*

Proof. Let \mathcal{E} be an \mathbb{E} -structure. By Axiom $E1$, the relations HeadHead and TailTail are equivalence relations on $\text{Dom}(\mathcal{E})$. Then, let \mathcal{H} and \mathcal{T} be the equivalence classes of HeadHead and TailTail , respectively.

Now we are going to define a \mathbb{V} -structure G as follows. The set of vertices $V(G) \subseteq (\mathcal{H} \cup \{\emptyset\}) \times (\mathcal{T} \cup \{\emptyset\})$ is as follows.

- For $H, T \neq \emptyset$, $(H, T) \in V(G)$ if and only if there are $e \in H, e' \in T$ such that $\text{HeadTail}(e, e')$;
- $(\emptyset, T) \in V(G)$ if and only if for all $e \in T$, there is no $e' \in \text{Dom}(\mathcal{E})$ such that $\text{HeadTail}(e', e)$; and
- $(H, \emptyset) \in V(G)$ if and only if for all $e \in H$, there is no $e' \in \text{Dom}(\mathcal{E})$ such that $\text{HeadTail}(e, e')$.

The set $E(G)$ of edges is defined as follow.

$$(H_1, T_1), (H_2, T_2) \in E(G) \text{ if and only if } T_1 \cap H_2 \neq \emptyset.$$

Now we need to show that G is structurally equivalent to \mathcal{E} . We start the following claim.

Claim.

- C1. For each $H \in \mathcal{H}$, there is exactly one $T \in \mathcal{T} \cup \{\emptyset\}$ such that $(H, T) \in V$.
- C2. For each $T \in \mathcal{T}$, there is exactly one $H \in \mathcal{H} \cup \{\emptyset\}$ such that $(H, T) \in V$.
- C3. For every $H \in \mathcal{H}$ and $T \in \mathcal{T}$, either $H \cap T = \emptyset$ or $H \cap T$ consists of only one element.

Proof. We prove C1. By Axiom $E2$, there exists $T \in \mathcal{T} \cup \{\emptyset\}$ such that $(H, T) \in V(G)$. Let $(H, T_1), (H, T_2) \in V(G)$. If $T_1 = \emptyset$, then $T_2 = \emptyset$ too. Thus, $T_1 = T_2$, and the uniqueness of T is established.

Consider $T_1, T_2 \neq \emptyset$. There exists $e \in H, e_1 \in T_1, e_2 \in T_2$ such that $\text{HeadTail}(e, e_1)$ and $\text{HeadTail}(e, e_2)$. By Axiom $E5$, we have $\text{TailTail}(e_1, e_2)$. Thus, e_1, e_2 belong to the same TailTail -equivalence class. Therefore, $T_1 = T_2$.

Claim C2 can be prove similarly using Axioms $E3$ and $E4$. Now we prove C3. Suppose $H \cap T \neq \emptyset$ and $e_1, e_2 \in H \cap T$. It means that $\text{HeadHead}(e_1, e_2)$ and $\text{TailTail}(e_1, e_2)$. By Axiom $E6$, $e_1 = e_2$. \square

Now we define the following 1-1 mapping $\xi : E(G) \rightarrow \text{Dom}(\mathcal{E})$ and show that it is a (\mathbb{V}, \mathbb{E}) -isomorphism from G to \mathcal{E} . For every $((H_1, T_1), (H_2, T_2)) \in E(G)$,

$$\xi((H_1, T_1), (H_2, T_2)) = e, \text{ where } T_1 \cap H_2 = \{e\}.$$

By claim C3, $T_1 \cap H_2$ is either empty or singleton.

First, we show that the mapping ξ is surjective. Since \mathcal{H} and \mathcal{T} are equivalence relations on $\text{Dom}(\mathcal{E})$, for every $e \in \text{Dom}(\mathcal{E})$, there exists $H \in \mathcal{H}$ and $T \in \mathcal{T}$ such that $e \in T \cap H$. Thus, the edge $((H_1, T_1), (H_2, T_2)) \in E(G)$, where $T_1 = T$ and $H_2 = H$, is the pre-image of e , that is, $\xi((H_1, T_1), (H_2, T_2)) = e$.

Now we show that ξ is injective. Suppose

$$\xi((H_1, T_1), (H_2, T_2)) = \xi((H_3, T_3), (H_4, T_4)).$$

By the definition of ξ and by the Claim C3, $T_1 \cap H_2 = T_3 \cap H_4 = \{e\}$. Thus, T_1 and T_3 are the TailTail-equivalence classes that contains e . In the same manner, H_2 and H_4 are the HeadHead-equivalence classes that contain e . This means that $T_1 = T_3$ and $H_2 = H_4$.

By Claim C2, there exists exactly one H such that $(H, T_1) \in V(G)$, thus, it follows that $H_1 = H_3$. Similarly, by Claim C1, there exists exactly one T such that $(H_2, T) \in V(G)$, it follows that $T_2 = T_4$. Therefore, $((H_1, T_1), (H_2, T_2)) = ((H_3, T_3), (H_4, T_4))$.

The uniqueness of G (up to isomorphism) follows directly from Proposition 1. This proves our proposition. \square

C Proof of Proposition 3

Proposition 3. *Let \mathcal{L} in $\{FO, \exists\text{MSO}, \text{MSO}\}$. Then, for every sentence $\varphi \in \mathcal{L}[\mathbb{V}]$, there exists a sentence $\psi \in \mathcal{L}[\mathbb{E}]$ such that φ and ψ are (\mathbb{V}, \mathbb{E}) -equivalent.*

We need the following notion in our proof. Let $\varphi(x_1, \dots, x_m) \in \text{FO}[\mathbb{V}]$ be a formula with its free variable coming from among x_1, \dots, x_m . It is possible that some of the variables x_1, \dots, x_m are not used at all inside $\varphi(x_1, \dots, x_m)$. A *sign* function on x_1, \dots, x_m is a function $\chi : \{x_1, \dots, x_m\} \mapsto \{\text{Head}, \text{Tail}\}$.

For the sake of readability, we sketch the proof only for the case for First Order logic. We shall explain afterwards how to extend this proof for the other two cases.

The idea of the proof is to define a procedure **Construct- \mathbb{E} -formula** that computes the following.

Input: A pair $(\varphi(x_1, \dots, x_m), \chi)$, where $\varphi(x_1, \dots, x_m) \in \text{FO}[\mathbb{V}]$ with free variables from among x_1, \dots, x_m and χ is a sign function on $\{x_1, \dots, x_m\}$.

Output: A formula $\psi(y_1, \dots, y_m) \in \text{FO}[\mathbb{E}]$ with free variables from among y_1, \dots, y_m such that for every structurally equivalent pair of structures $(G, \mathcal{E}) \in \text{STRUCT}[\mathbb{V}] \times \text{STRUCT}[\mathbb{E}]$ and $\xi : E(G) \rightarrow \text{Dom}(\mathcal{E})$ is the (\mathbb{V}, \mathbb{E}) -isomorphism, the following holds.

- For every tuple $(v_1, \dots, v_m) \in V(G)^m$, if $G \models \varphi(v_1, \dots, v_m)$, then $\mathcal{E} \models \psi(e_1, \dots, e_m)$, where

$$\xi^{-1}(e_i) = \begin{cases} (v_i, v) & \text{for some } v, \text{ if } \alpha_i = \text{Tail} \\ (v, v_i) & \text{for some } v, \text{ if } \alpha_i = \text{Head} \end{cases}$$

- Vice versa, for every tuple $(e_1, \dots, e_m) \in \text{Dom}(\mathcal{E})^m$, if $\mathcal{E} \models \psi(e_1, \dots, e_m)$, then $G \models \varphi(v_1, \dots, v_m)$, where

$$\xi^{-1}(e_i) = \begin{cases} (v_i, v) & \text{for some } v, \text{ if } \alpha_i = \text{Tail} \\ (v, v_i) & \text{for some } v, \text{ if } \alpha_i = \text{Head} \end{cases}$$

The desired sentence ψ will then be **Construct- \mathbb{E} -formula**(φ). The definition of this procedure is as expected, the details can be found in the Appendix.

In order to extend these results for the other two cases, we simply add the following to our transformation: for every quantification $\exists X$ in **MSO**[\mathbb{V}], we have two quantifications $\exists X_{\text{Tail}} \exists X_{\text{Head}}$ in **MSO**[\mathbb{E}], or for the quantification $\forall X$ in **MSO**[\mathbb{V}], $\forall X_{\text{Tail}} \forall X_{\text{Head}}$ in **MSO**[\mathbb{E}]. The intention of X_{Tail} is to denote the set of edges whose tails are in X , while X_{Head} the set of edges whose heads are in X . The transformation is then straightforward.

We give the details of all these constructions in the rest of this section. Procedure 1 in the next page we define the desired procedure **Construct- \mathbb{E} -formula**. The proof that **Construct- \mathbb{E} -formula**($\varphi(x_1, \dots, x_m), \chi$) outputs the desired $\psi(y_1, \dots, y_m)$ according to the sign function χ can be shown by straightforward induction on the quantifier rank of $\varphi(x_1, \dots, x_m)$.

Procedure 2 is for the extension to the **MSO** part.

Procedure 1 Construct- \mathbb{E} -formula($\varphi(x_1, \dots, x_m), \chi$)

- 1: **if** $\varphi(x_1, \dots, x_m)$ is $E(x_i, x_j)$ **then**
 - 2: **if** $\chi(x_i) = \text{Head}$ and $\chi(x_j) = \text{Head}$, output the formula: $\exists z \text{HeadTail}(y_i, z) \wedge \text{HeadHead}(z, y_j)$.
 - 3: **if** $\chi(x_i) = \text{Head}$ and $\chi(x_j) = \text{Tail}$, output the formula: $\exists z \text{HeadTail}(y_i, z) \wedge \text{HeadTail}(z, y_j)$.
 - 4: **if** $\chi(x_i) = \text{Tail}$ and $\chi(x_j) = \text{Tail}$, output the formula: $\exists z \text{TailTail}(y_i, z) \wedge \text{HeadTail}(z, y_j)$.
 - 5: **end if**
 - 6: **if** $\varphi(x_1, \dots, x_m)$ is $x_i = x_j$ **then**
 - 7: **if** $\chi(x_i) = \text{Head}$ and $\chi(x_j) = \text{Head}$, output the formula: $\text{HeadHead}(y_i, y_j)$.
 - 8: **if** $\chi(x_i) = \text{Head}$ and $\chi(x_j) = \text{Tail}$, output the formula: $\text{HeadTail}(y_i, y_j)$.
 - 9: **if** $\chi(x_i) = \text{Tail}$ and $\chi(x_j) = \text{Tail}$, output the formula: $\text{TailTail}(y_i, y_j)$.
 - 10: **end if**
 - 11: **if** $\varphi(x_1, \dots, x_m)$ is $\varphi_1(x_1, \dots, x_m) \otimes \varphi_2(x_1, \dots, x_m)$, where $\otimes \in \{\wedge, \vee\}$ **then**
 - 12: Let $\psi_1(y_1, \dots, y_m) = \text{Construct-}\mathbb{E}\text{-formula}(\varphi_1(x_1, \dots, x_m), \chi)$.
 - 13: Let $\psi_2(y_1, \dots, y_m) = \text{Construct-}\mathbb{E}\text{-formula}(\varphi_2(x_1, \dots, x_m), \chi)$.
 - 14: Output the formula: $\psi_1(y_1, \dots, y_m) \otimes \psi_2(y_1, \dots, y_m)$.
 - 15: **end if**
 - 16: **if** $\varphi(x_1, \dots, x_m)$ is $\neg\varphi_1(x_1, \dots, x_m)$ **then**
 - 17: Let $\psi_1(y_1, \dots, y_m) = \text{Construct-}\mathbb{E}\text{-formula}(\varphi_1(x_1, \dots, x_m), \chi)$.
 - 18: Output the formula: $\neg\psi_1(y_1, \dots, y_m)$.
 - 19: **end if**
 - 20: **if** $\varphi(x_1, \dots, x_m)$ is $Qx \varphi_1(x_1, \dots, x_m, x)$, where $Q \in \{\exists, \forall\}$ **then**
 - 21: Let $\psi_1(y_1, \dots, y_m, y) = \text{Construct-}\mathbb{E}\text{-formula}(\varphi_1(x_1, \dots, x_m, x), \chi \cup \{(x, \text{Head})\})$.
 - 22: Let $\psi_2(y_1, \dots, y_m, y) = \text{Construct-}\mathbb{E}\text{-formula}(\varphi_1(x_1, \dots, x_m, x), \chi \cup \{(x, \text{Tail})\})$.
 - 23: **if** $Q = \exists$, output the formula: $\exists y \psi_1(y_1, \dots, y_m, y) \vee \psi_2(y_1, \dots, y_m, y)$.
 - 24: **if** $Q = \forall$, output the formula: $\forall y \psi_1(y_1, \dots, y_m, y) \wedge \psi_2(y_1, \dots, y_m, y)$.
 - 25: **end if**
-

Procedure 2 Extension of Construct- \mathbb{E} -formula($\varphi(x_1, \dots, x_m), \chi$) for MSO

- 1: **if** $\varphi(x_1, \dots, x_m)$ is $x_i \in X$ **then**
 - 2: **if** $\chi(x_i) = \text{Head}$, **then** output the formula: $\exists z (z \in X_{\text{Head}} \wedge \text{HeadHead}(y_i, z))$.
 - 3: **if** $\chi(x_i) = \text{Tail}$, **then** output the formula: $\exists z (z \in X_{\text{Tail}} \wedge \text{TailTail}(y_i, z))$.
 - 3: **end if**
-

D Proof of proposition 4

We define Procedure Construct- \forall -formula that computes the following.

Input: A formula $\psi(y_1, \dots, y_m) \in \text{FO}[\mathbb{E}]$ with free variables from among e_1, \dots, e_m .

Output: A formula $\varphi(x_1, x'_1, \dots, x_m, x'_m) \in \text{FO}[\mathbb{E}]$ with free variables from among $x_1, x'_1, \dots, x_m, x'_m$ such that for every structurally equivalent pair of structures $(G, \mathcal{E}) \in \text{STRUCT}[\mathbb{V}] \times \text{STRUCT}[\mathbb{E}]$ and $\xi : E(G) \rightarrow \text{Dom}(\mathcal{E})$ is the (\mathbb{V}, \mathbb{E}) -isomorphism, the following holds.

- For every tuple $(v_1, \dots, v_m) \in V(G)^m$, if $G \models \varphi(v_1, \dots, v_m)$, then $\mathcal{E} \models \psi(e_1, \dots, e_m)$, where

$$\xi^{-1}(e_i) = \begin{cases} (v_i, v) & \text{for some } v, \text{ if } \alpha_i = \text{Tail} \\ (v, v_i) & \text{for some } v, \text{ if } \alpha_i = \text{Head} \end{cases}$$

- Vice versa, for every tuple $(e_1, \dots, e_m) \in \text{Dom}(\mathcal{E})^m$, if $\mathcal{E} \models \psi(e_1, \dots, e_m)$, then $G \models \varphi(v_1, \dots, v_m)$, where

$$\xi^{-1}(e_i) = \begin{cases} (v_i, v) & \text{for some } v, \text{ if } \alpha_i = \text{Tail} \\ (v, v_i) & \text{for some } v, \text{ if } \alpha_i = \text{Head} \end{cases}$$

The desired sentence φ will then be Construct- \forall -formula(ψ). Algorithm 3 describes in details the procedure Construct- \forall -formula.

Procedure 3 Construct- \forall -formula($\psi(e_1, \dots, e_m)$)

- 1: **if** $\psi(e_1, \dots, e_m)$ is $e_i = e_j$ **then**
 - 2: Output the formula: $x_i = x_j \wedge y_i = y_j$.
 - 3: **end if**
 - 4: **if** $\psi(e_1, \dots, e_m)$ is HeadHead(e_i, e_j) **then**
 - 5: Output the formula: $y_i = y_j$.
 - 6: **end if**
 - 7: **if** $\psi(e_1, \dots, e_m)$ is HeadTail(e_i, e_j) **then**
 - 8: Output the formula: $y_i = x_j$.
 - 9: **end if**
 - 10: **if** $\psi(e_1, \dots, e_m)$ is TailTail(e_i, e_j) **then**
 - 11: Output the formula: $x_i = x_j$.
 - 12: **end if**
 - 13: **if** $\psi(e_1, \dots, e_m)$ is $Qe \ \psi_1(e_1, \dots, e_m, e)$, where $Q \in \{\exists, \forall\}$ **then**
 - 14: Let $\varphi_1(x_1, y_1, \dots, x_m, y_m, x, y) = \text{Construct-}\forall\text{-formula}(\psi_1(e_1, \dots, e_m, e))$.
 - 15: Output the formula: $Qx \ Qy \ (E(x, y) \rightarrow \varphi_1(x_1, y_1, \dots, x_m, y_m, x, y))$.
 - 16: **end if**
-

It can be shown by straightforward induction on the quantifier rank of $\psi(y_1, \dots, y_m)$ that Construct- \forall -formula($\psi(y_1, \dots, y_m)$) outputs the desired $\varphi(x_1, x'_1, \dots, x_m, x'_m)$.

E The Equivalence between Alternating and Deterministic k -PA

In this section we will prove that for all $k \geq 1$, two-way alternating k -PA and one-way deterministic k -PA have the same recognition power. As mentioned earlier, the proof is a direct generalization of the same proof for the equivalence between two-way alternating and one-way deterministic finite state automata in [11].

Let $\mathcal{A} = \langle \Sigma, Q, q_0, F, \mu, U, N, D \rangle$ be a two-way alternating k -PA. We show how to simulate \mathcal{A} with a one-way deterministic k -PA \mathcal{A}' . We start by normalizing the behavior of \mathcal{A} as follows.

1. On input word $\langle w \rangle$, \mathcal{A} starts the computation with pebble k on the right-end marker \triangleright .
2. The state Q is partitioned into $Q_1 \cup \dots \cup Q_k$, where Q_i is the set of states when pebble i is the head pebble.
Similarly, we denote by U_i , N_i and D_i the set of universal, nondeterministic and deterministic states, respectively and μ_i the set of transitions when pebble i is the head pebble.
3. Each Q_i is further partitioned into $Q_{i,\text{stay}} \cup Q_{i,\text{right}} \cup Q_{i,\text{left}} \cup Q_{i,\text{place}} \cup Q_{i,\text{lift}}$, where
 - if $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{stay})$, then $q \in Q_{i,\text{stay}}$;
 - if $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{right})$, then $q \in Q_{i,\text{right}}$;
 - if $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{left})$, then $q \in Q_{i,\text{left}}$;
 - if $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{place-pebble})$, then $q \in Q_{i,\text{place}}$;
and
 - if $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{lift-pebble})$, then $q \in Q_{i,\text{lift}}$.
4. The automaton can only do universal and existential branching while the head pebble is stationery.
That is, $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{act})$ and $q \in U \cup N$, then $\text{act} = \text{stay}$.
5. The automaton places the new pebble on the right-end marker \triangleright .
6. The automaton lifts the pebble only when it is on the right-end marker \triangleright .
7. When the head pebble is reading the left-end and the right-end markers \triangleleft and \triangleright , the automaton does not place new pebble.
8. Only pebble k can enter the final states and it does so only after it reads the right-end marker \triangleright .

We will need the following notions. A pebble- i assignment θ is a pebble assignment when the pebbles $i, i+1, \dots, k$ are on the input word. That is, the domain of θ is $\{i, i+1, \dots, k\}$.

Let θ be a pebble- i assignment on an input word $w = \binom{a_1}{a_1} \dots \binom{a_n}{a_n}$. We define $\text{Succ}(\theta) = \theta'$ as follows.

- If $\theta(i) \leq n$, then θ' is a pebble- i assignment, where for each $j = i, i+1, \dots, k$,

$$\theta'(j) = \begin{cases} \theta(j), & \text{if } j = i+1, \dots, k, \\ \theta(j) + 1, & \text{if } j = i. \end{cases}$$

- If $\theta(i) = n+1$, then θ' is pebble- $(i+1)$ assignment such that for each $j = i+1, \dots, k$, $\theta'(j) = \theta(j)$.

Similarly, for a pebble- i assignment θ , we can define $\text{Pred}(\theta)$ as follows.

- If $1 \leq \theta(i)$, then θ' is a pebble- i assignment, where for each $j = i, i + 1, \dots, k$,

$$\theta'(j) = \begin{cases} \theta(j), & \text{if } j = i + 1, \dots, k, \\ \theta(j) - 1, & \text{if } j = i. \end{cases}$$

- If $\theta(i) = 0$, then θ' is pebble- $(i + 1)$ assignment such that for each $j = i + 1, \dots, k$, $\theta'(j) = \theta(j)$.

In the following subsections we present the determinization of \mathcal{A} , starting from pebble 1 and finishing with pebble k , in the following subsections. We will denote by $\mathcal{A}^{(i)}$ the equivalent automaton of \mathcal{A} , where the behavior of pebbles $1, \dots, i$ are one-way and deterministic. By this notation, $\mathcal{A}^{(k)}$ is the equivalent one-way, deterministic version of \mathcal{A} .

E.1 Determinizing pebble 1

The determinization follows closely the one described in [11, Section 4]. For completeness, we present it here. The end result of the determinization is such that pebble 1 is placed in the left-end marker \triangleleft and lifted when it reaches the right-end marker \triangleright .

We need a few notations. For each $q \in Q$, we define a new symbol \bar{q} . We denote by $\bar{Q} = \{\bar{q} : q \in Q\}$. If $A \subseteq Q$, then $\bar{A} = \{\bar{p} : p \in A\}$. We define a *term* to be an object of the form $q \rightarrow A$ where $q \in Q$ and $A \subseteq Q \cup \bar{Q}$. A term $q \rightarrow A$ is *closed*, if $A \subseteq \bar{Q}$. A *partial response* is a set of terms, while a *response* is a set of closed terms.

Let $w = \binom{a_1}{d_1} \cdots \binom{a_n}{d_n}$ be a data word and θ be a pebble-1 assignment. The determinization of pebble 1 depends on the following three concepts: *response* $\mathcal{R}(w, \theta)$, *partial response* $\mathcal{PR}(w, \theta)$ and the *proof system* $\mathcal{S}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$. We will define these concepts one by one starting with the response $\mathcal{R}(w, \theta)$.

The response $\mathcal{R}(w, \theta)$ is defined as follows. For a set $S \subseteq Q$, a closed term $q \rightarrow \bar{S}$ belongs to $\mathcal{R}(w, \theta)$ if there exists a computation tree \mathcal{T} of \mathcal{A} on w whose root is labelled with $[1, q, \theta]$ such that

- if $\theta(1) \leq n$, then each leaf is labelled with $[1, p, \text{Succ}(\theta)]$ for some $p \in S$;
- if $\theta(1) = n + 1$, then each leaf is labelled with $[2, p, \text{Succ}(\theta)]$ for some $p \in S$;
- each internal node in the computation tree \mathcal{T} is labelled with $[1, q', \theta']$, where $q' \in Q$ and $0 \leq \theta'(1) \leq \theta(1)$; and
- for each $p \in S$, there exists a leaf labelled with $[1, p, \text{Succ}(\theta)]$.

Remark 1. Let w_1, w_2 be data words. Let θ_1 and θ_2 be pebble-1 assignments on $\triangleleft w_1 \triangleright$ and $\triangleleft w_2 \triangleright$, respectively, such that $\theta_1(1) = \theta_2(1) = 0$. That is, on both assignments pebble 1 is reading the left-end marker \triangleleft . Then, $\mathcal{R}(w_1, \theta_1) = \mathcal{R}(w_2, \theta_2)$.

Now we define the partial response $\mathcal{PR}(w, \theta)$ as follows. For a set $S \subseteq Q \cup \bar{Q}$, a term $q \rightarrow S$ belongs to $\mathcal{PR}(w, \theta)$ if there exists a computation tree \mathcal{T} of \mathcal{A} on w whose root is labelled with $[1, q, \theta]$ such that

- if $\theta(1) \leq n$, then each leaf is labelled with either $[1, p, \text{Succ}(\theta)]$ for some $\bar{p} \in S$ or $[1, p, \theta]$ for some $p \in S$;

- if $\theta(1) = n + 1$, each leaf is labelled with either $[2, p, \text{Succ}(\theta)]$ for some $\bar{p} \in S$ or $[1, p, \theta]$ for some $p \in S$;
- each internal node in the computation tree \mathcal{T} is labelled with $[1, q', \theta']$, where $q' \in Q_1$ and $0 \leq \theta'(1) \leq \theta(1)$;
- if $\theta(1) \leq n$, for each $\bar{p} \in S$, there exists a leaf labelled with $[1, p, \text{Succ}(\theta)]$;
- if $\theta(1) = n + 1$, for each $\bar{p} \in S$, there exists a leaf labelled with $[2, p, \text{Succ}(\theta)]$;
and
- for each $p \in S$, there exists a leaf labelled with $[1, p, \theta]$.

We call the tree \mathcal{T} a *witness* for $q \rightarrow S \in \mathcal{PR}(w, \theta)$.

We define a *proof system* for $\mathcal{S}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$, where $a \in \Sigma$, $P, V \subseteq \{2, \dots, k\}$ and a response \mathcal{R} , as follows.

1. $\frac{}{q \rightarrow \{q\}}$
2. $\frac{q \rightarrow B \cup \{p\}, p \rightarrow C}{q \rightarrow B \cup C}$
3. $\frac{(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p_i, \text{stay}) \in \mu_1 \text{ for each } i = 1, \dots, m \text{ and } q \in U}{q \rightarrow \{p_1, \dots, p_m\}}$
4. $\frac{(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{stay}) \in \mu_1 \text{ and } p \notin U}{q \rightarrow \{p\}}$
5. $\frac{(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{right}) \in \mu_1}{q \rightarrow \{\bar{p}\}}$
6. $\frac{(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{left}) \in \mu_1 \text{ and } p \rightarrow \bar{S} \in \mathcal{R} \text{ and } S \subseteq Q_1}{q \rightarrow S}$
7. $\frac{(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{lift-pebble}) \text{ if } a = \triangleright \text{ and } P, V = \emptyset}{q \rightarrow \{\bar{p}\}}$

We denote by $\text{TH}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$ be the set of terms “provable” using the proof system $\mathcal{S}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$.

The following claim is the pebble 1 counter part of a similar claim in [11, pp. 149].

Claim. For every word $w = \binom{a_1}{b_1} \cdots \binom{a_n}{b_n}$ and pebble-1 assignment θ on $\langle w \triangleright \rangle$,

$$\mathcal{PR}(w, \theta) = \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11}),$$

where

- $1 \leq \theta(1) \leq n + 1$;
- $P = \{l : \theta(l) = \theta(1)\}$;
- $V_{00} = \{l > i : a_{\theta(l)} = a_{\theta(i)}\}$;
- $V_{10} = \{l > i : b_{\theta(l)} = a_{\theta(i)}\}$;
- $V_{10} = \{l > i : a_{\theta(l)} = b_{\theta(i)}\}$; and
- $V_{11} = \{l > i : b_{\theta(l)} = b_{\theta(i)}\}$.

Proof. The proof follows closely the similar proof in [11]. First, we show that $\mathcal{PR}(w, \theta) \subseteq \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$ inductively on the size of witnesses for terms in $\mathcal{PR}(w, \theta)$. Let $q \rightarrow S \in \mathcal{PR}(w, \theta)$. The basis is when the witness for $q \rightarrow S \in$

$\mathcal{PR}(w, \theta)$ consists of a single node with the label $[1, q, \theta]$. Then, $S = \{q\}$ and $q \rightarrow \{q\}$ is provable using rule 1.

For the induction step, suppose $q \rightarrow S \in \mathcal{PR}(w, \theta)$ is witnessed by a tree \mathcal{T} with more than one node. There are five cases to consider:

1. The state q is a universal state, that is, $q \in U_1$. Let

$$(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p_1, \text{stay}) \in \mu_1;$$

⋮

$$(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p_m, \text{stay}) \in \mu_1;$$

In this case, the root of \mathcal{T} is labelled with $[1, q, \theta]$ and its immediate children π_1, \dots, π_m are labelled with $[1, p_1, \theta], \dots, [1, p_m, \theta]$, respectively. The complete subtree rooted at π_i witnesses $p_i \rightarrow S_i \in \mathcal{PR}(w, \theta)$, where S_i is the set of states in the labels of the leafs in the subtree. Furthermore, $S_1 \cup \dots \cup S_m = S$. By the induction hypothesis, $p_i \rightarrow S_i \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$. Combining rules 2 and 3, we obtain $q \rightarrow S \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$.

2. The state q is a nondeterministic state, that is, $q \in N_1$. Let

$$(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p_1, \text{stay}) \in \mu_1;$$

⋮

$$(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p_m, \text{stay}) \in \mu_1;$$

Or, if q is a deterministic state, i.e. $q \in D_1$, then $m = 1$. This case is just like case 1 above, except that we use rules 4 and 2.

3. $(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{right}) \in \mu_1$. In this case, $S = \{\bar{p}\}$. By rule 5, we have $q \rightarrow \{\bar{p}\} \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$.
4. $(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{left-pebble}) \in \mu_1$, where $a = \triangleright, P, V = \emptyset$. In this case, $S = \{\bar{p}\}$. By rule 7, we have $q \rightarrow \{\bar{p}\} \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$.
5. $(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{left}) \in \mu_1$. The child π of the root of \mathcal{T} has the label $[1, p, \text{Pred}(\theta)]$. Every path from π to a leaf of \mathcal{T} must pass through a node with label of the form $[1, r, \theta]$. That is, pebble 1 must return to the position $\theta(1)$ again.

Let $\Lambda = \{\rho_1, \dots, \rho_l\}$ be the descendants of π with the properties

- (a) each ρ_i is labelled with $[1, r_i, \theta]$,
- (b) no node between π and ρ_i has a label with the third coordinate θ ,
- (c) every path from π to a leaf passes through a node in Λ .

Let \mathcal{T}' be the unique subtree of \mathcal{T} whose root is π and whose set of leaves is Λ . Then, \mathcal{T}' is a witness of $p \rightarrow \{\bar{r}_1, \dots, \bar{r}_l\} \in \mathcal{PR}(w, \text{Pred}(\theta))$. Since this is a closed term, then $p \rightarrow \{\bar{r}_1, \dots, \bar{r}_l\} \in \mathcal{R}(w, \text{Pred}(\theta))$. By rule 6, $q \rightarrow \{\bar{r}_1, \dots, \bar{r}_l\} \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$. The complete subtree of \mathcal{T} rooted at ρ_i witnesses $r_i \rightarrow S_i \in \mathcal{PR}(w, \theta)$, where S_i is the set of states in the labels of the leafs in the subtree. By the induction hypothesis, $r_i \rightarrow S_i \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$. Applying rule 2, we obtain $q \rightarrow \bigcup_{1 \leq i \leq l} S_i \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$. Since $\bigcup_{1 \leq i \leq l} S_i = S$, this case follows.

Now we prove that $\text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11}) \subseteq \mathcal{PR}(w, \theta)$ by induction on the proof length. Suppose $q \rightarrow S \in \text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11})$ has a proof length ≥ 1 .

- If the last step of the proof (from which $q \rightarrow S$ is concluded) is an application of rules 1, 3, 4, 5, or 7, then it is immediate that there is a computation tree that witnesses $q \rightarrow S \in \mathcal{PR}(w, \theta)$.
- If the last step of the proof is an application of rule 2, then suppose $q \rightarrow A \cup \{p\}$ and $p \rightarrow B$ are the antecedents from which $q \rightarrow A \cup B$ is concluded ($S = A \cup B$). By the induction hypothesis, there are computation trees \mathcal{T} and \mathcal{T}' which witness $q \rightarrow A \cup \{p\}$ and $p \rightarrow B$, respectively. If each leaf of \mathcal{T} labelled with $[1, p, \theta]$ is replaced with the tree \mathcal{T}' (whose root is labelled with $[1, p, \theta]$), then the resulting tree witnesses $q \rightarrow A \cup B \in \mathcal{PR}(w, \theta)$.
- If the last step of the proof is an application of rule 6, then suppose that $q \rightarrow A$ is concluded from

$$(1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{left}) \text{ and } p \rightarrow \bar{S} \in \mathcal{R}(w, \text{Pred}(\theta)) \text{ and } S \subseteq Q_1.$$

Since $p \rightarrow \bar{S} \in \mathcal{R}(w, \text{Pred}(\theta))$, then there exists a computation tree \mathcal{T}' such that

- the root of \mathcal{T}' is labelled with $[1, p, \text{Pred}(\theta)]$;
- the leaf of \mathcal{T}' is labelled with $[1, r, \theta]$ for some $r \in S$;
- for each $r \in S$, there is a leaf of \mathcal{T}' labelled with $[1, r, \theta]$.

Now we can construct a tree \mathcal{T} such that

- the root of \mathcal{T} is labelled with $[1, q, \theta]$;
- the root has only one immediate child π labelled with $[1, p, \text{Pred}(\theta)]$;
- the subtree rooted at π is the tree \mathcal{T}' .

The tree \mathcal{T} is a witness of the term $q \rightarrow S \in \mathcal{PR}(w, \theta)$.

This completes the proof of the claim.

We denote by $\text{CTH}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$ the set of closed terms in $\text{TH}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$. Since, by Claim E.1, $\text{TH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11}) = \mathcal{PR}(w, \theta)$, thus,

$$\text{CTH}(\mathcal{R}(w, \text{Pred}(\theta)), P, V_{00}, V_{01}, V_{10}, V_{11}) = \mathcal{R}(w, \theta).$$

The determinization of μ_1 is done precisely by means of this equation. Loosely speaking, the set of “states” of the deterministic version of μ_1 are roughly the set of responses $\mathcal{R}(w, \theta)$. There are only finitely many such responses. From the “state” $\mathcal{R}(w, \text{Pred}(\theta))$, if pebble 1 reads the “input” $P, V_{00}, V_{01}, V_{10}, V_{11}$, then it deterministically moves right and enters the state (\mathcal{R}, θ) .

In the following paragraphs we will describe this idea more precisely. But before we do that, we need to make a bit of modification on the behavior of pebble 2.

Let $\tilde{Q}_2, \tilde{\mu}_2, \tilde{U}_2, \tilde{N}_2, \tilde{D}_2$ be the modification of $Q_2, \mu_2, U_2, N_2, D_2$, respectively, as follows. For a set B , we write 2^B to denote the power set of B .

- $\tilde{Q}_2 = Q_2 \cup 2^{Q_2} \cup 2^{2^{Q_2}}$;
- $\tilde{U}_2 = U_2 \cup (2^{Q_2} - \{\emptyset\})$;
- $\tilde{N}_2 = N_2 \cup 2^{2^{Q_2}}$;

– $\tilde{D}_2 = D_2$.

The set of transitions $\tilde{\mu}_2$ is the set μ_2 plus the following transitions.

1. For every $a \in \Sigma$, $P, V \subseteq \{3, \dots, k\}$, $S_1, \dots, S_m \subseteq Q_2$,

$$(2, P, V_{00}, V_{01}, V_{10}, V_{11}, \{S_1, \dots, S_m\}) \rightarrow (S_i, \text{stay}) \in \tilde{\mu}_2, \text{ for each } i = 1, 2, \dots, m.$$

That is, from the state $\{S_1, \dots, S_m\} \in \tilde{Q}_2$ pebble 2 performs existential branching. Recall that the state $\{S_1, \dots, S_m\} \in \tilde{Q}_2$ is a nondeterministic state.

2. For every $a \in \Sigma$, $P, V \subseteq \{3, \dots, k\}$, $S \subseteq Q_2$, we have the following transition in $\tilde{\mu}_2$.

$$(2, P, V_{00}, V_{01}, V_{10}, V_{11}, S) \rightarrow (q, \text{stay}) \in \tilde{\mu}_2, \text{ for each } q \in S.$$

That is, from the state $S \subseteq Q_2$ pebble 2 performs universal branching.

Recall that the state $S \in \tilde{Q}_2$ is a universal state.

3. We replace each transition $(2, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{place-pebble}) \in \mu_2$ with the following transition

$$(2, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow ((p, \emptyset), \text{place-pebble}) \in \tilde{\mu}_2.$$

In other words, $\tilde{\mu}_2$ no longer contains the transition $(2, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{place-pebble})$. Rather, it contains the transition $(2, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow ((p, \emptyset), \text{place-pebble})$.

All other transitions in μ_2 remain in $\tilde{\mu}_2$.

Now we define the sets of states Q'_1 and the set of transitions μ'_1 for deterministic pebble 1. We use the “prime” sign, as in μ'_1 , to indicate that the behavior of pebble 1 (as described by μ'_1) is deterministic. On the other hand, the “tilde” sign, as in $\tilde{\mu}_2$, is used to indicate that the behavior of pebble 2 (as described by $\tilde{\mu}_2$) is still alternating.

– Q'_1 consists of elements of the form (q, \mathcal{R}) , where $q \in Q_1$ and \mathcal{R} is a response;

– μ'_1 consists of the following transitions. For each $q \in Q_1$,

1. $(1, \triangleleft, \emptyset, \emptyset, (q, \emptyset)) \rightarrow ((q, \mathcal{R}), \text{right}) \in \mu'_1$, where $\mathcal{R} = \mathcal{R}(w, \theta)$, for some w and θ such that $\theta(1) = 0$.

By Remark 1, such $\mathcal{R}(w, \theta)$ is well defined.

2. For every response \mathcal{R} and $P, V \subseteq \{2, \dots, k\}$,

$$(1, P, V_{00}, V_{01}, V_{10}, V_{11}, (q, \mathcal{R})) \rightarrow ((q, \text{CTH}(\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})), \text{right}) \in \mu'_1.$$

3. $(1, \triangleright, \emptyset, \emptyset, (q, \mathcal{R})) \rightarrow (\{S_1, \dots, S_m\}, \text{lift-pebble})$, where for each $j = 1, \dots, m$,

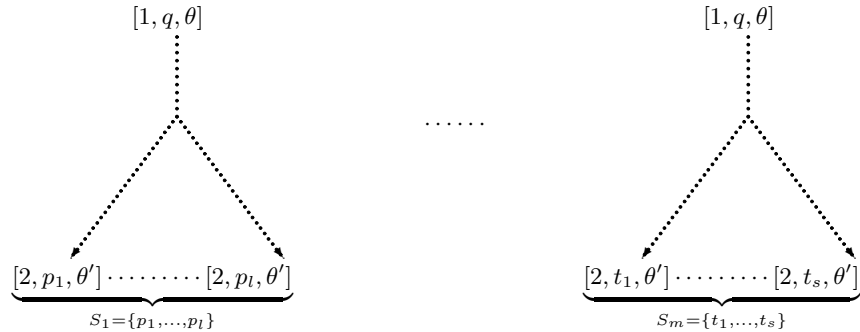
- $q \rightarrow \bar{S}_j \in \text{CTH}(\mathcal{R}, \triangleright, \emptyset, \emptyset)$;
- $S_j \subseteq Q_2$.

Intuitively transitions in item 3 of μ'_1 mean the following. Let $\mathcal{R} = \mathcal{R}(w, \theta)$ and θ is pebble-1 assignment, where $\theta(1) = n + 1$ and n is the length of w . Let θ' is pebble-2 assignment such that for $i = 2, \dots, k$, $\theta(i) = \theta'(i)$.

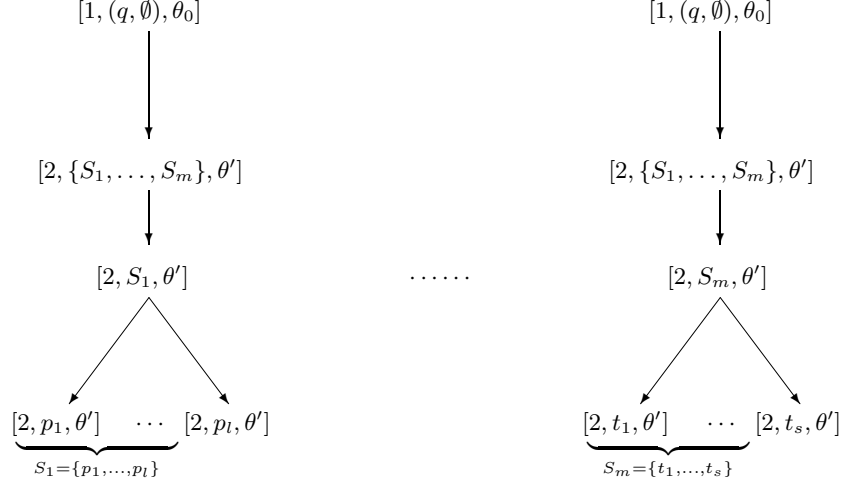
That the closed term $q \rightarrow \bar{S}_j$ belongs to $\text{CTH}(\mathcal{R}, \triangleright, \emptyset, \emptyset)$ means that there exists a computation tree \mathcal{T} such that

- the root is labelled with the configuration $[1, q, \theta]$;
- all the non leaf nodes are labelled with 1-configurations, that is, configurations where the head pebble is pebble 1;
- all the leaf is labelled with the configuration $[1, p, \theta']$, for some $p \in S_j$;
- for each $p \in S_j$, there exists a leaf with the configuration $[2, p, \theta']$.

Since $\text{CTH}(\mathcal{R}, \triangleright, \emptyset, \emptyset)$ contains the closed terms $q \rightarrow \bar{S}_1, \dots, q \rightarrow \bar{S}_m$, it means that there are only m possible “choices” of sets of states once pebble 1 is lifted, that is, S_1, \dots, S_m . See picture below.



So, once we have deterministically simulated pebble 1, we have to indicate to the automaton that there are m possible “choices” of sets of states for pebble 2, hence, the state $\{S_1, \dots, S_m\} \in 2^{2^{Q_2}}$. From this state the automaton nondeterministically chooses which set of states pebble 2 enters. Suppose it chooses the set S_j . Then, from S_j the automaton branches conjunctively into each state in S_j . See picture below.



We now show that $\mu_1 \cup \mu_2$ and $\mu'_1 \cup \tilde{\mu}_2$ are “equivalent.” Recall that for a subset $X \subseteq \mu$, recall that $\gamma \vdash_X \gamma'$ denotes that the relation $\gamma \vdash \gamma'$ is obtained by means of a transition in X .

Let $w = \binom{a_1}{b_1} \cdots \binom{a_n}{b_n}$ be a data word and θ be a pebble-2 assignment on $\langle w \rangle$. For each $i = 0, \dots, n+1$, we also denote by θ_i a pebble-1 assignment such that

$$\theta_i(j) = \begin{cases} \theta(j), & \text{if } j = 2, \dots, k, \\ i, & \text{if } j = 1. \end{cases}$$

First, we show that transitions in μ_1 and μ_2 can be “correctly” simulated by transitions in μ'_1 and $\tilde{\mu}_2$. Suppose

$$[2, p_1, \theta] \vdash_{\mu_2} [1, p_2, \theta_{n+1}] \vdash_{\mu_1}^* [1, p_3, \theta_{n+1}] \vdash_{\mu_1} [2, p_4, \theta].$$

Thus, this means that there exists a closed term $p_2 \rightarrow \bar{S} \in \mathcal{R}(w, \theta_{n+1})$ such that $S \subseteq Q_2$ and $p_4 \in S$.

Now we are going to show that there exists a “deterministic” run by means of the transitions in μ'_1 and $\tilde{\mu}_2$ from the configuration $[2, p_1, \theta]$ to the configuration $[2, p_4, \theta]$.

By the construction of $\tilde{\mu}_2$, we have

$$[2, p_1, \theta] \vdash_{\tilde{\mu}_2} [1, (p_2, \emptyset), \theta_0]. \quad (1)$$

Then, by the construction of μ'_1 ,

$$[1, (p_2, \emptyset), \theta_0] \vdash_{\mu'_1} [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_0)), \theta_1]; \quad (2)$$

Furthermore, applying Claim 1 repeatedly, we obtain

$$\begin{aligned} [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_0)), \theta_1] &\vdash_{\mu'_1} [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_1)), \theta_2] \\ [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_1)), \theta_2] &\vdash_{\mu'_1} [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_2)), \theta_3] \\ &\vdots \\ [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_{n-1})), \theta_n] &\vdash_{\mu'_1} [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}] \end{aligned}$$

Thus, we obtain

$$[1, (p_2, \mathcal{R}(\langle w \rangle, \theta_0)), \theta_1] \vdash_{\mu'_1}^* [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}] \quad (3)$$

Again, by the construction of μ'_1 , we have

$$[1, (p_2, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}] \vdash_{\mu'_1} [2, \{S_1, \dots, S_m\}, \theta], \quad (4)$$

where for each $j = 1, \dots, m$, $p_2 \rightarrow S_j \in \text{CTH}(\langle w \rangle, \theta_{n+1})$.

Suppose that $S_1 = S$. Again, by the construction of $\tilde{\mu}_2$, we have

$$[2, \{S_1, \dots, S_m\}, \theta] \vdash_{\tilde{\mu}_2} [2, S_1, \theta]. \quad (5)$$

and since $p_4 \in S$,

$$[2, S_1, \theta] \vdash_{\tilde{\mu}_2} [2, p_4, \theta]. \quad (6)$$

Now, combining Equations (1)–(6), we obtain the run

1. $[2, p_1, \theta] \vdash_{\tilde{\mu}_2} [1, (p_2, \emptyset), \theta_0]$;
2. $[1, (p_2, \emptyset), \theta_0] \vdash_{\mu'_1}^* [1, (p_2, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}]$;
3. $[1, (p_2, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}] \vdash_{\mu'_1} [2, \{S_1, \dots, S_m\}, \theta]$;
4. $[2, \{S_1, \dots, S_m\}, \theta] \vdash_{\tilde{\mu}_2} [2, S_1, \theta]$;
5. $[2, S_1, \theta] \vdash_{\tilde{\mu}_2} [2, p_4, \theta]$.

Vice versa, now we show that transitions in μ'_1 and $\tilde{\mu}_2$ can be “correctly” simulated by transitions in μ_1 and μ_2 . Suppose we have the following relations:

1. $[2, q, \theta] \vdash_{\tilde{\mu}_2} [1, (p, \emptyset), \theta_0]$;
2. $[1, (p, \emptyset), \theta_0] \vdash_{\mu'_1} [1, (p, \mathcal{R}(\langle w \rangle, \theta_0)), \theta_1]$;
3. $[1, (p, \mathcal{R}(\langle w \rangle, \theta_0)), \theta_1] \vdash_{\mu'_1} \dots \vdash_{\mu'_1} [1, (p, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}]$;
4. $[1, (p, \mathcal{R}(\langle w \rangle, \theta_n)), \theta_{n+1}] \vdash_{\mu'_1} [2, \{S_1, \dots, S_m\}, \theta]$;
5. $[2, \{S_1, \dots, S_m\}, \theta] \vdash_{\tilde{\mu}_2} [2, S_i, \theta]$;
6. $[2, S_i, \theta] \vdash_{\tilde{\mu}_2} [2, s, \theta]$, for each $s \in S_i$.

Now, from the construction of $\tilde{\mu}_2$, Relation (1) implies that the relation below holds.

$$[2, q, \theta] \vdash_{\mu_2} [1, p, \theta_{n+1}].$$

From the construction of μ'_1 and Claim E.1, Relations (2)–(4) implies that

$$p \rightarrow \bar{S}_i \in \mathcal{R}(\langle w \rangle, \theta_{n+1}), \text{ where } S_i \subseteq Q_2.$$

This means that for each $s \in S_i$,

$$[1, p, \theta_{n+1}] \vdash_{\mu_1}^* [2, s, \theta], \text{ where } s \in S_i.$$

This completes the proof that $\mu_1 \cup \mu_2$ are “equivalent” to $\mu'_1 \cup \tilde{\mu}_2$.

E.2 Determinizing pebble i

Now, assuming that the behavior of pebbles $1, \dots, i-1$ are one-way and deterministic, we will determinize pebble i . The end result of the determinization is such that pebble i is placed in the left-end marker \triangleleft and lifted when it reaches the right-end marker \triangleright .

The idea is very similar to the one in Subsection E.1, with the exception that now during the computation pebble i can place pebble $(i-1)$. The effect of such placement is the state of pebble i changes. Figure 1 below is an example of a sequence of moves of pebble 2 of a two pebble automaton \mathcal{A} on $\binom{a_1}{b_1} \binom{a_2}{b_2} \binom{a_3}{b_3} \binom{a_4}{b_4}$. Recall by our normalization of \mathcal{A} in Section 3, the computation starts with pebble 2 above the right-end marker \triangleright . We assume that the behavior of pebble 1 is already determinized in the manner explained in the previous subsection.

	\triangleleft	$\binom{a_1}{b_1}$	$\binom{a_2}{b_2}$	$\binom{a_3}{b_3}$	$\binom{a_4}{b_4}$	\triangleright
pebble 2	$q_5 \leftarrow$	$q_4 \leftarrow$	$q_3 \leftarrow$	$(q_2, q'_2) \leftarrow$	$q_1 \leftarrow$	q_0
	\hookrightarrow	$q_6 \rightarrow$	$q_7 \rightarrow$	$q_8 \rightarrow$	$q_9 \rightarrow$	$q_{10} \rightarrow q_f$
pebble 1 (q_2, q'_2)	$q_{11} \rightarrow$	$q_{12} \rightarrow$	$q_{13} \rightarrow$	$q_{14} \rightarrow$	$q_{15} \rightarrow$	q_{16}

Fig. 1. A sequence of moves of \mathcal{A} on $\binom{a_1}{b_1} \binom{a_2}{b_2} \binom{a_3}{b_3} \binom{a_4}{b_4}$.

For example, the pair (q_2, q'_2) in the run of pebble 1 indicates that pebble 2 first arrives at the symbol $\binom{a_3}{b_3}$ when pebble 2 is in the state q_2 , upon which pebble 1 is placed. When pebble 1 has finally finished its computation, that is, when it is lifted after reading the right-end marker \triangleright , \mathcal{A} enters the state q'_2 from which pebble 2 continues the computation. This pair (q_2, q'_2) can be viewed as a term $q_2 \rightarrow \{q'_2\}$ and has to be included as an “axiom” in the proof system $\text{TH}(\mathcal{R}, a, \emptyset, \emptyset)$. This will be made more precise in the next paragraphs.

Let Q_1, \dots, Q_{i-1} be the set of states of pebbles $1, \dots, (i-1)$, respectively, and μ_1, \dots, μ_{i-1} be the set of transitions of pebbles $1, \dots, (i-1)$, respectively. We assume that the behavior of pebbles $1, \dots, i-1$, according to μ_1, \dots, μ_{i-1} , is deterministic.

Let $w = \binom{a_1}{b_1} \dots \binom{a_n}{b_n}$ and θ be a pebble- i assignment on w . We define a set of terms $\wp(\mu_i, w, \theta)$ as follows. For $p, q \in Q_i$, the term $p \rightarrow \{q\} \in \wp(\mu_i, w, \theta)$ if and only if there exists $s_1, s_2 \in Q_{i-1}$ such that

1. $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, p) \rightarrow (s_1, \text{place-pebble}) \in \mu_i$, where
 - $P = \{l > i : \theta(l) = \theta(i)\}$;
 - $V_{00} = \{l > i : a_{\theta(l)} = a_{\theta(i)}\}$;
 - $V_{10} = \{l > i : b_{\theta(l)} = a_{\theta(i)}\}$;
 - $V_{10} = \{l > i : a_{\theta(l)} = b_{\theta(i)}\}$; and
 - $V_{11} = \{l > i : b_{\theta(l)} = b_{\theta(i)}\}$.
2. $[i-1, s_1, \theta_0] \vdash^* [i-1, s_2, \theta_{n+1}]$ is an $(i-1)$ -run, where $\theta_0(i-1) = 0, \theta_{n+1}(i-1) = n+1$ and $\theta_0(j) = \theta_{n+1}(j) = \theta(j)$, for all $j = i, \dots, k$.
3. $(i, \triangleright, \emptyset, \emptyset, s_2) \rightarrow (q, \text{lift-pebble}) \in \mu_{i-1}$.

Since pebbles $1, \dots, (i-1)$ all behave deterministically, for each $p \in Q_{i, \text{place}}$, there exists exactly one $q \in Q_i$ such that the term $p \rightarrow \{q\} \in \wp(\mathcal{A}_i, w, \theta)$.

For a pebble- i assignment θ , we define the response $\mathcal{R}(w, \theta)$ as follows. For a set $S \subseteq Q$, a closed term $q \rightarrow \bar{S}$ belongs to $\mathcal{R}(w, \theta)$ if there exists a computation tree \mathcal{T} of \mathcal{A} on w whose root is labelled with $[i, q, \theta]$ such that

- if $\theta(i) \leq n$, then each leaf is labelled with $[i, p, \text{Succ}(\theta)]$ for some $p \in S$;
- if $\theta(i) = n+1$, then each leaf is labelled with $[i+1, p, \text{Succ}(\theta)]$ for some $p \in S$;
- each internal node in \mathcal{T} is labelled with $[j, q', \theta']$, where
 1. $j \leq i$; and
 2. if $j = i$, then $0 \leq \theta'(i) \leq \theta(i)$.
- for each $p \in S$, there exists a leaf labelled with $[1, p, \text{Succ}(\theta)]$.

Similarly, we define the partial response $\mathcal{PR}(w, \theta)$ as follows. For a set $S \subseteq Q \cup \bar{Q}$, a term $q \rightarrow S$ belongs to $\mathcal{PR}(w, \theta)$ if there exists a computation tree \mathcal{T} of \mathcal{A} on w whose root is labelled with $[i, q, \theta]$ such that

- if $\theta(i) \leq n$, then each leaf is labelled with either $[i, p, \text{Succ}(\theta)]$ for some $\bar{p} \in S$ or $[i, p, \theta]$ for some $p \in S$;
- if $\theta(i) = n+1$, each leaf is labelled with either $[i+1, p, \text{Succ}(\theta)]$ for some $\bar{p} \in S$ or $[i, p, \theta]$ for some $p \in S$;
- each internal node in \mathcal{T} is labelled with $[j, q', \theta']$, where
 1. $j \leq i$; and
 2. if $j = i$, then $0 \leq \theta'(i) \leq \theta(i)$;
- if $\theta(i) \leq n$, for each $\bar{p} \in S$, there exists a leaf labelled with $[i, p, \text{Succ}(\theta)]$;
- if $\theta(i) = n+1$, for each $\bar{p} \in S$, there exists a leaf labelled with $[i+1, p, \text{Succ}(\theta)]$;
- and
- for each $p \in S$, there exists a leaf labelled with $[i, p, \theta]$.

The following claim is the generalization of Claim E.1 and the proof is similar, thus, omitted.

Claim. For every word $w = \binom{a_1}{b_1} \cdots \binom{a_n}{b_n}$ and pebble- i assignment θ on $\langle w \rangle$,

$$\mathcal{PR}(w, \theta) = \text{TH}(\mathcal{P}, P, V_{00}, V_{01}, V_{10}, V_{11}),$$

where

- $\mathcal{P} = \mathcal{R}(w, \text{Pred}(\theta)) \cup \wp(\mu_i, w, \theta)$;
- $1 \leq \theta(i) \leq n+1$;
- $P = \{l > i : \theta(l) = \theta(i)\}$;
- $V_{00} = \{l > i : a_{\theta(l)} = a_{\theta(i)}\}$;
- $V_{10} = \{l > i : b_{\theta(l)} = a_{\theta(i)}\}$;
- $V_{10} = \{l > i : a_{\theta(l)} = b_{\theta(i)}\}$; and
- $V_{11} = \{l > i : b_{\theta(l)} = b_{\theta(i)}\}$.

We will describe intuitively how to simulate pebble i deterministically in the following paragraph. The “main” states of pebble i will still be of the form (q, \mathcal{R}) , where $q \in Q_i$ and \mathcal{R} is a response.

Let $w = \binom{a_1}{b_1} \cdots \binom{a_n}{b_n}$ be an input word and θ be a pebble- i assignment such that $1 \leq \theta(i) \leq n$. Let \mathcal{R} be a response. From the configuration $[i, (q, \mathcal{R}), \theta]$, pebble i performs the following.

1. Places pebble $(i - 1)$ and simulates it starting from each possible state, in order to obtain the set of terms $\wp(\mu_i, w, \theta)$.
2. Let $\mathcal{P} = \mathcal{R} \cup \wp(\mu_i, w, \theta)$.

Then, pebble i enters the state $(q, \text{CTH}(\mathcal{P}, P, V_{00}, V_{01}, V_{10}, V_{11}))$ and moves right, where

- $a = a_{\theta(i)}$;
- $P = \{l > i : \theta(l) = \theta(i)\}$;
- $V_{00} = \{l > i : a_{\theta(l)} = a_{\theta(i)}\}$;
- $V_{10} = \{l > i : b_{\theta(l)} = a_{\theta(i)}\}$;
- $V_{10} = \{l > i : a_{\theta(l)} = b_{\theta(i)}\}$; and
- $V_{11} = \{l > i : b_{\theta(l)} = b_{\theta(i)}\}$.

The formal description is given below. Let Q_1, \dots, Q_i be the sets of states of pebbles $1, \dots, i$, respectively, and μ_1, \dots, μ_i be the sets of transitions of pebbles $1, \dots, i$, respectively. Recall that the behavior of the pebbles $1, \dots, (i-1)$, according to μ_1, \dots, μ_{i-1} , is deterministic.

Similar to the case of pebble 1, we need to make a bit of modification on the behavior of pebble $(i + 1)$. Let $\tilde{Q}_{i+1}, \tilde{\mu}_{i+1}, \tilde{U}_{i+1}, \tilde{N}_{i+1}, \tilde{D}_{i+1}$ be the modification of $Q_{i+1}, \mu_{i+1}, U_{i+1}, N_{i+1}, D_{i+1}$, respectively, as follows.

- $\tilde{Q}_{i+1} = Q_{i+1} \cup 2^{Q_{i+1}} \cup 2^{2^{Q_{i+1}}}$;
- $\tilde{U}_{i+1} = U_{i+1} \cup 2^{Q_{i+1}} - \{\emptyset\}$;
- $\tilde{N}_{i+1} = N_{i+1} \cup 2^{2^{Q_{i+1}}}$;
- $\tilde{D}_{i+1} = D_{i+1}$.

The set of transitions $\tilde{\mu}_{i+1}$ is the set μ_{i+1} plus the following transitions:

1. For every label $a \in \Sigma$, sets $P, V \subseteq \{i + 2, \dots, k\}$, and sets $S_1, \dots, S_m \subseteq Q_{i+1}$,

$$(i+1, P, V_{00}, V_{01}, V_{10}, V_{11}, \{S_1, \dots, S_m\}) \rightarrow (S_j, \text{stay}) \in \tilde{\mu}_{i+1}, \text{ for each } j = 1, \dots, m.$$

That is, from the state $\{S_1, \dots, S_m\} \in \tilde{Q}_{i+1}$ pebble $(i + 1)$ performs existential branching.

Recall that the state $\{S_1, \dots, S_m\} \in \tilde{Q}_{i+1}$ is a nondeterministic state.

2. For every $a, P, V, S \subseteq Q_{i+1}$, we have the following transition in $\tilde{\mu}_{i+1}$.

$$(i + 1, P, V_{00}, V_{01}, V_{10}, V_{11}, S) \rightarrow (q, \text{stay}) \in \tilde{\mu}_{i+1}, \text{ for each } q \in S.$$

That is, from the state $S \in \tilde{Q}_{i+1}$ pebble $(i + 1)$ performs universal branching.

Recall that the state $S \in \tilde{Q}_{i+1}$ is a universal state.

3. We replace each transition $(i+1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{place-pebble}) \in \mu_{i+1}$ with the following transition in $\tilde{\mu}_{i+1}$

$$(i + 1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow ((p, \emptyset), \text{place-pebble}) \in \tilde{\mu}_{i+1}.$$

That is, $\tilde{\mu}_{i+1}$ no longer contains $(i+1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow (p, \text{place-pebble})$, rather it contains $(i + 1, P, V_{00}, V_{01}, V_{10}, V_{11}, q) \rightarrow ((p, \emptyset), \text{place-pebble})$.

All other transitions in μ_{i+1} remain in $\tilde{\mu}_{i+1}$.

Now, we define the sets of states Q'_1, \dots, Q'_i and the sets of transitions μ'_1, \dots, μ'_i such that the behavior of pebbles $1, \dots, i$, according to μ'_1, \dots, μ'_i , is deterministic. We start with defining the sets of states Q'_1, \dots, Q'_i .

1. Q'_i consists of elements of the forms
 - (q, \mathcal{PR}) where $q \in Q_i$ and \mathcal{PR} is a *partial response*;
 - (q, X, \mathcal{PR}) where $q \in Q_i$, $X \subseteq Q_{i, \text{place}}$ and \mathcal{PR} is a *partial response*.

The intuitive meaning of the state (q, \mathcal{PR}) is like in the previous subsection. The purpose of the state (q, X, \mathcal{PR}) is for simulating pebble $(i-1)$ in order to compute the set \wp . The set X is supposed to contain the states of pebble i from which the automaton has yet to simulate pebble $(i-1)$.

2. For each $j = 1, \dots, i-1$, the states in Q'_j are of the form

$$((q, X, \mathcal{PR}, s), p)$$

where $q \in Q_i$, $X \subseteq Q_{i, \text{place}}$, \mathcal{PR} is a partial response, $s \in Q_{i, \text{place}}$ and $p \in Q_j$. The intuitive meaning of these states is as follows.

- The triple (q, X, \mathcal{PR}) is to remember the state of pebble i while simulating pebble $(i-1)$.
- The component $s \in Q_{i, \text{place}}$ is to remember the starting state of the simulation of pebble $(i-1)$.
- The last component $p \in Q_j$ is the current state of the simulation.

The sets of transitions μ'_1, \dots, μ'_i are defined as follows.

1. The sets $\mu'_1, \dots, \mu'_{i-1}$, are defined as follows.
 - (a) For each $j = 1, \dots, i-2$, for each transition

$$(j, P, V_{00}, V_{01}, V_{10}, V_{11}, p) \rightarrow (t, \text{act}) \in \mu_j,$$

we have the transition

$$(j, P, V_{00}, V_{01}, V_{10}, V_{11}, ((q, X, \mathcal{PR}, s), p)) \rightarrow (((q, X, \mathcal{PR}, s), t), \text{act}) \in \mu'_j.$$

- (b) For each transition

$$(i-1, P, V_{00}, V_{01}, V_{10}, V_{11}, p) \rightarrow (t, \text{act}) \in \mu_{i-1},$$

where $\text{act} \neq \text{lift-pebble}$, we have the transition

$$(i-1, P, V_{00}, V_{01}, V_{10}, V_{11}, ((q, X, \mathcal{PR}, s), p)) \rightarrow (((q, X, \mathcal{PR}, s), t), \text{act}) \in \mu'_{i-1}.$$

- (c) For each transition

$$(i-1, \triangleright, \emptyset, \emptyset, p) \rightarrow (t, \text{lift-pebble}) \in \mu_{i-1}$$

we have the transition

$$(i-1, \triangleright, \emptyset, \emptyset, ((q, X, \mathcal{PR}, s), p)) \rightarrow$$

- $((q, X, \mathcal{P}\mathcal{R} \cup \{s \rightarrow \{t\}\}, \text{lift-pebble}) \in \mu'_{i-1}$.
2. μ'_i consists of the following transitions.
- (a) For each $q \in Q_i$, $(i, \triangleleft, \emptyset, \emptyset, (q, \emptyset)) \rightarrow ((q, \mathcal{R}), \text{right}) \in \mu'_i$, where $\mathcal{R} = \mathcal{R}(w, \theta)$, for some w and θ such that $\theta(i) = 0$.
By Remark 1, such $\mathcal{R}(w, \theta)$ is well defined.
- (b) For state $q \in Q_i$, every response \mathcal{R} , label $a \in \Sigma$ and $P, V \subseteq \{i+1, \dots, k\}$,

$$(i, P, V_{00}, V_{01}, V_{10}, V_{11}, (q, \mathcal{R})) \rightarrow ((q, Q_{i,\text{place}}, \mathcal{R}), \text{stay}) \in \mu'_i.$$

The purpose of this transition is to start computing the set of terms \wp .

- (c) For every state $q \in Q_i$, every partial response $\mathcal{P}\mathcal{R}$, every nonempty set $X \subseteq Q_{i,\text{place}}$, and every sets $P, V \subseteq \{i+1, \dots, k\}$,

$$(i, P, V_{00}, V_{01}, V_{10}, V_{11}, (q, X, \mathcal{P}\mathcal{R})) \rightarrow ((q, X - \{s\}, \mathcal{P}\mathcal{R}, s), t), \text{place-pebble}) \in \mu'_i,$$

where $X \neq \emptyset, s \in X$ and $(i, P, V_{00}, V_{01}, V_{10}, V_{11}, s) \rightarrow (t, \text{place-pebble})$. The purpose of these transitions is to simulate pebble $(i-1)$ from the state s , where s is the state of pebble i before pebble $(i-1)$ is placed for the simulation. Note that this is a place-pebble transition, so the state $((q, X - \{s\}, \mathcal{P}\mathcal{R}, s), t) \in Q'_{i-1}$.

- (d) For every state $q \in Q_i$, every partial response $\mathcal{P}\mathcal{R}$, every label $a \in \Sigma$ and every sets $P, V \subseteq \{i+1, \dots, k\}$,

$$(i, P, V_{00}, V_{01}, V_{10}, V_{11}, (q, \emptyset, \mathcal{P}\mathcal{R})) \rightarrow ((q, \text{CTH}(\mathcal{P}\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})), \text{right}) \in \mu'_i.$$

The purpose of these transitions is as follows. Now that the automaton has finished simulating pebble $(i-1)$ from all possible states, as indicated by the fact that $X = \emptyset$, pebble i computes $\text{CTH}(\mathcal{P}\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11})$, enters the state $(q, \text{CTH}(\mathcal{P}\mathcal{R}, P, V_{00}, V_{01}, V_{10}, V_{11}))$ and moves right.

- (e) $(i, \triangleright, \emptyset, \emptyset, (q, \mathcal{R})) \rightarrow (\{S_1, \dots, S_m\}, \text{lift-pebble})$, where for each $j = 1, \dots, m$,
- $q \rightarrow \bar{S}_j \in \text{CTH}(\mathcal{R}, \triangleright, \emptyset, \emptyset)$;
 - $S_j \subseteq Q_{i+1}$.

The purpose of these transitions is the same as their pebble 1 counterpart. Recall also that no new pebble is placed when the head pebble is reading the right-end marker \triangleright , thus, it is not necessary to compute the set of terms \wp .

The proof that $\mu_1 \cup \dots \cup \mu_i \cup \mu_{i+1}$ and $\mu'_1 \cup \dots \cup \mu'_i \cup \tilde{\mu}_{i+1}$ are equivalent is similar to the corresponding proof for the case of pebble 1, thus, omitted.

E.3 Determinizing \mathcal{A}

For the final step, we define the deterministic k -PA $\mathcal{A}' = \langle Q', q'_0, \mu', F' \rangle$ that accepts the same language as $\mathcal{A} = \langle Q, q_0, \mu, F \rangle$. By the induction step explained in the previous subsection, we assume that the behavior of pebbles $1, \dots, k-1$ is deterministic.

- $Q' = Q'_1 \cup \dots \cup Q'_{k-1} \cup Q'_k \cup \{q_{acc}, q_{rej}\}$, where each $Q'_1, \dots, Q'_{k-1}, Q'_k$ are the modification of the set of states Q_1, \dots, Q_{k-1}, Q_k like in the previous subsection;

- $q'_0 = (q_0, \emptyset)$;
- $F' = \{q_{acc}\}$;
- $\mu' = \mu'_1 \cup \dots \cup \mu'_{k-1} \cup \mu'_k$, where each $\mu'_1, \dots, \mu'_{k-1}, \mu'_k$ are the modification of the set of transitions $\mu_1, \dots, \mu_{k-1}, \mu_k$ like in the previous subsection, plus the following transitions.

The transition

$$(k, \triangleright, \emptyset, \emptyset, (q_0, \mathcal{R})) \rightarrow (q_{acc}, \text{right}) \in \mu'_k,$$

if there exists a set $S \subseteq F$ such that $q_0 \rightarrow \bar{S} \in \text{CTH}(\mathcal{R}, \triangleright, \emptyset, \emptyset)$, and the transition

$$(k, \triangleright, \emptyset, \emptyset, (q_0, \mathcal{R})) \rightarrow (q_{rej}, \text{right}) \in \mu'_k,$$

if there does not exist a set $S \subseteq F$ such that $q_0 \rightarrow \bar{S} \in \text{CTH}(\mathcal{R}, \triangleright, \emptyset, \emptyset)$.

The proof that \mathcal{A} and \mathcal{A}' are equivalent is similar to the corresponding proof for the case of pebble 1, thus, omitted.