

Dependent Types and Fibred Computational Effects

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Outline

Language design principles for combining

- dependent types $(\Pi, \Sigma, \text{Id}_A(V, W), \dots)$
- computational effects (state, I/O, probability, recursion, ...)

Our work was guided by two problems

- effectful programs in types
- assigning types to effectful programs

In the end we want to

- have a mathematically natural story
- use established tools and methods
- cover a wide range of computational effects

If time permits

- integrating dependent- and effect-typing (Idris)

Effectful programs in types

(type-dependency in the presence of effects)

Effectful programs in types

Let's assume that we have a dependent type $A(x)$, e.g.:

$$x:\text{Nat} \vdash A(x) \stackrel{\text{def}}{=} \text{if } (x \bmod 2 == 0) \text{ then String else Char}$$

Q: Should we allow $A[M/x]$ if M is an effectful program?

A1: In this work we say no

- type-checking should only depend on static information
- e.g., how would one compute $A[\text{receive}(y.M)/x]$?
- we recover dependency on effectful computations via thunks

A2: In future work, we plan to also look at yes

- lifting effect operations from terms to types, e.g., $\text{receive}(y.A)$
- similarities with ref. types and op. modalities [A., Plotkin'15]
- type-dependency ($z:\underline{C} \vdash A(z)$) needs to be “homomorphic”

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Effectful programs in types ctd.

Aim: Types should only depend on static info about effects

Solution: CBPV/EEC style distinction between vals. and comps.

- value types $\Gamma \vdash A$ (MLTT + thunks + ...)
- computation types $\Gamma \vdash \underline{C}$ (dep. version of CBPV/EEC)
- where Γ contains only value variables $x_1 : A_1, \dots, x_n : A_n$

Note: Other options are the monadic metalanguage and FGCBV

- but basing the work on CBPV/EEC gives a more general story
- especially for the treatment of sequential composition
- and also for integrating dependent- and effect-typing

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Assigning types to effectful programs

(i.e., typing sequential composition)

Assigning types to effectful programs

Our problem: The standard typing rule for seq. composition

$$\frac{\Gamma \vdash M : F A \quad \Gamma, x:A \vdash N(x) : \underline{C}(x)}{\Gamma \vdash M \text{ to } x:A \text{ in } N(x) : \underline{C}(x)}$$

is not correct any more because x can appear free in

$$\underline{C}(x)$$

in the conclusion

Assigning types to effectful programs ctd.

Aim: Assigning a sensible type to sequential composition

Option 1: We could restrict the free variables in \underline{C} , i.e.:

$$\frac{\Gamma \Vdash M : FA \quad \Gamma \vdash \underline{C} \quad \Gamma, x:A \Vdash N : \underline{C}}{\Gamma \Vdash M \text{ to } x:A \text{ in } N : \underline{C}}$$

But sometimes it is necessary for \underline{C} to depend on x !

- e.g., in monadic parsing of well-typed syntax (case of functions)

$\cdot \Vdash \text{parseFun} : F (\Sigma y_1. \Sigma y_2. \text{LangSyntax}(\text{fun } y_1 y_2))$

$x : \Sigma y_1. \Sigma y_2. \text{LangSyntax}(\text{fun } y_1 y_2) \Vdash \text{parseFunArg} : F (\text{LangSyntax}(\text{fst } x))$

Option 2: We could lift seq. composition to type level:

$$\Gamma \Vdash M \text{ to } x:A \text{ in } N : M \text{ to } x:A \text{ in } \underline{C}$$

But then comp. types contain exactly the terms we want to type!

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Option 3: In the **monadic metalanguage** one could also try:

$$\frac{\Gamma \vdash M : T A \quad \Gamma, x:A \vdash N : T B(x)}{\Gamma \vdash M \text{ to } x:A \text{ in } N : T (\Sigma x : A. B(x))}$$

But what makes this a principled solution?

Assigning types to effectful programs ctd.

Aim: Assigning a sensible type to sequential composition

Option 3: We draw inspiration from algebraic effects

- and combine it with Option 1, i.e., restricting \underline{C} in seq. comp.

For example, consider the stateful program (for $x:\text{Nat} \Vdash N : \underline{C}$)

$$M \stackrel{\text{def}}{=} \text{lookup}(\text{return } 2, \text{return } 3) \text{ to } x:\text{Nat} \text{ in } N$$

After looking up the bit, this program evaluates as either

$$N[2/x] \text{ at type } \underline{C}[2/x] \quad \text{or} \quad N[3/x] \text{ at type } \underline{C}[3/x]$$

Idea: M denotes an element of the coproduct of algebras

$$\underline{C}[2/x] + \underline{C}[3/x] \stackrel{\text{def}}{=} F\left(U(\underline{C}[2/x]) + U(\underline{C}[3/x])\right)_{/\equiv}$$

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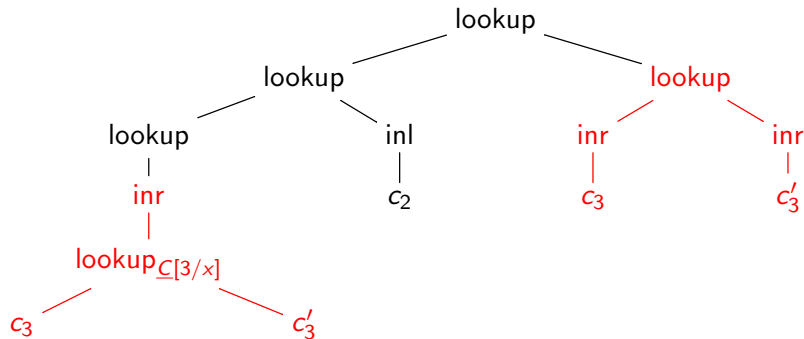
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Sidenote about coproducts of algebras

Note: Elements of $\underline{C}[2/x] + \underline{C}[3/x]$ are not only $\text{inl } c$ or $\text{inr } c!$

- e.g., consider another computation tree in $\underline{C}[2/x] + \underline{C}[3/x]$



- where $\underline{C}[2/x] + \underline{C}[3/x] \stackrel{\text{def}}{=} F\left(U(\underline{C}[2/x]) + U(\underline{C}[3/x])\right)_{/\equiv}$
- where $c_2 \in \underline{C}[2/x]$ and $c_3, c'_3 \in \underline{C}[3/x]$, and
- where the red subtrees are made equal by \equiv

Putting these ideas together

(a core dependently-typed calculus with comp. effects)

A computational dep.-typed language

Recall: We aim to define a [dependently-typed language](#) with

- general computational effects
- a clear distinction between values and computations
- restricting free variables in seq. composition
- using a coproducts of algebras
- a mathematically natural model theory, using standard tools

A computational dep.-typed language

Value types: MLTT's types + **thunks** + ...

$A, B ::= \text{Nat} \mid 1 \mid \Pi x:A.B \mid \Sigma x:A.B \mid \text{Id}_A(V, W) \mid \underline{U} \underline{C} \mid \dots$

- $\underline{U} \underline{C}$ is the type of **thunked** (i.e., suspended) **computations**

Computation types: dep.-typed version of EEC's comp. types

$\underline{C}, \underline{D} ::= F A \mid \Pi x:A.\underline{C} \mid \Sigma x:A.\underline{C}$

- $F A$ is the type of computations returning values of type A
- $\Pi x:A.\underline{C}$ is the type of dependent effectful functions
 - it generalises CBPV's and EEC's computational function type $A \rightarrow \underline{C}$ and product type $\underline{C} \times \underline{D}$
- $\Sigma x:A.\underline{C}$ is the generalisation of coproducts of algebras
 - it generalises EEC's computational tensor type $A \otimes \underline{C}$ and sum type $\underline{C} + \underline{D}$

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Value terms: MLTT's terms + **thunks** + ...

$$V, W ::= x \mid \text{zero} \mid \text{succ } V \mid \dots \mid \text{thunk } M \mid \dots$$

- equational theory based on MLTT with intensional id.-types
- value terms are typed using judgment $\Gamma \vdash V : A$

Computation terms: dep.-typed version of CBPV/EEC c. terms

$$\begin{array}{l} M, N ::= \text{force } V \\ \quad \mid \text{return } V \\ \quad \mid M \text{ to } x:A \text{ in } N \\ \quad \mid \lambda x:A. M \\ \quad \mid MV \\ \quad \mid \langle V, M \rangle \quad \quad \quad (\text{comp. } \Sigma \text{ intro.}) \\ \quad \mid M \text{ to } \langle x:A, z:\underline{C} \rangle \text{ in } K \quad \quad (\text{comp. } \Sigma \text{ elim.}) \end{array}$$

But: These val. and comp. terms alone do not suffice, as in EEC!

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Note: We need to define K in such a way that we preserve the intended evaluation order, e.g., as in

$$\Gamma \Vdash \langle V, M \rangle \text{ to } \langle x:A, z:\underline{C} \rangle \text{ in } K = K[V/x, M/z] : \underline{D}$$

Homomorphism terms: dep.-typed version of EEC's linear terms

$$\begin{array}{l} K, L ::= z \quad \text{(linear comp. vars.)} \\ \quad | K \text{ to } x:A \text{ in } M \\ \quad | \lambda x:A. K \\ \quad | KV \\ \quad | \langle V, K \rangle \quad \text{(comp-}\Sigma \text{ intro.)} \\ \quad | K \text{ to } \langle x:A, z:\underline{C} \rangle \text{ in } L \quad \text{(comp-}\Sigma \text{ elim.)} \end{array}$$

Computation and homomorphism terms are typed using judgments

- $\Gamma \Vdash M : \underline{C}$
- $\Gamma \mid z:\underline{C} \Vdash K : \underline{D}$ (linear in z ; comp. bound to z happens first)

Note: Formal presentation has more type-annotations on terms

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A computational dep.-typed language

Typing rules: Dep.-typed versions of CBPV and EEC, e.g.:

$$\frac{\Gamma \Vdash V : A}{\Gamma \vDash \text{return } V : FA} \quad \frac{\Gamma \vDash M : FA \quad \Gamma \vdash \underline{C} \quad \Gamma, x:A \vDash N : \underline{C}}{\Gamma \vDash M \text{ to } x:A \text{ in } N : \underline{C}}$$

...

$$\frac{\Gamma \vdash \underline{C}}{\Gamma \mid z:\underline{C} \vDash z : \underline{C}}$$

...

$$\frac{\Gamma \Vdash V : A \quad \Gamma \mid z:\underline{C} \vDash K : \underline{D}[V/x]}{\Gamma \mid z:\underline{C} \vDash \langle V, K \rangle : \Sigma x:A. \underline{D}}$$

$$\frac{\Gamma \mid z_1:\underline{C} \vDash K : \Sigma x:A. \underline{D}_1 \quad \Gamma \vdash \underline{D}_2 \quad \Gamma, x:A \mid z_2:\underline{D}_1 \vDash L : \underline{D}_2}{\Gamma \mid z_1:\underline{C} \vDash K \text{ to } \langle x:A, z_2:\underline{D}_1 \rangle \text{ in } L : \underline{D}_2}$$

The title **fibred comp. effects** comes from $\Gamma \vdash \underline{C}$ and $\Gamma \vdash \underline{D}_2$

A computational dep.-typed language

We can then account for type-dependency in seq. comp. by

$$\frac{\Gamma \Vdash M : F A \quad \frac{\Gamma, x:A \Vdash N : \underline{C}(x)}{\Gamma, x:A \Vdash \langle x, N \rangle : \Sigma y:A. \underline{C}(y)}}{\Gamma \Vdash M \text{ to } x:A \text{ in } \langle x, N \rangle : \Sigma y:A. \underline{C}(y)}$$

The proposed rule for the monadic metalanguage is justified by

$$\Sigma x:A. F(B) \cong F(\Sigma x:A. B)$$

Categorical semantics

(fibrations and adjunctions)

Categorical semantics

Using fibred cat. theory, we define **fibred adjunction models**

- a sound and complete class of models

given by: i) a split closed comprehension category \mathcal{P}



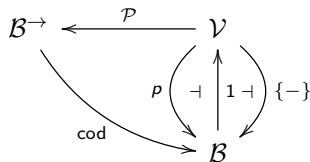
- following Streicher and Hoffmann, we define a partial interpretation function $\llbracket - \rrbracket$ on raw syntax, that maps (if defined):
- a context Γ to an object $\llbracket \Gamma \rrbracket$ in \mathcal{B}
- a context Γ and a value type A to an object $\llbracket \Gamma; A \rrbracket$ in $\mathcal{V}_{\llbracket \Gamma \rrbracket}$
- a context Γ and a value term V to $\llbracket \Gamma; V \rrbracket : 1_{\llbracket \Gamma \rrbracket} \rightarrow X$ in $\mathcal{V}_{\llbracket \Gamma \rrbracket}$

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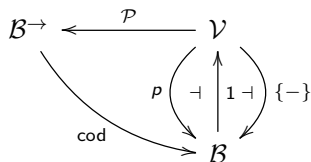
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- the display maps $\pi_A = \mathcal{P}(A) : \{A\} \longrightarrow p(A)$ in \mathcal{B}
- induce the weakening functors $\pi_A^* : \mathcal{V}_{p(A)} \longrightarrow \mathcal{V}_{\{A\}}$
- and the **value** Σ - and Π -types are interpreted as adjoints

$$\Sigma_A \dashv \pi_A^* \dashv \Pi_A$$

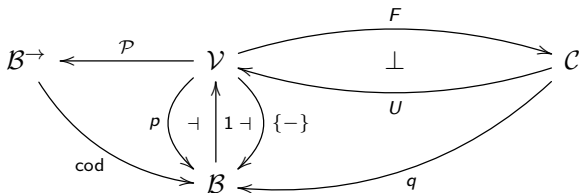
(Σ_A is also required to be strong, i.e., support dep. elimination)

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Using fibred cat. theory, we define **fibred adjunction models**

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- we extend $\llbracket - \rrbracket$ so that it maps (if defined):
- a ctx. Γ and a **comp. type** \underline{C} to an object $\llbracket \Gamma; \underline{C} \rrbracket$ in $\mathcal{C}_{\llbracket \Gamma \rrbracket}$
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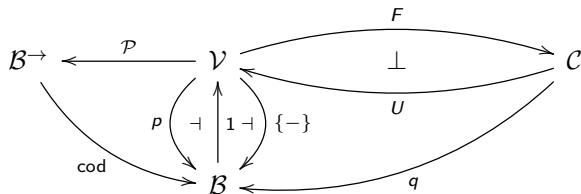
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Examples of fibred adjunction models

Some sources of examples (writing fib. adj. with total cats. only):

- for a **split closed comprehension cat.** $\mathcal{P} : \mathcal{V} \rightarrow \mathcal{B}^{\rightarrow}$, we have

$$\text{Id}_{\mathcal{V}} \dashv \text{Id}_{\mathcal{V}} : \mathcal{V} \rightarrow \mathcal{V}$$

- for a **model of EEC** (\mathcal{V} is CCC, \mathcal{C} is \mathcal{V} -enriched, \mathcal{V} -enr. adj., etc.)

$$F_{\text{EEC}} \dashv U_{\text{EEC}} : \mathfrak{s}(\mathcal{V}, \mathcal{C}) \rightarrow \mathfrak{s}(\mathcal{V})$$

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- for the **continuations monad** $R^{R^{(-)}} : \text{Set} \rightarrow \text{Set}$, we have

$$\widehat{R^{(-)}} \dashv \widehat{R^{(-)}} : \text{Fam}(\text{Set}^{\text{op}}) \rightarrow \text{Fam}(\text{Set})$$

Examples of fibred adjunction models

More sources of examples (writing fib. adj. with total cats. only):

- these last three examples are instances of a [more general result](#):

for $\mathcal{P}_{\text{fam}} : \text{Fam}(\text{Set}) \longrightarrow \text{Set}^{\rightarrow}$ and $F \dashv U : \mathcal{C} \longrightarrow \text{Set}$, when \mathcal{C} has set-indexed products and set-indexed coproducts, we have

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- for a **\mathcal{CPO} -enriched monad** $T : \mathcal{CPO} \longrightarrow \mathcal{CPO}$ with a least algebraic operation $\Omega : 0$ and reflexive coequalizers in \mathcal{CPO}^T

$$\widehat{F}^T \dashv \widehat{U}^T : \text{CFam}(\mathcal{CPO}^T) \longrightarrow \text{CFam}(\mathcal{CPO})$$

allows us to treat general recursion as a computational effect

$$\frac{\Gamma, x : \underline{UC} \Vdash M : \underline{C}}{\Gamma \Vdash \mu x : \underline{UC}. M : \underline{C}}$$

(we get such monads from \mathcal{CPO} -enriched Law. theories with Ω)

Algebraic effects

(primitives for programming with side-effects)

Algebraic operations and equations

Effect theories:

- we consider signatures of **typed operation symbols**

$$\frac{\cdot \vdash I \quad x_i : I \vdash O \quad I, O \text{ are pure, i.e., they do not contain } U}{\text{op} : (x_i : I) \longrightarrow O}$$

- equipped with equations on derivable effect terms
- type-dependency in operation symbols simply a convenience
(at least in Fam(Set)-based examples)

Example: Global store with two locations (modeled as booleans)

lookup : $(x_i : \text{Bool}) \longrightarrow (\text{if } x_i \text{ then String else Nat})$

update : $(x_i : \Sigma x : \text{Bool}. (\text{if } x \text{ then String else Nat})) \longrightarrow 1$

Algebraic operations:

$$\frac{\Gamma \vDash V : I \quad \Gamma \vdash \underline{C} \quad \Gamma, x : O[V/x_i] \vDash M : \underline{C}}{\Gamma \vDash \text{op}_V^C(x.M) : \underline{C}}$$

Generic effects:

$$\frac{\Gamma \vDash V : I}{\Gamma \vDash \text{genop}_V : F(O[V/x_i])}$$

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What about handlers?

We ensure that K 's behave like homomorphisms via

$$\Gamma \mid z : \underline{C} \Vdash K : \underline{D} \implies \Gamma \Vdash K[\text{op}_{\underline{V}}^{\underline{C}}(x.M)/z] = \text{op}_{\underline{V}}^{\underline{D}}(x.K[M/z]) : \underline{D}$$

Recall: Plotkin-Pretnar presentation of handlers is given by:

$\Gamma \Vdash M$ handled with $\{\text{op}_x(y) \mapsto M_{\text{op}}\}_{\text{op}}$ to $x:A$ in $M_{\text{ret}} : \underline{C}$

- semantically, $\{\text{op}_x(y) \mapsto M_{\text{op}}\}_{\text{op}}$ defines an algebra on $U[\underline{C}]$
- and M handled ... is the unique homomorphism out of $F[A]$

Note: We have homomorphisms in the language, namely, the K 's

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One (possible) way forward with handlers

User-defined algebra type:

(equational proof obligations about V_{op} 's omitted)

$$\frac{\Gamma \vdash A \quad \{\Gamma, x : I, y : O[x/x_i] \rightarrow A \Vdash V_{\text{op}} : A\}_{\text{op}:(x_i:I) \rightarrow O}}{\Gamma \vdash \langle A, \{(x, y).V_{\text{op}}\}_{\text{op}:(x_i:I) \rightarrow O} \rangle}$$

Introduction: $\text{force} \langle A, \{(x, y).V_{\text{op}}\}_{\text{op}} \rangle V$, where $V : A$

Elimination: (comp. term version)

(equational proof obligations about N omitted)

$$\frac{\Gamma \Vdash M : \langle A, \{(x, y).V_{\text{op}}\}_{\text{op}} \rangle \quad \Gamma, x : A \Vdash N : \underline{C}}{\Gamma \Vdash \text{run } M \text{ as } x \text{ in } N : \underline{C}}$$

Equations:

- $U \langle A, \{(x, y).V_{\text{op}}\}_{\text{op}} \rangle = A$
- $\text{op}_V^{\langle A, \{(x_1, x_2).V_{\text{op}}\}_{\text{op}} \rangle} (x.M) = \text{force} (V_{\text{op}}[V/x_1, \lambda x.\text{think } M/x_2])$
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Encoding Plotkin-Pretnar handlers:

M handled with $\{\text{op}_x(y) \mapsto M_{\text{op}}\}_{\text{op}}$ to $x:A$ in M_{ret}

def

$\text{force}_{\underline{C}} \left(\text{thunk} \left(M \text{ to } x:A \text{ in } \text{force}_{\langle \underline{U}_{\underline{C}}, \dots, \text{thunk}(M_{\text{op}}) \dots \rangle} \left(\text{thunk } M_{\text{ret}} \right) \right) \right)$

: C

Conclusions

A dependently-typed computational language with

- clear distinction between values and computations
- new and useful structure on comp. types (Σ -types)
- universes of value and comp. types (omitted)
- dep.-typed algebraic effects and handlers
- general recursion as comp. effect
- natural categorical semantics, using standard tools
- parametrised fibred computational effects and a principled account of Brady's resource-dependent effects in Idris (omitted)

Thank you for listening!

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- universes of value and comp. types (omitted)
- dep.-typed algebraic effects and handlers
- general recursion as comp. effect
- natural categorical semantics, using standard tools
- parametrised fibred computational effects and a principled account of Brady's resource-dependent effects in Idris (omitted)

Thank you for listening!

Combining effect- and dependent-typing

(adding parameters/worlds/permissions/etc.)

Fibred parametrised comp. effects

Aim: To make our comp. types more expressive

- we extend our language with an **effect-and-type system**
- we build on [Atkey'09]'s parametrised notions of computation
- we take par. adjunctions as a primitive construction
- we make the effect annotations **internal to our language**
- we want a semantics for [Brady'13,'14]'s Effects DSL for Idris

We omit: Details of the accompanying denotational semantics

- based on fibred analogues of parametrised adjunctions, e.g.,

$$\begin{array}{ccc} \mathcal{W} & \mathcal{V} & \\ r \downarrow & p \downarrow & \\ B & B & \end{array} \quad \int(\lambda X. \mathcal{W}_X \times \mathcal{V}_X) \begin{array}{c} \xrightarrow{F} \mathcal{C} \\ \searrow^{\text{fst}} \downarrow \swarrow_{q} \\ B \end{array}$$

- in particular, we take $\mathcal{W} \stackrel{\text{def}}{=} \int(\lambda X. \mathcal{V}_X(1_X, !^*_X([S])))$

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Aim: To extend our language with an effect-and-type system

Our solution: Use fibred version of S -parametrised adjunctions

$$\frac{\Gamma \vdash A \quad \Gamma \Vdash W : S}{\Gamma \vdash F_W A} \quad \frac{\Gamma \vdash \underline{C} \quad \Gamma \Vdash W : S}{\Gamma \vdash U_W \underline{C}}$$

with the resulting S -parametrised monad (EffM in Idris) given by

$$\Gamma \vdash T_{W_1, W_2} A \stackrel{\text{def}}{=} U_{W_1} (F_{W_2} A)$$

The main changes we make to our language:

- typing judgment for comp. terms: $\Gamma \mid W \Vdash M : \underline{C}$
- returning values: $\Gamma \mid W \Vdash \text{return}_W V : F_W A$
- thunking computations: $\Gamma \Vdash \text{thunk}_W^{\underline{C}} M : U_W \underline{C}$
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Aim: We can explain [Brady'14]'s resource-dependent effects

Example: We will look at the prototypical example of:

- locking-unlocking / opening-closing / authenticating / etc.

As usual, the non-failing operations are easy to specify, e.g.,

$$\Gamma \mid \text{acquired} \vDash \text{lookup} : F_{\text{acquired}} \text{String}$$
$$\Gamma \mid \text{acquired} \vDash \text{update}_V : F_{\text{acquired}} 1$$
$$\Gamma \mid \text{acquired} \vDash \text{releaseLock} : F_{\text{released}} \text{Bool}$$

(in terms of generic effects, omitting the corresponding signature)

Q: However, what to do with possibly failing operations?

$$\Gamma \mid \text{released} \vDash \text{acquireLock} : F_{???} \text{Bool}$$

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A1: If going with the monadic view, then we can try to define another (more dep.-parametrised) monad-like functor

$$\frac{\Gamma \Vdash W_1 : S \quad \Gamma \vdash A \quad \Gamma, x:A \Vdash W_2 : S}{\Gamma \vdash T_{W_1}((x:A).W_2)}$$

and specify the lock acquiring generic effect as

$\Gamma \vdash \text{acquireLock} : T_{\text{released}}((x:\text{Bool}).\text{if } x \text{ then } \text{acquired} \text{ else } \text{released})$

- a natural generalisation of the functor part of fib. par. monads
- this is the approach that [Brady'14] took for Idris
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But: We can achieve the same with our less dep.-typed F and $U!$

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Q: What to do with possibly failing operations?

A2a: If we keep with the (par.) adjunctions view, we can define the more dependently-parametrised monad-like functor as

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using the comp. Σ -types to quantify over the possible outcomes

A2b: We can then specify the lock acquiring generic effect as

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Parametrised fibred algebraic effects

Parametrised effect theories:

- we consider signatures of **typed operation symbols**

$$\frac{x_w : S \vdash I \quad x_w : S, x_{in} : I \vdash O \quad x_w : S, x_{in} : I, x_{in} : O \Vdash W_{out} : S}{\text{op}_{x_w, x_{in}, x_{out}} : I \longrightarrow O, W_{out}}$$

- equipped with **equations** on derivable effect terms

Algebraic operations:

$$\frac{\Gamma \Vdash V : I[W/x_w] \quad \Gamma \vdash \underline{C} \quad \Gamma, x : O[W/x_w, V/x_{in}] \mid W_{out}[W/x_w, \dots] \Vdash M : \underline{C}}{\Gamma \mid W \Vdash \text{op}_V^{\underline{C}}(x.M) : \underline{C}}$$

Generic effects:

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Result: Such alg. ops. and gen. effs. are in 1-1 relationship

Note: Currently working on equipping W 's with order/morphisms

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