Embedding F

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Abstract

This millennium has seen a great deal of research into embedded domain-specific languages. Primarily, such languages are simplytyped. Focusing on System F, we demonstrate how to embed polymorphic domain specific languages in Haskell and OCaml. We exploit recent language extensions including kind polymorphism and first-class modules.

1. Introduction

With the advent of GADTs it has become common to embed domain-specific languages in Haskell. HOAS (Higher Order Abstract Syntax) [20] representations are convenient for programming, as hygiene is handled by the host language. However, sometimes first-order representations are more appropriate, for instance, when implementing intensional algrorithms, such as compiler optimisations, that inspect the structure of object terms. Previously [3], we showed how to "unembed" simply-typed HOAS terms as firstorder well-typed de Bruijn terms [14], allowing DSL programmers to have their cake and eat it.

Others [21, 23] have considered HOAS embeddings of System F [15] in richer languages. In this paper, we focus primarily on the problem of extending the *first-order* representation of well-typed de Bruijn terms with polymorphism. We also show how to extend unembedding with polymorphism.

The main contributions of this paper are threefold:

- Well-typed first-order encodings of System F in F_{ω} , Haskell, and OCaml, using a de Bruijn representation for System F terms, and host language types as System F types.
- A well-typed first-order encoding of F_ω in Haskell, using a de Bruijn representation for F_ω terms, and Haskell types as F_ω types.
- An extension of previous work on unembedding HOAS representations as first-order representations to support polymorphic languages.

The rest of the paper is structured as follows. Section 2 extends the standard GADT-based de Bruijn encoding of simplytyped lambda calculus to System F. Section 3 shows that essentially the same approach can be adapted to embed System F in F_{ω} by decomposing the GADTs into existentials and equality proofs. Section 4 retargets the embedding to OCaml 3.12, making use of

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first-class recursive modules to encode existentials and equality. Section 5 returns to the Haskell implementation, showing that as of GHC 7.4, it actually provides an embedding of F_{ω} in Haskell. Section 6 adapts the approach to a HOAS representation and shows that *unembedding* scales to polymorphic object languages. Section 7 discusses related work, and finally Section 8 concludes.

2. System F in Haskell

2.1 Well-typed terms

The first-order de Bruijn encoding of typing judgements for simplytyped lambda calculus in GHC using GADTs is rather direct. First, variable judgements are defined as follows:

data Var :: $\star \rightarrow \star \rightarrow \star$ where Z :: Var (Γ , a) a S :: Var Γ a \rightarrow Var (Γ , b) a

The first argument to Var is a type environment and the second argument is a type. Thus, $n :: Var \Gamma$ a states that in type environment Γ the variable *n* has type a. The variables themselves are simply unary de Bruijn variables.

Typing judgements for terms are defined as follows:

```
data Exp :: \star \to \star \to \star where
Var :: Var \Gamma a \to Exp \Gamma a
Lam :: Exp (\Gamma, a) b \to Exp \Gamma (a \to b)
App :: Exp \Gamma (a \to b) \to Exp \Gamma a \to Exp \Gamma b
```

Again, the first argument to Exp is a type environment and the second argument is a type. Thus, $e :: Exp \ \Gamma$ a states that in type environment Γ , the term e has type a. Notice, for instance, how the type for Lam exactly parallels the introduction rule for functions: if we have a term e whose type is b in type environment (Γ , a), then we can abstract over a to introduce a term Lam e whose type is a \rightarrow b in type environment Γ .

If we construct a term using the constructors of Exp, then it must, by construction, represent a well-typed term, because we have directly encoded the typing rules in the GADT. The idea of this representation dates back at least to Altenkirch and Reus [1], who applied it in a dependent type theory.

In order to extend well-typed terms to support polymorphism, we must encode type abstractions and applications. Just as lambda abstractions can be encoded either using a de Bruijn representation or using host language lambda abstractions [20], so type abstractions can be encoded either using a de Bruijn representation or host language polymorphism. In this paper we explore the latter option, aiming to choose an encoding of object types that is as close as possible to the equivalent host language types.

2.2 Polymorphism

Let us try to extend the Exp type to include type lambda and type application expressions. This amounts to encoding the introduction and elimination rules for universal quantification. The introduction rule is:

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash (\Lambda \alpha, M) : \forall \alpha, A} \alpha \notin FTV(\Gamma)$$

Note that the type A may contain the type variable α . We can model this property by representing A as a type constructor applied to a Haskell type variable. But we need to be careful to appropriately quantify over the Haskell type variable:

$$\texttt{FLam} :: (\forall \texttt{a}.\texttt{Exp} \ \Gamma \ (\texttt{k a})) \rightarrow \texttt{Exp} \ \Gamma \ (\forall \texttt{a}.\texttt{k a})$$

For the premise we quantify over *a* outside the Exp type constructor; for the conclusion, we quantify over *a* inside the Exp type constructor. This is all well and good, but unfortunately Haskell does not play well with GADTs indexed by polymorphic types. It is possible to work around this problem by hand-coding GADTs using Leibniz equality and enabling the ImpredicativeTypes language extension. However, doing so requires some effort, and type inference in this setting is rather fragile. A more robust work-around is to define a newtype for boxing the polymorphism, which eases the job that type inference must perform:

newtype Poly k = Poly {unPoly :: $\forall a.k a$ }

Now, we have:

TLam :: ($\forall a.Exp \ \Gamma$ (k a)) $\rightarrow Exp \ \Gamma$ (Poly k)

The elimination rule for polymorphism is:

$$\frac{\Gamma \vdash M : \forall \alpha. A}{\Gamma \vdash M \ B : A[B/\alpha]}$$

We again use the Poly constructor for the polymorphic type in the premise, while the substitution in the conlusion is implemented simply by applying the type constructor:

TApp :: Exp Γ (Poly k) \rightarrow Exp Γ (k a)

The datatype for expressions becomes:

GHC is happy to accept this, but we still have a problem. Look carefully at the types, and it becomes clear that it is impossible to actually construct any interesting TLam expressions because the only way of constructing an expression of type k = is as a variable (which admits any type at all). The only other possibility would be a type application, but that requires us to have already constructed a polymorphic expression using TLam.

The problem is that in Haskell type constructors are secondclass citizens. We must define them as newtypes, and then we need to explicitly box and unbox values to and from the newtype. For instance, suppose we wish to define the polymorphic identity function. We first define a type constructor of the appropriate type:

newtype AtoA a = AtoA { unAtoA :: a \rightarrow a }

The identity function Lam (Var Z) has type Exp $(a \rightarrow a)$, but we need to inject it into the type forall a.Exp (AtoA a) before passing it as an argument to TLam. Correspondingly, after instantiating the polymorphic identity function at a particular type, we must project from the boxed type before we can apply it to an argument.

2.3 Deriving isomorphisms

In order to coordinate injection and projection we define a type class representing the isomorphism between newtypes and their representations.

```
class Iso a b where
inject :: a \rightarrow b
project :: b \rightarrow a
instance Iso a a where
inject x = x
project x = x
```

The special newtype deriving mechanism of GHC allows suitable instances to be derived semi-automatically from the canonical instance for Iso a a.

Let us add a deriving clause to AtoA:

newtype AtoA a = AtoA { unAtoA :: a
$$\rightarrow$$
 a] deriving (Iso (a \rightarrow a))

This clause automatically derives the functions:

As newtypes are unboxed in the backend, both inject and project are actually realised as the identity function.

Now we add constructors for injection and projection to our expression type:

data Exp :: $\star \to \star \to \star$ where Var :: Var $\Gamma a \to Exp \Gamma a$ Lam :: Exp (Γ , a) $b \to Exp \Gamma$ ($a \to b$) App :: Exp Γ ($a \to b$) $\to Exp \Gamma a \to Exp \Gamma b$ TLam :: ($\forall a.Exp \Gamma$ (k a)) $\to Exp \Gamma$ (Poly k) TApp :: Exp Γ (Poly k) $\to Exp \Gamma$ (k a) Inject :: Iso a $b \Rightarrow Exp \Gamma a \to Exp \Gamma b$ Project :: Iso a $b \Rightarrow Exp \Gamma b \to Exp \Gamma a$

Finally, we add smart constructors that make Inject and Project more convenient to work with.

inj :: Iso a b \Rightarrow (a \rightarrow b) \rightarrow Exp Γ a \rightarrow Exp Γ b inj _ = Inject

proj :: Iso a b
$$\Rightarrow$$
 (a \rightarrow b) \rightarrow Exp Γ b \rightarrow Exp Γ a proj _ = Project

The inj and Proj functions are smart constructors for Inject and Project. The first argument for each is only used to aid type inference. In both cases it will always be a newtype constructor.

2.4 Examples

We define the polymorphic identity function as follows:

id_poly :: Exp () (Poly AtoA)
id_poly = TLam (inj AtoA (Lam (Var Z)))

and instantiate it at a concrete type:

id_int :: Exp () (Int \rightarrow Int) id_int = proj AtoA (TApp id_poly)

We can now use polymorphism wherever we like. We just need to define an appropriate newtype including a deriving (Iso ...) clause, inject into the newtype becore using TLam, and project from it after using TApp.

In use, the embedded object language we have developed is not quite the same as System F, but it is equivalent. We do not need to annotate lambdas with their inputs as GHC infers them for us. For type abstractions, rather than providing a type variable, we provide a description of the polymorphic type in the form of a type constructor into which we inject the body of the type abstraction. For type applications, instead of providing a type, we again provide the type constructor, from which we project a concrete instantiation of a polymorphic term. The resulting presentation of System F is closely related to Russo and Vytniotis's QML language [25], which extends ML with System F polymorphism.

The need to define a new type constructor for each polymorphic type is inconvenient, but it seems unavoidable given that type constructors are not first-class in Haskell.

2.5 Nested quantifiers

In Haskell, newtypes can only be defined at the top-level. This means that if a type variable from an outer quantifier appears inside a polymophic type then we need to define a multi-paramter type constructor. For instance, here is how we define the K combinator, which takes two arguments, ignores the first and returns the second:

```
newtype KC' a b = KC' { unKC' :: a \rightarrow b \rightarrow a }
deriving (Iso (a \rightarrow b \rightarrow a))
newtype KC a = KC { unKC :: Poly (KC' a) }
deriving (Iso (Poly (KC' a)))
kc :: Exp () (Poly K)
kc = TLam (inj KC' (Lam (Lam (Var (S Z))))))
```

2.6 An evaluator

In order to test that our implementation is at least somewhat sensible, we provide an implementation of an evaluator.

```
data Env :: \star \rightarrow \star where
  Empty :: Env ()
  Extend :: Env \Gamma \rightarrow a \rightarrow Env (\Gamma, a)
lookupVar (Extend env _) (S n) = lookupVar env n
\texttt{eval} \ \colon \texttt{Env} \ \Gamma \ \to \ \texttt{Exp} \ \Gamma \ \texttt{a} \ \to \ \texttt{a}
eval env (Var x)
                         = lookupVar env x
eval env (Lam e)
                         =\lambda {
m v} 
ightarrow (eval (Extend env v) e)
eval env (App f a)
                         = eval env f $ eval env a
                         = Poly (eval env e)
eval env (TLam e)
eval env (TApp e)
                         = unPoly (eval env e)
eval env (Inject e) = inject (eval env e)
eval env (Project e) = project (eval env e)
```

The cases for variables, lambdas and applications are standard. The other cases just deal with the necessary boxing and unboxing of newtypes.

As a trivial example, let us instantiate the identity function at type Int:

id_int :: Exp () (Int \rightarrow Int) id_int = proj AtoA (TApp id_poly)

If we now do eval Empty id_int 42, then GHC returns 42 as expected.

3. System F in F_{ω}

Having developed an embedding of System F in Haskell, we now show that the same idea can be adapted to embed System F in F_{ω} by translating away the GADTs into existential types and type-level equality coercions. Though F_{ω} does not have GADTs, it does have first-class type constructors in the form of lambda abstractions at the level of types, which do make life considerably easier. In the next Section we use the embedding in F_{ω} as inspiration for an embedding in OCaml using recursive first-class modules.

The syntax of F_{ω} is given in Figure 3.

[TODO: The final version of the paper will include a full description of the completely standard typing rules and reduction rules for System F and F_{ω} in an appendix.]

(kinds)	$K ::= \star \mid K \to K$
(types)	$A, B ::= \alpha \mid A \to B \mid \forall \alpha^K . A \mid \lambda \alpha^K . A \mid A B$
(terms)	$e, f ::= x \mid \lambda x^{A}.e \mid e \mid f \mid \Lambda \alpha^{K}.e \mid e \mid A$
(environments)	$\Gamma, \Delta ::= \cdot \mid \Gamma, \alpha : K \mid \Gamma, x : A$

Figure 1. F_{ω} syntax

$$\begin{split} Eq &:: \star \to \star \to \star \\ Eq &= \lambda \alpha \, \beta . \forall \phi^{\star \to \star} . \phi \, \alpha \to \phi \, \beta \\ refl &: \forall \alpha . Eq \, \alpha \, \alpha \\ refl &= \Lambda \alpha \, \phi^{\star \to \star} . \lambda x^{\phi \, \alpha} . x \\ cast &: \forall \alpha \, \beta . Eq \, \alpha \, \beta \to \alpha \to \beta \\ cast &= \Lambda \alpha \, \beta . \lambda p^{Eq \, \alpha \, \beta} \, x^{\alpha} . p \, (\lambda \gamma . \gamma) \, x \end{split}$$



3.1 Syntactic sugar

We assume standard encodings of *n*-ary lambdas $(A_1 \rightarrow \cdots \rightarrow A_n \rightarrow B)$, products $(A_1 \times \cdots \times A_n)$, sums $(A_1 + \cdots + A_n)$, and universal quantifiers $(\forall \alpha_1^{K_1} \dots \alpha_n^{K_n} A)$. In addition, we assume a standard encoding of *n*-ary existential quantifiers $(\exists \alpha_1^{K_1} \dots \alpha_n^{K_n} A)$ in terms of universal quantifiers, and the following sugar for using *n*-ary existentials.

 $\exists .A \equiv A$ $\exists \alpha_1 \dots \alpha_n .A \equiv \exists \alpha_1 . \exists \alpha_2 \dots \alpha_n .A$ pack []e as $B \equiv e$ pack [$A_1 \dots A_n$]e as $B \equiv$

 $\begin{array}{l} \mathsf{pack}\,[A_1\ldots A_n]e\,\mathsf{as}\,B\equiv\\ \mathsf{pack}\,[A_1](\mathsf{pack}\,[A_2\ldots A_n]e\,\mathsf{as}\,B')\,\mathsf{as}\,B\\ \mathrm{where}\,B\longrightarrow_*\exists\alpha_1.B'\,\mathrm{and}\,B'=\exists\alpha_2\,\ldots\,\alpha_n.B'' \end{array}$

unpack e as []x in $e' \equiv e'[e/x]$ unpack e as $[\alpha_1, \ldots, \alpha_m]x$ in $e' \equiv$ unpack e as $[\alpha_1]z_1$ in unpack z_1 as $[\alpha_2, \ldots, \alpha_m]z_m$ in $[z_m/x]$ where z_1, \ldots, z_m are not free in e'

 $\begin{array}{l} \operatorname{inj}_k [\alpha_1 \ldots \alpha_m](x_1, \ldots, x_n) \Rightarrow e \equiv \\ \operatorname{inj}_k y \Rightarrow \operatorname{unpack} y \operatorname{as} [\alpha_1, \ldots, \alpha_m] z \operatorname{in} \\ e[\operatorname{proj}_1 z/x_1, \ldots, \operatorname{proj}_m z/x_n] \\ \end{array} \\ \text{where } x \text{ and } y \text{ are not free in } e \end{array}$

3.2 Leibniz equality

In order to simulate GADTs in F_{ω} , we must encode type-level equality constraints. Leibniz equality is expressed in F_{ω} as the type $\forall \alpha \beta \phi^{\star \to \star \to \star} . \phi \alpha \to \phi \beta$ (see, e.g., [4]). The intuition is that if the types bound to α and β are equal then they should be equal in any context, where a context is exactly a type constructor $\phi^{\star \to \star \to \star}$.

Figure 2 defines Leibniz equality. We write Eq A B for the type of proofs that type A and type B are equal. We write refl A (reflexivity) for the proof that type A is equal to itself, and cast A B p for the function that uses equality proof p of type Eq A B to convert any value of type A to the same value at type B. (It is also straightforward to define functions for symmetry and transitivity, but they are not necessary here.)

3.3 The embedding

To simplify the presentation, we will assume that our version of F_{ω} has been extended with recursive types, and define our type

$$\begin{split} Z,S &:: \star \to \star \to \star \\ Z &= \lambda \Gamma \, \alpha. \, \exists \Delta. \quad Eq \, \Gamma \, (\Delta \times \alpha) \\ S &= \lambda \Gamma \, \alpha. \, \exists \Delta \, \beta. \, Eq \, \Gamma \, (\Delta \times \beta) \times Var \, \Delta \, \alpha \end{split}$$

$$\begin{split} Var, Lam, App, TLam, TApp &:: \star \to \star \to \star \\ Var &= \lambda \Gamma \, \alpha. \, Z \, \Gamma \, \alpha + S \, \Gamma \, \alpha \\ Lam &= \lambda \Gamma \, \alpha. \, \exists \beta \, \gamma. \qquad Eq \, (\beta \to \gamma) \, \alpha \times Exp \, (\Gamma \times \beta) \, \gamma \\ App &= \lambda \Gamma \, \alpha. \, \exists \beta \, Z \, \Omega \, \alpha \times Exp \, \Gamma \, (\beta \to \alpha) \times Exp \, \Gamma \, \beta \\ TLam &= \lambda \Gamma \, \alpha. \, \exists \phi^{\star \to \star}. \quad Eq \, (\forall \beta. \phi \, \beta) \, \alpha \times (\forall \beta. Exp \, \Gamma \, (\phi \, \beta)) \\ TApp &= \lambda \Gamma \, \alpha. \, \exists \beta \, \phi^{\star \to \star}. \quad Eq \, (\phi \, \beta) \, \alpha \times Exp \, \Gamma \, (\forall \gamma. \phi \, \gamma) \end{split}$$

$$\begin{split} Exp &:: \star \to \star \to \star \\ Exp &:: \star \to \star \to \star \\ Exp &= \lambda \Gamma \, \alpha. \, Var \, \Gamma \, \alpha + \\ Lam \, \Gamma \, \alpha + App \, \Gamma \, \alpha + \\ TLam \, \Gamma \, \alpha + TApp \, \Gamma \, \alpha \end{split}$$

Figure 3. Embedding System F in F_{ω}

constructors mutually recursively using a Scott encoding [18]. The embedding is given in Figure 3.

For variables we have type constructors Z and S. For $Z \Gamma \alpha$, we just need to provide a proof that the first element of Γ is α , that is, a proof that there exists an environment Δ , such that Γ is equal to $(\Delta \times \alpha)$. For $S \Gamma \alpha$, we must provide a proof that Γ is non-empty, along with a variable that can be typed by the tail of Γ . These type constructors are really just the same as those in the Haskell implementation. The key difference is that the implicit equality proofs provided by the GADT representation have had to be made explicit.

The type constructors for expressions follow a similar pattern. The Var constructor just dispatches to S and Z. The Lam constructor existentially quantifies over the argument and result types and includes a proof that they combine to form the type of the resulting expression. The App constructor existentially quantifies over the function argument type. Both TLam and TApp existentially quantify over the type constructor ϕ that is used to encode a universal quantifier. The TApp constructor additionally existentially quantifies over the type argument. Apart from the existential quantification and equality proofs, the embedding is essentially the same as the Haskell implementation, and can equally well be read as a fairly direct transcription of the typing rules for System F.

The *Exp* constructor gathers together all of the expression constructors into a single sum type.

3.4 An evaluator

Assuming we have recursive functions (which we can define anyway once we have recursive types), we can write an evaluator for our System F embedding in F_{ω} , which is again similar to the Haskell implementation (Figure 4). A key difference is that the environment is just Γ rather than the encoding of a GADT representing well-formed environments. This works for our use-case, and indeed we could have done the same for the Haskell implementation. It does not, however, seem possible to realise a faithful representation of the Env GADT from the Haskell implementation in the F_{ω} version. This is due to a well-known limitation of the Leibniz encoding of GADTs, which is unable to capture the injectivity of GADTs [11]. (Essentially the problem is that the type system is unable to capture the property that $\phi A = \phi B$ implies that A = B.)

The other main difference from the Haskell evaluator is that we must explicitly apply the equality proofs using the *cast* function.

$$\begin{split} & lookup \, : \, \forall \Gamma \, \alpha . \Gamma \to Var \, \Gamma \, \alpha \to \alpha \\ & lookup = \Lambda \Gamma \, \alpha . \lambda env^{\Gamma} n^{Var \, \Gamma \, \alpha} . \\ & \mathsf{case} \, n \, \mathsf{of} \, \mathsf{inj}_1 \, [\Delta](p) \quad \Rightarrow \mathsf{proj}_2 \, (\mathit{cast} \, p \, env) \\ & \mathsf{inj}_2 \, [\Delta \, \beta](p, n) \Rightarrow \mathit{lookup} \, (\mathsf{proj}_1 \, (\mathit{cast} \, p \, env)) \, n \\ & eval \, : \, \forall \Gamma \, \alpha . \Gamma \to \mathit{Exp} \, \Gamma \, \alpha \to \alpha \\ eval = \Lambda \Gamma \, \alpha . \lambda env^{\Gamma} e^{\mathit{Exp} \, \Gamma \, \alpha} . \\ & \mathsf{case} \, e \, \mathsf{of} \, \mathsf{inj}_1 \, n \qquad \Rightarrow \mathit{lookup} \, env \, n \\ & \mathsf{inj}_2 \, [\beta \, \gamma](p, b) \quad \Rightarrow \mathit{cast} \, p \, (\lambda v^\beta . eval \, (env, v) \, b) \\ & \mathsf{inj}_3 \, [\beta](f, a) \qquad \Rightarrow (eval \, env \, f) \, (eval \, env \, a) \\ & \mathsf{inj}_4 \, [\phi^{\star \to \star}](p, b) \Rightarrow \mathit{cast} \, p \, (\Lambda \beta . eval \, env \, (b\beta)) \\ & \mathsf{inj}_5 \, [\beta \, \phi^{\star \to \star}](p, e) \Rightarrow \mathit{cast} \, p \, ((eval \, env \, e) \, \beta) \end{split}$$



(types)	$A, B ::= \alpha \mid A \to B \mid \forall \alpha. A$
(variables)	$n ::= z \mid s \; n$
(terms)	$M, N ::= n \mid \lambda^A . M \mid M N \mid \Lambda \alpha . M \mid M A$
(environments)	$\Gamma, \Delta ::= \cdot \mid \Gamma, A$



3.5 Soundness of the embedding

For System F we use a de Bruijn representation, whereas for F_{ω} we use variable names. The syntax of our de Bruijn representation of System F is given in Figure 3.5.

We now define a function for embedding System F typing judgements in F_{ω} , and give a soundess result. The function [-]] maps System F typing judgements ($\Gamma \vdash_F M : A$) to F_{ω} typing judgements ($\Gamma \vdash_{F_{\omega}} e : A$). (Note that [-]] is a meta-level function defined outside any of either language.) The auxiliary System F judgement $\Gamma \ni_F n : A$ states that in type environment Γ de Bruijn variable n has type A.

Informally, the soundness result we seek should state that if we evaluate an embedded System F term, then the result is $\beta\eta$ convertible to the lifting of the System F term to the equivalent F_{ω} term. Thus, as well as the embedding function [-], we also need to define a function [-] to lift a de Bruijn representation of a System F term to a standard Barendregt-style representation of an F_{ω} term. The embedding and lifting functions are defined in Figure 6.

We write $\Gamma \vdash_{F_{\omega}} e = f : A$ if $\Gamma \vdash_{F_{\omega}} e : A, \Gamma \vdash_{F_{\omega}} f : A$ and e is $\beta\eta$ -convertible to f.

THEOREM 1 (Soundness).

1. If
$$\Delta \vdash_{F_{\omega}} env : \Gamma$$
 and $\Gamma \ni_{F} n : A$, then
 $\Delta \vdash_{F_{\omega}} lookup \Gamma A env \llbracket \Gamma \ni_{F} n : A \rrbracket = \lceil n \rceil_{env} : A$
2. If $\Delta \vdash_{F_{\omega}} env : \Gamma$ and $\Gamma \vdash_{F} M : A$, then

$$\Delta \vdash_{F_{\omega}} eval \,\Gamma \,A \,env \,\llbracket \Gamma \vdash_{F} M : A \rrbracket = \lceil M \rceil_{env} : A$$

The proof is by induction on the structure of derivations.

COROLLARY 2. If $\vdash_F M : A$, then

$$\vdash_{F_{\omega}} eval \, \Gamma \, A \, () \, \llbracket \vdash_F M : A \rrbracket = \lceil M \rceil : A$$

4. System F in OCaml

We will now show that the whole development can be redone in OCaml. Mostly this makes the implementation more painful, primarily because the current version of OCaml 3.12.1 does not support GADTs and module syntax can be somewhat heavyweight
$$\begin{split} & \left[\!\!\left[\Gamma \ni_F A\!\right]\!\!\right] : Var\,\Gamma\,A \\ & \left[\!\!\left[\Gamma,A\ni_F z:A\!\right]\!\!\right] = \operatorname{inj}_1\left(\operatorname{pack}\left[\Gamma\right]\left(\operatorname{refl}\left(\Gamma\times A\right)\right)\operatorname{as} Z\left(\Gamma\times A\right)A\right) \\ & \left[\!\!\left[\Gamma,A\ni_F sn:B\!\right]\!\!\right] = \operatorname{inj}_2\left(\operatorname{pack}\left[\Gamma\,A\right]\left(\operatorname{refl}\left(\Gamma\times A\right),\left[\!\!\left[\Gamma\ni_F n:B\!\right]\!\right]\right)\operatorname{as} S\left(\Gamma\times A\right)B\right) \\ & \left[\!\!\left[\Gamma\models_F A\!\right]\!\!\right] : Exp\,\Gamma\,A \\ & \left[\!\!\left[\Gamma,A\vdash_F n:A\!\right]\!\!\right] = \operatorname{inj}_1\left(\left[\!\!\left[\Gamma,A\ni_F n:A\!\right]\!\right]\right) \\ & \left[\!\!\left[\Gamma\vdash_F \lambda^A.M:A\to B\!\right]\!\!\right] = \operatorname{inj}_2\left(\operatorname{pack}\left[AB\right]\left(\operatorname{refl}\left(A\to B\right),\left[\!\!\left[\Gamma,A\vdash_F M:B\!\right]\!\right]\right)\operatorname{as} Lam\,\Gamma\left(A\to B\right)\right) \\ & \left[\!\!\left[\Gamma\vdash_F M^{A\to B}N:B\!\right]\!\!\right] = \operatorname{inj}_3\left(\operatorname{pack}\left[A\right]\left(\left[\!\left[\Gamma\vdash_F M:A\to B\!\right]\!\right],\left[\!\left[\Gamma\vdash_F N:A\!\right]\!\right]\right)\operatorname{as} App\,\Gamma\,B\right) \\ & \left[\!\!\left[\Gamma\vdash_F \Lambda\alpha.M:\forall\alpha.A\!\right]\!\!\right] = \operatorname{inj}_4\left(\operatorname{pack}\left[\forall\alpha.A\right]\left(\operatorname{refl}\left(\forall\alpha.A\right),\Lambda\alpha.\left[\!\left[\Gamma\vdash_F M:A\!\right]\!\right]\right)\operatorname{as} TLam\,\Gamma\left(\forall\alpha.A\right)\right) \\ & \left[\!\!\left[\Gamma\vdash_F M^{\forall\alpha.B'}A:B\!\right]\!\!\right] = \operatorname{inj}_5\left(\operatorname{pack}\left[A\left(\lambda\alpha.B'\right)\right]\left(\operatorname{refl} B,\left[\!\left[\Gamma\vdash_F M:\forall\alpha.B'\!\right]\!\right)\operatorname{as} TApp\,\Gamma\,B\right) \end{split} \right]$$

```
 \begin{split} & \lceil \mathbf{z} \rceil_{env} = \mathsf{proj}_2 \ env & [\lambda^A.M]_{env} = \lambda x^A.[M]_{(env,x)}, \quad x \text{ fresh} \\ & \lceil s n \rceil_{env} = \lceil n \rceil_{(\mathsf{proj}_1 \ env)} & [M N]_{env} = \lceil M \rceil_{env} [N]_{env} \\ & \lceil M \rceil = \lceil M \rceil_{()} & [M A]_{env} = \Lambda \alpha.[M]_{env} \\ \end{split}
```

Figure 6. The embedding and lifting functions

as a way for implementing existentials. However, in at least one way the implementation is a little cleaner. Unlike in GHC, OCaml type constructors do not have to be boxed. This means that the expression language does not need to be extended with forms for injection and projection.

Our starting point is Yallop and Kiselyov's encoding of GADTs in OCaml [27]. As we have already observed, GADTs are essentially just existential types with type-level equality coercions. Existentials can be encoded in OCaml using higher-rank universal types, which can be encoded using records, objects, or modules. We will, however, adopt a more direct encoding in terms of firstclass recursive modules. As we have already seen, equality conversions can be implemented using Leibniz equality, which is definable as soon as one has universal quantification over type constructors. Yallop and Kiselyov, implement Leibniz equality using first-class modules.

We will not repeat Yallop and Kiselyov's development here, but instead just include the interfaces we use. First we define a unary type constructor:

module type TyCon = sig type 'a tc end

As modules are first-class in OCaml 3.12, we can treat this module signature as a first-class type.

The signature for Leibniz equality is show in Figure 7. For our purposes we only need the equality type eq, the reflexivity axiom refl, and the cast operation cast.

We begin with the encoding of variable typing judgements, which is shown in Figure 8. The sub-modules Z and S each represents an existential. The existentially bound type variables are those that are not bound in the var datatype. The value components of the module define the body of the existential. For instance the Z module represents the type $\exists \Delta.(\Gamma, \Delta * \alpha) eq$. It uses Leibniz equality to encode a proof that there exists some Δ such that Γ is equal to $\Delta * \alpha$.

The encoding of expressions (Figure 9) is similar. Polymorphic types are boxed inside an object type similarly to the Poly newtype used in the GHC implementation. Notice, however, that we create a thunk for the body of a type abstraction. This is in order to prevent the ML value restriction from getting in the way of polymorphism. We choose objects here instead of records as being structural rather than nominal they are more convenient.

Before writing some examples, we first define some smart constructors (Figures 10 and 11). These allow us to invoke each nonpolymorphic syntax constructor without having to explicitly define a module, and to invoke each polymorphic syntax constructor

```
module type EQ =
sig
  (* A value of type (s, t) eq is a proof that types
     s and t are the same. *)
  type ('a, 'b) eq
  (* The reflexivity axiom. *)
  val refl : unit \rightarrow ('a, 'a) eq
  (* Leibniz's substitution axiom. *)
  module Subst (TC : TyCon) :
  sig
    val subst : ('a, 'b) eq \rightarrow ('a TC.tc, 'b TC.tc) eq
  end
  (* Given a proof that type s and type t are equal, we
     can convert s to t. *)
  val cast : ('a, 'b) eq \rightarrow 'a \rightarrow 'b
end
```



```
module type Z =
  sig
    type \Gamma
               type a
    \texttt{type}\ \Delta
    val p : (\Gamma, \Delta * a) eq
  end
  module type S =
  sig
    type \Gamma
                  type a
                 type b
    type \Delta
    val p : (\Gamma, \Delta * b) eq
    val n : (\Delta, a) Var.var
  end
  type ('\Gamma,'a) var =
       Z of (module Z with type \Gamma = \Gamma and type a = r^{a})
      S of (module S with type \Gamma='\Gamma and type a='a)
end = Var
```

open Var

Figure 8. De Bruijn variables in OCaml

module rec Exp : sig module type LAM = sig type a type Γ type b type c val p : (b \rightarrow c, a) eq val e : (Γ * b, c) Exp.exp end module type APP = sig type Γ type a type b val f : (Γ , b \rightarrow a) Exp.exp val e : (Γ, b) Exp.exp end module type TLAM = sig type Γ type a type 'b tc val p : ($\langle poly : 'b.'b tc \rangle$, a) eq val e : $\langle poly : 'b.unit \rightarrow (\Gamma, 'b tc) Exp.exp \rangle$ end module type TAPP = sig type Γ type a type b type 'c tc val p : (b tc, a) eq val e : (Γ , (poly : 'c.'c tc)) Exp.exp end type (' Γ , 'a) exp = Var of $('\Gamma, 'a)$ var Lam of (module LAM with type $\Gamma = \Gamma$ and type $a = r^{a}$) App of (module APP with type $\Gamma='\Gamma$ and type a='a) TLam of (module TLAM with type $\Gamma='\Gamma$ and type a='a) TApp of (module TAPP with type $\Gamma = \Gamma$ and type a = r) end = Exp

```
open Exp
```



```
let z : '\Gamma 'a . unit \rightarrow ('\Gamma * 'a, 'a) var =
      fun (type \Delta') (type a') () \rightarrow
         let module M = struct
           type \Gamma = (\Delta' * a')
           type a = a'
           type \Delta = \Delta'
           let p = refl()
         end in
           Z (module M : Var.Z with
                   type \Gamma = (\Delta' * a') and type a = a')
let s : '\Delta 'b 'a . ('\Delta, 'a) var \to ('\Delta * 'b, 'a) var = fun (type \Delta') (type b') (type a') n' \to
     let module M = \text{struct}
        type \Gamma = (\Delta' * b')
         type a = a'
         type \Delta = \overline{\Delta}'
        type b = b'
        let p = refl()
        \texttt{let } \mathtt{n} = \mathtt{n'}
      end in
        S (module M : Var.S with
                type \Gamma = (\Delta' * b') and type a=a')
```



```
(* simple terms *)
let var : '\Gamma 'a.('\Gamma, 'a) var \rightarrow ('\Gamma, 'a) exp =
   fun n \rightarrow Var n
let lam : '\Gamma 'b 'c.(('\Gamma * 'b), 'c) exp \rightarrow ('\Gamma, 'b \rightarrow 'c) exp =
   fun (type \Gamma ) (type b') (type c') body \rightarrow
     let module \mathbf{M} = \mathbf{struct}
        type \Gamma = \Gamma'
        type a = b' \rightarrow c'
        type b = b'
        type c = c'
        let p = refl()
       let e = body
     end in
        Lam (module M : Exp.LAM with
                 type \Gamma = \Gamma' and type a = b' \rightarrow c')
let module M = struct
        type \Gamma = \Gamma'
        type a = a'
        type b = b'
        let f = f
       let e = e
     end in
        App (module M : Exp.APP with
                 type \Gamma = \Gamma' and type a=a')
(* polymorphic terms *)
module TL (TC : TyCon) = struct
   type '\Gamma body = {poly : 'a.unit 
ightarrow ('\Gamma, 'a TC.tc) exp}
   let tlam : '\Gamma.'\Gamma body \rightarrow
     ('\Gamma,~\langle \texttt{poly} : 'b.'b <code>TC.tc</code>)) exp = fun (type \Gamma') body \rightarrow
       let module M = struct
           type \Gamma = \Gamma'
           type a = \langle poly : 'b.'b TC.tc \rangle
          type 'a tc = 'a TC.tc
          let p = refl()
          let e =
             object
               method poly : 'a.unit \rightarrow
(\Gamma, 'a TC.tc) exp=body.poly
             end
        end in
          TLam (module M : Exp.TLAM with
                     type \Gamma = \Gamma' and
                     type a = \langle poly : 'b.'b TC.tc \rangle)
end
module TA (TC : TyCon) = struct
   let tapp : '\Gamma 'b.('\Gamma, (poly : 'a.'a TC.tc)) exp \rightarrow
                                           ('\Gamma, 'b TC.tc) exp =
     fun (type \Gamma') (type b') body
        let module M = struct
          type \Gamma = \Gamma'
          type b = b'
          type 'a tc = 'a TC.tc
          type a = b tc
          let p = refl()
          let e = body
        end in
          TApp (module M : Exp.TAPP with
                     type \Gamma = \Gamma' and type a = b' TC.tc)
end
```

Figure 11. Smart constructors for System F in OCaml

through a module that defines the appropriate type constructor. For the polymorphic argument to TL.tlam we use a record instead of an object as callers of the function will actually have to create these values and record creation syntax is significantly more concise than object creation syntax.

Now, consider the polymorphic identity function. Its type constructor is given by the module:

module AtoA = struct type 'a tc = 'a
$$ightarrow$$
 'a end

We write the polymorphic identity function as:

and we can specialise it to integers as follows:

let id_int : (unit, int \rightarrow int) exp = let module M = TA(AtoA) in M.tapp (id_poly())

Notice that although the syntax is not exactly concise, unlike in GHC we do not have to box and unbox type constructors: OCaml knows that 'a AtoA.tc is the same type as 'a \rightarrow 'a.

Now let us consider the K combinator. As OCaml supports local module declarations, we need only ever define unary type constructors:

```
let ck () :
  (unit, \langle poly : 'a.\langlepoly : 'b.'a \rightarrow 'b \rightarrow 'a\rangle \rangle) exp =
  let module M =
     TL(struct
            type 'a tc = (poly : 'b.'a \rightarrow 'b \rightarrow 'a) end) in
        M.tlam {M.poly = fun (type a) () \rightarrow
           let module \mathbb{N} =
             TL(struct type 'b tc = a \rightarrow 'b \rightarrow a end) in
                N.tlam {N.poly=fun () \rightarrow \text{lam}(\text{lam}(s(z())))}
Also note that we can inline functor parameters.
```

We can write an evaluator for our OCaml implementation (Figure 12) that is essentially the same as the F_{ω} one. The only real difference is the boilerplate for managing modules and polymorphism.

5. \mathbf{F}_{ω} in Haskell

GHC 7.4 supports polymorphism over kinds. It turns out that this means our embedding of System F is actually an embedding of F_{ω} . All we need to do is generalise the argument of Poly to operate over arbitrary kinds. In fact we do not need to change the code at all, we just need to enable the PolyKinds language extension. Without PolyKinds, the Poly constructor is assigned the type:

Poly :: (\forall a. k a) \rightarrow Poly k

With PolyKinds enabled, it receives the mysterious type:

Poly :: ($\forall a. k a$) \rightarrow Poly $\star k$

which indicates that the k type constructor is polymorphic. Currently there is no way for the programmer to assert that a kind should be polymorphic, but GHC can infer this for itself.

Given that we can embed F_{ω} in GHC and we can embed System F in F_{ω} , we could of course embed System F in the embedded F_{ω} !

As a simple example, let us just consider the basic interface to Leibniz equality. The type constructor is:

```
newtype Leibniz a b (k :: \star \rightarrow \star) =
  Leibniz { unLeibniz :: k a \rightarrow k b }
     deriving (Iso (k a \rightarrow k b))
```

The definitions of refl and cast are straightforward:

newtype Id a = Id { unId :: a } deriving (Iso a) refl :: Exp () (Poly (Leibniz a a)) refl = TLam (inj Leibniz (Lam (Var Z)))

cast :: Exp () (Poly (Leibniz a b) \rightarrow Id a \rightarrow Id b) cast = Lam (proj Leibniz (TApp (Var Z)))

Unfortunately, the definition of cast leaves us with some inconvenient Id constructors. We can eliminate them by η -expanding:

cast' :: Exp () (Poly (Leibniz a b)
$$\rightarrow$$
 a \rightarrow b)
cast' = Lam (Lam
(proj Id (App (proj Leibniz (TApp (Var (S Z))))
(ini Id (Var Z)))))

As illustrated by cast, GHC's second-class type constructors are generally more problematic in the F_{ω} setting.

6. HOAS, type representations and unembedding 6.1 HOAS

Higher-order abstract syntax (HOAS) [20], is often more convenient for writing terms than a de Bruijn representation, because it allows the programmer to use the bindings of the host language to encode bindings of an object language. Others [21, 23] have considered HOAS embeddings of System F in F_{ω} (and in the latter case, embeddings of richer languages in extensions of F_{ω}). We can straightforwardly adapt Rendel et al.'s embedding [23], and implement it in Haskell. This also scales to an embedding of F_{ω} , taking advantage of kind polymorphism.

First, let us begin with a HOAS representation for simply-typed lambda calculus.

```
class Lambda exp where
```

Following our previous work on unembedding [3], where we used the so-called "finally tagless" approach [7] (which amounts to a Church encoding of lambda terms), we encode HOAS terms using a Haskell type class. The idea is that different instances of the Lambda type class implement different interpreters for simply-typed lambda calculus.

We can straightforwardly extend Lambda with polymorphism in almost exactly the same way that we added polymorphism to the first-order representation.

```
class Lambda exp where
                             :: (exp a \rightarrow exp b) \rightarrow exp (a \rightarrow b)
:: exp (a \rightarrow b) \rightarrow exp a \rightarrow exp b
        lam
        app
                             :: (\foralla.exp (k a)) \rightarrow exp (Poly k)
        tlam
                            :: exp (Poly k) \rightarrow (\foralla.exp (k a))
        tapp
        \texttt{hinject} \hspace{0.1in} :: \hspace{0.1in} \texttt{Iso a } b \hspace{0.1in} \Rightarrow \hspace{0.1in} \texttt{exp } a \hspace{0.1in} \rightarrow \hspace{0.1in} \texttt{exp } b
        hproject :: Iso a b \Rightarrow exp b \rightarrow exp a
```

The first four functions above have almost the same signatures as the embedding of System F in Rendel et al.'s work [23] (except they embed a richer language in a richer language). The last two functions deal with boxing and unboxing constructors. As with the first-order case, it is convenient to define wrapper functions in order to aid type inference:

```
hinj :: (Lambda exp, Iso a b) \Rightarrow
              (a \rightarrow b) \rightarrow exp a \rightarrow exp b
hinj _ = hinject
hproj :: (Lambda exp, Iso a b) \Rightarrow
                (a \rightarrow b) \rightarrow exp b \rightarrow exp a
hproj _ = project
```

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```
let rec lookup : '\Gamma 'a . '\Gamma \rightarrow ('\Gamma,'a) var \rightarrow 'a =
       fun (type \Gamma) (type a) env \rightarrow
              function
                     | Z m -
                             let module M = (val m : Z with type \Gamma rand type a a) in
                                   snd (cast M.p env)
                     | S m -
                             let module M = (val m : S with type \Gamma = \Gamma and type a=a) in
                                    lookup (fst (cast M.p env)) M.n
let rec eval : '\Gamma 'a . '\Gamma \rightarrow ('\Gamma, 'a) Exp.exp \rightarrow 'a =
       fun (type \Gamma) (type a) env \rightarrow
              function
                             \texttt{Var n} \ \rightarrow \ \texttt{lookup env n}
                            Lam m -
                                    let module M = (val m : LAM with type \Gamma and type a a) in
                                           cast M.p (fun v \rightarrow eval (env, v) M.e)
                      \mid App m \rightarrow
                                    let module M = (val m : APP with type \Gamma and type a a) in
                                           (eval env M.f) (eval env M.e)
                      | TLam m -
                                    let module M = (val m : TLAM with type \Gamma and type and in
                                           (cast M.p) (object method poly : 'b.'b M.tc = eval env (M.e#poly ()) end)
                      | TApp m -
                                    let module M = (val m : TAPP with type \Gamma and type and t
                                           (cast M.p) (eval env M.e)#poly
```

Figure 12. System F evaluator in OCaml

Closed HOAS terms of type a are represented simply as Lambda expressions of type a:

type ClosedHoas a = Lambda exp \Rightarrow exp a

For instance, we can now define the polymorphic identity function as:

```
\label{eq:loss_hoas_id_poly :: ClosedHoas (Poly AtoA) \\ \mbox{hoas_id_poly} = tlam (\mbox{hinj AtoA} (lam (\mbox{$\lambda x \to x$})))
```

One of the canonical instances we can specify defines an evaluator for HOAS System F terms:

```
newtype Val a = Val {unVal :: a}
instance Lambda Val where
    lam f = Val $ unVal of o Val
    Val f 'app' Val a = Val $ f a
    tlam v = Val $ Poly (unVal v)
    tapp v = Val $ unPoly (unVal v)
    hinject v = Val $ inject (unVal v)
    hproject v = Val $ project (unVal v)
```

Carette et al. [7] explore a range of interesting interpretations for implementing fold-like operations on simply-typed languages. In Section 6.3 we provide another canonical instance for converting the HOAS representation to a first-order representation.

6.2 Type representations

One issue with naive attempts at implementing HOAS is that in order to correctly represent an embedded language we need to restrict the form of host language functions admissible in the encoding of object language lambdas. Without such a restriction, it becomes possible to write *exotic terms*, which can inspect the form of function arguments and behave differently depending on their form. Using the "finally tagless" / Church encoding approach, this is not possible. In particular, in our type class-based implementation, the exp type constructor is abstract, so it is not possible to do anything untoward with function arguments.

A more subtle issue is that if we do not restrict the types somehow, then universal quantification allows us to create term representations whose types are not object types: *exotic types*. Exotic types are less of a concern than exotic terms, because exotic types behave like abstract types; they do not introduce any new behaviours. Nonetheless, we can rule out exotic types by encoding *representable types* [12] using GADTs and type classes. In fact, even our first-order encoding does not preclude exotic types.

For simple types, we can define the following GADT:

```
data Rep :: \star \to \star where
Int :: Rep Int
(:\rightarrow) :: Rep a \rightarrow Rep b \rightarrow Rep (a \rightarrow b)
```

By construction, if v :: Rep A, then A can only be built from the Int and -> type constructors. For convenience, following Cheney and Hinze [10], we also define a type class Representable:

```
class Representable a where rep :: Rep a
instance Representable Int where rep = Int
instance (Representable a, Representable b) \Rightarrow
Representable (a \rightarrow b) where
rep = rep :\rightarrow rep
```

Now we can enforce simple typing by adding type class constraints of the form Representable a =>.

Let us now consider how to extend these definitions to enforce System F typing. In order to define representations for polymorphism and type constructor applications we need to define what it is to be a representable type constructor.

```
class RepresentableCon (k :: \star \rightarrow \star) where instance (Iso a (k b)) \Rightarrow RepresentableCon k where
```

The RepresentableCon typeclass asserts that a type constructor k is representable if it is a newtype constructor for a newtype that derives the Iso type class.

Now we extend the Rep GADT:

```
data Rep :: \star \rightarrow \star where
Int :: Rep Int
(:\rightarrow) :: Rep a \rightarrow Rep b \rightarrow Rep (a \rightarrow b)
```

```
All :: (RepresentableCon k) \Rightarrow Rep (Poly k)
Con :: (RepresentableCon k) \Rightarrow Rep a \rightarrow Rep (k a)
and correspondingly the Representable type class:
class Representable a where rep :: Rep a
instance Representable Int where rep = Int
instance (Representable a, Representable b) \Rightarrow
Representable (a \rightarrow b) where
rep = rep :\rightarrow rep
instance (RepresentableCon k) \Rightarrow
Representable (Poly k) where
rep = All
instance (Representable a, RepresentableCon k) \Rightarrow
Representable (k a) where
```

rep = Con rep

Having defined representable types, we can now put them to use. We use them to constrain the Exp type:

data Exp	:::	$\star ightarrow \star ightarrow \star $ where
Var	::	Representable a \Rightarrow Var Γ a \rightarrow Exp Γ a
Lam	::	Representable a \Rightarrow
		Exp (a, Γ) b $ ightarrow$ Exp Γ (a $ ightarrow$ b)
App	::	Exp Γ (a \rightarrow b) \rightarrow Exp Γ a \rightarrow Exp Γ b
TLam	::	($orall \mathtt{a}.\mathtt{Representable}$ a \Rightarrow Exp Γ (k a)) \rightarrow
		Exp Γ (Poly k)
TApp	::	Representable a \Rightarrow
		Exp Γ (Poly k) $ ightarrow$ Exp Γ (k a)
Inject	::	Iso a (k b) \Rightarrow Exp Γ a \rightarrow Exp Γ (k b)
Projec	t ::	Iso a (k b) \Rightarrow Exp Γ (k b) \rightarrow Exp Γ a

The type of a variable must be representable. We need to constrain the argument to a lambda abstraction, but we do not need to constrain the return type as the other constraints ensure that it is only possible to construct a body that has a representable type. For polymorphism, we constrain the argument types for type constructors to be representable. We also need to amend Poly to quantify over representable types:

What we pay for this is that we can no longer embed F_{ω} , as Poly is no longer polymorphic in the kind k:

Poly :: (\forall a.Representable a \Rightarrow k a) \rightarrow Poly k

The modifications to the HOAS representation are similar:

```
class Lambda exp where

lam :: Representable a \Rightarrow

(exp \ a \rightarrow exp \ b) \rightarrow exp \ (a \rightarrow b)

app :: exp \ (a \rightarrow b) \rightarrow exp \ a \rightarrow exp \ b

tlam :: (\forall a.Representable \ a \Rightarrow exp \ (k \ a)) \rightarrow

exp \ (Poly \ k)

tapp :: exp \ (Poly \ k) \rightarrow

(\forall a.Representable \ a \Rightarrow exp \ (k \ a))

hinject :: Iso a (k \ b) \Rightarrow exp \ a \rightarrow exp \ (k \ b)

hproject :: Iso a (k \ b) \Rightarrow exp \ (k \ b) \rightarrow exp \ a
```

Now if we write:

(Lam (Var Z)) :: Exp () (Exp () Int \rightarrow Exp () Int)

then we get an error message:

```
No instance for (Iso a (Exp () b))
arising from a use of 'Lam'
Possible fix: add an instance declaration for
(Iso a (Exp () b))
In the expression:
(Lam (Var Z)) :: Exp () (Exp () Int → Exp () Int)
```

as Exp () Int \rightarrow Exp () Int is not a representable System F type.

6.3 Unembedding

In prior work [3], we demonstrated how to *unembed* well-typed higher-order abstract syntax as well-typed first-order syntax for simply-typed object languages. We now show how to extend unembedding to polymorphic object languages.

The motivation for unembedding is that while higher-order abstract syntax is generally more convenient for the programmer to write, and also well-suited to implementing fold-like operations using the finally-tagless approach [7], first-order abstract syntax is often better suited for implementing intensional analyses (e.g., compiler optimisations typically operate on a first-order intermediate representation).

Following our previous work [3], we begin with a GADT for representing typing contexts:

```
data Ctx :: \star \to \star where
CtxZ :: Ctx ()
CtxS :: Representable a \Rightarrow Ctx \Gamma \to Ctx (\Gamma, a)
```

We now represent (open) terms as first-order System F expressions parameterised by typing contexts:

newtype Term a = Term {unTerm :: $\forall \Gamma.Ctx \ \Gamma \rightarrow Exp \ \Gamma a$ }

The type class instance for interpretting HOAS terms as first-order terms is as follows:

```
instance Lambda Term where

lam f =

Term \lambda c \rightarrow Lam (unTerm (f (Term \lambda d \rightarrow Var (shift d (CtxS c)))) (CtxS c))

(Term m) 'app' (Term n) =

Term \lambda c \rightarrow App (m c) (n c)

tlam m = Term \lambda c \rightarrow TLam (unTerm m c)

tapp m = Term \lambda c \rightarrow TApp (unTerm m c)

hinject m = Term \lambda c \rightarrow Project (unTerm m c)
```

It is entirely straightforward apart from the shift function for the lam case, which is far from straightforward. The issue is that we need to generate an occurrence of the bound variable, but it may be used inside other lambda abstractions, in which case we need to increment it for each one. Even in the untyped case, proving correctness requires parametricity [2], so we cannot hope to capture all of the desired static typing constraints in Haskell. We define shift as follows:

```
-- precondition: Ctx (\Delta, a) is a prefix of Ctx \Gamma
shift :: Ctx \Gamma \rightarrow Ctx (\Delta, a) \rightarrow Var \Gamma a
shift c1 c2 = shift' (len c1 - len c2) c1
where
shift' :: Int \rightarrow Ctx \Gamma \rightarrow Var \Gamma a
shift' 0 (CtxS _) = unsafeCoerce Z
shift' n (CtxS c) = S (shift' (n-1) c)
len :: Ctx n \rightarrow Int
len CtxZ = 0
len (CtxS c) = 1 + len c
```

Previously [3], we used a type-safe cast [26] in place of the unsafe coercion, but given that this part of the code cannot be validated by GHC anyway, we choose to simplify it here and use unsafeCoerce. Informally, it is clear that the precondition will be satisfied, as inside the body of a lambda the typing context can only be extended. We leave formal verification in the style of Atkey [2] to future work.

For convenience, we wrap the Term type class in a function for converting closed HOAS expressions to closed first-order expressions:

```
toClosedExp :: ClosedHoas a \rightarrow Exp () a toClosedExp v = unTerm v CtxZ
```

Now we can write:

toClosedExp hoas_id_poly

One can of course extend the HOAS representation to open terms by parameterising by an environment representing free variables. Having done that one can define functions to convert from the HOAS representation to open first-order terms, and vice-versa:

```
type Hoas \Gamma a = Lambda exp \Rightarrow HEnv exp \Gamma \rightarrow exp a toExp :: Ctx \Gamma \rightarrow Hoas \Gamma a \rightarrow Exp \Gamma a toHoas :: Exp \Gamma a \rightarrow Hoas \Gamma a
```

(See [3] for details on how to implement these functions.) The extension to System F is straightforward.

7. Related work

Some related work has already been discussed in the many body of the paper.

Other unpublished attempts at embedding System F in Haskell include Ryan Ingram's de Bruijn representation [16] and Dan Burton's HOAS representation [6]. Both differ from our approach in that the representation of object types diverges significantly from the representation. The former uses a de Bruijn representation for type abstractions as well as term abstractions. This is also the approach taken, for instance, by Benton et al.'s encoding of System F in Coq [5].

A number of recent articles have considered languages that support *typed self-representation*, that is an internal typed representation of the entire host language. Rendel et al [23] generalise F_{ω} , defining a universal kind of kinds, which leads to an inconsistent logic, but also a language that can interpret itself. Jay and Palsberg [17] introduce a pattern calculus that supports typed-self interpretation. Carette and Stump [8] present a variant of lambda calculus called *Archon*, which supports "direct-reflection" — the ability to inspect and decompose all terms. They do not include a type system for Archon, but express an intention to design one.

Another line of work looks at encoding dependent type theory in dependent type theory using universe constructions [9, 13, 19].

8. Conclusion

We have succeeded in what we set out to do in the sense that we have developed well-typed first-order encodings of System F in Haskell and OCaml that use essentially the same representation for host and object language types. By extending unembedding to support polymorphism, we can even write embedded code using higher-order abstract syntax, and subsequently convert it to the first-order representation for intensional analysis.

We have not yet explored practical applications of this work. It is clear that extending embedded languages with polymorphism adds expressive power, but it it not yet clear to what extent this extra expressive power is necessary. Often one can get away with using the polymorphism of the host language as a proxy for polymorphism in the embedded language.

In Haskell, the second-class nature of type constructors makes our representation somewhat inconvenient to use. Although OCaml does not suffer from that problem, it is lacking in other ways. In particular, it does not have GADTs and the first-class module syntax is rather verbose for our purposes. OCaml version 4 will include GADTs, which should help somewhat.

Ultimately, we wonder whether meta programming based on well-typed quotation [22, 24] may prove more fruitful in practice.

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