# Introduction to Computational Linguistics: Introductory information

Sharon Goldwater

6 July 2015

# What is Computational Linguistics?

• Using computers to address linguistic questions by analyzing linguistic data

Introduction

Comp Ling vs. Natural Language Processing

- Collecting attested forms of a construction from a corpus
- Extracting phonetic measures from speech data
- Performing complex statistical analyses
- Maybe...

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Introduction

# What is Computational Linguistics?

- Implementing computational theories of language acquisition/processing/change
  - Simulating the spread of a linguistic change through a population
  - Predicting garden-path effects in sentence processing
  - Testing whether certain prosodic cues can help identify word boundaries
- Sure...

Scientific goals

- Data collection and analysis
- Making predictions and testing theories (modelling!)
- Engineering goals

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- Building practical systems
- Improving application-oriented performance measures

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# Core methods in CL and NLP

- Mathematical:
  - Probabilistic inference
  - Information theory/entropy
  - Networks/graphs
- Computational:
  - Probabilistic inference
  - Grammars and parsing algorithms
- Finite-state machines

### This course

- Provide grounding in many of these core methods
  - Mathematical and algorithmic issues
  - Example probabilistic models: n-gram models, HMMs, PCFGs
  - Example linguistic applications: phonology through semantics

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# Introduction Course structure

- $\bullet\,$  Mondays: 2 hours lecture with break; Thursdays: 1 hour lecture, 1 hour lab
- labs posted online, can start ahead/work with others
- One assignment, due Thu 23 July
- $\bullet\,$  grades: 20% lecture attendance, 30% lab participation, 50% assignment
- Schedule on web page: http://homepages.inf.ed.ac.uk/sgwater/teaching/lsa2015/

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Introduction

# Prerequisites and preparation

- Must have previous experience in Python and basics of probability theory
- $\bullet$  Please check 'software' section of web page and install appropriate Python/modules
- Textbook: Speech and language processing, 2nd ed., by Jurafsky and Martin
- See web page: http://homepages.inf.ed.ac.uk/sgwater/teaching/lsa2015/

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# Auditing

- Will take auditors, subject to room capacity
- Those on waitlist have priority; email me if you are not yet on waitlist
- · Auditors can access all course materials (inc labs) but shouldn't expect help during lab sessions
- · See web page: http://homepages.inf.ed.ac.uk/sgwater/teaching/lsa2015/

# **Introduction to Computational Linguistics: Probability estimation**

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### A famous quote

Introduction

It must be recognized that the notion "probability of a sentence" is an entirely useless one, under any known interpretation of this term. Noam Chomsky, 1969

Probability estimation A famous quote

It must be recognized that the notion "probability of a sentence" is an entirely useless one, under any known interpretation of this term. Noam Chomsky, 1969

- "useless": To everyone? To linguists?
- "known interpretation": What are possible interpretations?

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# Probability estimation Intuitive interpretation

- "Probability of a sentence" = how likely is it to occur in natural language
- Consider only a specific language (English)
- Not including meta-language (e.g. linguistic discussion)

P(She studies morphosyntax) > P(She studies more faux syntax)

# Probability estimation Automatic speech recognition

Sentence probabilities (language model) help decide between similar-sounding options.

speech input

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$\downarrow$	(Acoustic model)	
possible outputs		She studies morphosyntax She studies more faux syntax She's studies morph or syntax
Ļ	(Language model)	
best-guess output		She studies morphosyntax

Probability estimation

#### Machine translation

Sentence probabilities help decide word choice and word order.

non-English input

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 $\downarrow$ (Translation model) She is going home possible outputs She is going house She is traveling to home To home she is going (Language model)  $\downarrow$ best-guess output She is going home

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Probability estimation

# So, not "entirely useless", but...

- Sentence probabilities are clearly useful for language engineering.
- But what about linguistics?

Probability estimation

#### Human sentence processing

Low probability sentences  $\Rightarrow$  processing difficulty

- $\bullet$  As measured by reading speed, regressive eye movements, etc
- NB probabilities usually computed incrementally (word-by-word)
- Probabilistic models now commonplace in psycholinguistics

#### But, what about zero probability sentences?

the Archae opteryx winged jaggedly amidst foliage  $$\mathsf{vs}$$  jaggedly trees the on flew

- Neither has ever occurred before.
   ⇒ both have zero probability.
- But one is grammatical (and meaningful), the other not.
   ⇒ "Sentence probability" is useless to linguists interested in grammaticality (competence).

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Probability estimation

#### The logical flaw

- "Probability of a sentence" = how likely is it to occur in natural language.
- Sentence has never occurred before  $\Rightarrow$  sentence has zero probability ??
- More generally, is the following statement true?

Event has never occurred  $\Rightarrow$  event has zero probability

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Probability estimation

#### Events that have never occurred

• Each of these events has never occurred:

My hair turns blue I injure myself in a skiing accident I travel to Finland

• Yet, they clearly have differing (and non-zero!) probabilities.

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# Probability estimation Events that have never occurred

• Each of these events has never occurred:

My hair turns blue I injure myself in a skiing accident I travel to Finland

- Yet, they clearly have differing (and non-zero!) probabilities.
- Most sentences (and events) have never occurred.
  - This doesn't make their probabilities zero (or meaningless), but
  - it does make estimating their probabilities trickier.

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Probability estimation

### **Example: weather forecasting**

#### What is the probability that it will rain tomorrow?

- To answer this question, we need
  - data: measurements of relevant info (e.g., humidity, wind speed/direction, temperature).
  - model: equations/procedures to estimate the probability using the data.
- In fact, to build the model, we will need data (including *outcomes*) from previous situations as well.
- Note that we will never know the "true" probability of rain  $P({\rm rain})$  , only our estimated probability  $\hat{P}({\rm rain}).$

Probability estimation Example: weather forecasting

What is the probability that it will rain tomorrow?

- To answer this question, we need
  - data: measurements of relevant info (e.g., humidity, wind speed/direction, temperature).
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#### Example: language model

What is the probability of sentence  $\vec{w} = w_1 \dots w_n$ ?

- To answer this question, we need
  - data: words  $w_1 \dots w_n$ , plus a large corpus of sentences ("previous situations", or training data).
  - model: equations to estimate the probability using the data.
- Different models will yield different estimates, even with same data.
- Deep question: what model/estimation method do humans use?

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#### How to get better probability estimates

Better estimates definitely help in language technology. How to improve them?

- More training data. Limited by time, money. (Varies a lot!)
- Better model. Limited by scientific and mathematical knowledge, computational resources
- Better estimation method. Limited by mathematical knowledge, computational resources

We will return to the question of how to know if estimates are "better".

#### Notation

- When the distinction is important, will use
  - $P(\vec{w})$  for *true* probabilities
  - $\hat{P}(\vec{w})$  for *estimated* probabilities
  - $P_{\rm E}(\vec{w})$  for estimated probabilities using a particular estimation method E.
- But since we almost always mean estimated probabilities, may get lazy later and use  $P(\vec{w})$  for those too.

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Probability estimation

#### Example: estimation for coins

I flip a coin 10 times, getting 7T, 3H. What is  $\hat{P}(T)$ ?

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#### Example: estimation for coins

I flip a coin 10 times, getting 7T, 3H. What is  $\hat{P}(T)$ ?

• Model 1: Coin is fair. Then,  $\hat{P}(T) = 0.5$ 

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# Probability estimation Example: estimation for coins

I flip a coin 10 times, getting 7T, 3H. What is  $\hat{P}(T)$ ?

- Model 1: Coin is fair. Then,  $\hat{P}(T) = 0.5$
- Model 2: Coin is not fair. Then,  $\hat{P}(T) = 0.7$  (why?)

# Example: estimation for coins

I flip a coin 10 times, getting 7T, 3H. What is  $\hat{P}(T)$ ?

- Model 1: Coin is fair. Then,  $\hat{P}(T) = 0.5$
- Model 2: Coin is not fair. Then,  $\hat{P}(T) = 0.7$  (why?)
- Model 3: Two coins, one fair and one not; choose one at random to flip 10 times. Then,  $0.5<\hat{P}(T)<0.7.$

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Probability estimation

#### Example: estimation for coins

I flip a coin 10 times, getting 7T, 3H. What is  $\hat{P}(T)$ ?

- Model 1: Coin is fair. Then,  $\hat{P}(T) = 0.5$
- Model 2: Coin is not fair. Then,  $\hat{P}(T) = 0.7$  (why?)
- Model 3: Two coins, one fair and one not; choose one at random to flip 10 times. Then,  $0.5 < \hat{P}(T) < 0.7$ .

Each is a **generative model**: a probabilistic process that describes how the data were generated.

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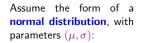
#### Defining a model

Usually, two choices in defining a model:

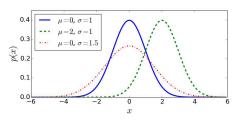
- **Structure** (or **form**) of the model: the form of the equations, usually determined by knowledge about the problem.
- **Parameters** of the model: specific values in the equations that are usually determined using the training data.

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### Example: height of 30-yr-old females



 $p(x|\mu,\sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(\frac{-(x-\mu)^2}{2\sigma^2}\right)$ 



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#### Probability estimation

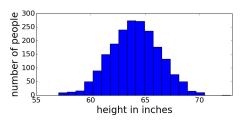
#### Example: M&M colors

What is the proportion of each color of M&M?

- Assume a **discrete distribution** with parameters  $\theta$ .
  - $\theta$  is a vector! That is,  $\theta = (\theta_{\rm R}, \theta_{\rm O}, \theta_{\rm Y}, \theta_{\rm G}, \theta_{\rm BL}, \theta_{\rm BR})$ .
  - For discrete distribution, params ARE the probabilities, e.g.,  $P(red) = \theta_R$ .

#### Example: height of 30-yr-old females

Collect data to determine values of  $\mu, \sigma$  that fit this particular dataset.



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#### Example: M&M colors

What is the proportion of each color of M&M?

- Assume a **discrete distribution** with parameters  $\theta$ .
  - $\theta$  is a vector! That is,  $\theta = (\theta_{\rm R}, \theta_{\rm O}, \theta_{\rm Y}, \theta_{\rm G}, \theta_{\rm BL}, \theta_{\rm BR}).$
  - For discrete distribution, params ARE the probabilities, e.g.,  $P(\text{red}) = \theta_{\text{R}}$ .
- In 48 packages, I find<sup>1</sup> 2620 M&Ms, as follows:

Red Orange Yellow Green Blue Brown 372 544 369 483 481 371

• What is the best choice of  $\theta$  given the data d that we saw?

• Formalize using Bayes' Rule, try to maximize  $P(\theta|d)$ .

• How to estimate  $\theta$  from this data?

<sup>1</sup>Actually I got the data from: https://joshmadison.com/2007/12/02/mms-color-distribution-analysis/

Probability estimation

Formalizing the estimation problem

 $P(\theta|d) = \frac{P(d|\theta)P(\theta)}{P(d)}$ 

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Probability estimation

# **Relative frequency estimation**

• Intuitive way to estimate discrete probabilities: relative frequency estimation.

$$P_{\rm RF}(x) = \frac{C(x)}{N}$$

where C(x) is the count of x in a large dataset, and  $N = \sum_{x'} C(x')$  is the total number of items in the dataset.

- M&M example:  $P_{\rm RF}(\text{red}) = \hat{\theta}_{\rm R} = \frac{372}{2620} = .142$
- Or, could estimate probability of word w from a large corpus.
- Can we justify this mathematically?

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Probability estimation

#### Maximum-likelihood estimation

• Not obvious what prior should be: maybe just uniform?

$$\operatorname{argmax} P(d|\theta)P(\theta) = \operatorname{argmax} P(d|\theta)$$

• Choose  $\theta$  to maximize the likelihood.

- the parameters that make the observed data most probable

• This turns out to be just the relative frequency estimator, i.e.,

$$P_{\rm ML}(x) = P_{\rm RF}(x) = \frac{C(x)}{N}$$

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#### Likelihood example

- For a fixed set of data, the likelihood depends on the model we choose.
- Our coin example, where  $\theta = (\theta_{\rm H}, \theta_{\rm T})$ . Suppose we saw  $d = \mathsf{HTTTHTHTTT}$ .
- Model 1: Assume coin is fair, so  $\hat{\theta} = (0.5, 0.5)$ .
  - Likelihood of this model:  $(0.5)^3 \cdot (0.5)^7 = 0.00097$

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-  $P(\theta)$ : prior probability of  $\theta$ –  $P(d|\theta)$ : likelihood

-  $P(\theta|d)$ : **posterior** probability of  $\theta$  given d

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Probability estimation

#### Likelihood example

- For a fixed set of data, the likelihood depends on the model we choose.
- Our coin example, where  $\theta = (\theta_H, \theta_T)$ . Suppose we saw d = HTTTHTHTTT.
- Model 1: Assume coin is fair, so  $\hat{\theta} = (0.5, 0.5)$ .
  - Likelihood of this model:  $(0.5)^3 \cdot (0.5)^7 = 0.00097$
- Model 2: Use ML estimation, so  $\hat{\theta} = (0.3, 0.7)$ .
  - Likelihood of this model:  $(0.3)^3 \cdot (0.7)^7 = 0.00222$
- Maximum-likelihood estimate does have higher likelihood!

#### **Summary**

- "Probability of a sentence": how likely is it to occur in natural language?
- Useful in natural language applications AND linguistics
- Can never know the true probability, but we may be able to estimate it.
- Probability estimates depend on
  - The data we have observed
  - The model (structure and parameters) we choose
- One way to estimate probabilities: maximum-likelihood estimation

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# Where to go from here?

Next time, we'll start to discuss

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• Different generative models for sentences (model structure), and the questions they can address

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• Weaknesses of MLE and ways to address them (parameter estimation methods)

First: one more piece of technical background.

# Introduction to Computational Linguistics: Entropy

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#### Probability estimation Sharon Goldwater 6 July 2015 Sharon Goldwater 33 Entrop **Entropy Example** Entropy One event (outcome) • Definition of entropy: $H(X) = \sum_x -p(x) \ \log_2 p(x)$ p(a) = 1 $H(X) = -1\log_2 1$ • Intuitively: a measure of uncertainty/disorder = 0• If we build a probabilistic model, we want that model to have low entropy (low uncertainty)

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Entropy Example			Entropy Example
	2 equally likely events:		4 equally likely events:
p(a) = 0.5 p(b) = 0.5	$H(X) = -0.5 \log_2 0.5 - 0.5 \log_2 0.5$ = - \log_2 0.5 = 1	p(a) = 0.25 p(b) = 0.25 p(c) = 0.25 p(d) = 0.25	$H(X) = -0.25 \log_2 0.25 - 0.25 \log_2 0.25$ $-0.25 \log_2 0.25 - 0.25 \log_2 0.25$ $= -\log_2 0.25$ $= 2$

Entropy

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Entropy Example			
p(a) = 0.7 $p(b) = 0.1$	3 equally likely ev likely than the othe	vents and one more ers:	
p(b) = 0.1 p(c) = 0.1 p(d) = 0.1	$H(X) = -0.7 \log_2 0.7 - 0.1 \log_2 0.1$ - 0.1 log <sub>2</sub> 0.1 - 0.1 log <sub>2</sub> 0.1 = -0.7 log <sub>2</sub> 0.7 - 0.3 log <sub>2</sub> 0.1 = -0.7 × -0.5146 - 0.3 × -3.3219 = 0.36020 + 0.99658 = 1.35678		
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H(X) = 0	H(X) = 1	H(X) = 2	
H(X) = 3	H(X) = 1.35678	H(X) = 0.24194	

# **Entropy Example**

3 equally likely events and one much more likely than the others:

p(b) = 0.01p(c) = 0.01p(d) = 0.01

p(a) = 0.97

# $H(X) = -0.97 \log_2 0.97 - 0.01 \log_2 0.01$ $-0.01 \log_2 0.01 - 0.01 \log_2 0.01$ $= -0.97 \log_2 0.97 - 0.03 \log_2 0.01$ $= -0.97 \times -0.04394 - 0.03 \times -6.6439$ = 0.04262 + 0.19932= 0.24194

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# Entropy Entropy as y/n questions

How many yes-no questions (bits) do we need to find out the outcome?

- Uniform distribution with  $2^n$  outcomes: n q's.
- Other cases: entropy is the average number of questions per outcome in a (very) long sequence, where questions can consider multiple outcomes at once.

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### Entropy as encoding sequences

Entropy

- Assume that we want to encode a sequence of events X
- Each event is encoded by a sequence of bits
- For example
  - Coin flip: heads = 0, tails = 1
  - 4 equally likely events: a = 00, b = 01, c = 10, d = 11
- 3 events, one more likely than others: a = 0, b = 10, c = 11
- Morse code: e has shorter code than q
- Average number of bits needed to encode  $X \ge$  entropy of X

# Entropy The Entropy of English

- Given a number of words in a text, can we guess the next word  $p(w_n|w_1,...,w_{n-1})?$
- Assuming a model with a limited window size (N = # words of history)

Model	Entropy
N=0	4.76
N=1	4.03
N=2	2.8
human, unlimited	1.3

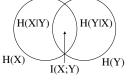
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# Entropy

### **Mutual Information**

- A measure of independence between variables
  - How much (on average) does knowing Y reduce H(X)?

$$I(X;Y) = H(X) - H(X|Y)$$



- Ex: on avg, how much more certain will I be about  $w_i$  if you tell me  $w_{i-1}$ ?

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Entropy

# **Pointwise Mutual Information**

- MI for two particular outcomes (no average)
- Definition:

$$I(x,y) = \log \frac{p(x,y)}{p(x)p(y)}$$

- Ex. Consider I(San, Francisco) vs. I(and, a)
- Will discuss more later in course

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