FreezeML
Complete and Easy Type Inference for First-Class Polymorphism

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Abstract
ML is remarkable in providing statically typed polymorphism without the programmer ever having to write any type annotations. The cost of this parsimony is that the programmer is limited to a form of polymorphism in which quantifiers can occur only at the outermost level of a type and type variables can be instantiated only with monomorphic types.

Type inference for unrestricted System F-style polymorphism is undecidable in general. Nevertheless, the literature abounds with a range of proposals to bridge the gap between ML and System F.

We put forth a new proposal, FreezeML, a conservative extension of ML with two new features. First, let- and lambda-binders may be annotated with arbitrary System F types. Second, variable occurrences may be frozen, explicitly disabling instantiation. FreezeML is equipped with type-preserving translations back and forth between System F and admits a type inference algorithm, an extension of algorithm W, that is sound and complete and which yields principal types.

Keywords: first-class polymorphism, type inference, impredicative types

1 Introduction
The design of ML [18] hits a sweet spot in providing statically typed polymorphism without the programmer ever having to write type annotations. The Hindley-Milner type inference algorithm on which ML relies is sound (it only yields correct types) and complete (if a program has a type then it will be inferred). Moreover, inferred types are principal, that is, most general. Alas, this sweet spot is rather narrow, depending on a delicate balance of features; it still appears to be an open question how best to extend ML type inference to support first-class polymorphism as found in System F.

Nevertheless, ML has unquestionable strengths as the basis for high-level programming languages. Its implicit polymorphism is extremely convenient for writing concise programs. Functional programming languages such as Haskell and OCaml employ algorithms based on Hindley-Milner type inference and go to great efforts to reduce the need to write type annotations on programs. Whereas the plain Hindley-Milner algorithm supports a limited form of polymorphism in which quantifiers must be top-level and may only be instantiated with monomorphic types, advanced programming techniques often rely on first-class polymorphism, where quantifiers may appear anywhere and may be instantiated with arbitrary polymorphic types, as in System F. However, working directly in System F is painful due to the need for explicit type abstraction and application. Alas, type inference, and indeed type checking, is undecidable for System F without type annotations [21, 29].

The primary difficulty in extending ML to support first-class polymorphism is with implicit instantiation of polymorphic types: whenever a variable occurrence is typechecked, any quantified type variables are immediately instantiated with (monomorphic) types. Whereas with plain ML there is no harm in greedily instantiating type variables, with first-class polymorphism there is sometimes a non-trivial choice to be made over whether to instantiate or not.

The basic Hindley-Milner algorithm [3] restricts the use of polymorphism in types to type schemes of the form ∀a.A where A does not contain any further polymorphism. This means that, for example, given a function single : ∀a.a → List a, that constructs a list of one element, and a polymorphic function choosing its first argument choose : ∀a.a → a → a, the expression single choose is assigned the type List (a → a → a), for some fixed type a determined by the context in which the expression is used. The type List (a → a → a) arises from instantiating the quantifier of single with a → a → a. But what if instead of constructing a list of choice functions at a fixed type, a programmer wishes to construct a list of polymorphic choice functions of type List (∀a.a → a → a)? This requires instantiating the quantifier of single with a polymorphic type ∀a.a → a → a, which is forbidden in ML, and indeed the resulting System F type is not even an ML type scheme. However, in a richer language such as System F, the expression single choose could...
be annotated as appropriate in order to obtain either the type
List \((a \to a \to a)\) or the type List \((\forall a. a \to a \to a)\).

All is not lost. By adding a sprinkling of explicit type
annotations, in combination with other extensions, it is possible
to retain much of the convenience of ML alongside the
expressiveness of System F. Indeed, there is a plethora of
techniques bridging the expressiveness gap between ML
and System F without sacrificing desirable type inference
properties of ML \([6, 10–13, 23, 24, 26, 27]\).

However, there is still not widespread consensus on what constitutes a good design for a language combining
ML-style type inference with System F-style first-class polymorphism, beyond the typical criteria of decidability, soundness, completeness, and principal typing. As Serrano et al. \([24]\) put it in their PLDI 2018 paper, “type inference in the presence of first-class polymorphism is still “a deep, deep swamp” and "no solution (...) with a good benefit-to-weight ratio has been presented to date". While previous proposals offer considerable expressive power, we nevertheless consider the following combination of design goals to be both compelling and not yet achieved by any prior work:

- **Familiar System F types** Our ideal solution would use exactly the type language of System F. Systems such as HML \([12]\), MLF \([10]\), Poly-ML\(^1\) \([6]\), and QML \([23]\), capture (or exceed) the power of System F, but employ a strict superset of System F’s type language. Whilst in some cases this difference is superficial, we consider that it does increase the burden on the programmer to understand and use these systems effectively, and may also contribute to increasing the syntactic overhead and decreasing the clarity of programs.

- **Close to ML type inference** Our ideal solution would conservatively extend ML and standard Hindley-Milner type inference, including the (now-standard) value restriction \([30]\), without being tied to one particular type inference algorithm. Systems such as MLF and Boxy Types have relied on much more sophisticated type inference techniques than needed in classical Hindley-Milner type inference, and proven difficult to implement or extend further because of their complexity. Other systems, such as GI, are relatively straightforward to implement atop an Outsiden(X)-style constraint-based type inference algorithm, but would be much more work to add to a standard Hindley-Milner implementation.

- **Low syntactic overhead** Our ideal solution would provide first-class polymorphism without significant departures from ordinary ML-style programming. Early systems \([8, 9, 19, 22]\) showed how to accommodate System F-style polymorphism by associating it with nominal dataype constructors, but this imposes a significant syntactic overhead to make use of these capabilities, which can also affect the readability and maintainability of programs. All previous systems necessarily involve some type annotations as well, which we also desire to minimise as much as possible.

- **Predictable behaviour** Our ideal solution would avoid guessing polymorphism and be specified so that programmers can anticipate where type annotations will be needed. More recent systems, such as HMF \([11]\) and GI \([24]\), use System F types, and are relatively easy to implement, but employ heuristics to guess one of several different polymorphic types, and require programmer annotations if the default heuristic behaviour is not what is needed.

In short, we consider that the problem of extending ML-style type inference with the power of System F is solved as a technical problem by several existing systems, but there remains a significant design challenge to develop a system that uses familiar System F types, is close to ML type inference, has low syntactic overhead, and has predictable behaviour. Of course, these desiderata represent our (considered, but subjective) views as language designers, and others may (and likely will) disagree. We welcome such debate.

**Our contribution: FreezeML.** In this paper, we introduce FreezeML, a core language extending ML with two System F-like features:

- “frozen” variable occurrences for which polymorphic instantiation is inhibited (written \([x] \) to distinguish them from ordinary variables \(x\) whose polymorphic types are implicitly instantiated);
- type-annotated lambda abstractions \(\lambda(x : A). M\).

In FreezeML explicit type annotations are only required on lambda binders used in a polymorphic way, and on let-bindings that assign a non-principal type to a let-bound term; annotations are not required (or allowed) anywhere else. As we shall see in Section 2, the introduction of type-annotated let-bindings and frozen variables allows us to macro-express explicit versions of generalisation and instantiation (the two features that are implicit in plain ML). Thus, unlike ML, although FreezeML still has ML-like variables and let-binding it also enjoys explicit encodings of all of the underlying System F features. Correspondingly, frozen variables and type-annotated let-bindings are also central to encoding type abstraction and type application of System F (Section 4.1). Although, as we explain later, our approach is similar in expressiveness to existing proposals such as Poly-ML, we believe its close alignment with System F types and ML type inference are important benefits, and we argue via examples that its syntactic overhead and predictability compare favourably with the state of the art. Nevertheless, further work would need to be done to systematically compare the syntactic overhead and predictability of our approach with

\(^1\)The name Poly-ML does not appear in the original \([6]\) paper, but was introduced retrospectively \([10]\).
existing systems — this criticism, however, also applies to most previous work on new language design ideas.

A secondary technical contribution we make is to repair technical problem faced by FreezeML and some previous systems. In FreezeML, we restrict generalisation to principal types. However, directly incorporating this constraint into the type system results in rules that are syntactically not well-founded. We clarify that the typing relation can still be defined and inductive reasoning about it is still sound. This observation may also apply to other systems, such as HMF [12] and Poly-ML [6], where the same issue arises but was not previously addressed.

**Contributions.** This paper is a programming language design paper. Though we have an implementation on top of the Links programming language [2] implementation is not the primary focus. The paper makes the following main contributions:

- A high-level introduction to FreezeML (Section 2).
- A type system for FreezeML as a conservative extension of ML with the expressive power of System F (Section 3).
- Local type-preserving translations back and forth between System F and FreezeML, and a discussion of the equational theory of FreezeML (Section 4).
- A type inference algorithm for FreezeML as an extension of algorithm W [3], which is sound, complete, and yields principal types (Section 5).

Section 6 discusses implementation, Section 7 presents related work and Section 8 concludes.

## 2 An Overview of FreezeML

We begin with an informal overview of FreezeML. Recall that the types of FreezeML are exactly those of System F.

**Implicit Instantiation.** In FreezeML (as in plain ML), when variable occurrences are typechecked, the outer universally quantified type variables in the variable’s type are instantiated implicitly. Suppose a programmer writes choose id, where choose : ∀a.a → a → a and id : ∀a.a → a. The quantifier in the type of id is implicitly instantiated with an as yet unknown type a, yielding the type a → a. The type a → a is then used to instantiate the quantifier in the type of choose, yielding choose id : (a → a) → (a → a). The concrete type of id depends on the context in which the expression is used. For instance, if we were to apply choose id to an increment function then a would be unified with Int. (For the formal treatment of type inference in Section 5 we will be careful to explicitly distinguish between rigid type variables, like those bound by the quantifiers in the types of choose and id, and flexible type variables, like the a in the type inferred for the expression choose id.)

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2https://github.com/links-lang/links

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**Explicit Freezing ([x]).** The programmer may explicitly prevent a variable from having its already existing quantifiers instantiated by using the freeze operator [ · ]. Whereas each ordinary occurrence of choose has type a → a → a for some type a, a frozen occurrence [choose] has type ∀a.a → a → a. More interestingly, whereas the term single choose has type List (a → a → a), the term single [choose] has type List (∀a.a → a → a). This makes it possible to pass polymorphic arguments to functions that expect them. Consider a function auto : (∀a.a → a) → (∀a.a → a). Whereas the term auto id does not typecheck (because id is implicitly instantiated to type a → a which does not match the argument type ∀a.a → a of auto) the term auto [id] does.

**Explicit Generalisation ($V$).** We can generalise an expression to its principal polymorphic type by binding it to a variable and then freezing it, for instance: let id = λx.x in poly [id], where poly : (∀a.a → a) → Int × Bool. The explicit generalisation operator $§$ generalises the type of any variable. Whereas the term $\lambda x.x$ has type a → a, the term $§(\lambda x.x)$ has type ∀a.a → a, allowing us to write poly $§(\lambda x.x)$. Explicit generalisation is macro-expressible [5] in FreezeML.

$§V \equiv \text{let } x = V \text{ in } [x]$

We can also define a type-annotated variant:

$§^4V \equiv \text{let } (x : A) = V \text{ in } [x]$

Note that FreezeML adopts the ML value restriction [30]; hence let generalisation only applies to syntactic values.

**Explicit Instantiation (@M).** As in ML, the polymorphic types of variables are implicitly instantiated when type-checking each variable occurrence. Unlike in ML, other terms can have polymorphic types, which are not implicitly instantiated. Nevertheless, we can instantiate a term by binding it to a variable: let x = head ids in x 42, where head : ∀a.List (a → a) → a returns the first element in a list and ids : List (∀a.a → a) is a list of polymorphic identity functions. The explicit instantiation operator @ supports instantiation of a term without having to explicitly bind it to a variable. For instance, whereas the term head ids has type ∀a.a → a the term (head ids)@ in the context of application to 42 has type Int → Int, so (head ids)@42 is well-formed. Explicit instantiation is macro-expressible in FreezeML:

$M@ \equiv \text{let } x = M \text{ in } x$

**Ordered Quantifiers.** Like in System F, but unlike in ML, the order of quantifiers matters. Quantifiers introduced through generalisation are ordered by the sequence in which they first appear in a type. Type annotations allow us to specify a different quantifier order, but variable instantiation followed by generalisation restores the canonical order. For example, if we have functions $f : (∀a.b.a → b → a × b) → \text{Int}$, pair : ∀a.b.a → b → a × b, and pair’ : ∀b.a.a → b → a × b,
then \( f \ [\text{pair}], f \ $pair, f \ $pair' \) have type \( \text{Int} \) and behave identically, whereas \( f \ [\text{pair}'] \) is ill-typed.

**Monomorphic parameter inference.** As in ML, function arguments need not have annotations, but their inferred types must be monomorphic, i.e. we cannot typecheck bad:

\[
\text{bad} = \lambda f. (f \ 42, f \ \text{True})
\]

Unlike in ML we can annotate arguments with polymorphic types and use them at different types:

\[
\text{poly} = \lambda (f : \forall a.a \rightarrow a). (f \ 42, f \ \text{True})
\]

One might hope that it is safe to infer polymorphism by local, compositional reasoning, but that is not the case. Consider the following two functions.

\[
\begin{align*}
\text{bad1} &= \lambda f. (\text{poly } [f], (f \ 42) + 1) \\
\text{bad2} &= \lambda f. ((f \ 42) + 1, \text{poly } [f])
\end{align*}
\]

We might reasonably expect both to be typeable by assigning the type \( \forall a.a \rightarrow a \) to \( f \). Now, assume type inference is left-to-right. In \( \text{bad1} \) we first infer that \( f \) has type \( \forall a.a \rightarrow a \) (as \( [f] \) is the argument to \( \text{poly} \)); then we may instantiate \( a \) to \( \text{Int} \) when applying \( f \) to \( 42 \). In \( \text{bad2} \) we eagerly infer that \( f \) has type \( \text{Int} \rightarrow \text{Int} \); now when we pass \([f]\) to \( \text{poly} \), type inference fails. To rule out this kind of sensitivity to the order of type inference, and the resulting incompleteness of our type inference algorithm, we insist that unannotated \( \lambda \)-bound variables be monomorphic. This in turn entails checking monomorphism constraints on type variables and maintaining other invariants (Section 3.2). (One can build more sophisticated systems that defer determining whether a term is polymorphic or not until more information becomes available — both Poly-ML and MLF do, for instance — but we prefer to keep things simple.)

### 2.1 FreezeML by Example

Figure 1 presents a collection of FreezeML examples that showcase how our system works in practice. We use functions with type signatures shown in Figure 2 (adapted from Serrano et al. [24]). In Figure 1 well-formed expressions are annotated with a type inferred in FreezeML, whilst ill-typed expressions are annotated with \( \times \). Sections A-E of the table are taken from [24]. Section F of the table contains additional examples which further highlight the behaviour of our system. Examples F1-F4 show how to define some of the functions and values in Figure 2 in FreezeML. In FreezeML it is sometimes possible to infer a different type depending on the presence of freeze, generalisation, and instantiation operators. In such cases we provide two copies of an example in Figure 1, the one with extra FreezeML annotations being marked with \( \bullet \). Sometimes explicit instantiation, generalisation, or freezing is mandatory to make an expression well-formed in FreezeML. In such cases there is only one, well-formed copy of an example marked with a \( \bullet \), e.g. A9\( \bullet \).

Example F10\( \dagger \) typechecks only in a system without a value restriction due to generalisation of an application.

### 3 FreezeML via System F and ML

In this section we give a syntax-directed presentation of FreezeML and discuss various design choices that we have made. We wish for FreezeML to be an ML-like call-by-value language with the expressive power of System F. To this end we rely on a standard call-by-value definition of System F, which additionally obeys the value restriction (i.e. only values are allowed under type abstractions). We take mini-ML [1] as a core representation of a call-by-value ML language. Unlike System F, ML separates monotypes from (polymorphic) type schemes and has no explicit type abstraction and application. Polymorphism in ML is introduced by generalising the body of a let-binding, and eliminated implicitly when using a variable. Another crucial difference between System F and ML is that in the former the order of quantifiers in a polymorphic type matters, whereas in the latter it does not. Full definitions of System F and ML, including the syntax, kinding and typing rules, as well as translation from ML to System F, are given in Appendix B.

**Notations.** We write \( \text{ftv}(A) \) for the sequence of distinct free type variables of a type in the order in which they first appear in \( A \). For example, \( \text{ftv}((a \rightarrow b) \rightarrow (a \rightarrow c)) = \{a, b, c\} \). Whenever a kind environment \( \Delta \) appears as a domain of a substitution or a \( \forall \) quantifier, it is allowed to be empty. In such case we identify type \( \forall A.H \) with \( H \). We write \( \Delta - \Delta' \) for the restriction of \( \Delta \) to those type variables that do not appear in \( \Delta' \). We write \( \Delta \# \Delta' \) to mean that the type variables in \( \Delta \) and \( \Delta' \) are disjoint. Disjointness is also implicitly required when concatenating \( \Delta \) and \( \Delta' \) to \( \Delta, \Delta' \).

### 3.1 FreezeML

FreezeML is an extension of ML with two new features. First, let-bindings and lambda-bindings may be annotated with arbitrary System F types. Second, FreezeML adds a new form \( [x] \), called *frozen variables*, for preventing variables from being instantiated.

The syntax of FreezeML is given in Figure 3. (We name the syntactic categories for later use in Section 5.) The types are the same as in System F. We explicitly distinguish two kinds of type: a monotype (\( S \)), is as in ML a type entirely free of polymorphism, and a guarded type (\( H \)) is a type with no top-level quantifier (in which any polymorphism is guarded by a type constructor). The terms include all ML terms plus frozen variables \( ([x]) \) and lambda- and let-bindings with type ascriptions. Values are those terms that may be generalised under the value restriction. They are slightly more general than the value forms of Standard ML in that they are closed under let binding (as in OCaml). Guarded values are those values that can only have guarded types (that is, all values except those that have a frozen variable in tail position).
FreezeML

<table>
<thead>
<tr>
<th>A</th>
<th>POLYMORPHIC INSTANTIATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>A1</td>
<td>$\lambda x \ y \ y : a \rightarrow b \rightarrow b$</td>
</tr>
<tr>
<td>A2</td>
<td>$\lambda (x : \forall a \rightarrow a).x [x] : (\forall a \rightarrow a) \rightarrow (\forall a \rightarrow a)$</td>
</tr>
<tr>
<td>A3</td>
<td>choose $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A4</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A5</td>
<td>choose $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A6</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A7</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A8</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A9</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A10</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A11</td>
<td>$\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>A12</td>
<td>$\lambda a \rightarrow a$</td>
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</tbody>
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<table>
<thead>
<tr>
<th>B</th>
<th>INFERENCE WITH POLYMORPHIC ARGUMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>B1</td>
<td>$\lambda (f : \forall a \rightarrow a)$</td>
</tr>
<tr>
<td>B2</td>
<td>$\lambda (x : \forall a \rightarrow a)$</td>
</tr>
</tbody>
</table>

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<thead>
<tr>
<th>C</th>
<th>FUNCTIONS ON POLYMORPHIC LISTS</th>
</tr>
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<tbody>
<tr>
<td>C1</td>
<td>length $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C2</td>
<td>tail $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C3</td>
<td>head $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C4</td>
<td>single $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C5</td>
<td>single $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C6</td>
<td>single $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C7</td>
<td>single $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C8</td>
<td>single $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C9</td>
<td>single $\lambda a \rightarrow a$</td>
</tr>
<tr>
<td>C10</td>
<td>single $\lambda a \rightarrow a$</td>
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<thead>
<tr>
<th>D</th>
<th>APPLICATION FUNCTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1</td>
<td>$\text{app poly id} : \text{Int} \times \text{Bool}$</td>
</tr>
<tr>
<td>D2</td>
<td>$\text{revapp id} : \text{Int} \times \text{Bool}$</td>
</tr>
<tr>
<td>D3</td>
<td>$\text{run}\text{ST} [\text{arg}\text{ST}] : \text{Int}$</td>
</tr>
<tr>
<td>D4</td>
<td>$\text{app ST} [\text{arg}\text{ST}] : \text{Int}$</td>
</tr>
<tr>
<td>D5</td>
<td>$\text{revapp [arg}\text{ST}] \text{run}\text{ST} : \text{Int}$</td>
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<thead>
<tr>
<th>E</th>
<th>η-EXPANSION</th>
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<tbody>
<tr>
<td>E1</td>
<td>$\text{k hl} : \Box$</td>
</tr>
<tr>
<td>E2</td>
<td>$\text{k $\lambda x.(h x)(a)l : \forall a.\text{Int} \rightarrow a \rightarrow a$}$</td>
</tr>
<tr>
<td>E3</td>
<td>$\text{r $(\lambda x.y)(a) : \forall a.\text{Int} \rightarrow a \rightarrow a$}$</td>
</tr>
<tr>
<td>E4</td>
<td>$\text{r $\lambda x.(\lambda y.x)y : \forall a.\text{Int} \rightarrow a \rightarrow a$}$</td>
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<table>
<thead>
<tr>
<th>F</th>
<th>FreezeML PROGRAMS</th>
</tr>
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<tbody>
<tr>
<td>F1</td>
<td>$\text{id =$ $\lambda x.x : \forall a.\text{Int} \rightarrow a \rightarrow a$}</td>
</tr>
<tr>
<td>F2</td>
<td>$\text{id = [id] : \forall a.\text{Int} \rightarrow a \rightarrow a}$</td>
</tr>
<tr>
<td>F3</td>
<td>$\text{auto = $\lambda x.(a x)(a) x : (\forall a.a \rightarrow a) \rightarrow (\forall a.a \rightarrow a)$}</td>
</tr>
<tr>
<td>F4</td>
<td>$\text{auto' = $\lambda x.(x \rightarrow a) a : (\forall a.a \rightarrow a) \rightarrow (\forall a.a \rightarrow a)$}</td>
</tr>
<tr>
<td>F5</td>
<td>$\text{auto [id] : \forall a.a \rightarrow a}$</td>
</tr>
<tr>
<td>F6</td>
<td>$\text{(head ids)@ : \forall a.a \rightarrow a}$</td>
</tr>
<tr>
<td>F7</td>
<td>$\text{(head ids)@ : \forall a.a \rightarrow a}$</td>
</tr>
<tr>
<td>F8</td>
<td>$\text{choose (head ids) : \forall a.a \rightarrow a}$</td>
</tr>
<tr>
<td>F9</td>
<td>$\text{let f = revapp [id] in f poly : \forall a.a \rightarrow \forall b.b \rightarrow b \rightarrow b}$</td>
</tr>
<tr>
<td>F10</td>
<td>$\text{choose id ($\lambda x.(a a)(a) \times (\lambda y.x)y) : (\forall a.a \rightarrow (\forall a.a \rightarrow a)$}</td>
</tr>
</tbody>
</table>

Figure 1. Example FreezeML Terms and Types

| head : $\forall a.\text{List a} \rightarrow a$ |
|---|-------------------|
| tail : $\forall a.\text{List a} \rightarrow a$ |
| [ ] : $\forall a.\text{List a}$ |
| ( ) : $\forall a.a \rightarrow \text{List a} \rightarrow \text{List a}$ |
| length : $\forall a.\text{List a} \rightarrow \text{Int}$ |

head : $\forall a.\text{List a} \rightarrow a$ |

| id : $\forall a.a \rightarrow a$ |
|---|-------------------|
| map : $\forall a.b.(a \rightarrow b) \rightarrow \text{List a} \rightarrow \text{List b}$ |
| app : $\forall a.b.(a \rightarrow b) \rightarrow a \rightarrow b$ |
| revapp : $\forall a.b.a \rightarrow (a \rightarrow b) \rightarrow b$ |
| runST : $\forall a.(\forall s.\text{ST s a} \rightarrow a)$ |
| argST : $\forall a.s.\text{ST s a} \rightarrow a$ |
| pair : $\forall a.b.a \rightarrow b \rightarrow a \times b$ |
| pair' : $\forall b.a.a \rightarrow b \rightarrow a \times b$ |

head : $\forall a.\text{List a} \rightarrow a$ |

Figure 2. Type signatures for functions used in the text; adapted from [24].

The FreezeML kinding judgement $\Delta \vdash A : K$ states that type $A$ has kind $K$ in kind environment $\Delta$. The kinding rules are given in Figure 4. As in ML we distinguish monomorphic types (●) from polymorphic types (⋆). Unlike in ML polymorphic types can appear inside data type constructors.

Rules for type instantiation are given in Figure 5. The judgement $\Delta \vdash A = A' \Rightarrow A''$ defines a well-formed finite map from type variables in $\Delta$, $A'$ into type variables in $\Delta$, $A''$, such that $\delta(a) = a$ for every $a \in \Delta$. As such, it is only well-defined if $\Delta$ and $A'$ are disjoint and $\Delta$ and $A''$ are disjoint. Type instantiation accounts for polymorphism by either being restricted to instantiate type variables with monomorphic kinds only (⇒●) or permitting polymorphic instantiations

δ(a) = a for every a ∈ Δ. As such, it is only well-defined if Δ and Δ’ are disjoint and Δ and Δ” are disjoint. Type instantiation accounts for polymorphism by either being restricted to instantiate type variables with monomorphic kinds only (⇒●) or permitting polymorphic instantiations
The FreezeML judgement $\Delta; \Gamma \vdash M : A$ states that term $M$ has type $A$ in kind environment $\Delta$ and type environment $\Gamma$; its rules are shown in Figure 7. These rules are adjusted with respect to ML to allow full System F types everywhere except in the types of variables bound by unannotated lambdas, where only monotypes are permitted.

As in ML, the Var rule implicitly instantiates variables. The $\star$ in the judgement $\Delta; \Gamma \vdash \delta : \Delta' \Rightarrow \star$ indicates that the type variables in $\Delta'$ may be instantiated with polymorphic types. The Freeze rule differs from the Var rule only in that
it suppresses instantiation. In the Lam rule, the restriction to a syntactically monomorphic argument type ensures that an argument cannot be used at different types inside the body of a lambda abstraction. However, the type of an unannotated lambda abstraction may subsequently be generalised. For example, consider the expression \(\text{poly}(\lambda x.x)\). The parameter \(x\) cannot be typed with a polymorphic type; giving the syntactic monotype \(a\) to \(x\) yields type \(a \rightarrow a\) for the lambda-abstraction. The \(\text{poly}\) operator then generalises this to \(\forall a. a \rightarrow a\) as the type of argument passed to poly. The Lam-Ascribe rule allows an argument to be used polymorphically inside the body of a lambda abstraction. The App rule is standard.

**Let Bindings.** Because we adopt the value restriction, the Let rule behaves differently depending on whether or not \(M\) is a guarded value (cf. GVal syntactic category in Figure 3). The choice of whether to generalise the type of \(M\) is delegated to the judgement \((\Delta,\Delta'',M,A') \Downarrow a\), where \(A'\) is the type of \(M\) and \(\Delta''\) are the generalisable type variables of \(M\), i.e. \(\Delta'' = \text{ftv}(A') - \Delta\). The \(\Downarrow\) judgement determines \(A\), the type given to \(x\) while type-checking \(N\). If \(M\) is a guarded value, we generalise and have \(A = \forall \Delta''. A'\). If \(M\) is not a guarded value, we have \(A = \delta(A')\), where \(\delta\) is an instantiation with \(\Delta \vdash \delta : \Delta'' \Rightarrow \cdot\). This means that instead of abstracting over the unbound type variables \(\Delta''\) of \(A'\), we instantiate them *monomorphically*. We further discuss the need for this behaviour in Section 3.2.

The gen judgement used in the Let rule may seem surprising — its first component is unused whilst the second component is identical in both cases and corresponds to the generalisable type variables of \(A'\). Indeed, the first component of gen is irrelevant for typing but it is convenient for writing the translation from FreezeML to System F (Figure 11 in Section 4.2), where it is used to form a type abstraction, and in the type inference algorithm (Figure 16 in Section 5.4), where it allows us to collapse two cases into one.

The Let rule requires that \(A'\) is the principal type for \(M\). This constraint is necessary to ensure completeness of our type inference algorithm; we discuss it further in Section 3.2. The relation principal is defined in Figure 8.

The Let-Ascribe rule is similar to the Let rule, but instead of generalising the type of \(M\), it uses the type \(A\) supplied via an annotation. As in Let, \(A'\) denotes the type of \(M\). However, the annotated case admits non-principal types for \(M\). The split operator enforces the value restriction. If \(M\) is a guarded value, \(A'\) must be a guarded type, i.e. we have \(A' = H\) for some \(H\). We then have \(A = \forall A'. H\). If \(M\) is not a guarded value split requires \(A' = A\) and \(\Delta' = \cdot\). This means that all toplevel quantifiers in \(A\) must originate from \(M\) itself, rather than from generalising it.

Every valid typing judgement in ML is also a valid typing judgement in FreezeML.

**Theorem 1.** If \(\Delta;\Gamma \vdash M : S\) in ML then \(\Delta;\Gamma \vdash M : S\) in FreezeML.

(The exact derivation can differ due to differences in the kinds of rules and the principality constraint on the Let rule.)

### 3.2 Design Considerations

**Monomorphic instantiation in the Let rule.** Recall that the Let rule enforces the value restriction by instantiating those type variables that would otherwise be quantified over. Requiring these type variables to be instantiated with monotypes allows us to avoid problems similar to the ones outlined in Section 2. Consider the following two functions.

\[
\text{bad3} = \lambda (\text{bot} : \forall a. a). \text{let } f = \text{bot bot in } (\text{poly } [f], (f \ 42) + 1)
\]

\[
\text{bad4} = \lambda (\text{bot} : \forall a. a). \text{let } f = \text{bot bot in } ((f \ 42) + 1, \text{poly } [f])
\]

Since we do not generalise non-values in let-bindings due to the value restriction, in both of these examples \(f\) is initially assigned the type \(a\) rather than the most general type \(\forall a. a\) (because \(\text{bot bot}\) is a non-value). Assuming type inference proceeds from left to right then type inference will succeed on bad3 and fail on bad4 for the same reasons as in Section 2.

In order to rule out this class of examples, we insist that non-values are first generalised and then instantiated with monomorphic types. Thus we constrain \(a\) to only unify with monomorphic types, which leads to type inference failing on both bad3 and bad4.

Our guiding principle is “never guess polymorphism”. While our system permits instantiation of quantifiers with polymorphic types – per Var rule – it does not permit polymorphic instantiations of type variables inside the type environment. The high-level invariant that FreezeML uses to ensure that this principle is not violated is that any (as yet) unknown types appearing in the type environment (which maps term variables to their currently inferred types) during type inference must be explicitly marked as monomorphic.

The only means by which inference can introduce unknown types into the type environment are through unannotated lambda-binders or through not generalising let-bound variables. By restricting these cases to be monomorphic we ensure in turn that any unknown type appearing in the type environment must be explicitly marked as monomorphic.

**Principal Type Restriction.** The Let rule requires that when typing let \(x = M\) in \(N\), the type \(A'\) given to \(M\) must be principal. Consider the program

\[
\text{bad5} = \text{let } f = \lambda x. x \text{ in } [f] \ 42
\]

On the one hand, if we infer the type \(\forall a.a \rightarrow a\) for \(f\), then bad5 will fail to type check as we cannot apply a term of polymorphic type (instantiation is only automatic for variables). However, given a traditional declarative type system one might reasonably propose \(\text{Int} \rightarrow \text{Int}\) as a type for \(f\), in which case bad5 would be typeable — albeit a conventional type inference algorithm would have difficulty inferring a
type for it. In order to ensure completeness of our type inference algorithm in the presence of generalisation and freeze, we bake principality into the typing rule for let, similarly to [6, 12, 14, 26]. This means that the only legitimate type that \( f \) may be assigned is the most general one, that is \( \forall a. a \rightarrow a \).

One may think of side-stepping the problem with bad5 by always instantiating terms that appear in application position (after all, it is always a type error for an uninstanciated term of polymorphic type to appear in application position). But then we can exhibit the same problem with a slightly more intricate example.

\[
\text{bad6 = let } f = \lambda x. x \text{ in } \text{id } [f] \text{ 42}
\]

The principality condition is also applied in the non-generalising case of the let rule, meaning that we must instantiate the principal type for \( M \) rather than an arbitrary one. Otherwise, we could still type bad4 by assigning \( \text{bot} \) type \( \forall a. a \rightarrow a \). In the let rule \( \Delta' \) would be empty, making instantiation a no-op.

**Well-foundedness.** The alert reader may already have noticed a complication resulting from the principal type restriction: principal(\( \Delta, \Gamma, M, \Delta', A' \)) contains a negative occurrences of the typing relation, in order to express that \( \Delta', A' \) is a “most general” solution for \( \Delta'', A'' \) among all possible derivations of \( \Delta, \Delta'' ; \Gamma + M : A'' \). This negative occurrence means that a priori, the rules in Figures 7 and 8 do not form a proper inductive definition.

This is a potentially serious problem, but it can be resolved easily by observing that the rules, while not syntactically well-founded, can be stratified. Instead of considering the rules in Figures 7 and 8 as a single inductive definition, we consider them to determine a function \( \mathcal{J}[\_] \) from terms \( M \) to triples \((\Delta, \Gamma, A)\). The typing rule is then defined as \( \Delta; \Gamma + M : A \iff (\Delta, \Gamma, A) \in \mathcal{J}[M] \). We can easily prove by induction on \( M \) that \( \mathcal{J}[M] \) is well-defined. Furthermore, we can show that the inference rules in Figure 7 hold and are invertible. When reasoning about typing judgements, we can proceed by induction on \( M \) and use inversion. It is also sound to perform recursion over typing derivations provided the principal assumption is not needed; we indicate this by greying out this assumption (for example in Figure 11). We give full details and explain how this reasoning is performed in Appendix C.

**Type Variable Scoping.** A type annotation in FreezeML may contain type variables that is not bound by the annotation. In contrast to many other systems, we do not interpret such variables existentially, but allow binding type variables across different annotations. In an expression let \((x : A) = M \text{ in } N\), we therefore consider the toplevel quantifiers of \( A \) bound in \( M \), meaning that they can be used freely in annotations inside \( M \), rather like GHC’s scoped type variables [20]. However, this is only true for the generalising case, when \( M \) is a guarded value. In the absence of generalisation, any polymorphism in the type \( A \) originates from \( M \) directly (e.g., because \( M \) is a frozen variable). Hence, if \( M \) is not a guarded value no bound variables of \( A \) are bound in \( M \).

Note that given the let binding above, where \( A \) has the shape \( \forall \Delta. H \), there is no ambiguity regarding which of the type variables in \( \Delta \) result from generalisation and which originate from \( M \) itself. If \( M \) is a guarded value, its type is guarded, too, and hence all variables in \( \Delta \) result from generalisation. Conversely, if \( M \notin \text{GV} \), then there is no generalisation at all.

Due to the unambiguity of the binding behaviour in our system with the value restriction, we can define a purely syntax-directed well-formedness judgement for verifying that types in annotations are well-kinded and respect the intended scoping of type-variables. We call this property well-scopedness, and it is a prerequisite for type inference. The corresponding judgement is \( \Delta \vdash M \), checking that in \( M \), the type annotations are well-formed with respect to kind environment \( \Delta \) (Figure 9). The main subtlety in this judgement is in how \( \Delta \) grows when we encounter annotated let-bindings. For annotated lambdas, we just check that the type annotation is well-formed in \( \Delta \) but do not add any type variables in \( \Delta \). For plain let, we just check well-scopedness recursively. However, for annotated let-bindings, we check that the type annotation \( A \) is well-formed, and we check that \( M \) is well-scoped after extending \( \Delta \) with the top-level type variables of \( A \). This is sensible because in the let-assign rule, these type variables (present in the type annotation) are introduced into the kind environment when type checking \( M \). In an unannotated let, in contrast, the generalisable type variables are not mentioned in \( M \), so it does not make sense to allow them to be used in other type annotations inside \( M \).

As a concrete example of how this works, consider an explicitly annotated let-binding of the identity function: let \((f : \forall a.a \rightarrow a) = \lambda(x : a).x \text{ in } N\), where the \( a \) type annotation on \( x \) is bound by \( \forall a \) in the type annotation on \( f \). However, if we left off the \( \forall a.a \rightarrow a \) annotation on \( f \), then the \( a \) annotation on \( x \) would be unbound. This also means that in expressions, we cannot let type annotations \( a \)-vary freely; that is, the previous expression is \( a \)-equivalent to let \((f : \forall b.b \rightarrow b) = \lambda(x : b).x \text{ in } N\) but not to let \((f : \forall b.b \rightarrow b) = \lambda(x : a).x \text{ in } N\). This behaviour is similar to other proposals for scoped type variables [20].

**“Pure” FreezeML.** In a hypothetical version of FreezeML without the value restriction, a purely syntactic check on let \((x : A) = M \text{ in } N\) is not sufficient to determine which top-level quantifiers of \( A \) are bound in \( M \). In the expression

\[
\text{let } f : \forall a.b.a \rightarrow b \rightarrow b \\
\text{let } g : \forall b.a \rightarrow b \rightarrow b = \lambda y z.z \text{ in } \text{id } [g] \text{ in } N
\]

the outer let generalises \( a \), unlike the subsequent variable \( b \), which arises from the inner let binding. The well-scopedness
4 Relating System F and FreezeML

In this section we present type-preserving translations mapping System F terms to FreezeML terms and vice versa. We also briefly discuss the equational theory induced on FreezeML by these translations.

judgement would require typing information. Moreover, the Let-Asc rule would have to nondeterministically split the type annotation \( A \rightarrow \delta \Delta', \Delta'.H \) such that \( \Delta' \) contains those variables to generalise (\( a \) in the example), and \( \Delta'' \) contains those type variables originating from \( M \) directly (\( b \) in the example). Similarly, type inference would have to take this splitting into account.

**Instantiation strategies.** In FreezeML (and indeed ML) the only terms that are implicitly instantiated are variables. Thus (head ids) 42 is ill-typed and we must insert the instantiation operator \( @ \) to yield a type-correct expression: (head ids)@ 42. It is possible to extend our approach to perform eliminator instantiation, whereby we implicitly instantiate terms appearing in monomorphic elimination position (in particular application position), and thus, for instance, infer a type for \( \text{bad5} \) without compromising completeness.

Another possibility is to instantiate all terms, except those that are explicitly frozen or generalised. Here, it also makes sense to extend the \( [\ldots] \) operator to act on arbitrary terms, rather than just variables. We call this strategy pervasive instantiation. Like eliminator instantiation, pervasive instantiation infers a type for (head ids) 42. However, pervasive instantiation requires inserting explicit generalisation where it was previously unnecessary. Moreover, pervasive instantiation complicates the meta-theory, requiring two mutually recursive typing judgements instead of just one.

The formalism developed in this paper uses variable instantiation alone, but our implementation also supports eliminator instantiation. We defer further theoretical investigation of alternative strategies to future work.

4.1 From System F to FreezeML

Figure 10 defines a translation \( \mathcal{E}[-] \) of System F terms into FreezeML. The translation depends on types of subterms and is thus formally defined on derivations, but we use a shorthand notation in which subterms are annotated with their type (e.g., \( \text{in} \ a.a.V^B, B \) indicates the type of \( V \)).

Variables are frozen to suppress instantiation. Term abstraction and application are translated homomorphically.

Type abstraction \( a.a.V \rightarrow \delta \) is translated using an annotated let-binding to perform the necessary generalisation. However, we cannot bind \( x \) to the translation of \( V \) directly as only guarded values may be generalised and \( \mathcal{E}[V] \) may be an unguarded value (concretely, a frozen variable). Hence, we bind \( x \) to \( \mathcal{E}[V] \@ \), which is syntactic sugar for \( \text{let } y = \mathcal{E}[V] \text{ in } y \). This expression is indeed a guarded value. We then freeze \( x \) to prevent immediate instantiation. Type application \( M \ A \), where \( M \) has type \( V a.B \), is translated similarly to type abstraction. We bind \( x \) to the result of translating \( M \), but only after instantiating it. The variable \( x \) is annotated with the intended return type \( B[A/a] \) and returned frozen.

Explicit instantiation is strictly necessary and the following, seemingly easier translation is incorrect.

\[
\mathcal{E}[M^{\text{\#$a.B}} A] \neq \text{let } (x : B[A/a]) = \mathcal{E}[M] \text{ in } x
\]

The term \( \mathcal{E}[M] \) may be a frozen variable or an application, whose type cannot be implicitly instantiated to type \( B[A/a] \).

For any System F value \( V \) (i.e., any term other than an application), \( \mathcal{E}[V] \) yields a FreezeML value (Figure 3).

Each translated term has the same type as the original.

**Theorem 2 (Type preservation).** If \( \Delta; \Gamma \vdash M : A \) in System F then \( \Delta; \Gamma \vdash \mathcal{E}[M] : A \) in FreezeML.

4.2 From FreezeML to System F

Figure 11 gives the translation of FreezeML to System F. The translation depends on types of subterms and is thus formally defined on derivations. Frozen variables in FreezeML are simply variables in System F. A plain (i.e., not frozen) variable \( x \) is translated to a type application \( x \delta(\Delta') \), where \( \delta(\Delta') \) stands for the pointwise application of \( x \) to \( \Delta' \). Here, \( x \) and \( \Delta' \) are obtained from \( x \)‘s type derivation in FreezeML; \( \Delta' \) contains all top-level quantifiers of \( x \)‘s type. This makes FreezeML’s implicit instantiation of non-frozen variables explicit. Lambda abstractions and applications translate directly. Let-bindings in FreezeML are translated as generalised
We can derive and verify equational reasoning principles for \(\lambda x^A. C[M]\). Here, generalisation is repeated type abstraction.

Each translated term has the same type as the original.

**Theorem 3 (Type preservation).** If \(\Delta; \Gamma \vdash M : A\) holds in FreezeML then \(\Delta; \Gamma \vdash C[M] : A\) holds in System F.

### 4.3 Equational reasoning

We can derive and verify equational reasoning principles for FreezeML by lifting from System F via the translations. We write \(M \approx N\) to mean \(M\) is observationally equivalent to \(N\) whenever \(\Delta; \Gamma \vdash M : A\) and \(\Delta; \Gamma \vdash N : A\). At a minimum we expect \(\beta\)-rules to hold, and indeed they do; the twist is that they involve substituting a different value depending on whether the variable being substituted for is frozen or not.

\[
\begin{align*}
\text{let } x &= V \text{ in } N &\approx N[V/x, (\lambda V. x)@/x] \\
\text{let } (x : A) &= V \text{ in } N &\approx N[\lambda V. x/V, x, (\lambda V. x)@/x] \\
(\lambda x. M)V &\approx M[V/x, V@/x] \\
(\lambda x. M)\lambda x. M &\approx M[\lambda x. M/x, V@/x]
\end{align*}
\]

If we perform type-erasure then these rules degenerate to the standard ones. We can also verify that \(\eta\)-rules hold.

\[
\begin{align*}
\text{let } x &= U \text{ in } x &\approx U \\
\text{let } (x : A) &= U \text{ in } x &\approx U \\
\lambda x. M x &\approx M[\lambda x. M/x] \\
\lambda x. M x &\approx M
\end{align*}
\]

### 5 Type Inference

In this section we present a sound and complete type inference algorithm for FreezeML. The style of presentation is modelled on that of Leijen [12].

#### 5.1 Type Variables and Kinds

When expressing type inference algorithms involving first-class polymorphism, it is crucial to distinguish between object language type variables, and meta language type variables that stand for unknown types required to solve the type inference problem. This distinction is the same as that between *eigenvariables* and *logic variables* in higher-order logic programming [17]. We refer to the former as *rigid* type variables and the latter as *flexible* type variables. For the purposes of the algorithm we will explicitly separate the two by placing them in different kind environments.

As in the rest of the paper, we let \(\Delta\) range over fixed kind environments in which every type variable is monomorphic (kind \(\bullet\)). In order to support, for instance, applying a function to a polymorphic argument, we require flexible variables that may be unified with polymorphic types. For this purpose we introduce refined kind environments ranged over by \(\Theta\). Type variables in a refined kind environment may be polymorphic (kind \(\star\)) or monomorphic (kind \(\bullet\)). In our algorithms we place rigid type variables in a fixed environment \(\Delta\) and flexible type variables in a refined environment \(\Theta\). Refined kind environments \(\Theta\) are given by the following grammar.

\[
\text{KEnv} \ni \Theta ::= \emptyset | \Theta, a : K
\]

We often implicitly treat fixed kind environments \(\overline{\Theta}\) as refined kind environments \(\overline{\Theta} \vdash \bullet\). The refined kinding rules are given in Figure 12.

The key difference with respect to the object language kinding rules is that type variables can now be polymorphic. Rather than simply defining kinding of type environments point-wise the EXTEND rule additionally ensures that all type
variables appearing in a type environment are monomorphic. This restriction is crucial for avoiding guessing of polymorphism. More importantly, it is also key to ensuring that typing judgements are stable under substitution. Without it, it would be possible to substitute monomorphic type variables with types containing nested polymorphic variables, thus introducing polymorphism into a monomorphic type.

We generalise typing judgements \( \Delta; \Gamma \vdash M : A \) to \( \Theta; \Gamma \vdash M : A \), adopting the convention that \( \Theta \vdash \Gamma \) and \( \Theta \vdash A \) must hold as preconditions.

### 5.2 Type Substitutions

In order to define the type inference algorithm we will find it useful to define a judgement for type substitutions \( \theta \), which operate on flexible type variables, unlike type instantiations \( \delta \), which operate on rigid type variables. The type substitution rules are given in Figure 13. The rules are as in Figure 7, except that the kind environments on the right of the turnstile are refined kind environments and rather than the substitution having a fixed kind, the kind of each type variable must match up with the kind of the type it binds.

We write \( \iota_\emptyset \) for the identity type substitution on \( \Theta \), omitting the subscript when clear from context.

\[ \iota_\emptyset = \emptyset \]

Composition of type substitutions is standard.

\[ \theta \circ \emptyset = \emptyset \]

The rules shown in Figure 14 are admissible and we make use of them freely in our algorithms and proofs.

### 5.3 Unification

A crucial ingredient for type inference is unification. The unification algorithm is defined in Figure 15. It is partial in that it either returns a result or fails. Following Leijen [12] we explicitly indicate the successful return of a result by writing return \( X \). Failure may be either explicit or implicit (in the case that an auxiliary function is undefined). The algorithm takes a quadruple \( (\Delta, \Theta, A, B) \) of a fixed kind environment \( \Delta \), a refined kind environment \( \Theta \), and types \( A \) and \( B \), such that \( \Delta, \Theta \vdash A, B \). It returns a unifier, that is, a pair \( (\Theta', \theta) \) of a new refined kind environment \( \Theta' \) and a type substitution \( \theta \), such that \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \).

A type variable unifies with itself, yielding the identity substitution. Due to the use of explicit kind environments, there is no need for an explicit occurs check to avoid unification of a type variable \( a \) with a type \( A \) including recursive occurrences of \( a \). Unification of a flexible variable \( a \) with a type \( A \) implicitly performs an occurs check by checking that the type substituted for \( a \) is well-formed in an environment \( (\Delta, \Theta) \) that does not contain \( a \). A polymorphic flexible variable unifies with any other type, as is standard. A monomorphic flexible variable only unifies with a type \( A \) if \( A \) may be denoted to a monomorphic type. The auxiliary

\[
\Delta \vdash \theta : \Theta \Rightarrow \Theta' \\
\Delta \vdash \theta : \Theta' \Rightarrow \Theta \\
\Delta, \Theta \vdash A : K \\
\Delta \vdash \theta[a \mapsto A] : (\Theta', a : K) \Rightarrow \Theta
\]

Figure 13. Type Substitutions

\[
\begin{array}{c}
\text{S-Identity} \\
\Delta \vdash \iota_\emptyset : \Theta \Rightarrow \Theta \\
\text{S-Weakener} \\
\Delta \vdash \theta : \Theta' \Rightarrow \Theta' \\
\Delta, \theta' : \Theta \Rightarrow \Theta' \\
\Delta \vdash \theta \circ \theta' : \Theta \Rightarrow \Theta''
\end{array}
\]

Figure 14. Properties of Substitution

\[
\begin{array}{c}
\text{S-Compose} \\
\Delta \vdash \theta : \Theta' \Rightarrow \Theta'' \\
\Delta \vdash \theta' : \Theta \Rightarrow \Theta' \\
\Delta \vdash \theta \circ \theta' : \Theta \Rightarrow \Theta''
\end{array}
\]

Figure 15. Unification Algorithm
denote function converts any polymorphic flexible variables in \( A \) to monomorphic flexible variables in the refined kind environment. This demotion is sufficient to ensure that further unification cannot subsequently make \( A \) polymorphic.

Unification of data types is standard, checking that the data type constructors match, and recursing on the substructures. Following Leijen [12], unification of quantified types ensures that forall-bound type variables do not escape their scope by introducing a fresh rigid (skolem) variable and ensuring it does not appear in the free type variables of the substitution.

**Theorem 4** (Unification is sound). If \( \Delta, \Theta \vdash A, B : K \) and unify\((\Delta, \Theta, A, B) = (\Theta', \theta)\) then \( \theta(A) = \theta(B) \) and \( \Delta + \theta : \Theta \Rightarrow \Theta' \).

**Theorem 5** (Unification is complete and most general). If \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \) and \( \Delta, \Theta \vdash A : K \) and \( \theta(A) = \theta(B) \), then unify\((\Delta, \Theta, A, B) = (\Theta'', \theta')\) where there exists \( \theta'' \) satisfying \( \Delta + \theta'' : \Theta'' \Rightarrow \Theta' \) such that \( \theta = \theta'' \circ \theta' \).

### 5.4 The Inference Algorithm

The type inference algorithm is defined in Figure 16. It is partial in that it either returns a result or fails. The algorithm takes a quadruple \((\Delta, \Theta, \Gamma, M)\) of a fixed kind environment \( \Delta \), a refined kind environment \( \Theta \), a type environment \( \Gamma \), and a term \( M \), such that \( \Delta; \Theta \vdash \Gamma \). If successful, it returns a triple \((\Theta', \theta, A)\) of a new refined kind environment \( \Theta' \), a type substitution \( \theta \), such that \( \Delta + \theta : \Theta \Rightarrow \Theta' \), and a type \( A \) such that \( \Delta, \Theta' \vdash A : \star \).

The algorithm is an extension of algorithm W [3] adapted to use explicit kind environments \( \Delta, \Theta \). Inferring the type of a frozen variable is just a matter of looking up its type in the type environment. As usual, the type of a plain (unfrozen) variable is inferred by instantiating any polymorphism with fresh type variables. The returned identity type substitution is weakened accordingly. Crucially, the argument type inferred for an unannotated lambda abstraction is monomorphic. If on the other hand the argument type is annotated with a type, then we just use that type directly. For applications we use the unification algorithm to check that the function and argument match up. Generalisation is performed for unannotated let-bindings in which the let-binding is a guarded value. For unannotated let-bindings in which the let-binding is not a guarded value, generalisation is suppressed and any ungeneralised flexible type variables are demoted to be monomorphic. When a let-binding is annotated with a type then rather than performing generalisation we use the annotation, taking care to account for any polymorphism that is already present in the inferred type for \( M \) using split, and checking that none of the quantifiers escape by inspecting the codomain of \( \theta_2 \).

**Theorem 6** (Type inference is sound). If \( \Delta, \Theta \vdash \Gamma \) and \( \Delta \vdash M \) and infer\((\Delta, \Theta, \Gamma, M) = (\Theta', \theta, A)\) then \( \Delta, \Theta'; \theta(\Gamma) \vdash M : A \) and \( \Delta + \theta : \Theta \Rightarrow \Theta' \).

**Theorem 7** (Type inference is complete and principal). Let \( \Delta \vdash M \) and \( \Delta, \Theta \vdash \Gamma \). If \( \Delta + \theta : \Theta \Rightarrow \Theta' \) and \( \Theta'; \theta(\Gamma) \vdash M : A \), then infer\((\Delta, \Theta, \Gamma, M) = (\Theta'', \theta', A')\) where there exists \( \theta'' \) satisfying \( \Delta + \theta'' : \Theta'' \Rightarrow \Theta' \) such that \( \theta = \theta'' \circ \theta' \) and \( \theta''(A') = A \).

### 6 Implementation

We have implemented FreezeML as an extension of Links. This exercise was mostly routine. In the process we addressed several practical concerns and encountered some non-trivial interactions with other features of Links. In order to keep this paper self-contained we avoid concrete Links syntax, but...
instead illustrate the ideas of the implementation in terms of extensions to the core syntax used in the paper.

In ASCII we render [x] as ~x. For convenience, Links builds in the generalisation $ and instantiation operators @.

In practice (in Links and other functional languages), it is often convenient to include a type signature for a function definition rather than annotations on arguments. Thus

$$f : \forall a. A \rightarrow B \rightarrow C$$

$$f \times y = M$$

$$N$$

is treated as:

$$\text{let } (f : \forall a. A \rightarrow B \rightarrow C) = \lambda(x : A).\lambda(y : B).M \text{ in } N$$

Though x and y are not themselves annotated, A and B may be polymorphic, and may mention a.

Given that FreezeML is explicit about the order of quantifiers, adding support for explicit type application [4] is straightforward. We have implemented this feature in Links.

Links has an implicit subkinding system used for various purposes including classifying base types in order to support language-integrated query [15] and distinguishing between linear and non-linear types in order to support session typing [16]. In plain FreezeML, if we have poly : (\forall a.a \rightarrow a) \rightarrow \text{Int} \times \text{Bool} and id : \forall a.a \rightarrow a, then we may write poly [id]. The equivalent in Links also works. However, the type inferred for the identity function in Links is not \( \forall a.a \rightarrow a \), but rather \( \forall (a : \circ)^{\circ}.a \rightarrow a \), where the subkinding constraint \( \circ \) captures the property that the argument is used linearly. Given this more refined type for id the term poly [id] no longer type-checks. In this particular case one might imagine generating an implicit coercion (a function that promises to use its argument linearly may be soundly treated as a function that may or may not use its argument linearly). In general one has to be careful to be explicit about the kinds of type variables when working with first-class polymorphism. Similar issues arise from the interaction between first-class polymorphism and Links’s effect type system [15].

Existing infrastructure for subkinding in the implementation of Links was helpful for adding support for FreezeML as we exploit it for tracking the monomorphic / polymorphic distinction. However, there is a further subtlety: in FreezeML type variables of monomorphic kind may be instantiated with (though not unified with) polymorphic types; this behaviour differs from that of other kinds in Links.

The Links source language allows the programmer to explicitly distinguish between rigid and flexible type variables. Flexible type variables can be convenient to use as wildcards during type inference. As a result, type annotations in Links are slightly richer than those admitted by the well-scopedness judgement of Figure 9. It remains to verify the formal properties of the richer system.

7 Related Work

There are many previous attempts to bridge the gap between ML and System F. Some systems employ more expressive types than those of System F; others implement heuristics in the type system to achieve a balance between increased complexity of the system and reducing the number of necessary type annotations; finally, there are systems like ours that eschew such heuristics for the sake of simplifying the type system further. Users then have to state their intentions explicitly, potentially resulting in more verbose programs.

Expressive Types. MLF [10] (sometimes stylised as ML²) is considered to be the most expressive of the conservative ML extensions so far. MLF achieves its expressiveness by going beyond regular System F types and introducing polymorphically bounded types, though translation from MLF to System F and vice versa remains possible [10, 11]. MLF also extends ML with type annotations on lambda binders. Annotating binders that are used polymorphically are mandatory, since type inference will not guess second-order types. This is required to maintain principal types.

HML [13] is a simplification of MLF. In HML all polymorphic function arguments require annotations. It significantly simplifies the type inference algorithm compared to MLF, though polymorphically bounded types are still used.

Heuristics. HMF [12] contrasts with the above systems in that it only uses regular System F types (disregarding order of quantifiers). Like FreezeML, it only allows principal types for let-bound variables, and type annotations are needed on all polymorphic function parameters. HMF allows both instantiation and generalisation in argument positions, taking n-ary applications into account. The system uses weights to select between less and more polymorphic types. Whole lambda abstractions require an annotation to have a polymorphic return type. Such term annotations are rigid, meaning they suppress instantiation and generalisation. As instantiation is implicit in HMF, rigid annotations can be seen as a means to freeze arbitrary expressions.

Several systems for first-class polymorphism were proposed in the context of the Haskell programming language. These systems include boxy types [26], FPH [27], and GI [24]. The Boxy Types system, used to implement GHC’s ImpredicativeTypes extension, was very fragile and thus difficult to use in practice. Similarly, the FPH system – based on MLF – was simpler but still difficult to implement in practice. GI is the latest development in this line of research. Its key ingredient is a heuristic that restricts polymorphic instantiation, based on whether a variable occurs under a type constructor and argument types in an application. Like HMF, it uses System F types, considers n-ary applications for typing, and requires annotations both for polymorphic parameter and return types. However, only top-level type variables may be re-ordered. The authors show how to combine their system...
with the OutsideIn(X) \cite{25} constraint-solving type inference algorithm used by the Glasgow Haskell Compiler. They also report a prototype implementation of GI as an extension to GHC with encouraging experience porting existing Hackage packages that use rank-n polymorphism.

**Explicitness.** Some early work on first-class polymorphism was based on the observation that polymorphism can be encapsulated inside nominal types \cite{8,9,19,22}.

The QML \cite{23} system explicitly distinguishes between polymorphic schemes and quantified types and hence does not use plain System F types. Type schemes are used for ML let-polyforimphism and introduced and eliminated implicitly. Quantified types are used for first-class polymorphism, in particular for polymorphic function arguments. Such types must always be introduced and eliminated explicitly, which requires stating the full type and not just instantiating the type variables. All polymorphic instantiations must therefore be made explicitly by annotating terms at call sites. Neither let- nor $\lambda$-bound variables can be annotated with a type.

Poly-ML \cite{6} is similar to QML in that it distinguishes two incompatible sorts of polymorphic types. Type schemes arise from standard ML generalisation; (boxed) polymorphic types are introduced using a dedicated syntactic form which requires a type annotation. Boxed polymorphic types are considered to be simple types, meaning that a type variable can be instantiated with a boxed polymorphic type, but not with a type scheme. Terms of a boxed type are not instantiated implicitly, but must be opened explicitly, resulting in instantiation. Unlike QML, the instantiated type is deduced from the context, rather than requiring an annotation.

Unlike FreezeML, Poly-ML supports inferring polymorphic parameter types for unannotated lambdas, but this is limited to situations where the type is unambiguously determined by the context. This is achieved by using labels, which track whether polymorphism was guessed or confirmed by a type annotation. Whereas FreezeML has type annotations on binders, Poly-ML has type annotations on terms and propagates them using the label system.

In Poly-ML, the example $\lambda x.\text{auto } x$ typechecks, guessing a polymorphic type for $x$; FreezeML requires a type annotation on $x$. In FreezeML the program let $id = \lambda x. x$ in let $c = id$ in auto $[id]$ typechecks, whereas in Poly-ML a type annotation is required (in order to convert between $\forall a.a \rightarrow a$ and $[\forall a.a \rightarrow a]$). However, Poly-ML could be extended with a new construct for introducing boxed polymorphism without a type annotation, using the principal type instead. With such a change it is possible to translate from FreezeML into this modified version of Poly-ML without inserting any new type annotations (see Appendix D).

Appendix A contains an example-based comparison of FreezeML, GI, MLF, HMF, FPH, and HML.

**Instantiation as subsumption.** In FreezeML instantiation induces a natural subtyping relation such that $A \leq B$ if $B$ is an instance of $A$. In other systems (e.g. \cite{19}) such a subtyping relation applies implicitly to all terms via a subsumption rule. This form of subsumption is fundamentally incompatible with frozen variables, which explicitly suppress instantiation, enabling fine-grained control over exactly where instantiation occurs. Nonetheless, subsumption comes for free on unfrozen variables and potentially elsewhere if one adopts more sophisticated instantiation strategies.

8 Conclusions

In this paper, we have introduced FreezeML as an exercise in language design for reconciling ML type inference with System F-style first-class polymorphism. We have also implemented FreezeML as part of the Links programming language \cite{2}, which uses a variant of ML type inference extended with row types, and has a kind system readily adapted to check that inferred function arguments are monotypes.

Directions for future work include extending FreezeML to accommodate features such as higher-kinds, GADTs, and dependent types, as well as exploring different implicit instantiation strategies. It would also be instructive to rework our formal account using the methodology of Gundry et al. \cite{7} and use that as the basis for mechanised soundness and completeness proofs.

Acknowledgments

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A FreezeML vs. Other Systems

In this appendix we present an example-based comparison of FreezeML with other systems for first-class polymorphism: GI [24], MLF [10], HMF [12], FPH [27], and HML [13]. Sections A-E of Figure 1 have been presented in [24], together with analysis of how the five systems behave for these examples. We now use these examples to compare FreezeML with other systems.

Firstly, we focus on which examples can be typechecked without explicit type annotations. (We do not count FreezeML freezes, generalisations, and instantiations as annotations, since these are mandatory in our system by design and they do not require spelling out a type explicitly, allowing the programmer to rely on type inference.) Out of 32 examples presented in Sections A-E of the Figure 1, MLF typechecks all but B1 and E1, placing it first in terms of expressiveness. HML ranks second, being unable to typecheck B1, B2 and E1\(^3\). FreezeML handles all examples except for A8, B1, B2, and E1, ranking third. FPH, GI, and HMF fail to typecheck 6 examples, 8 examples, and 11 examples respectively. If we permit annotations on binders only, the number of failures for most systems decreases by 2, because the systems can now typecheck Examples B1 and B2. MLF was already able to typecheck B2 without an annotation, so now it handles all but E1. If we permit type annotations on arbitrary terms the number of examples that cannot be typechecked becomes: MLF – 1 (E1), FreezeML – 2 (A8, E1) – GI and HML – 2 (E1, E3), FPH – 4, and HMF – 6. These observations are summarised in Table 1 below.

<table>
<thead>
<tr>
<th>Annotate?</th>
<th>MLF</th>
<th>HML</th>
<th>FreezeML</th>
<th>FPH</th>
<th>GI</th>
<th>HMF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nothing</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>6</td>
<td>8</td>
<td>11</td>
</tr>
<tr>
<td>Binders</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>4</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>Terms</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>4</td>
<td>2</td>
<td>6</td>
</tr>
</tbody>
</table>

Due to FreezeML’s approach of explicitly annotating polymorphic instantiations, we might require $\llbracket - \rrbracket$, $\$, and @ annotations where other systems need no annotations whatsoever. This is especially the case for Examples A10-12, which all other five systems can handle without annotations. We are being more verbose here, but the additional ink required is minimal and we see this as a fair price for the benefits our system provides. Also, being explicit about generalisations allows us to be precise about the location of quantifiers in a type. This allows us to typecheck Example E3, which no other system except MLF can do.

FreezeML is incapable of typechecking A8, under the assumption that the only allowed modifications are insertions of freeze, generalisation, and instantiation. We can however $\eta$-expand and rewrite A8 to F10.

When dealing with n-ary function applications, FreezeML is insensitive to the order of arguments. Therefore, if an application $M N$ is well-formed then so are $\text{app } M N$ and $\text{revapp } N M$, as shown in section D of the table. Many systems in the literature also enjoy this property, but there are exceptions such as Boxy Types [26].

B Specifications of Core Calculi

In this appendix we provide full specification of two core calculi on which we base FreezeML— call-by-value System F and ML — as well as translation from ML to System F.

B.1 Call-by-value System F

We begin with a standard call-by-value variant of System F. The syntax of System F types, environments, and terms is given in Figure 17.

We let $a, b, c$ range over type variables. We assume a collection of type constructors $D$ each of which has a fixed arity $\text{arity}(D)$. Types formed by type constructor application include base types ($\text{Int}$ and $\text{Bool}$), lists of elements of type $A$ ($\text{List } A$), and functions from $A$ to $B$ ($A \rightarrow B$). Data types may be Church-encoded using polymorphic functions [28], but for the purposes of our examples we treat them specially. Types comprise type variables ($a$), fully-applied type constructors ($D A$), and polymorphic types ($\forall a.A$). Type environments track the types of term variables in a term. Kind environments track the type variables in a term. For the calculi we present in this section, we only have a single kind, $\star$, the kind of all types, which we omit. Nevertheless, kind environments are still useful for explicitly tracking which type variables are in scope, and when we consider type inference (Section 5) we will need a refined kind system in order to distinguish between monomorphic and polymorphic types.

\(^3\)Table presented in [24] claims that HML cannot typecheck E3 but Didier Rémy pointed out to us in private correspondence that this is not the case and HML can indeed typecheck E3.
We now outline a core fragment of ML. The syntax is given in Figure 20, well-formedness of types and the typing rules in System F are given in Figure 19.

A crucial difference between System F and ML is that in System F the order in which quantifiers appear is important (\(\forall\alpha, \beta\beta\)). We let \(x, y, z\) range over term variables. Terms comprise variables \((x)\), term abstractions \((\lambda x^A.M)\), term applications \((MN)\), type abstractions \((\Lambda a.V)\), and type applications \((MA)\). We write \(\text{let } x^A = M \text{ in } N\) as syntactic sugar for \((\lambda x^A.N)M\), we write \(MN\) as syntactic sugar for repeated type application \(MA_1 \cdots A_n\), and \(\Lambda a.V\) as syntactic sugar for repeated type abstraction \(\Lambda a_1 \cdots \Lambda a_n.V\). We also may write \(\Lambda\Delta.A\) when \(\Delta = \emptyset\). We restrict the body of type abstractions to be syntactic values in accordance with the ML value restriction [30].

Well-formedness of types and the typing rules for System F are given in Figure 18. Standard equational rules (\(\beta\)) and (\(\eta\)) for System F are given in Figure 19.

### B.2 ML

We now outline a core fragment of ML. The syntax is given in Figure 20, well-formedness of types and the typing rules in Figure 21. Unlike in System F we here separate monomorphic types \((S, T)\) from type schemes \((P, Q)\) and there is no explicit provision for type abstraction or type application. Instead, only variables may be polymorphic and polymorphism is introduced by generalising the body of a let-binding (ML-Let), and eliminated implicitly when using a variable (ML-Var).

Instantiation applies a type instantiation to the monomorphic body of a polymorphic type. The rules for type instantiations are given in Figure 21. We may apply type instantiations to types and type schemes in the standard way:

\[
\begin{align*}
\delta(S) &= S \\
\delta(a \mapsto S)(a) &= S \\
\delta(D \overline{\alpha}) &= D(\delta(\overline{\alpha})) \\
\delta(a \mapsto S)(b) &= \delta(b)
\end{align*}
\]

Generalisation is defined at the bottom of Figure 21. If \(M\) is a value, the generalisation operation \(\text{gen}(\Delta, S, M)\) returns the list of type variables in \(S\) that do not occur in the kind environment \(\Delta\), in the order in which they occur, with no duplicates. To satisfy the value restriction, \(\text{gen}(\Delta, S, M)\) is empty if \(M\) is not a value.

A crucial difference between System F and ML is that in System F the order in which quantifiers appear is important (\(\forall a.b.A\) and \(\forall b.a.A\) are different types), whereas in ML, because instantiation is implicit, the order does not matter. As we are concerned
with bridging the gap between the two we have developed an extension of ML in which the order of quantifiers is important. However, this change does not affect the behaviour of type inference for ML terms since the order of quantifiers is lost when polymorphic variable types are instantiated, as in rule ML-Var.

B.3 ML as System F

ML is remarkable in providing statically typed polymorphism without the programmer having to write any type annotations. In order to achieve this coincidence of features the type system is carefully constructed, and crucial operations (instantiation and generalisation) are left implicit (i.e., not written as explicit constructs in the program). This is convenient for programmers, but less so for metatheoretical study.

In order to explicate ML’s polymorphic type system, let us consider a translation of ML into System F. Such a translation is given in Figure 22. As the translation depends on type information not available in terms, formally it is defined as a translation from derivations to terms (rather than terms to terms). But we abuse notation in the standard way to avoid explicitly writing derivation trees everywhere. Each recursive invocation on a subterm is syntactic sugar for invoking the translation on the corresponding part of the derivation.
The translation of variables introduces repeated type applications. Recall that we use let \( x^A = M \) in \( N \) as syntactic sugar for \( (\lambda x^A. N) M \) in System F. Translating the let binding of a value then yields repeated type abstractions. For non-values \( M, \Delta' \) is empty.

**Theorem 8.** If \( \Delta; \Gamma \vdash M : S \) then \( \Delta; \Gamma \vdash C[M] : S \).

The fragment of System F in the image of the translation is quite restricted in that type abstractions are always immediately bound to variables and type applications are only performed on variables. Furthermore, all quantification must be top-level. Next we will extend ML in such a way that the translation can also be extended to cover the whole of System F.

## C Well-foundedness of FreezeML typing

In this appendix we give the full details of how FreezeML’s typing relation can be defined, despite the apparent failure of well-foundedness in the rule-based presentation in Figures 7 and 8.

We will define a function \( \mathcal{J}[M] \) as follows by recursion on terms \( M \). The result of \( \mathcal{J}[M] \) is a set of triples \( (\Delta, \Gamma, A) \). Note that there is no requirement that \( M, \Delta \) or \( N \), are closed. We define an auxiliary function \( P(\cdot) \) that takes a set of triples \( (\Delta, \Gamma, A) \) and produces a set of quadruples \( (\Delta, \Gamma, \Delta', A) \). Intuitively, \( \mathcal{J}[M] \) corresponds to those triples \( (\Delta, \Gamma, A) \) with respect to which \( M \) is well-formed, and \( P(\mathcal{J}[M]) \) corresponds analogously to those \( (\Delta, \Gamma, \Delta', A) \) characterising a principal typing derivation for \( M \). We will make this relationship precise shortly.

\[
\begin{align*}
\mathcal{J}[x] & = \{(\Delta, \Gamma, A) \mid x : A \in \Gamma \text{ and } \Delta \vdash \Gamma \text{ and } \Delta \vdash A : \star\} \\
\mathcal{J}[x] & = \{(\Delta, \Gamma, \delta(H)) \mid x : \forall \Delta'. H \in \Gamma \text{ and } \Delta \vdash \delta : \Delta' \Rightarrow \star, \text{ and } \Delta \vdash \Gamma \text{ and } \Delta \vdash \delta(H) : \star\} \\
\mathcal{J}[M \ N] & = \{(\Delta, \Gamma, B) \mid (\Delta, \Gamma, A \rightarrow B) \in \mathcal{J}[M] \text{ and } (\Delta, \Gamma, A) \in \mathcal{J}[N]\} \\
\mathcal{J}[\lambda x. M] & = \{(\Delta, \Gamma, S \rightarrow B) \mid (\Delta, \Gamma, x : S, B) \in \mathcal{J}[M]\} \\
\mathcal{J}[\text{let } x = M \text{ in } N] & = \{(\Delta, \Gamma, B) \mid (\Delta', \Delta'') = \text{gen}(\Delta, A', M) \text{ and } (\Delta, \Delta'', M, A') \downarrow A \text{ and } (\Delta, \Gamma, \Delta'') \in P(\mathcal{J}[M]) \text{ and } (\Delta, (\Gamma, x : A), B) \in \mathcal{J}[N]\} \\
\mathcal{J}[\text{let } (x : A) = M \text{ in } N] & = \{(\Delta, \Gamma, B) \mid (\Delta', A') = \text{split}(\Delta, M) \text{ and } ((\Delta', A'), (\Delta, \Gamma, A') \in \mathcal{J}[M] \text{ and } (\Delta, (\Gamma, x : A), B) \in \mathcal{J}[N]\} \\
P(X) & = \{(\Delta, \Gamma, \Delta', A') \mid ((\Delta', A'), (\Delta, \Gamma, A') \in \mathcal{J}[X] \text{ and for all } \Delta'', A'' \text{ if } \Delta'' = \text{fv}(A'') - \Delta \text{ and } ((\Delta, \Delta''), \Gamma, A'') \in X \text{ then there exists } \delta \text{ such that } \Delta \vdash \delta : \Delta' \Rightarrow \star \text{ and } \delta(A') = A''\}
\end{align*}
\]

As usual, we adopt the implicit convention that variables \( x \) are \( \alpha \)-renamed so as not to conflict with other names already in scope; that is, in the cases for lambda-abstraction we implicitly assume \( x \notin \text{FV}(\Gamma) \) and for let, assume \( x \notin \text{FV}(\Gamma, M) \).

**Lemma C.1.** For each \( M \), the set \( \mathcal{J}[M] \) is well-defined.
Proof. Straightforward by induction on $M$, since in each case $\mathcal{J}[M]$ is defined in terms of $\mathcal{J}[-]$ applied to immediate subterms of $M$. \hfill \Box

**Definition C.2.** We define the typing relation $\Delta; \Gamma \vdash M : A$ in terms of $\mathcal{J}[-]$, and principal in terms of $P(-)$:

\[
\Delta; \Gamma \vdash M : A \iff (\Delta, \Gamma, A) \in \mathcal{J}[M] \\
\text{principal}(\Delta, \Gamma, M, \Delta', A) \iff (\Delta, \Gamma, \Delta', A) \in P(\mathcal{J}[M]).
\]

We will show that this relation satisfies all of the rules listed in Figures 7 and 8 and that the rules are invertible. We first show that principal satisfies the definition given in Figure 8.

**Lemma C.3.** principal$(\Delta, \Gamma, M, \Delta', A')$ holds if and only if $\Delta, \Delta'; \Gamma \vdash M : A'$ and for all $\Delta''$, $A''$ if $\Delta'' = \text{ftv}(A'') - \Delta$ and $\Delta, \Delta''; \Gamma \vdash M : A''$ then there exists $\delta$ such that $\Delta \vdash \delta : \Delta' \Rightarrow \Delta''$ and $\delta(A') = A''$.

**Proof.** By unfolding definitions:

\[
\text{principal}(\Delta, \Gamma, M, \Delta', A') \iff (\Delta, \Gamma, A') \in P(\mathcal{J}[M])
\]

\[
\iff ((\Delta, \Delta'), \Gamma, A') \in \mathcal{J}[M] \text{ and for all } \Delta'', A''
\]

\[
\text{if } \Delta'' = \text{ftv}(A'') - \Delta \text{ and } ((\Delta, \Delta''), \Gamma, A'') \in \mathcal{J}[M]
\]

\[
\text{then there exists } \delta \text{ such that } \Delta \vdash \delta : \Delta' \Rightarrow \Delta'' \text{ and } \delta(A') = A''
\]

\[
\iff \Delta, \Delta'; \Gamma \vdash M : A' \text{ and for all } \Delta'', A''
\]

\[
\text{if } \Delta'' = \text{ftv}(A'') - \Delta \text{ and } \Delta, \Delta''; \Gamma \vdash M : A''
\]

\[
\text{then there exists } \delta \text{ such that } \Delta \vdash \delta : \Delta' \Rightarrow \Delta'' \text{ and } \delta(A') = A''
\]

\hfill \Box

Likewise, the next lemma shows that all of the inference rules listed in Figure 7 hold of the typing relation as defined using $\mathcal{J}[-]$. This is largely straightforward, but the details are given explicitly for the cases involving principal and Let, in order to make it clear that there is no circularity.

**Lemma C.4.**

1. If $x : A \in \Gamma$ and $\Delta \vdash \Gamma$ and $\Delta \vdash A : \star$ then $\Delta; \Gamma \vdash [x] : A$.
2. If $x : \forall \Delta'. H \in \Gamma$ and $\Delta \vdash \delta(H) : \star$ and $\Delta \vdash \delta : \Delta' \Rightarrow \star$ then $\Delta; \Gamma \vdash x : \delta(H)$.
3. If $\Delta; \Gamma \vdash M : A \rightarrow B$ and $\Delta; \Gamma \vdash N : A$ then $\Delta; \Gamma \vdash M N : B$.
4. If $\Delta; \Gamma, x : S \vdash M : B$ then $\Delta; \Gamma \vdash \lambda x. M : S \rightarrow B$.
5. If $\Delta; \Gamma, x : A \vdash M : B$ then $\Delta; \Gamma \vdash \lambda(x : A). M : A \rightarrow B$.
6. If the following hold

\[
(\Delta', \Delta'') = \text{gen}(\Delta, A', M)
\]

\[
(\Delta, \Delta'', M, A') \in A
\]

\[
\Delta, \Delta''; \Gamma \vdash M : A'
\]

\[
\Delta; \Gamma, x : A \vdash N : B
\]

\[
\text{principal}(\Delta, \Gamma, M, \Delta'', A')
\]

then $\Delta; \Gamma \vdash \text{let } x = M \text{ in } N : B$.

7. If the following hold

\[
(\Delta', A') = \text{split}(A, M)
\]

\[
\Delta, \Delta'; \Gamma \vdash M : A'
\]

\[
\Delta; \Gamma, x : A \vdash N : B
\]

then $\Delta; \Gamma \vdash (x : A) = M \text{ in } N : B$.

**Proof.** In each case, the reasoning is straightforward by unfolding definitions. We give the details of the case for Let. Assume the following:

\[
(\Delta', \Delta'') = \text{gen}(\Delta, A', M)
\]

\[
(\Delta, \Delta'', M, A') \in A
\]

\[
\Delta, \Delta''; \Gamma \vdash M : A'
\]

\[
\Delta; \Gamma, x : A \vdash N : B
\]

\[
\text{principal}(\Delta, \Gamma, M, \Delta'', A')
\]

By definition, we also know that $(\Delta, (\Gamma, x : A), B) \in \mathcal{J}[N]$ and $(\Delta, \Gamma, \Delta'', A') \in P(\mathcal{J}[M])$. These are the required facts (along with the first two) to conclude that $(\Delta, \Gamma, B) \in \mathcal{J}[\text{let } x = M \text{ in } N : B]$, which is equivalent by definition to $\Delta; \Gamma \vdash \text{let } x = M \text{ in } N : B$. \hfill \Box
Furthermore, the rules are all \textit{invertible}; that is, if a conclusion of a rule is derivable, then some instantiations of the hypotheses are also derivable:

\begin{lemma}

1. If $\Delta; \Gamma \vdash [x] : A$ then $x : A \in \Gamma$.
2. If $\Delta; \Gamma \vdash x : A$ then there exists $\Delta', H$ such that $x : \forall \Delta', H \in \Gamma$ and $\Delta \vdash \delta : \Delta' \Rightarrow \cdot$.
3. If $\Delta; \Gamma \vdash M N : B$ then there exists $A$ such that $\Delta; \Gamma \vdash M : A \rightarrow B$ and $\Delta; \Gamma \vdash N : A$.
4. If $\Delta; \Gamma \vdash \lambda \cdot M : S \rightarrow B$ then $\Delta, \Gamma, x : S \vdash M : B$.
5. If $\Delta; \Gamma \vdash \lambda(x : A) M : A \rightarrow B$ then $\Delta; \Gamma, x : A \vdash M : B$.
6. If $\Delta; \Gamma \vdash \text{let } x = M \text{ in } N : B$ then there exist $\Delta', \Delta'', A'$ such that:

$$(\Delta', \Delta'') = \text{gen}(\Delta, A', M)$$

$$(\Delta, \Delta'', M, A') \not\subseteq A$$

$$\Delta; \Delta''; \Gamma \vdash M : A'$$

$$\Delta; \Gamma, x : A \vdash N : B$$

$$\text{principal}(\Delta, \Gamma, M, \Delta'', A')$$

7. If $\Delta; \Gamma \vdash (x : A) = M \text{ in } N : B$ then there exist $\Delta', A'$ such that:

$$(\Delta', A') = \text{split}(A, M)$$

$$\Delta; \Delta'; \Gamma \vdash M : A'$$

$$\Delta; \Gamma, x : A \vdash N : B$$

\end{lemma}

\textit{Proof.} In each case, the reasoning is straightforward by unfolding definitions. We again give the details of the case for \textsc{let}.

Suppose that $\Delta; \Gamma \vdash \text{let } x = M \text{ in } N : B$ holds; that is, $(\Delta, \Gamma, B) \in J \llbracket \text{let } x = M \text{ in } N \rrbracket$. By definition,

$$(\Delta, \Gamma, B) \in J \llbracket \text{let } x = M \text{ in } N \rrbracket \iff (\Delta', \Delta'') = \text{gen}(\Delta, A', M) \text{ and } (\Delta, \Delta'', M, A') \not\subseteq A$$

and $(\Delta, \Gamma, \Delta'', A') \in P(J \llbracket M \rrbracket)$ and $(\Delta, (\Gamma, x : A), B) \in J \llbracket N \rrbracket$

$$(\Delta', \Delta'') = \text{gen}(\Delta, A', M) \text{ and } (\Delta, \Delta'', M, A') \not\subseteq A$$

and $\Delta; \Delta'; \Gamma \vdash M : A'$ and $\Delta; \Gamma, x : A \vdash N : B$ and $\text{principal}(\Delta, \Gamma, M, \Delta'', A')$

where in the last step we use the fact that $\text{principal}(\Delta, \Gamma, M, \Delta'', A')$ implies $\Delta, \Delta'; \Gamma \vdash M : A'$. \hfill $\square$

Therefore, we may reason about the typing relation by induction on the structure of $M$, and immediately applying inversion in each case. It is also possible to define functions structurally over derivations, provided that the principality information is ignored. For example, the translations in Figure 11 and Appendix E have this form. To indicate that the principality information is not used in recursion over derivations, this assumption is greyed out.

In the previous inversion lemma, we did not mention the well-formedness preconditions in the variable case. Instead, we show that typing relations always involve well-formed $\Gamma$ and $A$ with respect to $\Delta$:

\begin{lemma}

If $\Delta; \Gamma \vdash M : A$ then $\Delta \vdash \Gamma$ and $\Delta \vdash A : \star$.

\end{lemma}

\textit{Proof.} By induction on $M$, then analysis of the corresponding case of $J \llbracket \cdot \rrbracket$. The cases for variables and frozen variables are immediate since the required relations are preconditions. For most other cases, the induction hypothesis and then inversion on some subderivation suffices. We give the details for \textsc{let}, to illustrate the required reasoning.

Suppose $(\Delta, \Gamma, B) \in J \llbracket \text{let } x = M \text{ in } N \rrbracket$. By definition, this means that $(\Delta, (\Gamma, x : A), B) \in J \llbracket N \rrbracket$ must also hold (among other preconditions). Therefore, by the induction hypothesis for $N$, we have $\Delta \vdash \Gamma, x : A$ and $\Delta \vdash B : \star$. By inversion on derivations of context well-formedness we have $\Delta \vdash \Gamma$, which concludes the proof for this case. \hfill $\square$

Notice in particular that in cases such as ascribed lambda and \textsc{let}, we need not explicitly check that $A$ is well-formed with respect to $\Delta$, since it is necessary by construction (though it also would not hurt to perform such a check).
D Example Translation from FreezeML to System F

Below is an example translation from FreezeML to System F, where app, auto, and id have the types given in Figure 2.

\[
\begin{align*}
C[\text{let } app = \lambda f.\lambda z. f\ z \text{ in } app[\text{auto}][\text{id}]]
\end{align*}
\]

\[
\begin{align*}
&= \text{let } \lambda a.\lambda b.(a\rightarrow b)\rightarrow a\rightarrow b = \\
&\quad \Lambda a.\lambda b.C[\lambda f.\lambda z. f\ z] \text{ in } C[\text{app}[\text{auto}][\text{id}]] \\
&= (\lambda a.\lambda b.(a\rightarrow b)\rightarrow a\rightarrow b).
\end{align*}
\]

\[
\begin{align*}
&\quad C[\text{app}[\text{auto}][\text{id}]] (\Lambda a.\lambda b.C[\lambda f.\lambda z. f\ z]) \\
&= (\lambda a.\lambda b.(a\rightarrow b)\rightarrow a\rightarrow b).
\end{align*}
\]

where subterm \(C[\text{app}[\text{auto}][\text{id}]]\) further translates as:

\[
\begin{align*}
C[\text{app}[\text{auto}][\text{id}]] = \text{app}((\forall a.a \rightarrow a) \rightarrow (\forall a.a \rightarrow a)) \\
& \quad (\forall a.a \rightarrow a) \\
& \quad \text{auto} \\
& \quad \text{id}
\end{align*}
\]

The type of the whole translated term is \(\forall a.a \rightarrow a\). The translation enjoys a type preservation property.

E Translation from FreezeML to Poly-ML

Types. Let \(\epsilon\) be a fixed label. Then \(\llbracket\_\rrbracket_r\) is defined as follows:

\[
\llbracket a \rrbracket_r = a
\]

\[
\llbracket A_1 \rightarrow A_2 \rrbracket_r = \llbracket A_1 \rrbracket_r \rightarrow \llbracket A_2 \rrbracket_r
\]

\[
\llbracket \forall \Delta. H \rrbracket_r = \llbracket \forall \Delta. H \rrbracket_r^\epsilon \quad \text{if } \Delta \neq \cdot
\]

Further, \(\llbracket \_\rrbracket_\sigma\) is defined as follows, meaning that \(\llbracket \_\rrbracket_\sigma\) behaves like \(\llbracket \_\rrbracket_r\) but leaves quantifiers at the toplevel unboxed.

\[
\llbracket a \rrbracket_\sigma = \llbracket a \rrbracket_r
\]

\[
\llbracket A_1 \rightarrow A_2 \rrbracket_\sigma = \llbracket A_1 \rightarrow A_2 \rrbracket_r
\]

\[
\llbracket \forall \Delta. H \rrbracket_\sigma = \llbracket \forall \Delta. H \rrbracket_r \quad \text{if } \Delta \neq \cdot
\]

Finally, \(\llbracket A \rrbracket_\epsilon\) is defined as \(\forall \epsilon. \llbracket A \rrbracket_\sigma\) and is applied to typing environments by applying \(\llbracket \_\rrbracket_\epsilon\) to the types therein.

Terms (Core).

\[
\begin{align*}
&\quad \llbracket x : A \in \Gamma \rrbracket = x \\
&\quad \llbracket x : \forall \Delta'. H \in \Gamma \rrbracket = \begin{cases} 
  x & \text{if } \Delta' = \cdot \\
  \langle x \rangle & \text{otherwise}
\end{cases} \\
&\quad \llbracket \Delta; \Gamma + x : \delta(H) \rrbracket = \llbracket M \rrbracket \ llbracket N \rrbracket \\
&\quad \llbracket \Delta; \Gamma + M : A \rightarrow B \rrbracket = \llbracket M \rrbracket \\
&\quad \llbracket \Delta; \Gamma + N : A \rrbracket = \llbracket N \rrbracket \\
&\quad \llbracket \Delta; \Gamma + M N : B \rrbracket \\
&\quad \llbracket \Delta; \Gamma, x : S + M : B \rrbracket = \lambda x. \llbracket M \rrbracket \\
&\quad \llbracket \Delta; \Gamma + \lambda x. M : S \rightarrow B \rrbracket \\
&\quad \llbracket \Delta; \Gamma + \lambda(x : A). M : A \rightarrow B \rrbracket = \lambda(x : \llbracket A \rrbracket_r). \llbracket M \rrbracket \\
&\quad \llbracket \Delta; \Gamma + \text{let } x = (x : \llbracket A \rrbracket_r) \text{ in } \llbracket M \rrbracket
\end{align*}
\]
Terms (Let, value-restricted).

\[
\begin{align*}
& (\Delta', \Delta'') = \text{gen}(\Delta, A', M) \\
& \Delta, \Delta''; \Gamma \vdash M : A' \\
& (\Delta, \Delta'', M, A') \notin A \\
& \Delta; \Gamma, x : A \vdash N : B \\
& \text{principal}(\Delta, \Gamma, M, \Delta'', A') \\
& \Delta; \Gamma \vdash \text{let } x = M \text{ in } N : B
\end{align*}
\]

\[
= \begin{cases} 
\text{let } x = \llbracket M \rrbracket : \llbracket A \rrbracket_{\sigma} \text{ in } \llbracket N \rrbracket & \text{if } \Delta' \neq \cdot \text{ FE: could omit the type annotation if using principal-type version of } [ ] \\
\text{let } x = \llbracket M \rrbracket \text{ in } \llbracket N \rrbracket & \text{otherwise}
\end{cases}
\]

Note that in the first case above, the type annotation \(\llbracket A \rrbracket_{\sigma}\) would not be needed if Poly-ML was extended with a boxing operator that does not require a type annotation but uses the principal type instead.

\[
\begin{align*}
& (\Delta', A') = \text{split}(A, M) \\
& \Delta, \Delta'; \Gamma \vdash M : A' \\
& A = \forall \Delta'. A' \\
& \Delta; \Gamma, x : A \vdash N : B \\
& \Delta; \Gamma \vdash \text{let } (x : A) = M \text{ in } N : B
\end{align*}
\]

\[
= \begin{cases} 
\text{let } x = \llbracket M \rrbracket : \llbracket A \rrbracket_{\sigma} \text{ in } \llbracket N \rrbracket & \text{if } \Delta' \neq \cdot \\
\text{let } x = \llbracket M \rrbracket \text{ in } \llbracket N \rrbracket & \text{otherwise}
\end{cases}
\]

Lemma E.1. If \(\Delta; \Gamma \vdash M : A\) in FreezeML, then \(\llbracket \Gamma \rrbracket_{\xi} \vdash \llbracket M \rrbracket : \llbracket A \rrbracket_{\cdot}\) in Poly-ML.

F Proofs from Section 4

For convenience, we use the following (derivable) System F typing rules, allowing \(n\)-ary type applications and abstractions:

\[
\Delta; \Gamma \vdash \forall \Delta'. B \quad \Delta' = a_1, \ldots, a_n \quad \overline{A} = A_1, \ldots, A_n \quad \text{F-POLYAPP}^n
\]

\[
\Delta; \Gamma \vdash M \overline{A} : B[a_1/A_1] \cdots [a_n/A_n] \quad \text{where } \overline{A} \text{ may be empty}
\]

\[
\Delta, \Delta'; \Gamma \vdash V : A \quad \text{F-POLYLAM}^n
\]

Recall that we have defined \(\text{let } x^A = M \text{ in } N\) as syntactic sugar for \((\lambda x^A.N) M\) in System F.

For readability, we preserve the syntactic sugar in the proofs and use the following typing rule:

\[
\Delta; \Gamma \vdash M : A \quad \Delta; \Gamma, x : A \vdash N : B \quad \text{F-LET}
\]

Lemma F.1. For each System F value \(V, \mathcal{E}[V]\) is a FreezeML value.

Proof. By induction on structure of \(V\).

\[
\Box
\]

Theorem 2 (Type preservation). If \(\Delta; \Gamma \vdash M : A\) in System F then \(\Delta; \Gamma \vdash \mathcal{E}[M] : A\) in FreezeML.

Proof. The proof is by well-founded induction on derivations of \(\Delta; \Gamma \vdash M : A\). This means that we may apply the induction hypothesis to any judgement appearing in a subderivation, not just to those appearing in the immediate ancestors of the conclusion. We slightly strengthen the induction hypothesis so that the \(A\) is the unique type of \(\mathcal{E}[M]\). Formally, we show that if \(\Delta; \Gamma \vdash M : A\) holds in System F, then \(\Delta; \Gamma \vdash \mathcal{E}[M] : A\) holds in FreezeML and for all \(B\) with \(\Delta; \Gamma \vdash \mathcal{E}[M] : B\) we have \(A = B\). We show how to extend \(\mathcal{E}[\cdot]\) to a function that translates System F type derivations to FreezeML type derivations.
• Case F-VAR, $\mathcal{J} = \Delta; \Gamma \vdash x : A$:

$$
\frac{\Delta; \Gamma \vdash x : A \quad x : A \in \Gamma}{\Delta, \Gamma + x : A} \quad \Delta, \Gamma \vdash [x] : A
$$

• Case F-LAM, $\mathcal{J} = \Delta; \Gamma \vdash \lambda x^A.M : A \rightarrow B$:

$$
\frac{\Delta; \Gamma, x : A + M : B \quad \Delta; \Gamma \vdash \lambda x^A.M : A \rightarrow B}{\Delta; \Gamma \vdash \lambda(x : A).\mathcal{E}[M] : B}
$$

• Case F-APP, $\mathcal{J} = \Delta; \Gamma \vdash MN : B$

$$
\frac{\Delta; \Gamma \vdash M : A \rightarrow B \quad \Delta; \Gamma \vdash N : A}{\Delta; \Gamma \vdash M \mathcal{E}[N] : B}
$$

• Case F-TAbs, $\mathcal{J} = \Delta; \Gamma \vdash \Delta a.V : \forall a.B$

Let $B = \forall \Delta_B.H_B$. By Lemma F.1, $\mathcal{E}[V]$ is a value, and let $y = \mathcal{E}[V]$ in $y$ is a guarded value which we refer to as $U_\emptyset$.

$$
\frac{\Delta; \Gamma \vdash V : B \quad \Delta, a; \Gamma \vdash V}{\Delta, \Gamma \vdash \lambda \Delta a.V : \forall a.B}
$$

The sub-derivation $\mathcal{D}$ for $\Delta, a, \Delta_B; \Gamma \vdash U_\emptyset : H_B$ differs based on whether $\mathcal{E}[V]$ is a guarded value or not:

If $\mathcal{E}[V] \in \text{GVal}$: $\mathcal{E}[V]$ must have a guarded type and hence we have $B = H_B$ and $\Delta_B = \emptyset$. By induction we have $\Delta, a; \Gamma \vdash \mathcal{E}[V] : B$ and hence $\text{ftv}(B) \subseteq \Delta, a$. This further implies gen($\Delta, a, \Delta_B, H_B, \mathcal{E}[V]$) = $(\cdot, \cdot)$. Let $\delta$ be the empty substitution.

$$
\frac{y : H_B \in \Gamma 
\Delta, a, \Delta_B \vdash \delta : \cdot \Rightarrow^* 
\Delta, a, \Delta_B; \Gamma \vdash \mathcal{E}[V] : H_B 
\Delta, \Gamma \vdash y : H_B + y : \delta(H_B))}{(\Delta, a, \Delta_B), \cdot, \mathcal{E}[V], H_B \uplus H_B 
(\cdot, \cdot) = \text{gen}((\Delta, a, \Delta_B), H_B, \mathcal{E}[V]) \quad \text{principal}((\Delta, a, \Delta_B), \Gamma, \mathcal{E}[V], \cdot, B')}
$$

$$
\Delta, a, \Delta_B; \Gamma \vdash \lambda y = \mathcal{E}[V] \text{ in } y : H_B
$$

If $\mathcal{E}[V] \notin \text{GVal}$: Let $B' = \forall \Delta'.H'$ be alpha-equivalent to $B$ such that all $\Delta'$ are fresh. We then have $\Delta, a; \Gamma \vdash \mathcal{E}[V] : B'$ by induction. This implies gen($\Delta, a, \Delta_B, B', \mathcal{E}[V]$) = $(\cdot, \cdot)$. Let $\delta$ be defined such that $\delta(\Delta') = \Delta_B$, which implies $\delta(H') = H_B$.

$$
\frac{y : \Delta'.H' \in \Gamma 
\Delta, a, \Delta_B \vdash \delta : \Delta' \Rightarrow^* 
\Delta, a, \Delta_B; \Gamma \vdash \mathcal{E}[V] : B' 
\Delta, a, \Delta_B; \Gamma; y : B' \vdash y : \delta(H'))}{(\Delta, a, \Delta_B), \cdot, \mathcal{E}[V], B' \uplus B' 
(\cdot, \cdot) = \text{gen}((\Delta, a, \Delta_B), B', \mathcal{E}[V]) \quad \text{principal}((\Delta, a, \Delta_B), \Gamma, \mathcal{E}[V], \cdot, B')}
$$

$$
\Delta, a, \Delta_B; \Gamma \vdash \lambda y = \mathcal{E}[V] \text{ in } y : H_B
$$

In both cases, satisfaction of principal((\Delta, a, \Delta_B), \Gamma, \mathcal{E}[V], \cdot, B') follows from the fact that by induction, $B'$ is the unique type of $\mathcal{E}[V]$.

• Case F-TAPP, $\mathcal{J} = \Delta; \Gamma \vdash MA : B[A/a]$

Let $B = \forall \Delta_B.H_B$ and w.l.o.g. $a \# \Delta_B$ and ftv($A$) $\# a, \Delta_B$. Let $U_\emptyset$ be defined as in the previous case. We then have
Theorem 3 (Type preservation). If \( \Delta; \Gamma \vdash M : A \) holds in FreezeML then \( \Delta; \Gamma \vdash C[M] : A \) holds in System F.

Proof. We perform induction on \( M \), in each case using inversion on the derivation of \( \Delta; \Gamma \vdash M : A \). In each case we show how the definition of \( C[\_] \) can be extended to a function returning the desired derivation.

- **Case Freeze:**

  \[
  \begin{array}{c}
  \Delta; \Gamma \vdash M : \forall a.B \\
  \Delta; \Gamma \vdash M A : B[A/a]
  \end{array}
  \Rightarrow
  \begin{array}{c}
  x : B[A/a] \in \Gamma, (x : B[A/a]) \\
  \Delta; \Gamma \vdash [x] : B[A/a] \\
  (\Delta_B, H_B[A/a]) = \text{split}(B[A/a], U_{\varnothing}) \\
  \Delta; \Gamma \vdash \text{let} (x : B[A/a]) = U_{\varnothing} \text{ in } [x] : B[A/a]
  \end{array}
  \]

  We consider the sub-derivation \( D \) for \( \Delta, \Delta_B; \Gamma \vdash U_{\varnothing} : H_B[A/a] \)

  By induction, we have \( \Delta; \Gamma \vdash E[V] : \forall a.B \), which implies that \( E[V] \) is not a guarded value.

  Let \( B' = \forall \Delta'.H' \) be alpha-equivalent to \( B \) such that all \( \Delta' \) are fresh. We then have \( \Delta; \Gamma \vdash E[V] : \forall a.B' \) by induction. This implies \((\cdot, \cdot) = \text{gen}(\Delta, \Delta_B), \forall a.B', E[V])\). Let \( \delta \) be defined such that \( \delta(\Delta') = \Delta_B \) and \( \delta(a) = A \), which implies \( \delta(H') = H_B[A/a] \).

  \[
  \begin{array}{c}
  y : a, \Delta'.H' \in \Gamma \\
  \Delta, \Delta_B \vdash \delta : a, \Delta' \Rightarrow A \\
  \Delta, \Delta_B; \Gamma \vdash E[V] : \forall a.B' \\
  \Delta; \Delta_B; \Gamma, y : \forall a.B' + y : \delta(H') \\
  (\Delta, \Delta_B), \cdot, E[V], \forall a.B' \parallel \cdot, \cdot = \text{gen}(\Delta, \Delta_B), \forall a.B', E[V]) \parallel \text{principal}(\Delta, \Delta_B), \Gamma, E[V], \cdot, \forall a.B')
  \end{array}
  \]

  As in the previous case, satisfaction of \( \text{principal}(\Delta, a, \Delta_B), \Gamma, E[V], \cdot, \forall a.B') \) follows from the fact that by induction, \( \forall a.B' \) is the unique type of \( E[V] \).

  Finally, we observe that the translated terms indeed have unique types: For variables, the type is uniquely determined from the context. Functions are translated to annotated lambdas, without any choice for the parameter type. For term applications, uniqueness follows by induction. For term applications an abstractions, the result type of the expression is the type of freezing \( x \). In both cases, this variable is annotated with a type.

  This completes the proof, since any derivation is in one of the forms used in the above cases. \( \square \)
• **Case **\textbf{App}:

\[
\begin{array}{c}
\Delta; \Gamma \vdash M : A \rightarrow B \quad \Delta; \Gamma \vdash N : A \\
\hline
\Delta; \Gamma \vdash MN : B
\end{array}
\rightarrow
\begin{array}{c}
\Delta; \Gamma \vdash \texttt{C}[M] : A \rightarrow B \\
\Delta; \Gamma \vdash \texttt{C}[N] : B
\end{array}
\]

\[\text{F-App}\]

• **Case Let**:

In this case there are two subcases, depending on whether \( M \) is a guarded value or not.

- \( M = V \in \texttt{GVal} \): In this case, we have \( \text{gen}(\Delta, A, M) = (\Delta', A') \) for some possibly nonempty \( \Delta' \), and \( (\Delta, \Delta', M, A') \models \forall \Delta'.A' \). We proceed as follows:

\[
\begin{array}{c}
\Delta, \Delta'; \Gamma \vdash V : A' \\
\Delta; \Gamma, x : \forall \Delta'.A' \vdash N : B \\
\hline
\Delta; \Gamma \vdash \texttt{let} \ x = V \ 	ext{in} \ N : B
\end{array}
\]

\[\text{F-PolyLam}^*\]

\[
\begin{array}{c}
\Delta, \Delta'; \Gamma \vdash C[V] : A' \\
\hline
\Delta; \Gamma \vdash \Lambda \Delta'.C[V] : \forall \Delta'.A'
\end{array}
\]

\[\text{F-PolyLam}^*\]

\[
\Delta; \Gamma \vdash \texttt{let} \ x^A = \Lambda \Delta'. C[V] \ 	ext{in} \ C[N] : B
\]

where we rely on the fact that \( C[V] \) is a value in System F as well, and appeal to the derivable rule \( \text{F-PolyLam}^* \).

- \( M \notin \texttt{GVal} \). In this case, we know that \( \text{gen}(\Delta, A, M) = (\cdot, \Delta') \) and \( (\Delta, \Delta', M, A') = \delta(A') = A \) for some \( \delta \) satisfying \( \Delta \vdash \delta : \Delta' \Rightarrow \cdot \). We proceed as follows:

\[
\begin{array}{c}
\Delta, \Delta'; \Gamma \vdash M : A' \\
\Delta; \Gamma, x : A \vdash N : B \\
\hline
\Delta; \Gamma \vdash \texttt{let} \ x = M \ 	ext{in} \ N : B
\end{array}
\]

\[
\begin{array}{c}
\Delta; \Gamma \vdash \delta(C[M]) : \delta(A') \\
\Delta; \Gamma, x : A \vdash C[N] : B
\end{array}
\]

\[
\Delta; \Gamma \vdash \texttt{let} \ x^A = \texttt{C}[M] \ 	ext{in} \ C[N] : B
\]

where we make use of a standard substitution lemma for System F to instantiate type variables from \( \Delta' \) in \( C[M] \) and \( A \) to obtain a derivation of \( \Delta; \Gamma \vdash \delta(C[M]) : \delta(A') \), which suffices since \( A = \delta(A') \). Note that \( C[M] \) could contain free type variables from \( \Delta' \) since all inferred types are translated to explicit annotations.

• **Case **\textbf{Let-Ascribe}**: This case is analogous to the case for \textbf{Let}.

\[\square\]

### G Type Substitutions, Environments and Well Scoped Terms

This section collects, and sketches (mostly straightforward) proofs of properties about type substitutions, kind and type environments, and the well-scoped term judgement. We may then use the properties from this section without explicitly referencing them in subsequent sections.

Note that when types appear on their own or in contexts \( \Gamma \), we identify \( \alpha \)-equivalent types.

We use the following notations in this and subsequent sections, where \( \Theta = (a_1 : K_1, \ldots, a_n : K_n) \). Recall that this implies all \( a_i \) being pairwise different.

- Let \( (b : K) \in \Theta \) hold iff \( b = a_i \) and \( K = K_i \) for some \( 1 \leq i \leq n \) and let \( b \in \Theta \) hold iff \( (b : K) \in \Theta \) holds for some \( K \).
- For all \( 1 \leq i \leq n \), we define \( \Theta(a_i) = K_i \).
- We define \( \text{ftv}(\Theta) \) as \( \{a_1, \ldots, a_n\} \).
- Given \( \theta \) such that \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \), then \( \text{ftv}(\theta) \) is defined as \( \text{ftv}(\theta(a_1)) \Rightarrow \cdots \Rightarrow \text{ftv}(\theta(a_n)) \).
- Given \( \Theta' = (b_1 : K'_1, \ldots, b_m : K'_m) \), \( \Theta' \subseteq \Theta \) holds iff there exists a function \( f \) from \( \{1, \ldots, m\} \) to \( \{1, \ldots, n\} \) such that for all \( 1 \leq i \leq m \), we have \( b_i = a_{f(i)} \) and \( K'_i = K_{f(i)} \).
- We have \( \Theta \approx \Theta' \) iff \( \Theta \subseteq \Theta \) and \( \Theta' \subseteq \Theta \).
- Given \( \Delta = (a_1, \ldots, a_n) \), all of the above notations are defined on \( \Delta \) by applying them to \( \Theta = (a_1 : \bullet, \ldots, a_n : \bullet) \).
- Given kinds \( K, K' \), we write \( K \leq K' \) if \( K \sqcup K' = K' \).

**Lemma G.1.** If \( A = B \) then \( \theta(A) = \theta(B) \) for any \( \theta \).
Proof. The point of this property is that alpha-equivalence is preserved by substitution application, because substitution application is capture-avoiding. Concretely, the proof is by induction on the (equal) structure of \( A \) and \( B \). In the case of a binder \( A = \forall a.A' = \forall b.B' = B \), where one or both of \( a, b \) are affected by \( \theta \), alpha-equivalence implies that we may rename \( a \) and \( b \) respectively to a sufficiently fresh \( c \), such that \( A'[c/a] = B'[c/a] \) and \( \theta(c) = c \). Therefore, by induction \( \theta(A) = \theta(\forall a.A') = \theta(\forall c.A'[c/a]) = \forall c.\theta(A'[c/a]) = \forall c.\theta(B'[c/b]) = \theta(\forall c.B'[c/b]) = \theta(\forall b.B') = \theta(B) \). □

Lemma G.2. \( \theta(\forall a.A) = \theta(\forall c.A[c/a]) \), where \( c \notin \text{ftv}(\theta) \cup \text{ftv}(A) \) is fresh.

Proof. This is a special case of the previous property, observing that \( \forall a.A = \forall c.A[c/a] \) if \( c \) is sufficiently fresh. □

Lemma G.3. If \( \Delta \vdash \theta(a \rightarrow A) : \Theta, (a : K) \Rightarrow \Theta' \), then \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \) and \( \Delta, \Theta' + A : K \).

Proof. This follows by inversion on the substitution well-formedness judgement. □

Lemma G.4. If \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \) and \( \Delta, \Theta + a : K \) then \( \Delta, \Theta' + \theta(a) : K \).

Proof. By induction on the structure of the derivation of \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \). The base case is straightforward: if \( \theta \) is empty then \( \Theta \) is also empty so \( a \in \Delta \). Moreover, \( \theta(a) = a \) so we can conclude \( \Delta, \Theta' + \theta(a) : K \). For the inductive case, we have a derivation of the form:

\[
\Delta \vdash \theta(A) : \Theta' \quad \Delta, \Theta + A' : K
\]

There are two cases. If \( a = a' \) then the subderivation of \( \Delta, \Theta + A' : K' \) proves the desired conclusion since \( \theta(a' \mapsto A') (a) = A' \) and \( K = K' \). Otherwise, \( a \neq a' \) so from \( \Delta, \Theta, a' : K' \vdash a : K \) we can infer that \( \Delta, \Theta + a : K \) as well. So, by induction we have that \( \Delta, \Theta' + \theta(a) : K \). Since \( a \neq a' \) we can also conclude that \( \Delta, \Theta' + \theta(a' \mapsto A') (a) : K \), as desired. □

Lemma G.5. If \( \Delta, \Theta + A : K \) and \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \), then \( \Delta, \Theta' + \theta A : K \).

Proof. By induction on the structure of the derivation of \( \Delta, \Theta + A : K \). The case for \( \text{TyVar} \) is G.4. The cases for \( \text{Cons} \) and \( \text{Upcast} \) are immediate by induction. For the \( \text{ForAll} \) case, assume the derivation is of the form:

\[
\Delta, \Theta, a : \star \vdash A : \star
\]

\[
\Delta, \Theta \vdash \forall a.A : \star
\]

Without loss of generality, assume \( a \) is fresh and in particular not mentioned in \( \Theta, \Theta', \Delta \). Then we can derive \( \Delta \vdash \theta[a \mapsto a] : \Theta, a : \star \Rightarrow \Theta', a : \star \), and we may apply the induction hypothesis to conclude that \( \Delta, \Theta', a : \star \vdash \theta[a \mapsto a](A) : \star \). Moreover, since \( a \) was sufficiently fresh, and is unchanged by \( \theta(a \mapsto a) \), we can conclude \( \Delta, \Theta' + \forall a.A : \star \). □

Lemma G.6. If \( \Delta, \Theta \vdash \Gamma \) and \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \), then \( \Delta, \Theta' \vdash \theta \Gamma \).

Proof. By induction on the derivation of \( \Delta, \Theta \vdash \Gamma \). The base case is:

\[
\Delta, \Theta \vdash \cdot
\]

Moreover, it follows from \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \) that \( \Delta \# \Theta' \), so the conclusion is immediate, since \( \theta(\cdot) = \cdot \). In the inductive case, the derivation of \( \Delta, \Theta \vdash \Gamma, x : A \) is of the form:

\[
\Delta, \Theta \vdash \Gamma \quad \Delta, \Theta + A : \star 
\]

\[
\forall a \in \text{ftv}(A), (\Delta, \Theta)(a) = \cdot
\]

\[
\Delta, \Theta \vdash \Gamma, x : A
\]

In this case, by induction we have \( \Delta, \Theta' \vdash \theta \Gamma \) and using Lemma G.5 we have \( \Delta, \Theta' \vdash \theta A : K \). We also need to show that \( \forall a \in \text{ftv}(\theta(A)) \), we have \( (\Delta, \Theta')(a) = \cdot \). There are two cases: if \( a \in \Delta \) this is immediate. If \( a \in \Theta' \), then since \( a \in \text{ftv}(\theta(A)) \) we know that there must exist \( b \in \Theta \) such that \( a \in \text{ftv}(\theta(b)) \) and \( b \in \text{ftv}(A) \). By virtue of the assumption \( \forall a \in \text{ftv}(A), (\Delta, \Theta)(a) = \cdot \), we know that \( (\Delta, \Theta)(b) = \cdot \), hence \( \Theta(b) = \cdot \). This implies that \( \Delta, \Theta' \vdash \theta(b) : \cdot \), which further implies that all the free type variables of \( \theta(b) \), including \( a \), must also have kind \( \cdot \). Now the desired conclusion \( \Delta, \Theta' \vdash \theta \Gamma, x : A \) follows. □

Lemma G.7. 1. If \( \Delta \vdash \delta_1 : \Delta_1 \Rightarrow \Delta_2 \) and \( \Delta \vdash \delta_2 : \Delta_2 \Rightarrow \Delta_3 \) then \( \Delta \vdash \delta_2 \circ \delta_1 : \Delta_1 \Rightarrow \Delta_3 \).

2. If \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \) and \( \Delta \vdash \delta' : \Theta' \Rightarrow \Delta'' \) and \( \Delta, \Theta \vdash \delta_1 : \Delta' \Rightarrow \Delta'' \) then \( \Delta, \Theta' \vdash \delta \circ \delta_1 : \Delta' \Rightarrow \Delta'' \).

Proof. In both cases, by straightforward induction on structure of \( \delta_1 \). □

Lemma G.8. If \( \Theta \vdash A : K \) and \( \Theta' \# \Theta \) then \( \Theta, \Theta' \vdash A : K \).
Proof. Straightforward by induction on the structure of derivations of $\Theta \vdash A : K$. The only subtlety is in the case for $\forall$-types, where we assume without loss of generality that the bound type variable $a$ is renamed away from $\Theta$ and $\Theta'$, so that the induction hypothesis applies. $\square$

Lemma G.9. If $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$ and $\Delta' \neq \Delta, \Theta'$ as well as $\Delta' \neq \Theta$ then $\Delta, \Delta' \vdash \theta : \Theta \Rightarrow \Theta'$.

Proof. By induction on the derivation of $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$. The base case is immediate given that $\Delta'$ is fresh for $\Delta$ and $\Theta'$. For the inductive case, we have a derivation of the form:

\[
\Delta \vdash \theta : \Theta \Rightarrow \Theta' \quad \Delta, \Theta' \vdash A : K
\]

By induction (since $\Delta'$ is clearly fresh for $\Delta, \Theta$, and $\Theta'$) we have $\Delta, \Delta' \vdash \theta : \Theta \Rightarrow \Theta'$. Moreover, by weakening (Lemma G.8) we also have $\Delta, \Delta', \Theta' \vdash A : K$. We can conclude, as required, that $\Delta, \Delta' \vdash \theta[a \mapsto A] : (\Theta, a : K) \Rightarrow \Theta'$. $\square$

Lemma G.10. If $\Theta_D = \text{denote}(K, \Theta, \Delta')$ and $\Delta \vdash \theta : \Theta_D \Rightarrow \Theta'$ then $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$.

Proof. If $K = \star$, denote yields $\Theta = \Theta_D$ and the statement holds immediately.

Otherwise, if $K = \bullet$, we perform induction on $\Theta_D$. By definition of denote, we have $\text{ftv}(\Theta) = \text{ftv}(\Theta_D)$.

If $\Theta_D = -$ we have $\Theta = -$ and can derive the following:

\[
\Delta \vdash \theta : \Theta \Rightarrow \Theta'
\]

Let $\Theta_D = (\Theta''_D, a : K')$. By inversion we then have

\[
\Delta \vdash \theta : \Theta''_D \Rightarrow \Theta' \quad \Delta, \Theta' \vdash A : K'
\]

By $\text{ftv}(\Theta) = \text{ftv}(\Theta_D)$ we have $\Theta = (\Theta'', a : K'')$. By induction this implies $\Delta \vdash \theta : \Theta'' \Rightarrow \Theta'$.

If $K' = \star$, then by definition of denote we have $a \notin \Delta'$ and $K'' = \star$. We can then derive the following:

\[
\Delta \vdash \theta : \Theta'' \Rightarrow \Theta' \quad \Delta, \Theta' \vdash A : \star
\]

Otherwise, we have $K' = \bullet$ and show that $\Delta, \Theta' \vdash A : K''$ holds. If $K'' = \bullet$, this follows immediately from $\Delta, \Theta' \vdash A : K'$. If $K'' = \star$, we upcast $\Delta, \Theta' \vdash A : \bullet$ to $\Delta, \Theta' \vdash A : \star$.

In both cases for $K''$, we can then derive the following:

\[
\Delta \vdash \theta : \Theta \Rightarrow \Theta' \quad \Delta, \Theta' \vdash A : K''
\]

$\square$

Lemma G.11. If $\Theta' = \text{denote}(K, \Theta, \Delta)$ then $\text{ftv}(\Theta) = \text{ftv}(\Theta')$ and $\Delta \vdash \iota : \Theta \Rightarrow \Theta'$.

Proof. Proof by case analysis on $K$ and induction on $\Theta$. There are three cases. If $K = \star$ then the result is immediate since $\Theta = \Theta'$. If $K = \bullet$ and $\Theta = -$ then the result is also immediate. Otherwise, if $K = \bullet$ and $\Theta = \Theta_1, a : K$ then $\text{denote}(K, \Theta, \Delta) = \text{denote}(K, \Theta_1, \Delta), a : K'$, where $\Theta'_1 = \text{denote}(K, \Theta_1, \Delta)$ and $K'$ is $\bullet$ if $a \in \Delta$, otherwise $K = K'$. Then by induction we have $\text{ftv}(\Theta'_1) = \text{ftv}(\Theta'_1)$ and $\Delta \vdash \iota : \Theta_1 \Rightarrow \Theta'_1$. Clearly, $\text{ftv}(\Theta_1, a : K) = \text{ftv}(\Theta'_1, a : K')$. To see that $\Delta \vdash \iota : \Theta \Rightarrow \Theta'$, consider two cases: if $a \in \Delta$ then $K' = \bullet$ and we can conclude $\Delta \vdash \iota : \Theta, a : K \Rightarrow \Theta'_1, a : \bullet$ since if $K = \star$ then we can use $\text{Upcast}$. Otherwise, $K = K'$ so the result is immediate. $\square$

Lemma G.12. Let $\Delta : \Theta \Rightarrow \Theta'$ and $\Delta, \Theta' \vdash A : K$ such that $\Delta, \Theta' \vdash \theta(A) : K'$ for some $K'$ with $K' \leq K$. Furthermore, let $\text{denote}(K', \Theta, \text{ftv}(A) - \Delta) = \Theta_D$. Then $\Delta, \Theta_D \vdash A : K'$.

Proof. For $K' = K$, the statement follows immediately. Therefore, we consider only the case $K = \star, K' = \bullet$.

We perform induction on the derivation of $\Delta, \Theta' \vdash \theta(A) : \bullet$.  

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Case $\theta(A) = a$:

\[
\begin{align*}
\Delta, \theta' \vdash a : & \bullet \\
\theta(A) = & a : \bullet \\
a : K' \in \Theta' \\
\Delta, \theta' \vdash & a : \bullet
\end{align*}
\]

We have $A = b$ for some $b \in \Delta, \Theta$. If $b \in \Delta$, then $\Delta \vdash b : \bullet$ follows immediately. Otherwise, we have $(b : K'') \in \Theta$ for some $K''$. By $b \in \text{ftv}(A) - \Delta$, we then have $(b : \bullet)$ in $\Theta_D$.

Case $\theta(A) = D \theta(A_1) \ldots \theta(A_n)$:

\[
\begin{align*}
\text{arity}(D) = n \\
\Delta, \theta' \vdash (A_1) : & \bullet \quad \cdots \quad \Delta, \theta' \vdash (A_n) : \bullet \\
\Delta, \theta' \vdash & D \theta(A) : \bullet
\end{align*}
\]

By induction we have $\Delta, \Theta_D \vdash \theta(A_i) : \bullet$ for all $1 \leq i \leq n$. We can therefore derive $\Delta, \Theta_D \vdash \theta(A)$.

Note that we can disregard upcasts and $\theta(A) = \forall b. B$ as they would both yield $K' = \ast$:

\[
\begin{align*}
\Delta, \theta', b : \bullet \vdash & B : \ast \\
\Delta, \theta' \vdash \forall b. B : & \ast
\end{align*}
\]

The following property states the well-formedness conditions needed in order for composition of substitutions to imply composition of the functions induced by them.

**Lemma G.13.** Let the following conditions hold:

\begin{align*}
\Delta \vdash \theta' : & \Theta \Rightarrow \Theta' \quad (1) \\
\Delta \vdash \theta'' : & \Theta' \Rightarrow \Theta'' \quad (2) \\
\theta = & \theta'' \circ \theta' \quad (3) \\
\Delta, & \Theta \vdash A \quad (4)
\end{align*}

Then $\theta(A) = \theta'' \theta'(A)$ holds.

**Lemma G.14.** If $\Delta \vdash M$, and $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$, then:

1. If $\text{ftv}(A) - (\Delta, \Theta) \neq \Theta'$ then $\text{gen}((\Delta, \Theta), A, M) = \text{gen}((\Delta, \Theta'), \theta(A), M)$;
2. if $\Delta'' \neq \Delta, \Theta$ and $\Delta'' \neq \Theta'$ and $(\Delta, \Theta, \Delta'', M, A') \not\models A$ then $(\Delta, \Theta', \Delta'', M, \theta(A')) \not\models \theta(A)$;

**Proof:**

1. For part 1: Observe that

\[
\text{gen}((\Delta, \Theta), A, M) = \begin{cases} 
(\Delta', \Delta') & M \in \text{GVal} \\
(\ldots, \Delta') & M \notin \text{GVal}
\end{cases}
\]

where $\Delta' = \text{ftv}(A) - (\Delta, \Theta)$ and $\Delta'' = \text{ftv}(\theta(A)) - (\Delta, \Theta')$. So, the equation $\text{gen}((\Delta, \Theta), A, M) = \text{gen}((\Delta, \Theta'), \theta(A), M)$ holds if and only if $\Delta' = \Delta''$. Suppose $a \in \Delta'$, that is, it is a free type variable of $A$ and not among $\Delta, \Theta$. Since $\theta$ only affects type variables in $\Theta$, we have $\theta(a) = a$ and it follows that $a \in \text{ftv}(\theta(A))$. Moreover, by assumption $\Delta' \neq \Theta'$ so $a \in \text{ftv}(\theta(A)) - (\Delta, \Theta') = \Delta''$. Conversely, suppose $a \in \Delta''$, that is, $a$ is a free type variable of $\theta(A)$ and not among $\Delta, \Theta'$. Since $a \notin \Delta, \Theta'$, we must have $\theta(a) = a$ since $\theta$ was a well-formed substitution mentioning only type variables in $\Delta, \Theta'$. This implies that $a \in \text{ftv}(A)$ since $a$ cannot have been induced by $\theta$.

We have thus shown $\Delta' \approx \Delta''$. To show $\Delta' = \Delta''$, assume $a, b \in \Delta'$ such that $a$ occurs before $b$ in $\Delta'$. This means that the first occurrence of $a$ in $A$ is before the first occurrence of $b$ in $A$. For all $c \in \Theta$ we have $c \neq b$ and $\text{ftv}(\theta(c)) \neq b$. Thus, the first occurrence of $a$ in $\theta(A)$ remains before the first occurrence of $b$ in $\theta(A)$.

2. For part 2: We consider two cases.

- If the derivation is of the form

\[
M \in \text{GVal} \\
((\Delta, \Theta), \Delta'', M, A') \not\models \forall \Delta''. A'
\]

\[
M \in \text{GVal} \\
((\Delta, \Theta), \Delta'', M, A') \not\models \forall \Delta''. A'
\]

\[
\square
\]
then we may derive

\[
M \in \text{GVal}
\]

\[
((\Delta, \Theta'), \Delta'', M, \theta(A')) \not\equiv \forall \Delta'' \cdot \theta(A')
\]

by observing that since \(\Delta'' \not\equiv \Theta\) and \(\Delta'' \not\equiv \Theta\), we know that \(\theta(\forall \Delta''.A') = \forall \Delta''.\theta(A')\).

- If the derivation is of the form

\[
\Delta, \Theta \vdash \delta : \Delta'' \Rightarrow \bullet \quad M \not\in \text{GVal}
\]

\[
((\Delta, \Theta), \Delta'', M, A') \not\equiv \delta(A')
\]

Then first we observe (by property G.7) that \(\Delta, \Theta' \vdash \theta \circ \delta : \Delta'' \Rightarrow \bullet\), so we can derive

\[
\Delta, \Theta' \vdash \theta \circ \delta : \Delta'' \Rightarrow \bullet \quad M \not\in \text{GVal}
\]

\[
((\Delta, \Theta'), \Delta'', M, \theta(A')) \not\equiv \theta \circ \delta(\theta(A'))
\]

observing that \(\theta(\delta(A')) = \theta \circ \delta(\theta(A'))\) since \(\text{ftv}(\theta) \not\equiv \Delta''\).

\[\square\]

**Lemma G.15.** Let \(\Delta \vdash \theta : \Theta \Rightarrow \Theta'\) be a bijection between the type variables in \(\Theta\) and \(\Theta'\). Furthermore, let \(\Theta \not\equiv \Delta' \not\equiv \Theta'\) and \(\Delta \vdash M\) hold.

Then the following holds:

1. If \(\Delta, \Theta, \Gamma \vdash M : A\) then \(\Delta, \Theta' ; \theta(\Gamma) \vdash M : \theta(A)\).
2. If \(\text{principal}((\Delta, \Theta), \Gamma, M, \Delta', A)\) then \(\text{principal}((\Delta, \Theta'), \theta(\Gamma), M, \Delta', \theta(A))\).

**Proof.**

1. For the first part of the lemma, we perform induction on \(M\) and focus on the case let \(x = M \in N\). By inversion, we have the following:

\[
(\Delta', \Delta'') = \text{gen}((\Delta, \Theta), A', M)
\]

\[
((\Delta, \Theta), \Delta'', M, A') \not\equiv A
\]

\[
\Delta, \Theta, \Delta''; \Gamma \vdash M : A'
\]

\[
\Delta, \Theta; \Gamma, x : A \vdash N : B
\]

\[
\text{principal}((\Delta, \Theta') \Gamma, M, \Delta'', A')
\]

We assume w.l.o.g. that \(\Delta'' \not\equiv \Theta'\). (This is justified, as per the induction hypothesis, we may otherwise just apply an appropriate renaming substitution.) By induction, we then have \(\Delta, \Theta', \Delta''; \theta(\Gamma) \vdash M : \theta(A')\).

By \(\Theta' \not\equiv \Delta'' \not\equiv \Delta, \Theta\) and \(\Delta \vdash \theta : \Theta \Rightarrow \Theta'\), we also have \(\text{gen}(\Delta, M, A') = \text{gen}(\Delta, M, \theta(A')) = (\Delta', \Delta'')\). Similarly, \((\Delta, \Theta')\Delta''; M, A') \not\equiv \theta(A)\) holds: If \(M \in \text{GVal}\), then \(\Delta = \forall \Delta''.A'\) and \(\theta(A) = \forall \Delta''.\theta(A')\). Otherwise, \(A = \delta(A')\) for some \(\delta\) with \(\Delta \vdash \Delta'' \Rightarrow \bullet\). Hence, the disjointness of \(\delta\) and \(\theta\) is preserved, and we have \((\Delta, \Theta')\Delta''.M, A' \not\equiv \theta(\delta(A'))\). \(\text{principal}((\Delta, \Theta'), \theta(\Gamma), M, \Delta', \theta(A))\) follows directly from induction and the second part of the lemma. Likewise, \(\Delta, \Theta'; \theta(\Gamma), x : A \vdash N : \theta(B)\) follows by induction.

We have thus shown all properties needed to derive \(\Delta, \Theta'; \theta(\Gamma) \vdash \text{let } x = M \in N : \theta(B)\):

\[
(\Delta', \Delta'') = \text{gen}((\Delta, \Theta'), A', M)
\]

\[
((\Delta, \Theta'), \Delta'', M, A') \not\equiv \theta(A)
\]

\[
\Delta, \Theta', \Delta''; \theta(\Gamma) \vdash M : \theta(A')
\]

\[
\text{principal}((\Delta, \Theta'), \Gamma, M, \Delta'', \theta(A'))
\]

2. To show the second part of the lemma, observe that \(\Delta, \Theta', \Delta' ; M : \theta(A)\) and \(\Delta' \not\equiv \text{ftv}(\theta(A)) - \Delta, \Theta'\) follows directly from \(\text{principal}((\Delta, \Theta), \Gamma, M, \Delta', A)\) by applying the first part of the lemma.

Further, assume \(\Delta, \Theta', \Delta_p; \theta(\Gamma) \vdash M : A_p\), where \(A_p \not\equiv \theta(A')\). Let \(\delta_F\) be a bijective instantiation that maps variables in \(\Delta_p\) to fresh ones, yielding \(\Delta, \Theta' \vdash \delta_F : \Delta' \Rightarrow \bullet\) for some appropriate \(\Delta_F\). Due to \(\theta\) being a bijection, we can use its inverse \(\theta^{-1}\) with \(\Delta, \Delta_{F} ; \theta^{-1} : \Theta' \Rightarrow \theta\).

We apply the first part of the lemma to \(\theta^{-1} \circ \delta_F\), yielding \(\Delta, \Theta, \Delta_F; \theta^{-1}(\delta_F(\theta(\Gamma))) \vdash M : \theta^{-1}(\delta_F(A_p)) - \Delta, \Theta = \Delta_F\). We have \(\text{fv}(\theta(\Gamma)) \not\equiv \Delta, \Theta' \not\equiv \Delta'\) and therefore \(\theta^{-1}(\delta_F(\theta(\Gamma))) = \Gamma\).

By \(\text{principal}((\Delta, \Theta), \Gamma, M, \Delta', A)\), we then have that there exists \(\delta\) such that \(\Delta, \Theta \vdash \delta : \Delta' \Rightarrow \Delta_F\) and \(\delta(\Delta) = \theta^{-1}(\delta_F(A_p))\). Hence, \(\delta^{-1}(\theta(\delta(A))) = A_p\), meaning that \(\delta \circ \theta \circ \delta_F^{-1}\) is the instantiation showing that \(\text{principal}((\Delta, \Theta'), \Gamma, M, \Delta', A)\) holds.

\[\square\]

**Lemma G.16.** If \(\Delta \vdash \theta : \Theta \Rightarrow \Theta'\) and \(\Delta \vdash \theta' : \Theta \Rightarrow \Theta'\) and \(\Delta \vdash \theta'' : \Theta' \Rightarrow \Theta''\), \(\Theta_E\) as well as \(\theta = \theta'' \circ \theta'\) then for all \(a \in \text{ftv}(\theta') - \Delta\) we have \(\Delta, \Theta, \theta' + \theta''(a)\).
**Proof.** Via induction on \( \theta' \), observing that \( \Delta \vdash \theta : \Theta \Rightarrow \Theta'' \) dictates the behaviour of \( \theta'' \) on all variables in the intersection of \( \Theta' \) and the codomain of \( \theta' \). \( \square \)

## H  Correctness of unification proofs

### H.1  Soundness of unification

**Theorem 4** (Unification is sound). If \( \Delta, \Theta \vdash A : B : K \) and \( \text{unify}(\Delta, \Theta, A, B) = (\Theta', \theta) \) then \( \theta(A) = \theta(B) \) and \( \Delta \vdash \theta : \Theta \Rightarrow \Theta' \).

**Proof.** Via induction on the maximum of the sizes of \( A \) and \( B \). We only consider the cases where unification succeeds.

1. \( \text{unify}(\Delta, \Theta, a, a) \): we have \( \theta = \iota_{a, \Theta} \) (identity substitution) and the result is immediate.
2. \( \text{unify}(\Delta, (\Theta, a : K'), a, A) \) or \( \text{unify}(\Delta, (\Theta, a : K'), A, a) \): We consider the first case; the second is symmetric. We have
   \[
   \begin{align*}
   \text{unify}(\Delta, (\Theta, a : K'), a, A) &= (\Theta_1, [a \mapsto A]) \\
   \text{demote}(K', \Theta, \text{ftv}(A) - \Delta) &= \Theta_1
   \end{align*}
   \]
   First, observe that \( a \notin \text{ftv}(A) \) since \( a \notin \Delta \) and \( \text{ftv}(\Theta_1) = \text{ftv}(\Theta) \). Therefore
   \[
   [a \mapsto A](a) = A = [a \mapsto A](A)
   \]
   Next, by Lemma G.11 we know that \( \Delta \vdash i : \Theta \Rightarrow \Theta_1 \). Moreover, by \( \Delta, \Theta_1 \vdash A : K' \) we can derive \( \Delta \vdash [a \mapsto A] : \Theta, a : K' \Rightarrow \Theta_1 \).
3. \( \text{unify}(\Delta, \Theta, D A_1 \ldots A_n, D B_1 \ldots B_n) \): we need to show that types under the constructor \( D \) are pairwise identical after a substitution: \( \theta(A_1) = \theta(B_1), \ldots, \theta(A_n) = \theta(B_n) \), where \( n = \text{arity}(D) \). We perform a nested induction, showing that for all \( 0 \leq j \leq n + 1 \) the following holds: \( \Delta \vdash \theta_j : \Theta \Rightarrow \Theta_j \) and for all \( 1 \leq i < j \) we have \( \theta_j(A_i) = \theta_j(B_i) \).
   For \( j = 0 \), this holds immediately.
   In the inductive step, by definition of \( \text{unify} \) we have \( \theta_{j+1} = \theta' \circ \theta_j \), and by the outer induction \( \theta'(A_i) = \theta'(B_i) \) and \( \Delta \vdash \theta' : \Theta_j \Rightarrow \Theta_{j+1} \). Together, we then have \( \Delta \vdash \theta_{j+1} : \Theta \Rightarrow \Theta_{j+1} \). From Lemma G.1 we know that \( \theta_{j+1} \) maintains equalities established by \( \theta_j \), and so we have \( \theta_{j+1}(A_i) = \theta_{j+1}(B_i) \) for all \( 1 \leq i < j + 1 \).
   From the definition of substitution we then have
   \[
   \theta(D A_1 \ldots A_n) = D \theta(A_1) \ldots \theta(A_n) = D \theta(B_1) \ldots \theta(B_n) = \theta(D B_1 \ldots B_n)
   \]
   with \( \Delta \vdash \theta : \Theta \Rightarrow \Theta_{n+1} \).
4. \( \text{unify}(\Delta, \Theta, \forall a.A, \forall b.B) \): In this case we must have
   \[
   \begin{align*}
   \text{unify}(\Delta, (\Theta, a : c / a), B[c / b]) &= (\Theta_1, \theta) \\
   c &\notin \Delta, \Theta \\
   \text{ftv}(B) &\neq c \neq \text{ftv}(A) \\
   c &\neq \text{ftv}(\theta)
   \end{align*}
   \]
   so from the inductive hypothesis we have \( \theta(A[c/a]) = \theta(B[c/b]) \) (4), where \( c \) is fresh and \( \Delta, c \vdash \theta : \Theta \Rightarrow \Theta_1 \). We now derive:
   \[
   \begin{align*}
   \theta(\forall a.A) \\
   &= \theta(\forall c. \theta(A[c/a])) & (\text{by (2) and (3), Lemma G.2}) \\
   &= \forall c. \theta(A[c/a]) & (\text{by (1) and (3)})
   \end{align*}
   \]
   and by exactly the same reasoning, \( \theta(\forall b.B) = \forall c. \theta(B[c/b]) \). Then by (4) we can conclude \( \theta(\forall a.A) = \forall c. \theta(A[c/a]) = \forall c. \theta(B[c/b]) = \theta(\forall b.B) \), which is the desired equality, and \( \Delta \vdash \theta : \Theta_1 \Rightarrow \Theta_b \) because \( c \notin \text{ftv}(\theta) \) implies that we can remove it from \( \Delta \) without damaging the well-formedness of \( \theta \). \( \square \)

### H.2  Completeness of unification

**FE:** This is a standalone property and is similar in spirit to Lemma I.9. Should we move this lemma to the previous appendix or move the other lemma out of it?

**Lemma H.1** (Unifiers are surjective). Let \( \text{unify}(\Delta, \Theta, A, B) = (\Theta', \theta) \). Then \( \text{ftv}(\Theta') \subseteq \text{ftv}(\theta) \) and for all \( b \in \Theta' \) there exists \( a \in \Theta \) such that \( b \in \text{ftv}(\theta(a)) \).
Proof. The first part follows immediately from the fact that in each case $\Theta'$, is always constructed from $\Theta$ by removing variables or denoting them.

For the second part, observe that $\theta'$ is constructed by manipulating appropriate identity functions. Mappings are only changed in the cases $(a, A)$ and $(A, a)$, such that $\theta(a) = A$. However, at the same time, $a$ is removed from the output.

$\square$

Theorem 5 (Unification is complete and most general). If $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$ and $\Delta, \Theta \vdash A : K$ and $\Delta, \Theta \vdash B : K$ and $\theta(A) = \theta(B)$, then unify($\Delta, \Theta, A, B$) = $(\Theta'', \theta')$ where there exists $\theta''$ satisfying $\Delta \vdash \theta'' : \Theta'' \Rightarrow \Theta'$ such that $\theta = \theta'' \circ \theta'$.

Proof. Via induction on the maximum of the sizes of $A$ and $B$.

1. Case $A = a = B$: In this case unify($\Delta, \Theta, a, a$) succeeds and returns $(\Theta, i_{A,a})$. Moreover, we may choose $\theta'' = \theta$ and conclude that $\Delta \vdash \theta : \Theta \Rightarrow \Theta''$ and $\theta = \theta \circ i_{A,a}$, as desired.

2. Case $A = a \neq B$ or $B = b \neq A$. The two cases where one side is a variable are symmetric; we consider $A \neq a \neq B$.

Since $\theta(a) = \theta(B)$ for $B \neq a$, we must have that $a \in \Theta$. Thus, $\Theta = \Theta'_a$, $a : K'$ for some kind $K'$ such that $K' \leq K$ (due to assumption $\Delta, \Theta \vdash A : K$). Also, since types are finite syntax trees we must have $a \neq ftv(B)$ (1). By assumption $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$, we have $\theta(a) : K'$ and by $\theta(a) = \theta(B)$ therefore also $\Delta, \Theta \vdash \theta : K'$ (2).

We now define $\Theta_1 = \text{demote}(K', \Theta'_a, ftv(B) - \Delta)$ and choose $\theta''$ to agree with $\theta$ on $\Theta_1$, and undefined on $a$, yielding $\Delta \vdash \theta'' : \Theta'_1 \Rightarrow \Theta'$ (3). By (1) we then have $\theta''(B) = \theta(B)$, making (2) equivalent to $\Delta, \Theta \vdash \theta''(B) : K'$. We apply Lemma G.12, yielding $\Delta, \Theta_1 \vdash B : K'$.

Hence unification succeeds in this case with unify($\Delta, \Theta, a, a$) = $(\Theta_1, i[a \mapsto B])$.

We strengthen (3) to $\Delta \vdash \theta'' : \Theta_1 \Rightarrow \Theta'$ by observing that for each $b \in ftv(B) - \Delta$ (i.e., those variables potentially denoted to $K'$ in $\Theta_1$), we have $\Delta, \Theta' \vdash \theta(b) : K'$. If $K' = \star$ we have $K' = K$ by $K \leq K$ and $\Delta, \Theta' \vdash \theta(b) : K'$ follows immediately. Otherwise, if $K' = \bullet$, then due to $b \in ftv(B)$, $\theta(b)$ occurs in $\theta(B)$, and $\theta(b) : \star \geq K'$ would violate (2).

Clearly, $\theta'' \circ (i[a \mapsto B]) = (\theta'' \circ i)(a \mapsto \theta''(B)) = \theta$ since $\theta''$ agrees with $\theta$ on all variables other than $a$, and $a \notin ftv(B)$ as well as $\theta(a) = \theta(B)$.

3. $\theta(DA_1 \ldots A_n) = \theta(DB_1 \ldots B_n)$: by definition of substitution we have $\theta(A_i) = \theta(B_i)$, where $i \in 1, \ldots, n$ and $n \geq 0$. We perform a nested induction, showing that for $0 \leq j \leq n + 1$ the following holds: We have $\Delta \vdash \theta_j : \Theta \Rightarrow \Theta_j$ (4) and there exists $\theta''$ such that $\Delta \vdash \theta'' : \Theta_n \Rightarrow \Theta'$ and $\theta'' \circ \theta_j = \theta$ (5) as well as for all $1 \leq i < j$ unification of $\delta_i(A_i)$ and $\delta_i(B_i)$ succeeds.

a. $j = 0$: unification succeeds with $\theta' = \delta_0 = i$ and the theorem holds for $\theta'' = \theta$ and $\Theta'' = \Theta$.

b. $j \geq 1$: We use (5) to obtain $\theta''(\theta(A_j)) = \theta(A)$ (6) and $\theta''(\theta(B_j)) = \theta(B)$ (7).

We then have

$$\theta''(\theta(A_j)) = \theta''(\theta(B_j))$$

and $\theta_{j+1} = \theta_{j+1}' \circ \theta_j$ (by definition of unify).

By (4), (6) and (7) the outer induction shows that unification of $\delta_j(A_j)$ and $\delta_j(B_j)$ succeeds and there exists $\Delta \vdash \theta'' : \Theta_{j+1} \Rightarrow \Theta'$ such that $\theta'' \circ \theta_{j+1}' = \theta_{j+1}$ (8). By Theorem 4, we have $\Delta \vdash \theta'' : \Theta_j \Rightarrow \Theta_{j+1}$ and hence by composition also $\Delta \vdash \theta'' : \Theta \Rightarrow \Theta_{j+1}$. Further, by (5) and (8), we have

$$\theta'' \circ \theta_{j+1}' \circ \theta_j = \theta_{j+1}' \circ \theta_j = \theta$$

Choosing $\theta_{j+1}' = \theta''$ then satisfies $\theta_{j+1}' \circ \theta_j = \theta$ and $\Delta \vdash \theta'' : \Theta_{j+1} \Rightarrow \Theta'$.

4. $\theta(D\forall a.A) = \theta(\forall b.B)$: We take $c \notin ftv(\theta(A, A, B))$. By Lemma G.2 and definition of substitution we have $\theta(A[c/a]) = \theta(B[c/b])$. By induction unify($\forall a.A, \Theta, A[c/a], B[c/b]$) succeeds with $(\Theta_1, \theta')$ and there exist $\theta''$ such that $\theta = \theta'' \circ \theta'$ (9) and $\Delta, c \vdash \theta'' : \Theta_1 \Rightarrow \Theta'$ (10). The latter implies $c \notin \Delta, \Theta'$. By (9) and $c \notin ftv(\theta)$ we have $c \notin ftv(\theta')$.

This means that unify($\Delta, \Theta, \forall a.A, B[c/b]$) succeeds with $(\Theta_1, \theta')$.

We therefore also $\theta'' : \Theta_1 \Rightarrow \Theta'$ by observing that $c \notin ftv(\theta'')$. Hence, assume $c \in \Theta$ such that $c \in ftv(\theta''(e))$. By Theorem H.1, there exists $f \in \Theta$ such that $e \in ftv(\theta(f))$. This would imply $c \in ftv(\theta''(\theta''(e)))$, which by (9) contradicts $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$.

$\square$
perform induction on the structure of derivations in light of the negative occurrence of the typing relation in the principal type restriction (as discussed in Section 3.2).

The dependencies between different proofs are shown in Figure 23. A straight arrow $P \rightarrow Q$ denotes a direct dependency in which for any term $M$, the property $Q[M]$ depends on $P[M]$. A dashed arrow $P \rightarrow Q$ denotes a decreasing dependency in which for any term $M$, the property $Q[M]$ depends only on $P[M']$ where $M'$ is a strict subterm of $M$. All cycles in Figure 23 include a dashed arrow, ensuring that all properties depend only on one another in a well-founded way.

### Appendix I

#### Appendix I.1

- Lemma I.1 (Inferred types are principal). If $\text{infer}((\Delta, \Theta, \Gamma, M) = (\Theta', \theta, A)$ and $\Delta \vdash M$ and $\Delta, \Theta \vdash \Gamma$ then principal($((\Delta, \Theta' - \Delta'), \theta, \Gamma, \Delta, A)$ holds, where $\Delta' = \text{ftv}(A) - \Delta - \text{ftv}(\theta)$.

**Proof.** By Theorem 6 we have $\Delta \vdash \theta : \Theta \Rightarrow \Theta'$ (1) and $\Delta, \Theta' ; \theta(\Gamma) \vdash M : A$ (2). The latter implies $\Delta, \Theta' \vdash A$ and hence $\Delta' \subseteq \Theta'$.

We can therefore rewrite (2) as $\Delta, (\Theta' - \Delta'), \Delta' ; \theta(\Gamma) \vdash M : A$, satisfying the first condition of principal($((\Delta, \Theta' - \Delta'), \theta, \Gamma, \Delta', A)$).

By definition of $\Delta'$, we have $\Delta' \neq \text{ftv}(\theta)$. We can therefore strengthen (1) to $\Delta + \theta : \Theta \Rightarrow \Theta' - \Delta'$ (3).

Let $\Delta_p, A_p$ such that $\Delta_p = \text{ftv}(A_p) - (\Delta, \Theta' - \Delta')$ and $\Delta, (\Theta' - \Delta'), \Delta_p \vdash M : A_p$ (4). The latter implies $\Delta_p \neq \Delta, \Theta' - \Delta'$ and we can weaken (3) to $\Delta + \theta : \Theta \Rightarrow (\Theta' - \Delta'), \Delta_p$ (5).
Hence, we can apply Theorem 7, to (4) and (5), stating that there exists $\theta''$ s.t. $\Delta \vdash \theta'' : \Theta'' \Rightarrow (\Theta' - \Delta')$, $\Delta_p$ and $\theta''(A) = A_p$ and $\theta = \theta'' \circ \theta$.

The latter implies that for all $a \in ftv(\theta)$, $\theta''(a) = a$ must hold. Hence, by defining $\delta$ as a restriction of $\theta''$ such that $\delta(a) = \theta''(a)$ for all $a \in ftv(A) - \Delta - ftv(\theta)$ (i.e., $\Delta'$), we get $\Delta + \delta : \Delta' \Rightarrow (\Theta' - \Delta'), \Delta_p$ and maintain $\delta(A) = A_p$. We rewrite the former to $\Delta, (\Theta' - \Delta') + \delta : \Delta' \Rightarrow A, \Delta_p$, obtaining an instantiation as required by the definition of principal. □

**Lemma I.2** (Inferred types and principal types are isomorphic). *Let the following conditions hold:

\begin{align}
\Delta, \Theta \vdash \Gamma & \quad (1) \\
\Delta \models M & \quad (2) \\
\Delta' \neq \Theta' & \quad (3) \\
\text{principal}((\Delta, \Theta), \Gamma, M, \Delta', A) & \quad (4) \\
\text{infer}(\Delta, \Theta, \Gamma, M) = (\Theta', \delta, A') & \quad (5) \\
\Delta'' = ftv(A') - \Delta - ftv(\theta) & \quad (6)
\end{align}

Then there exists $\delta$ such that $\Delta, (ftv(\theta) - \Delta) + \delta : \Delta'' \Rightarrow \Delta'$ and $\delta(\Delta'') = \Delta'$ and $\delta(\Delta') = \theta(A)$.

**Proof.** By definition of principal, we have $\Delta, \Theta, \Delta' ; \Gamma \vdash M : A$ (7) and $\Delta' = ftv(A) - \Delta, \Theta$.

By definition of $\Delta''$ and (6), we let $\Delta + \delta : \Delta' \Rightarrow (\Theta' - \Delta)'$, $\Delta_p$ and $\theta = \theta'' \circ \theta$ (8).

We have $\Delta + \iota_{\Theta, \theta} : \Theta \Rightarrow \Theta$ and therefore by $\Delta' \neq \Theta$ and weakening also $\Delta + \iota_{\Theta, \theta} : \Theta \Rightarrow \Theta, \Delta' (9)$. Trivially, we can rewrite (7) and (4) as $\Delta, \Theta, \Delta' ; \iota_{\Theta, \theta}(\Gamma) \vdash M : A$ (10) and principal($(\Delta, \Theta), \iota_{\Theta, \theta}(\Gamma), M, \Delta', A)$ (11), respectively. We can apply Theorem 7, using (2), (9) and (10), which yields existence of $\theta''$ such that $\Delta + \theta'' : \Theta' \Rightarrow \Theta, \Delta'$ and $\iota_{\Theta, \theta} = \theta'' \circ \theta$ (12) and $\theta''(A') = A$ (13). The latter implies that $\theta''$ maps the type variables from $\Delta''$ surjectively into $\Delta'$ (14).

Let $\Theta_\theta = ftv(\theta)$. By (12), then we have $\Delta' \neq \Theta_\theta$ and $\theta$ is a bijection from $\Theta$ to $\Theta_\theta$. Conversely, the restriction of $\theta''$ to $\Theta_\theta$ is a bijection from $\Theta_\theta$ to $\Theta$.

We can therefore apply Lemma G.15(2) and obtain principal($(\Delta, \Theta_\theta), \theta(\Gamma), M, \Delta', \theta(A)$) (15).

By Lemma 1.5 and $\Delta, \Theta_\theta \vdash \theta(\Gamma)$ as well as $\Delta, \Theta_\theta, \Delta'' \subseteq ftv(A')$, we can strengthen (8) to $\Delta, \Theta_\theta, \Delta'' ; \theta(\Gamma) \vdash M : A'$ (16).

We have $ftv(\theta(A)) - (\Delta, \Theta_\theta) = \Delta' = ftv(A) - (\Delta, \Theta)$. By definition of principal, (15) and (16) imposes that there exists $\delta_\theta$ such that $\Delta, \Theta_\theta \vdash \delta_\theta : \Delta' \Rightarrow A'$ and $\delta_\theta(\theta(A)) = A'$.

Using (13), we rewrite the latter to

$$\delta_\theta(\theta''(A')) = A'$$

This implies that $\theta''$ maps $\Delta''$ not only surjectively (cf. (14)), but bijectively into $\Delta'$. By (13) we further have $\theta''(\Delta'') = \Delta'$ (i.e., the order of variables is preserved).

Since $\theta$ is the identity on $\Delta'$, $\delta_\theta$ must be the inverse of $\theta''$ on $\Delta'$. Hence, we define $\delta$ such that $\delta(a) = \theta''(a)$ for all $a \in \Delta''$, yielding $\Delta, \Theta_\theta \vdash \delta : \Delta'' \Rightarrow \Delta'$. As the inverse of $\delta_\theta$, applying $\delta$ to both sides of (17) yields $\theta(\theta''(A')) = \theta(A) = \delta(A')$, which is the desired property.

□

**Lemma I.3** (Stability of principality under substitution). *Let the following conditions hold:

\begin{align}
\Delta, \Theta \vdash \Gamma & \quad (1) \\
\Delta' \neq \Theta' & \quad (2) \\
\Delta \models M & \quad (3) \\
\Delta + \theta : \Theta \Rightarrow \Theta' & \quad (4) \\
\text{principal}((\Delta, \Theta), \Gamma, M, \Delta', A) & \quad (5)
\end{align}

Then principal($(\Delta, \Theta'), \theta(\Gamma), M, \Delta', \theta(A)$) holds.

**Proof.** By definition of principal, we have $\Delta, \Delta', \Theta ; \Gamma \vdash M : A$ and $\Delta' = ftv(A) - \Delta, \Theta$ (6).

By (2), we can weaken (4) to $\Delta, \Delta' + \Theta \Rightarrow \Theta'$. Together with the latter, we can then apply Lemma G.5 and obtain $\Delta, \Delta', \Theta' + \theta(A)$.

Let $\Delta'' = ftv(\theta(A)) - \Delta, \Theta'$. By (2), (4) and (6), Lemma G.14 yields $\Delta' = \Delta'' (7)$.

Let $A_p$ and $\Delta_p$ such that $\Delta_p = ftv(A_p) - \Delta, \Theta'$ and $\Delta, \Theta, \Delta_p ; \theta(\Gamma) \vdash M : A_p$ (8). Our goal is to show that there exists $\delta$ such that $\Delta, \Theta' + \delta : \Delta'' \Rightarrow A_p$ and $\delta(\theta(A)) = A_p$. 

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We weaken (4) to $\Delta + \theta : \Theta \Rightarrow \Theta', \Delta_p$. We can then apply Theorem 7 to (8), which states that infer($\Delta, \Theta, \Gamma, M$) returns ($\Theta''$, $\theta'$, $A'$) (9) and there exists $\theta''$ such that

$$\Delta + \theta'' : \Theta'' \Rightarrow \Theta', \Delta_p$$  \hspace{1cm} (10)

$$\theta = \theta'' \circ \theta'$$  \hspace{1cm} (11)

$$\theta''(A') = A_p$$  \hspace{1cm} (12)

By definition of infer, all type variables in $\Theta''$ but not in $\Theta$ are fresh, which implies $\Delta' \neq \Theta''$ (13).

By Theorem 6, we have $\Delta + \theta'' : \Theta \Rightarrow \Theta''$ (14) and $\Delta, \Theta'' + A'$ (15).

We split $\Delta_p$ into a (possibly empty) part that is contained in $\Delta'$ and a remaining part that is not. Concretely, let $\Delta_p', \Delta_p''$ such that $\Delta_p \approx (\Delta_p', \Delta_p'')$ and $\Delta_p' \subseteq \Delta'$ and $\Delta_p'' \neq \Delta'$. We weaken (14), (10), and (4), respectively:

$$\Delta, \Delta' + \theta : \Theta \Rightarrow \Theta''$$  \hspace{1cm} (16)

$$\Delta, \Delta' + \theta'' : \Theta'' \Rightarrow \Theta', \Delta_p''$$  \hspace{1cm} (17)

$$\Delta, \Delta' + \theta : \Theta \Rightarrow \Theta', \Delta_p''$$  \hspace{1cm} (18)

Let $\Delta''' := \text{ftv}(A') - \Delta - \text{ftv}(\theta')$ (19), which implies $\Delta''' \subseteq \Theta''$ (20) using (14) and (15). Further, let $\Theta_{\theta'} = \text{ftv}(\theta') - \Delta$. By (1), (3), (5), (9), (13) and (19), Lemma 1.2 yields existence of $\delta_b$ such that $\Delta, \Theta_{\theta'} + \delta_b : \Delta''' \Rightarrow \Delta$ (21) and $\delta_b(\Delta''') = \Delta' (22)$ and $\delta_b(A') = \theta' A$ (23).

Let $\Delta' = (a_1, \ldots, a_{\sigma})$ and $\Delta''' = (b_1, \ldots, b_n)$. Let $\delta$ be defined such that for all $1 \leq i \leq n, \delta(a_i) = \theta''(b_i)$. By (7), (10) and (20) this yields $\Delta, \Theta' + \delta : \Delta'' \Rightarrow \Delta_p$.

Next, we show $\delta \theta'' \delta_b(A') = \theta''(A')$ (24): To this end, we show that for each $a \in \text{ftv}(A')$ we have $\delta \theta'' \delta_b(a) = \theta''(a)$. By the definition of $\theta''$ (cf. (19)) and (15), we have $\text{ftv}(A') \subseteq \Delta, \Delta'''$, $\Theta_{\theta'}$.

We consider three cases:

**Case 1** $a = b_i \in \Delta'''$: We have $\delta_b(b_i) = a_i$ by (22). By $a_i \in \Delta'$ and (17) we have $\theta''(a_i) = \theta''(\delta_b(b_i)) = a_i$. By definition of $\delta$, we have $\delta(a_i) = \delta(\theta''(\delta_b(b_i))) = \theta''(b_i)$.

**Case 2** $a \in \Theta_{\theta'}$: We have $a \notin \Delta''$ and therefore $\delta_b(a) = a$ by (21). By (14), we have $\Theta_{\theta'} \subseteq \Theta''$. Applying Lemma G.16 to (4) and (11) yields $\theta''(a) = \theta''(\delta_b(a)) = A$ for some $A$ with $\Delta, \Theta' + A$. By $\Delta'' = \Delta' \neq \Delta, \Theta'$ we then have $\delta(A) = A$. In total, this yields $\delta \theta'' \delta_b(a) = \theta''(\delta_b(a)) = \theta''(a)$.

**Case 3** $a \in \Delta$: We have $\delta(a) = a, \delta_b(a) = a$ and $\theta''(a) = a$. This immediately yields $\delta \theta'' \delta_b(a) = \theta''(a) = a$.

Finally, we show $\delta(\theta A) = A_p$:

$$\delta(\theta A) = \delta \theta'' \theta'(A)$$  \hspace{1cm} (by (11) and (16) to (18))

$$\delta \theta'' \delta_b(A')$$  \hspace{1cm} (by (23))

$$\theta''(A')$$  \hspace{1cm} (by (24))

$$A_p$$  \hspace{1cm} (by (12))

\[\square\]

**Lemma 1.4.** If $\Delta \vdash M$ and $\Delta + \theta : \Theta \Rightarrow \Theta'$ and $\Delta, \Theta; \Gamma \vdash M : A$, then $\Delta, \Theta'; \theta \Gamma \vdash M : \theta A$.

**Proof.** By induction on structure of $M$. In each case we apply inversion on derivations of $\Delta, \Theta; \Gamma \vdash M : A$ and $\Delta \vdash M$ and start by showing the final steps in each derivation, then describe how to construct the needed conclusion.

**Case** $[x]$ : In this case we have derivations of the form:

$$x : A \in \Gamma$$

\[\Delta, \Theta; \Gamma \vdash [x] : A \quad \Delta \vdash [x]

Then we have $x : \theta(A) \in \theta(\Gamma)$, and may conclude

$$x : \theta(A) \in \theta(\Gamma)$$

\[\Delta, \Theta; \theta(\Gamma) \vdash [x] : \theta(A) \quad \Delta, \Theta; \Gamma \vdash x : \delta(H) \Rightarrow \circ \quad \Delta \vdash x

**Case** $x$: In this case, we have derivations of the form:

$$x : \forall \Delta'. H \in \Gamma$$

\[\Delta, \Theta; \Gamma \vdash x : \delta(H) \Rightarrow \circ \quad \Delta \vdash x

As before, we have $x : \theta(\forall \Delta'. H) \in \theta(\Gamma)$. Moreover, we can assume without loss of generality that the type variables in $\Delta'$ are fresh, so $\theta(\forall \Delta'. \theta(H))$. Since $\Delta, \Theta + \Gamma$, we know that $\forall a \in \text{ftv}(A), (\Delta, \Theta)(a) = \bullet$. Hence, for each such $a$,
the substituted type $\theta(a)$ is a monotype, which implies that $\theta(H)$ is also a guarded type. Next, by Lemma G.7 we have
\[ \Delta, \Theta' \vdash \theta \circ \delta : \Delta' \Rightarrow \vdash \cdot. \] We may conclude:
\[ \frac{\forall \Delta', \theta(H) \in \theta(\Gamma)}{\Delta, \Theta' \vdash \theta \circ \delta : \Delta' \Rightarrow \vdash \cdot} \]

Note that in this case it is critical that we maintain the invariant (built into the context well-formedness judgement) that type variables in $\Gamma$ are always of kind $\bullet$. This precludes substituting a type variable $a = H$ with a $\forall$-type, thereby changing the outer quantifier structure of $\forall \lambda' H$.

Case $\lambda x. M$: In this case we have derivations of the form:
\[ \Delta, \Theta; \Gamma, x : S \vdash M : B \quad \Delta \vdash M \quad \Delta \vdash \lambda x : S. M \]

By induction, we have that $\Delta, \Theta'; \Gamma, x : S \vdash \theta(M) : \theta(B)$. Moreover, clearly $\theta(\Gamma, a : S) = \theta(\Gamma, a : \theta(S))$. Since $S$ is a monotype, and $\theta$ is a well-kindred substitution, and $\Delta, \Theta \vdash \Gamma, x : S$, all of the free type variables in $S$ are of kind $\bullet$ and are replaced with monotypes. Hence $\theta(S)$ is also a monotype, so we may derive:
\[ \frac{\Delta, \Theta'; \Gamma, x : S \vdash \theta(M) : \theta(B)}{\Delta, \Theta; \Gamma \vdash \lambda x : S. \theta(M) : \theta(B)} \]

since $\theta(S \to B) = \theta(S) \to \theta(B)$.

Case $\lambda(x: A_0). M$:
\[ \Delta, \Theta; \Gamma, x : A_0 \vdash M : B_0 \quad \Delta \vdash A_0 : \star \quad \Delta \vdash M \quad \Delta \vdash A : \star \]

By induction, we have that $\Delta, \Theta'; \Gamma, x : A_0 \vdash \theta(M) : \theta(B_0)$, and again $\theta(\Gamma, x : A_0) = \theta(\Gamma, x : \theta(A_0))$. Moreover, since $\Delta \vdash A_0 : \star$, we know that ftv($A_0$) $\subseteq$ $\Delta$ since the only variables substituted by $\theta$ are those in $\Theta$, which is disjoint from $\Delta$, we know that $\theta(A_0) = A_0$. Thus, we can proceed as follows:
\[ \frac{\Delta, \Theta'; \Gamma, x : A_0 \vdash M : \theta(B_0)}{\Delta, \Theta; \Gamma \vdash \lambda(x : A_0). M : \theta(B_0)} \]

observing that $\theta(A_0 \to B_0) = \theta(A_0) \to \theta(B_0)$, as required. This case illustrates part of the need for the $\Delta \vdash M$ judgement: to ensure that the free type variables in terms are always treated rigidly and never "captured" by substitutions during unification or type inference.

Case $M N$: In this case we proceed (refreshingly straightforwardly) by induction as follows.
\[ \Delta, \Theta; \Gamma \vdash M : A_0 \to B_0 \quad \Delta, \Theta; \Gamma \vdash N : A_0 \to B_0 \quad \Delta \vdash M \quad \Delta \vdash N \quad \Delta \vdash M N \]

By induction, we obtain the necessary hypotheses for the desired derivation:
\[ \frac{\Delta, \Theta'; \Gamma \vdash M : \theta(A_0) \to \theta(B_0)}{\Delta, \Theta; \Gamma \vdash M N : \theta(B_0)} \]

again observing that $\theta(A_0 \to B_0) = \theta(A_0) \to \theta(B_0)$.

Case let $x = M$ in $N$: In this case we have derivations of the form:
\[ \Delta, \Theta, \Delta''; \Gamma \vdash M : A' \quad ((\Delta, \Theta), \Delta'', M, A') \supset A_0 \quad \Delta, \Theta; \Gamma, x : A_0 \vdash N : B \quad \text{principal}((\Delta, \Theta), \Gamma, M, \Delta'', A') \]

We assume without loss of generality that $\Delta''$ is fresh with respect to $\Delta$, $\Theta$, and $\Theta'$. This is justified as we may otherwise apply a substitution $\theta_T$ to $\Delta$, $\Theta$, $\Delta''$; $\Gamma \vdash M : A'$ that replaces all variables in $\Delta''$ by pairwise fresh ones. By induction, this would yield a corresponding typing judgement for $M$ using those fresh variables.

To apply the induction hypothesis to $M$, we need to extend $\theta$ to a substitution $\theta'$ satisfying $\Delta \vdash \theta : \Theta, \Delta'' \Rightarrow \Theta', \Delta''$, which is the identity on all variables in $\Delta''$. Then by induction we have $\Delta, \Theta', \Delta''; \theta'(\Gamma) \vdash M : \theta'(A')$. Since $\theta'$ acts as the identity on $\Delta''$ its behaviour is the same as $\theta$ weakened to $\Delta, \Delta'' \vdash \theta : \Theta \Rightarrow \Theta'$, so we have $\Delta, \Theta', \Delta''; \theta(\Gamma) \vdash M : \theta(A')$.  

We conclude by induction on $\Delta$.
We also obtain by the induction hypothesis for \( N \) that \( \Delta, \Theta'; \theta(\Gamma), x : \theta(A_0) \vdash N : \theta(B) \), since \( \theta(\Gamma, x : A_0) = \theta(\Gamma), x : \theta(A_0) \). By Lemma G.14(1), we have that \( (\Delta', \Delta''') = \text{gen}(\Delta, \Theta'), \theta(A'), M) \) and by Lemma G.14(2), we also know that \( ((\Delta, \Theta'), \Delta'', M, \theta(A')) \not\models \theta(A_0) \). By applying Lemma I.3 to principal((\( \Delta, \Theta), \Gamma, M, \Delta'', A' \)) we obtain principal((\( \Delta, \Theta'), \theta(\Gamma), M, \Delta'', \theta(A') \)). We can conclude:

\[
(\Delta', \Delta''') = \text{gen}((\Delta, \Theta'), \theta(A'), M) \quad \Delta, \Theta', \Delta'''; \theta(\Gamma) + M : \theta(A')
\]

\[
(\Delta, \Theta', \Delta'', M, \theta(A)) \not\models \theta(A_0) \quad \Delta, \Theta'; \theta(\Gamma), x : \theta(A_0) + N : \theta(B) \quad \text{principal}((\Delta', \Theta'), \theta(\Gamma), M, \Delta'', \theta(A'))
\]

\[
\Delta, \Theta'; \theta(\Gamma) \vdash \text{let } x : M \text{ in } N : \theta(B)
\]

**Case let** \((x : A_0) = M \text{ in } N \): In this case we have derivations of the form:

\[
(\Delta', A') = \text{split}(A_0, M) \quad \Delta, \Theta, \Delta'; \Gamma + M : A' \quad A_0 = \forall \Delta'.A' \quad \Delta, \Theta; \Gamma, x : A_0 + N : B
\]

\[
\Delta \vdash A_0 : \star \quad (\Delta', A') = \text{split}(A_0, M) \quad \Delta, \Delta' \models M \quad \Delta \models N
\]

We have \( A_0 = \forall \Delta'.A' \) and \( \Delta' \not\models \Delta \). According to \( \Delta, \Delta' \models M \), annotations in \( M \) may use type variables from \( \Delta, \Delta' \). By alpha-equivalence, we can assume \( \Delta' \not\models \Theta \) and \( \Delta' \not\models \Theta' \). Note that this may require freshening variables from \( \Delta' \) (but not \( \Delta \)) in \( M \) as well.

By induction (and rearranging contexts), we have that \( \Delta, \Theta, \Delta'; \theta(\Gamma) + M : \theta(A') \) and \( \Delta, \Theta'; \theta(\Gamma, x : A_0) + N : \theta(B) \). Moreover, since \( \Delta \vdash A_0 : \star \), we know that \( \theta(A_0) = A_0 \) since \( \theta \) only replaces variables in \( \Theta \), which is disjoint from \( \Delta \). Furthermore, \((\Delta', A') = \text{split}(A_0, M)\) implies that \( A' \) is a subterm of \( A_0 \) so \( \theta(A') = A' \) also. As a result, we can construct the following derivation:

\[
(\Delta', A') = \text{split}(A_0, M) \quad \Delta, \Theta', \Delta'; \theta(\Gamma) + M : A' \quad A_0 = \forall \Delta'.A' \quad \Delta, \Theta'; \theta(\Gamma, x : A_0 + N : B)
\]

\[
\Delta, \Theta'; \theta(\Gamma) \vdash \text{let } (x : A_0) = M \text{ in } N : \theta(B)
\]

**Lemma I.5.** Let \( \Delta, \Gamma + M : A \) and let \( \Delta' \subseteq \Delta \) such that and \( \Delta' \models M \), \( \Delta' \models \Gamma \), and \( \Delta' \vdash \theta(A) \). Then \( \Delta'; \Gamma + M : A \) holds.

**Proof.** FE: There exists a 4-line version of this proof by simply applying Lemma I.4 directly to \( M \). This is okay in the current dependency graph, but may cause troubles in the future. I’ve kept the shorter proof as commented-out code here.

By induction on \( M \); we focus on the Let case. By inversion on the judgement \( \Delta; \Gamma \vdash \text{let } x = M \text{ in } N : B \), we have:

\[
(\Delta'_y, \Delta'_y') = \text{gen}(\Delta, A', M)
\]

\[
(\Delta, \Delta'_y, M, A') \oplus A
\]

\[
\Delta, \Delta'_y; \Gamma + M : A'
\]

\[
\Delta; \Gamma, x : A + N : B
\]

\[
\text{principal}(\Delta, \Gamma, M, \Delta'_y, A')
\]

By inversion on \( \Delta' \models \); let \( x = M \text{ in } N \), we further have \( \Delta' \models M \) and \( \Delta' \models N \).

We first show \( (\Delta - \Delta') \not\models \theta(A') \). To this end, assume there exists \( a \not\in \theta(A') \) and \( a \in (\Delta - \Delta') \). By \( \Delta' + \Gamma \), this implies \( a \not\in \theta(\Gamma) \). Let \( b \) be fresh and \( \theta = [a \mapsto b] \). We apply Lemma G.15.1 to \( \Delta, \Delta'_y; \Gamma + M : A' \), where \( \Theta \) contains only \( a \). This yields \( (\Delta \setminus a, \Delta'_y, b); \theta(\Gamma) + M : \theta A' \). By \( a \not\in \theta(\Gamma) \), this is equivalent to \( (\Delta \setminus a, \Delta'_y, b); \Gamma + M : A'[b/a] \). According to Lemma I.6, we can weaken this to \( (\Delta, \Delta'_y, b); \Gamma + M : A'[b/a] \). However, by \( a \in \Delta \) and \( a \not\in \Delta'_y \) there exist no \( \delta \) and \( \delta' \) such that \( \Delta + \delta \equiv \Delta' \), and \( \delta'(A') = A'[b/a] \). This violates principal(\( \Delta, \Gamma, M, \Delta'_y, A' \)).

Using \( (\Delta - \Delta') \not\models \theta(A') \), we obtain \( \text{gen}(\Delta, A', M) = (\Delta'_y, \Delta'_y') = \text{gen}(\Delta', A', M) \) and \( \Delta', \Delta'_y \models A' \). This allows us to apply the induction hypothesis to \( M \), yielding \( \Delta, \Delta'_y; \Gamma + M : A' \).

We show principal(\( \Delta', \Gamma, M, \Delta'_y, A' \)) as follows: Let \( \Delta'_x, \Delta'_y \) such that \( \Delta'_y = \theta(\Delta'_x) - \Delta' \) and \( \Delta'_y \models M : A'_x \). Further, let \( \Delta_1, \Delta_2, \Delta_3 \) such that \( \Delta_1, \Delta_2 \equiv \Delta'_y \), and \( \Delta_1 \not\subseteq \Delta \), and \( \Delta_2 \not\# \Delta \), and \( \text{ftv}(\Delta'_y) - \Delta', \Delta_1 = \Delta_2, \) and \( \Delta', \Delta_1, \Delta_3 \equiv \Delta \). We can therefore rewrite \( \Delta', \Delta'_y; \Gamma + M : A'_x \to (\Delta', \Delta_1, \Delta_2; \Gamma + M : A'_x). \) We use Lemma I.6 to weaken the latter to \( \Delta', \Delta_1, \Delta_2, \Delta_3; \Gamma + M : A'_y \). This in turn is equivalent to \( \Delta, \Delta_2; \Gamma + M : A'_y \), additionally recalling \( \text{ftv}(\Delta'_y) - \Delta = \Delta_2 \). By principal(\( \Delta, \Gamma, M, \Delta'_y, A' \)), we then have that there exists \( \delta_p \) such that \( \Delta' + \delta_p : A'_y \models \Gamma + \Delta \), and \( \delta_p(A') \models A'_x \). We can re-arrange the former to \( \Delta', \Delta_3 + \delta_p : A'_y \models \Gamma + \Delta \). We have \( \Delta'_y \not\subseteq \theta(A') \) but \( \Delta_3 \not\# \text{ftv}(\delta_p) \), which implies \( \Delta_3 \not\# \text{ftv}(\delta_p) \). Hence, we have \( \Delta' + \delta_p \models \Delta_1, \Delta_2 \). Thus, principal(\( \Delta', \Gamma, M, \Delta'_y, A' \)) holds.

Next, we show that there exists \( \tilde{\Delta} \) such that \( (\Delta', \Delta'_y, M, A') \oplus \tilde{\Delta} \) and \( \Delta; \Gamma, x : \tilde{\Delta} + \Gamma + N : B \).

We distinguish two cases:
• If $M \in \text{GVal}$, then $(\Delta, \Delta_\gamma', M, A') \nsubseteq A$, where $A = \forall \Delta'' A'$. We choose, $\tilde{A} = A$ and by $\Delta' \vdash A'$ immediately obtain $\Delta' \vdash \tilde{A}$ and $(\Delta', \Delta_\gamma', M, A') \nsubseteq \tilde{A}$. By induction, we then have $\Delta; \Gamma, x : \tilde{A} \vdash N : B$.
• If $M \notin \text{GVal}$, then $(\Delta, \Delta_\gamma', M, A') \nsubseteq A$, where $A = \delta(A')$ for some $\delta$ with $\Delta \vdash \delta : \Delta'' \Rightarrow \cdot \cdot$. Hence, $A$ may contain type variables from $\Delta - \Delta'$, which we define as $\Delta_r$, and hence $\Delta' + A$ may not hold.
To obtain a type well-defined under $\Delta$ we define substitution $\delta_G$, which maps all type variables in $\Delta_r$ to some ground type, e.g., $\text{Int}$. Formally, $\delta_G$ be defined such that
\[
\delta_G(a) = \text{Int} \quad \text{for all } a \in \Delta_r,
\]
which implies $\Delta' \vdash \delta_G : \Delta_r \Rightarrow \cdot \cdot$.

We then define $\tilde{A}$ as $\delta_G(\delta(A')) = \delta_G(A)$ and have $(\Delta, \Delta_\gamma', M, A') \nsubseteq \tilde{A}$, due to $\Delta' \vdash (\delta_G \circ \delta) : \Delta'' \Rightarrow \cdot \cdot$.

Further, we apply Lemma I.4 to $\Delta', \Delta_r; \Gamma, x : A + N : B$ and $\delta_G$, yielding $\Delta'; \delta_G(\Gamma), x : \delta_G(A) \vdash N : \delta_G(B)$.

By $\Delta' \vdash \Gamma$ and $\Delta' \vdash B$ we have $\delta_G(\Gamma) = \Gamma$ and $\delta_G(B) = B$. Together, with $\delta_G(A) = \tilde{A}$ we have therefore shown $\Delta'; \Gamma, x : \tilde{A} \vdash N : B$.

We have now shown that we can derive the following:
\[
(\Delta_\gamma', \Delta''_\gamma) = \text{gen}(\Delta, A', M) \quad (\Delta', \Delta_\gamma', M, A') \nsubseteq \tilde{A} \quad \Delta'; \Gamma, x : A + N : B \quad \text{principal}(\Delta', \Gamma, M, \Delta''_\gamma, A')
\]

\[
\Delta'; \Gamma \vdash \text{let } x = M \text{ in } N : B
\]

\[\square\]

**Lemma 1.6.** Let $\Delta; \Gamma \vdash M : A$, and $\Delta \not\vdash M$, and $\Delta \not\vdash \Theta$. Then $\Delta, \Theta; \Gamma \vdash M : A$ holds.

**Proof.** FE: It seems that as for Lemma 1.5, there exists a trivial proof here using $\Delta + \Theta : \cdot \cdot \Rightarrow \Theta$ and Lemma I.4. As for Lemma 1.5, this slightly complicates the dependencies, as we would use Lemma I.4 on the original term $M$, not its subterms.

We perform induction on $M$ and focus on the case $\text{let } x = M \text{ in } N$. By inversion, we have the following:
\[
(\Delta', \Delta'') = \text{gen}(\Delta, A', M) \quad (\Delta, \Delta', M, A') \nsubseteq A \quad \Delta; \Gamma, x : A + N : B \quad \text{principal}(\Delta, \Gamma, M, \Delta'', A')
\]

We assume w.l.o.g. $\Delta'' \not\vdash \text{ftv}(\Theta)$ (this is justified as we may otherwise use Lemma G.15 to obtain a type for $M$ satisfying this).

By induction, we immediately have $\Delta, \Theta, \Delta''; \Gamma + M : A'$, and $\Delta, \Theta; \Gamma, x : A + N : B$. Further, we have $\text{ftv}(\Theta) \not\vdash \text{ftv}(A')$ and thus $\text{gen}((\Delta, \Theta), A', M) = \text{gen}(\Delta, A', M) = (\Delta', \Delta'')$. By $\Delta'' \not\vdash \text{ftv}(\Theta)$, we also immediately have $((\Delta, \Theta), \Delta'', M, A') \nsubseteq A : \Theta$ is only relevant if $M \notin \text{GVal}$, in which case we can weaken the involved instantiation $\delta$ from $\Delta + \delta : \Delta'' \Rightarrow \cdot \cdot$ to $\Delta, \Theta + \delta : \Delta'' \Rightarrow \cdot \cdot$.

It remains to show that $\text{principal}((\Delta, \Theta), \Gamma, M, \Delta'', A')$ holds. Let $A_p$ and $\delta_p$ such that $\Delta, \Theta, \delta_p; \Gamma + M : A_p$ and $\text{ftv}(A_p) - \Delta, \Theta = \Delta_p$. Let $\Theta_s \subseteq \Theta$ such that $\Delta_p, \Theta_s = \text{ftv}(A_p) - \Delta$, which implies $\Delta, \Theta_s, \Delta_p \vdash A_p$.

By Lemma 1.5, we then have $\Delta, \Delta_p, \Theta_s; \Gamma + M : A_p$. By $\text{principal}((\Delta, \Theta, \Delta'', A')$ there exists $\delta$ such that $\Delta + \delta : \Delta'' \Rightarrow \Delta_p, \Theta_s, \delta(A') = A_p$. By $\Theta_s \subseteq \Theta$ and $\Theta \not\vdash \Delta'$ we can weaken this to $\Delta, \Theta + \delta : \Delta'' \Rightarrow \Delta_p$. This gives us $\text{principal}((\Delta, \Theta, \Gamma, M, \Delta''', A')$.

\[\square\]

**Lemma 1.7.** Let $\Delta' = (a_1, \ldots, a_n)$ and $\Delta'' = (b_1, \ldots, b_n)$ for some $n \geq 0$. Let $\Delta, \Theta + \delta : \Delta' \Rightarrow \Delta''$ such that $\delta(i) = b_i$ for all $1 \leq i \leq n$. Furthermore, let $\Delta \not\vdash M$ and $\text{principal}((\Delta, \Theta), \Gamma, M, \Delta', A)$ and $\Delta, \Theta + \Gamma$.

Then $\text{principal}((\Delta, \Theta, \Gamma, M, \Delta'', \delta A))$ holds.

**Proof.** We first show that $\Delta, \Theta, \delta(A''); \Gamma + M : \delta A$ holds. By $\text{principal}((\Delta, \Theta, \Gamma, M, \Delta', A)$ we have $\Delta, \Theta, \Delta'; \Gamma + M : A$. We extend $\delta$ to a substitution $\theta$ with $\Delta + \theta : \Theta, \Delta' \Rightarrow \Theta, \Delta''$ by defining $\theta(a) = \delta(a)$ for all $a \in \Delta'$ and by defining $\theta$ as the identity on all $a \in \Theta$.

We apply Lemma G.15(1), yielding $\Delta, \Theta, \Delta''; \Gamma + M : \theta A$. We have $\theta(\Gamma) = \Gamma$ as well as $\theta(A) = \delta(A)$ and obtain the desired judgement.

Now, let $\Delta_p$ and $A_p$ such that $\text{ftv}(A_p) - \Delta, \Theta = \Delta_p$ and $\Delta, \Theta, \Delta_p; \Gamma + M : A_p$. By $\text{principal}((\Delta, \Theta, \Gamma, M, \Delta', A)$ we have that there exists an instantiation $\delta_p$ s.t. $\Delta, \Theta + \delta_p : \Delta' \Rightarrow \Delta_p$ and $\delta_p(A) = A_p$.

We need to show that then there also exists an instantiation $\delta_p'$ with $\Delta, \Theta + \delta_p' : \Delta'' \Rightarrow \Delta_p$ and $\delta_p'(\delta A) = A_p$. We observe that this holds for $\delta_p' = \delta_p \circ \delta^{-1}$, where $\delta^{-1}$ is the inverse of $\delta$.

\[\square\]
FE: The following lemma is currently only used in the let case of the proof of Theorem 7. It’s very ad hoc; I was hoping to replace it with something re-using more of the earlier properties of principality. However, I haven’t found a good replacement.

**Lemma 1.8.** Let the following conditions hold:

\[
\begin{align*}
\Delta &\vdash M \\
\theta = \theta'' \circ \theta' \\
\Delta \vdash \theta : \Theta &\Rightarrow \Theta' \\
\Delta \vdash \theta''' : \Theta'' &\Rightarrow \Theta', \Delta'' \\
\Delta' &\equiv \text{ftv}(A) - \Delta - \text{ftv}(\theta') \\
\Delta, \Theta''', \theta \Gamma &\vdash M : A \\
\text{principal}(A, \Theta', \theta \Gamma, \Delta'', A') &\equiv \theta''(A) = A'
\end{align*}
\]

Then \(\theta''(\Delta') = \Delta'' \) holds.

**Proof.** By (7), we have \(\Delta'' = \text{ftv}(A') - \Theta' - \Delta\). Further, (6) yields \(\Delta, \Theta'' \vdash A\) (10).

Let \(\Delta' = (a'_1, \ldots, a'_n)\) for some \(n \geq 0\) and let \(\delta = (f_1, \ldots, f_n)\) for pairwise different, fresh type variables \(f_i\).

By (10), we have \(\text{ftv}(A) \subseteq \Delta, \Theta''\). Let \(\Theta_{\delta'}\) be defined as \(\text{ftv}(\theta') - \Delta\). We then have \(\Theta_{\delta'} \subseteq \Theta''\) (11) and \(\Delta' \# \Theta_{\delta'}\) (12) and \(\Delta' \subseteq \text{ftv}(\theta'')\) (13).

By (2) to (4) we have \(\Delta, \Theta' \vdash \theta''(a) : K\) for all \((a : K) \in \Theta_{\delta'}\) (14).

Let \(\theta''_{\delta'}\) be defined such that

\[
\theta''_{\delta'}(a) = \begin{cases} 
\theta''(a) & \text{if } a \in \Theta_{\delta'} \\
f_i & \text{if } a = a_i' \in \Delta' \\
A_D & \text{if } a \in \Theta'' - \Theta_{\delta'} - \Delta'
\end{cases}
\]

where \(A_D\) is some arbitrary type with \(\Delta, \Theta' \vdash A_D : \bullet\) (e.g., \(\text{Int}\), cf. Figure 3).

By (11) to (13), this definition is well-formed. Together with (14) we then have \(\Delta \vdash \theta''_{\delta'} : \Theta'' \Rightarrow \Theta', \Delta_F\) (16) and \(\theta = \theta''_{\delta'} \circ \theta'\) (17).

By (10) and Lemma G.5, we then have \(\Delta, \Theta', \Delta_F \vdash \theta''_{\delta'} A\) which implies \(\text{ftv}(\theta''_{\delta'} A) \subseteq \Delta_F, \Delta, \Theta'\). In general, for every \(a \in \text{ftv}(A)\), \(\theta''_{\delta'}(a)\) is part of \(\theta''_{\delta'}(A)\). In particular, for each \(a_i' \in \Delta' \subseteq \text{ftv}(A)\), \(\theta''_{\delta'}(a_i') = f_i\) occurs in \(\theta''_{\delta'}(A)\). Thus, \(\text{ftv}(\theta''_{\delta'} A) - \Delta, \Theta' = \Delta_F\) holds (18).

By (1), (6) and (16), Lemma 1.4 yields \(\Delta, \Theta', \Theta_{\delta'} \vdash \theta''_{\delta'} \theta' \Gamma \vdash M : \theta''_{\delta'}(A)\), which by (17) is equivalent to \(\Delta, \Theta', \Delta_F ; \theta' \Gamma \vdash M : \theta''_{\delta'}(A)\) (19). By definition of principal as well as (7), (18) and (19) there exists \(\delta\) such that \(\Delta, \Theta' + \delta : \Delta'' \Rightarrow \Delta_F\) (20) and \(\delta(\Delta') = \theta''_{\delta'}(A)\).

By (8), the latter is equivalent to \(\delta(\theta''(A)) = \theta''_{\delta'}(A)\) (21).

FE: I feel like the rest of this proof is rather verbose, in particular since I reason about similar bijectivity properties much more briefly in the proof of Lemma 1.2. Let \(a \in \Delta' \subseteq \text{ftv}(A)\), which implies \(a = a_i'\) for some \(1 \leq i \leq n\). By (21), we have

\[
\begin{align*}
\delta(\theta''(a_i)) &= \theta''_{\delta'}(a_i) \\
&= f_i \quad \text{(by (15))}
\end{align*}
\]

We therefore have that for each such \(a_i, \theta''(a)\) maps to pairwise different type variables \(b_i\). By (20) and \(\Delta, \Theta', \Delta'' \# \Delta_F\), we have \(\delta(b_i) \neq b_i\) and therefore \(b_i \in \Delta''\). We have therefore shown that \(\theta''\) maps \(\Delta'\) injectively into \(\Delta''\) (22).

We now show that \(\theta''\) is also surjective from \(\Delta'\) into \(\Delta''\), which means that \(\theta''(\Delta')\) is a permutation of \(\Delta''\). To this end, assume that there exists \(a \in \Delta''\) such that there exists \(a \in \Delta'\) with \(\theta''(a) = b\). By \(b \in \Delta'' \subseteq \text{ftv}(A')\) and (8) we have that there must exist \(a \in \text{ftv}(A)\) such that \(b \in \text{ftv}(\theta''(a))\). By (22), \(a \in \Delta'\) would immediately yield a contradiction. By \(\text{ftv}(A) \subseteq \Theta_{\delta'}, \Delta'\), we therefore consider the cases \(a \in \Theta_{\delta'}\) and \(a \in \Delta\). If \(a \in \Theta_{\delta'}\), according to (14), we then have \(\theta''(a) \subseteq \Delta, \Theta', \Delta\), which is disjoint from \(\Delta''\). If \(a \in \Delta\), we have \(\theta''(a) = a \notin \Delta''\). As all choices for \(a\) yield contradictions, we have shown that \(\theta''(\Delta')\) is a permutation of \(\Delta''\).

We now show that \(\theta''(\Delta') = \Delta''\) holds (i.e., \(\theta''\) preserves the order of type variables). To this end, let \(a \in \text{ftv}(A) - \Delta'\), which implies \(a \in \Delta, \Theta_{\delta'}\). If \(a \in \Delta\), then \(\theta''(a) = a \notin \Delta' \# \Delta''\). If \(a \in \Theta_{\delta'}\) then by (14) we have \(\text{ftv}(\theta''(a)) \subseteq \Delta, \Theta' \# \Delta''.\) Therefore, together with (8) for all \(a_i, a_j' \in \Delta'\) with \(1 \leq i < j \leq n\), we have that the first occurrence of \(\theta''(a_i')\) in \(A'\) is located before the first occurrence of \(\theta''(a_j')\) in \(A'\).

\[\square\]
I.2 Soundness of type inference

Lemma I.9. If $\Delta \vdash M$ and $(\Theta', \theta, A) = \text{infer}(\Delta, \Theta, \Gamma, M)$ then for all $a \in (\Theta - \text{ftv}(\Gamma))$ we have $\theta(a) = a$ and $a \notin \text{ftv}(A)$.

Proof. Straightforward by induction on the structure of $M$, in each case checking that a successful evaluation of type inference only instantiates free variables present in $\Gamma$. Furthermore, each type variable in $A$ is either fresh or results from using a type in $\theta(\Gamma)$.

Theorem 6. If $\Delta, \Theta, \Gamma \vdash M$ and $\text{infer}(\Delta, \Theta, \Gamma, M) = (\Theta', \theta, A_0)$ then $\Delta, \Theta'; \theta(\Gamma) \vdash M : A_0$ and $\Delta + \theta : \Theta \Rightarrow \Theta'$.

Proof. By induction on structure of $M$. In each case, we have $\Delta, \Theta, \Gamma \vdash (1)$, $\Delta \vdash M (2)$, and $\text{infer}(\Delta, \Theta, \Gamma, M) = (\Theta', \theta, A_0)$. For each case, we show:

I. $\Delta, \Theta'; \theta(\Gamma) \vdash M : A_0$
II. $\Delta + \theta : \Theta \Rightarrow \Theta'$

We write (I) and (II) to indicate that we have shown the respective statement.

Case $[x]$:\ By definition of infer, we have $A_0 = \Gamma(x), \Theta' = \Theta$, and $\theta = \iota_{\Delta, \Theta}$, which implies $\Delta + \theta : \Theta \Rightarrow \Theta'$ (II) and $\Delta, \Theta' \vdash \Gamma$.

We can then derive:

$I$.

\[
\begin{array}{c}
x : A_0 \in \Gamma \\
\Delta, \Theta'; \theta(\Gamma) \vdash [x] : A_0
\end{array}
\]

\[
\text{FROZEN (I)}
\]

Case $x$:\ By definition of infer, we have $(x : \forall \alpha.H) \in \Gamma$ and $\overline{b} \# \Delta, \Theta$ and $A_0 = H[\overline{b}/\alpha]$ as well as $\Theta' = \Theta, \overline{b} : \star$. Due to $\alpha$-equivalence, we can assume $\overline{a} \# \overline{b}, \Delta, \Theta$.

Let $\delta = [\overline{b}/\overline{a}]$. We have $\Delta, \Theta, \overline{b} \vdash \delta(a) : \star$ for all $a \in \overline{a}$ and therefore $\Delta, \Theta, \overline{b} \vdash \delta : (\overline{a} : \bullet) \Rightarrow \star$. By (1) and $\overline{b} \# \Delta, \Theta$, we have $\Delta, \Theta, \overline{b} : \star \vdash \Gamma$ and derive the following:

\[
\begin{array}{c}
x : \forall \alpha.H \in \Gamma \\
\Delta, \Theta, \overline{b} \vdash \delta : (\overline{a} : \bullet) \Rightarrow \star
\end{array}
\]

\[
\text{VAR (I)}
\]

Case $\lambda x.M$:\ By definition of infer, we have $a \# \Delta, \Theta$, which implies $a \# \text{ftv}(\Gamma)$ (3). Let $\theta_1 = \theta[a \rightarrow S]$ (4).

Together with (1) we then have $\Delta, \Theta, a : \bullet \vdash \Gamma, x : a$. By induction, we further have

\[
\begin{array}{c}
\Delta, \Theta_1; \theta_1(\Gamma), x : a \vdash M : B & \text{equiv.} & \Delta, \Theta_1; \theta_1(\Gamma), x : a \vdash S \vdash M : B \text{ (by (3), (4))}
\end{array}
\]

as well as $\Delta \vdash \theta_1 : (\Theta, a : \bullet) \Rightarrow \Theta_1$, which implies $\Delta + \theta : \Theta \Rightarrow \Theta_1$ (II).

By (5) we have $\Delta, \Theta_1 + \theta \Gamma$, which allows us to derive the following:

\[
\begin{array}{c}
\Delta, \Theta_1; \theta \Gamma, x : S \vdash M : B \text{ (by (5))}
\end{array}
\]

\[
\text{LAM (I)}
\]

Case $\lambda(x : A).M$:\ By $\Delta \vdash \lambda(x : A).M$ we have $\Delta \vdash A$ (6), and in particular all free type variables of $A$ in the judgement $\Delta, \Theta \vdash A$ are monomorphic. Together with (1) this yields $\Delta, \Theta \vdash \Gamma, x : A$. Induction then yields $\Delta, \Theta_1; \theta(\Gamma), x : A) \vdash M : B$ (7) and $\Delta + \theta : \Theta \Rightarrow \Theta_1$ (II).

According to (6) and the latter we further have $\theta(A) = A$ (8). By (7) we have $\Delta, \Theta_1 + \theta \Gamma$ and can derive the following:

\[
\begin{array}{c}
\Delta, \Theta_1; \theta \Gamma, x : A \vdash M : B \text{ (by (7), (8))}
\end{array}
\]

\[
\text{LAM-ASCRIBE (I)}
\]

Case $MN$:\ By definition of infer, we have:

\[
\begin{array}{c}
(\Theta_1, \theta_1, A') = \text{infer}(\Delta, \Theta, \Gamma, M) \\
(\Theta_2, \theta_2, A) = \text{infer}(\Delta, \Theta_1, \theta_1 \Gamma, N)
\end{array}
\]

(9)

(10)

By induction, (9) yields $\Delta, \Theta_2; \theta_2 \Gamma \vdash M : A'$ (11) and $\Delta + \theta_1 : \Theta \Rightarrow \Theta_1$ (12).

By (11) we have $\Delta, \Theta_1 + \theta_1 \Gamma$. Therefore, by induction, (10) yields $\Delta, \Theta_2; \theta_2 \theta_1 \Gamma \vdash N : A$ (13) and $\Delta + \theta_2 : \Theta_1 \Rightarrow \Theta_2$ (14). By definition of infer, we have:

\[
\begin{array}{c}
b \# \text{ftv}(A') \quad b \# \text{ftv}(A) \quad b \# \Theta
\end{array}
\]

(15)

(16)

(17)
By (11) we have $\Delta, \Theta_1 \vdash A'$ and by (14) further $\Delta, \Theta_2 \vdash \theta_2 A'$. This implies $\Delta, \Theta_2, b : \star \vdash \theta_2 A'$ by (15). By (13) we have $\Delta, \Theta_2 \vdash A$ and therefore also $\Delta, \Theta_2, b : \star \vdash A \to b$. Together, those properties allow us to apply Theorem 4, which gives us:

$$\theta_2' \circ \theta_2(A') = \theta_2'(A \to b)$$

implies $\theta_2(\theta_2(A')) = \theta_2(A) \to B$ (by (15) and (17))

(18)

and

$$\Delta \vdash \theta_1' : (\Theta_2, b : \star) \Rightarrow \Theta_3$$

implies $\Delta \vdash \theta_1 : \Theta_2 \Rightarrow \Theta_3$ (by (17))

(19)

By (14), (19), and composition, we have $\Delta \vdash \theta_2 \circ \theta_2 : \Theta_1 \Rightarrow \Theta_3$. By (11) and Lemma I.4, we then have $\Delta, \Theta_3; \theta_2 \theta_2 \theta_1 \Gamma \vdash M : \theta_3 \theta_2 A'$ (20). Similarly, by (19), (13), and Lemma I.4, we have $\Delta, \Theta_3; \theta_2 \theta_1 \theta_1 \Gamma \vdash N : \theta_2 A$ (21).

By (12), (14), (19), and Lemma G.6, we have $\Delta \vdash \theta_2 \theta_2 \theta_1 \Gamma$. We can then derive:

$$\Delta, \Theta_3; \theta_2 \theta_2 \theta_1 \Gamma \vdash M : \theta_3(A) \to B \text{ (by (20), (18))}$$

$$\Delta, \Theta_3; \theta_2 \theta_2 \theta_1 \Gamma \vdash N : \theta_2 A \text{ (by (21))}$$

App

Finally, we show $\Delta \vdash \theta_3 \circ \theta_2 \circ \theta_1 : \Theta \Rightarrow \Theta_3$. It follows from (12), (14), (19), and composition (II).

Case let $x = M$ in $N$: By definition of infer, we have $(\Theta_1, \theta_1, A) = \text{infer}(\Delta, \Theta, \Gamma, M)$ (22). By induction, this implies $\Delta, \Theta_1; \theta_1 \Gamma \vdash M : A$ (23) and $\Delta \vdash \theta_1 : \Theta \Rightarrow \Theta_1$ (24).

By definition of infer we further have

$$(\Delta'', \Delta'''') = \text{gen}(\Delta', A, M)$$

$$= \text{gen}((\Delta, (\text{ftv}(\theta_1 \Theta) - \Delta)), A, M)$$

where $\Delta''' = \text{ftv}(A) - (\Delta, (\text{ftv}(\theta_1) - \Delta)) = (\text{ftv}(A) - \Delta) - \text{ftv}(\theta_1)$ (25)

By applying Lemma I.1 to (22), we obtain principal$((\Delta, \Theta_1 - \Delta'''), \theta_1 \Gamma, \Delta''', A)$ (26).

We have $\Delta''' \subseteq \Theta_1$ and can therefore rewrite (23) as $\Delta, \Theta_1 - \Delta''', \Delta'''', \theta_1 \Gamma \vdash M : A$ (27).

Next, define $\Theta_1' = \text{denote}(\bullet, \Theta_1, \Delta''')$. Again by definition of infer we have $(\Theta_2, \theta_2, B) = \text{infer}(\Delta, \Theta_1' - \Delta''', (\theta_1(\Gamma), x : \forall \Delta''', A), N)$ (28).

By definition of $\Delta'''$, we have $\Delta''' \# \text{ftv}(\theta_1)$ and thus $\Delta \vdash \theta_1 : \Theta \Rightarrow \Theta_1 - \Delta'''$ (29).

We distinguish between $M$ being a generalisable value or not. In each case, we show that there exist $\Delta_G'', \Delta_G'''', \theta_2'$ and $A'$ such that the following conditions are satisfied:

$$\theta_2' \circ \theta_1 = \theta_2 \circ \theta_1$$

(30)

$$\Delta \vdash \theta_2' \circ \theta_1 : \Theta \Rightarrow \Theta_2$$

(31)

$$(\Delta_G'', \Delta_G''') = \text{gen}((\Delta, \Theta_2), \theta_2[A, M])$$

(32)

$$\Delta, \Theta_2; \Delta_G''', \theta_2' \theta_1 \Gamma \vdash M : \theta_2' A$$

(33)

$$(\Delta, \Theta_2), \Delta_G''', M, \theta_2' A \not\subseteq A'$$

(34)

$$\Delta, \Theta_2; (\theta_2' \theta_1 \Gamma, x : A') + N : B$$

(35)

principal$((\Delta, \Theta_2), \theta_2' \theta_1 \Gamma, M, \Delta_G''', \theta_2' A)$

(36)

Sub-Case $M \in GVal$: By definition of gen, we have $\Delta''' = \Delta'''$. We choose $\Delta_G'' := \Delta''$ and $\Delta_G''' := \Delta'''$.

In order to apply the induction hypothesis to (28), we need to show $\Delta, \Theta_1' - \Delta'' - \theta_1(\Gamma), x : \forall \Delta''''. A$. First, by (1) and (29) and Lemma G.6, we have $\Delta, \Theta_1 - \Delta''' - \theta_1(\Gamma)$.

Second, by (23) we have $\Delta, \Theta_1 \vdash A$ and thus $\Delta, \Theta_1 - \Delta''' - \forall \Delta'''. A$. It remains to show that for all $a \in \text{ftv}(A) - \Delta'''$ we have $\Delta, \Theta_1 \vdash a : \bullet$. For $a \in \Delta$, this follows immediately. Otherwise, we have $a \in \Theta_1 - \Delta'''$ and $a \in \text{ftv}(\theta_1)$, which implies that there exists $b \in \Theta$ such that $a \in \text{ftv}(b)$. If $b \in \text{ftv}(\Gamma)$, then by $\Delta, \Theta \vdash \Gamma$ we have $\Delta, \Theta_1 \vdash \theta(b) : \bullet$, which implies $\Delta, \Theta_1 \vdash a : \bullet$. Otherwise, if $b \notin \text{ftv}(\Gamma)$, then by Lemma I.9 we have $\theta(b) = b = a$ and $a \notin \text{ftv}(A)$, contradicting our earlier assumption. By $\Theta_1 - \Delta''' = \Theta_1' - \Delta''$ we then have $\Delta, \Theta_1' - \Delta'' + \theta_1(\Gamma), x : \forall \Delta'''. A$.

In summary, we can apply the induction hypothesis by which we then have $\Delta, \Theta_2; (\theta_2(\Gamma, x : \forall \Delta'''), A) + N : B$ (37) and $\Delta \vdash \theta_2 : \Theta_2 - \Delta'''' \Rightarrow \Theta_2$ (38). We choose $\theta_2' = \theta_2$ and $A' = \forall \Delta'''. \theta_2' A$, therefore satisfying (30) and (34).

By (29) and (38), condition (31) is also satisfied.

No type variable in $\Delta''$ is freely part of the input to infer that resulted in (28). As all newly created variables are fresh, we then have $\Delta''' \not\subseteq \Theta_2$ (39).
Due to our choice of $\theta'_2$ we have $\theta'_2(\theta_1(\Gamma)) = \theta_2(\theta_1(\Gamma))$ and by (38) and (39) also $\theta_2(\forall \Delta''.A) = \forall \Delta''.\theta'_2(A)$. Therefore, (37) is equivalent to (35).

By applying Lemma 1.3 to (26), (38) and (39) we show that (36) is satisfied.

Recall the following relationships:

$$\text{ftv}(A) \subseteq \Delta, \Theta_1$$

$$\text{ftv}(\theta) \subseteq \Delta, \Theta_1$$

$$\Delta'' = \text{ftv}(A) - \Delta - \text{ftv}(\theta) \subseteq \Theta_1$$

Therefore, $\text{ftv}(A) - \Delta - \text{ftv}(\theta)$ (i.e., $\Delta'''$) is equal to $\text{ftv}(A) - \Delta, (\Theta_1 - \Delta'')$. This results in $(\Delta'', \Delta''') = \text{gen}(\Delta, \Theta_1 - \Delta''), (\Delta', M)$ (40). Together with (38) and $\Delta'' \not\in \Theta_1$ we can then apply Lemma G.14(1) to (40), yielding satisfaction of (32).

By applying Lemma 1.4 to (27) and (38), we obtain (33).

Sub-Case $M \not\in \text{G Val}$: By definition of gen, we have $\Delta'' = \cdot$. Let $\Delta'''$ have the shape $(a_1, \ldots, a_n)$. We choose $\Delta''_G := \cdot$ and $\Delta'''_G := (b_1, \ldots, b_n)$ for $n$ pairwise different, fresh type variables $b_i$.

We show that the induction hypothesis is applicable to (28). To this end, we show $\Delta, \Theta_1 \vdash \Delta'' \vdash \theta_1(\Gamma), x : \forall \Delta''.A$. We have $\Delta, \Theta_1 \vdash \theta_1(\Gamma)$ and $\Delta, \Theta_1 \vdash A$ by (23). It remains to show that for all $a \in \text{ftv}(A)$ we have $(a : \bullet) \Delta, \Theta_1'$. If $a \in \Delta'''$, then by definition of $\Theta_1'$ we have $(a : \bullet) \in \Theta_1'$. Otherwise, if $a \in \Theta_1 - \Delta'''$, we use the same reasoning as in the case $M \in \text{G Val}$.

By induction, we then have $\Delta, \Theta_2; \theta_2(\theta_1(\Gamma), x : A) \vdash N : B$ (41) and $\Delta \vdash \theta_2 : \Theta'_1 \Rightarrow \Theta_2$. By Lemma G.10 the latter implies $\Delta \vdash \theta_2 : \Theta_1 \Rightarrow \Theta_2$ (42).

We define $\theta'_2$ such that

$$\theta'_2(c) = \begin{cases} b_i & \text{if } c = a_i \in \Delta''' \\ \theta_2(c) & \text{if } c \in \Theta_1 - \Delta''' \end{cases}$$

By (42) and the definition of $\Delta'''$ we then have $\Delta \vdash \theta'_2 : \Theta_1 \Rightarrow \Theta_2, \Delta'''_G$ (43). Observe that we have $\theta'_2(a) = \theta_2(a)$ for all $a \in \text{ftv}(\theta_1) - \Delta$ and therefore (30) as well as (31) are satisfied.

Furthermore, we define $\theta''_2$ such that $\theta''_2(a) = \theta_2(a)$ for all $a \in \Theta_1 - \Delta'''$, which implies $\Delta \vdash \theta''_2 : \Theta_1 - \Delta''' \Rightarrow \Theta_2$ (44) and $\theta''_G \circ \theta_1 = \theta_2 \circ \theta_1$ (45).

We define the instantiation $\delta$ such that $\delta(b_1) = \theta_2(a_i)$ for all $a_i \in \Delta'''$. By definition of $\Theta_1'$ and (42) we then have $\Delta, \Theta_2 \vdash \delta(b_1) : \bullet$ for all $b_1 \in \Delta'''_G$. This implies $\Delta \vdash \delta : \Delta''_G \Rightarrow \Theta_2$.

We define $\delta' := \delta(\theta'_2(A))$, which is identical to $\theta'_2(A)$. Together with $\theta_2(\theta_1(\Gamma)) = \theta'_2(\theta_1(\Gamma))$, this choice satisfies (34) and makes (41) equivalent to (35).

We have $\text{ftv}(\theta_2(A)) \subseteq \Delta, \Theta_2$ and $\Delta'''' \subseteq \text{ftv}(A)$. By $\theta'_2(\Delta''') = \Delta'''_G$ we have $\Delta'''' \subseteq \text{ftv}(\theta'_2(A))$. Together with $\text{ftv}(\theta'_2(a)) = \text{ftv}(\theta_2(a)) \not\subseteq \Delta'''_G$ holding for all $a \in \text{ftv}(A) - \Delta''''$, we then have $\text{ftv}(\theta'_2(A)) - \Delta, \Theta_2 = \Delta'''_G$. Therefore, we have $\text{gen}(\Delta, \Theta_2, \theta'_2(A), M) = (-, \Delta''''_G)$, satisfying (32).

Let $\delta_F$ be defined such that $\delta_F(a_i) = b_i$ for all $1 \leq i \leq n$, which implies $\Delta, \Theta \vdash \delta_F : \Delta'''' \Rightarrow \Delta''''_G$ and $\theta''_G \delta_F(A) = \theta_2'(A)$ (46) (by weakening $\theta''_G$ such that $\Delta, \Delta'''' + \theta''_G : \Theta_1 - \Delta'''' \Rightarrow \Theta_2$ ) Using Lemma 1.7, we then get principal$(\Delta, \Theta_1 - \Delta'''', \theta_2(\Gamma), M, \Delta''''_G, \delta_F, A)$.

We apply Lemma 1.3 to this refreshed principality statement and (44), which gives us principal$(\Delta, \Theta_2, \theta''_G \theta_1(\Gamma), M, \Delta''''_G, \theta'_2(A))$, which by (30) and (45) is equivalent to (36).

By applying Lemma 1.4 to (23) and (43), we obtain (33).

We have shown that (30) to (36) hold in each case. We can now derive the following:

$$(\Delta''_G, \Delta''''_G) = \text{gen}(\Delta, \Theta_2, \theta_2(A), M) \ (\text{by } (32))$$

$$\Delta, \Theta_2, \Delta''''_G, \theta'_2(\theta_1(\Gamma), M : \theta'_2(A) \ (\text{by } (33))$$

$$((\Delta, \Theta_2, \Delta''''_G, M, \theta'_2(A)) \vdash A' \ (\text{by } (34))$$

$$\Delta, \Theta_2; \theta_2(\theta_1(\Gamma), x : A') + N : B \ (\text{by } (35))$$

$$\text{princapi}(\Delta, \Theta_2, \theta'_2, \theta_1(\Gamma), M, \Delta''''_G, \theta'_2(A) \ (\text{by } (36))$$

$$\Delta, \Theta_2; \theta'_2(\theta_1(\Gamma) + x = M \in N : B \ (\text{by } (36))$$

By (30) and (31) we have therefore shown (I) and (II).

**Case let** $(x : A) = M \in N : L = A = \forall \Delta''.H$ for appropriate $\Delta''$ and $H$. By alpha-equivalence, we assume $\Delta'' \not\in \Theta$. According to (2), we have $\Delta \vdash A$ (47).
We reference the proof obligations above to indicate when we have shown them. By induction on the structure of \(\Delta, \Delta' \vdash \Delta'\), we have
\[
\Delta, \Delta' \vdash \Delta' \quad (49)
\]
\[
\text{ftv}(A) \not\# \Delta' \quad (50)
\]
\[
\Delta' \not\# \Theta \quad (51)
\]

**Sub-Case** \(M \in \text{GVal}: \) We have split\((A, M) = (\Delta'', H)\) (i.e., \(\Delta' = \Delta''\) and \(A' = A\)). Together with (47) we have \(\Delta, \Delta' \vdash H\) (satisfying (49)). Assumption \(\Delta'' \not\# \Theta\) satisfies (51). By \(A = \forall \Delta', A'\) we further have \(\text{ftv}(A) \not\# \Delta'\).

**Sub-Case** \(M \not\in \text{GVal}: \) We have split\((A, M) = (\cdot, A)\) (i.e., \(\Delta' = \cdot\) and \(A' = A\)). This immediately satisfies (50) and (51). It further makes (47) equivalent to (49). Moreover, by (2), we have \(\Delta, \Delta' \vdash M\) (52) using inversion.

We show that \(\Delta, \Theta_1, \Delta'; \theta_1 \Gamma \vdash M : A_1\) (53) holds. By (1) and since \(\Delta' \not\# \Theta\), we have \(\Delta, \Delta', \Theta \vdash \Gamma\). Together with (52), we then have \(\Delta, \Delta', \Theta; \theta' \Gamma \vdash M : A_1\) and \(\Delta, \Delta' \vdash \theta_1 : \Theta \Rightarrow \Theta_1\) (54) by induction. Further, this indicates \(\Delta' \not\# \Theta_1\).

By (53) we also have \(\Delta, \Delta', \Theta_1 \vdash A_1\). Recall \(\Delta, \Delta' \vdash A'\) and therefore \(\Delta, \Delta', \Theta_1 \vdash A'\). Thus, by Theorem 4, we have \(\theta'_{\Delta'}(A_1) = \theta'(A')\) (55) and \(\Delta, \Delta' \vdash \theta'_2 : \Theta_1 \Rightarrow \Theta_2\) (56).

According to the assertion, we have \(\text{ftv}(\theta'_2 \circ \theta_1) \not\# \Delta'\) (57). By definition of \(\text{infer}\), we have \(\theta_2 = \theta'_2 \circ \theta_1\) (58), yielding \(\Delta, \Delta' : \theta_2 : \Theta \Rightarrow \Theta_2\), which further implies \(\Delta' \not\# \Theta_2\) (59). By (57), we can strengthen \(\theta_2\) s.t. \(\Delta \vdash \theta_2 : \Theta \Rightarrow \Theta_2\) (60).

By (52), (53) and (56), and Lemma 1.4, we have \(\Delta, \Delta', \Theta_2; \theta'_2 \Gamma \vdash M : \theta'_2 A_1\). By (54), (56) and (58), this is equivalent to \(\Delta, \Delta', \Theta_2; \theta'_2 : \Gamma \vdash M : \theta'_2 A_1\) (61).

By (49) and (56) we have \(\theta'_2(A') = A'\). Together with (55), this makes (61) equivalent to \(\Delta, \Delta'; \theta_2 \Gamma \vdash M : A'\) (62).

By definition of \(\text{infer}\), we have \((\Theta_3, \theta_2, B) = \text{infer}(\Delta, \Theta_3, \theta_2 \Gamma, x : A, N)\). Due to (2), we have \(\Delta \vdash N\). By (47) and \(\Theta \not\# \Delta\), we have \(\Delta, \Theta_3 \vdash x : A\). Together with (1) and (60) we then have \(\Delta, \Theta_2 \vdash (\theta_2 \Gamma, x : A)\). Therefore, by induction, we have \(\Delta, \Theta_3 \vdash \theta_2 \Gamma, x : A \vdash N : B\) (63) and \(\Delta \vdash (\theta_2 \Gamma, \Theta) = \Theta_3\) (64).

According to (50) and (59), none of the variables in \(\Delta'\) are freely part of the input to \(\text{infer}\), yielding \(\Delta' \not\# \Theta_3\). Together with (59), we can then weaken (64) to \(\Delta, \Delta' \vdash \theta_3 \vdash \Theta_3 \Rightarrow \Theta_2\). By the latter, (62), (52), and Lemma 1.4, we have \(\Delta, \Theta_3, \Delta'; \theta_3 \theta_2 \Gamma \vdash M : \theta_3 A'\) (65).

Using a similar line of reasoning as before, we have \(\theta_3(A') = A'\) (66) and \(\theta_3(A) = A\) (67).

By (60), (64), and composition, we have \(\Delta \vdash \theta_3 \theta_2 : \Theta \Rightarrow \Theta_3\) (II).

Together with (1), we obtain \(\Delta, \Theta_3 \vdash \theta_3 \theta_2 \Gamma\) and can derive the following:

\[
\begin{align*}
(A', A) = \text{split}(A, M) \quad &\text{(by (48))} \\
A = \forall \Delta', A \quad &\text{(by (48))} \\
\Delta, \Theta_3, \Delta'; \theta_3 \theta_2 \Gamma \vdash M : A' \quad &\text{(by (65) and (66))} \\
\Delta, \Theta_3; \theta_3 \theta_2 \Gamma, x : A \vdash N : B \quad &\text{(by (63) and (67))} \\
\Delta, \Theta_3; \theta_3 \theta_2 \Gamma \vdash \text{let}(x : A) = M \quad &\text{in } N : B \quad \text{(I)} \\
\text{LET-ASCRIBE}
\end{align*}
\]

\(\square\)

### I.3 Completeness of type inference

**Theorem 7** (Type inference is complete and principal). Let \(\Delta \vdash M\) and \(\Delta, \Theta \vdash \Gamma\). If \(\Delta \vdash \theta_0 : \Theta \Rightarrow \Theta'\) and \(\Delta, \Theta' ; \theta_0(\Gamma) \vdash M : A_0\), then \(\text{infer}(\Delta, \Theta, \Gamma, M) = (\Theta'', \theta'', A_R)\) where there exists \(\theta''\) satisfying \(\Delta \vdash \theta''\) : \(\Theta'' \Rightarrow \Theta'\) such that \(\theta_0 = \theta'' \circ \theta'\) and \(\theta''(A_R) = A_0\)

**Proof.** By induction on the structure of \(M\). In each case, we assume \(\Delta \vdash M\) (I), \(\Delta, \Theta \vdash \Gamma\) (2), \(\Delta \vdash \theta_0 : \Theta \Rightarrow \Theta'\) (3), and \(\Delta, \Theta' ; \theta_0(\Gamma) \vdash M : A_0\) (4), which implies \(\Delta, \Theta' \vdash \theta_0(\Gamma)\) (5), and \(\Delta, \Theta' \vdash A_0\) (6). For each case, we show:

I. \(\text{infer}(\Delta, \Theta, \Gamma, M) = (\Theta'', \theta'', A_R)\)

II. \(\Delta \vdash \theta'' : \Theta'' \Rightarrow \Theta'\)

III. \(\theta_0 = \theta'' \circ \theta'\)

IV. \(\theta''(A_R) = A_0\)

We reference the proof obligations above to indicate when we have shown them.

**Case** \([x]\): By (4) and Freeze, we have \((x : A_0) \in \theta_0 \Gamma\). infer succeeds, and we have \(\Theta'' = \Theta, \theta'' = \iota_{\Delta, \Theta}\), and \(A_R = \Gamma(x)\). The latter implies \(A_0 = \theta_0(A_R)\).

We have \(\Delta \vdash \theta' : \Theta \Rightarrow \Theta\). Let \(\theta'' = \theta_0\). By (3) we then have \(\Delta \vdash \theta'' : \Theta \Rightarrow \Theta'\) (II). We observe \(\theta_0 = \theta'' = \theta'' \circ \iota_{\Delta, \Theta} = \theta'' \circ \theta'\) (III).

Finally, this yields \(\theta''(A_R) = \theta_0(A_R) = A_0\) (IV).
Case $x$: The derivation for (4) must be of the following form:

$$\frac{\text{VAR}}{x : \forall \Delta'.H' \in \theta_0 \Gamma \Delta', \Theta + \delta : \Delta' \Rightarrow \ast}.$$  

Therefore, there exists $x : \forall \Delta'.H \in \Gamma$ such that $\forall \Delta'.H' = \theta_0(\forall \Delta'.H)$. By alpha-equivalence, we assume that $\Delta''$ is fresh, yielding $\theta_0(\forall \Delta'.H) = \forall \Delta'.\theta_0H$. By (2), all free type variables in $H$ are monomorphic, meaning that $\theta_0H$ cannot have toplevel quantifiers. Thus, the quantifier structure is preserved by $\theta_0$; in particular $\Delta' = \Delta''$, $H' = \theta_0(H)$.

Further, due to our freshness assumption about $\Delta''$, we have $\Delta' \neq \Delta, \Theta(7)$ and $\Delta' \neq \Delta, \Theta'$. In total, we have $A_0 = \delta \theta_0 H(8)$ and $\Gamma(x) = \forall \Delta'.H(9)$ and $\theta_0 \Gamma(x) = \theta_0(\forall \Delta'.H) = \forall \Delta'.\theta_0H(10)$.

Let $\Delta' = \Gamma = (a_1, \ldots, a_n)$ with corresponding fresh $\overline{b} = (b_1, \ldots, b_n)$ for some $n \geq 0$. Then success yields $\Theta'' = (\Theta, \overline{b} : \ast)$, and $\theta' = i_{\Delta, \Theta}(11)$, and $A_R = H[\overline{b}/\overline{a}](12)$. Due to $\Theta \subseteq \Theta''$ and the freshness of $\overline{b}$, we have $\Delta + \theta' : \Theta \Rightarrow \Theta''(13)$.

We define $\theta''$ such that

$$\theta''(c) = \begin{cases} 
\theta_0(c) & \text{if } c \in \Theta \\
\delta(a_i) & \text{if } c = b_i \text{ for some } b_i \in \overline{b}
\end{cases} \quad (14)$$

By (3) and (14), for all $c : K \in \Theta$ we have $\Delta, \Theta' + \theta_0(c) : K(15)$. By $\Delta, \Theta' + \delta : \Delta' \Rightarrow \ast$, we have $\Delta, \Theta' + \delta(a) : \ast$ for all $a \in \Delta'$ and thus $\Delta, \Theta' + \theta''(b) : \ast$ for all $b \in \overline{b}$. Together, we then have $\Delta + \theta'' : \Theta'' \Rightarrow \Theta''(16)$.

It remains to show that $\theta''(H[\overline{b}/\overline{a}]) = A_0$ (by (7)).

We show that for all $c \in \text{ftv}(H) \subseteq \Delta, \Theta, \Delta'$, we have $\theta''(c[\overline{b}/\overline{a}]) = \delta \theta_0(c)(16)$. We distinguish three cases:

1. Let $c = a_i \in \Delta'$. We then have

$$a_i = \theta_0(a_i) \quad (by \ (3))$$

implies

$$\theta''(a_i[\overline{b}/\overline{a}]) = \delta (a_i) \quad (by \ (14): \theta''(b_i) = \delta(b_i))$$

2. Let $c \in \Theta$. We then have

$$\theta_0(c) = \theta_0(c)$$

implies

$$\theta_0(c[\overline{b}/\overline{a}]) = \theta_0(c) \quad (by \ (7): \ c[\overline{b}/\overline{a}] = c)$$

implies

$$\theta''(c[\overline{b}/\overline{a}]) = \delta \theta_0(c) \quad (by \ (14): \theta''(c) = \delta(c) \forall c \in \Theta)$$

3. Let $c \in \Delta$. Then all involved substitutions/instantiations return $c$ unchanged.

By (12) and (8), (16) then yields $\theta''(A_0) = A_0(IV)$.

Case $\lambda x.M$: By (4) and LAM, we have $A_0 = S' \rightarrow B'$ for some $S', B'$ as well as $\Delta, \Theta; \theta_0 \Gamma, (x : S') + M : B'(17)$.

The latter implies $\Delta, \Theta' + S' : \Theta(18)$.

Let $a$ be the fresh variable as in the definition of infer; in particular $a \# \Theta (19)$. Let $\theta_a$ be defined such that $\theta_a(b) = \theta_0(b)$ for all $b \in \Theta (20)$ and $\theta_a(a) = S'(21)$. By (3) and (18), we have $\Delta + \theta_a : (\Theta, a : \bullet) \Rightarrow \Theta''(22)$. This definition makes (17) equivalent to $\Delta, \Theta'; \theta_0 \Gamma, (x : a) + M : B'$.

By induction, we therefore have that $\text{infer}(\Delta, (\Theta, a : \bullet), (\Gamma, x : a), M)$ succeeds (23), returning $(\Theta_1, \theta_1', B)$ and there exists $\theta_1''$ s.t.

$$\Delta + \theta_1'' : \Theta_1 \Rightarrow \Theta' \quad (24)$$

$$\theta_a = \theta_1'' \circ \theta_1' \quad (25)$$

$$\theta_1''(B) = B' \quad (26)$$

By Theorem 6, we have $\Delta + \theta_1' : (\Theta, a : \bullet) \Rightarrow \Theta_1(27)$. By preservation of kinds under substitution, we have $\Delta, \Theta_1 + \theta_1'(a) : \bullet$. This implies that $\theta_1'(a)$ is a syntactic monotype. Thus, $\theta_1' = \theta[a \mapsto S](28)$ is well-defined, yielding a substitution $\Delta + \theta : \Theta \Rightarrow \Theta_1$. Hence, all steps of infer succeed.

According to the return values of infer, we have $A_R = S \rightarrow B, \Theta'' = \Theta_1$, and $\theta' = \theta(29)$.

Let $\theta''$ be defined as $\theta''(30)$. By (24), (25) this choice immediately satisfies (II).

---

* Observe that we cannot deduce this from (24) and (25). A counter-example would be the following: $\Theta = (a : \bullet), \Theta'' = (b : \bullet), \theta' = (c : \bullet), \theta'' = (a \mapsto b), \theta'' = (b \mapsto c)$. We have $\vdash (\theta'' \circ \theta') : \Theta \Rightarrow \Theta'$ and $\vdash \theta'' : \Theta'' \Rightarrow \Theta'$, but not $\vdash \theta' : \Theta' \Rightarrow \Theta''$.  

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We show (III) as follows: Let \( b \in \Theta \). We then have
\[
\begin{align*}
\theta_0(b) &= \theta_0(b) \quad \text{(by (19) and (20))} \\
&= \theta''_0(b) \quad \text{(by (22), (24), (25) and (27))} \\
&= \theta''_0(b) \quad \text{(by (19), (28))} \\
&= \theta''_0(b) \quad \text{(by (29), (30))}
\end{align*}
\]
By (28), we have \( \theta''_0(a) = S \). By (21), we have \( \theta_0(a) = S' \). By (25) we therefore have \( \theta''_0(S) = \theta_0(a) = S' \). Together with (26), \( A_0 = S' \to B' \), and \( A_R = S \to B \) we have shown (IV).

**Case** \( \lambda x : A).M \): This case is analogous to the previous one; the only difference is as follows:

By (4) and Lam-Ascribe, we have \( A_0 = A \to B' \) for some \( B' \) as well as \( \Delta, \Theta'; \theta_0, \Gamma, (x : A) \vdash M : B' \). However, by (1), we have \( \Delta \vdash A \) and therefore \( \theta_0(A) = A \).

Hence, we can apply the induction hypothesis directly to the typing judgement above, rather than having to construct \( \theta_a \).

**Case** \( M N \): By (4) and App, we have \( \Delta, \Theta'; \theta_0, \Gamma, M \vdash A_N \to A_0 \) and \( \Delta, \Theta'; \theta_0, \Gamma, N \vdash A_N \) (31) for some type \( A_N \). The former implies \( \Delta, \Theta' \vdash A_0 \) (32).

By induction, infer \((\Delta, \Theta, \Gamma, M)\) succeeds, returning \((\Theta_1, \theta_1, A')\) and there exists \( \theta''_1 \) such that the following conditions hold:
\[
\begin{align*}
\Delta \vdash \theta''_1 : \Theta_1 &= \Theta' \\
\theta_1 &= \theta''_1 \circ \theta_1 \\
\Delta, \Theta' \vdash \theta''_1(A') &= A_N \to A_0
\end{align*}
\]
By (35), \( A' \) must not have toplevel quantifiers. Let \( B_N \) and \( B_M \) such that \( A' = B_N \to B_M \) (36). This yields \( \theta''_1(B_N) = A_N \) (37) and \( \theta''_1(B_M) = A_0 \) (38).

By Theorem 6, we have \( \Delta \vdash \theta_1 : \Theta_1 \Rightarrow \Theta_1 \) (39) and \( \Delta, \Theta_1; \theta_0, \Gamma \vdash M : A' \), which implies \( \Delta, \Theta_1 \vdash A' \). By choosing \( b \) as fresh, we have \( b \# \Delta \) and \( b \# \Theta_1 \), and \( b \# \Theta_2 \) and \( b \# \Theta' \) (40).

By (34), we can rewrite (31) as \( \Delta, \Theta'; \theta''_1, \Gamma \vdash N : A_N \). By induction (using (33)), we then have that infer \((\Delta, \Theta_1, \theta_1, \Gamma, N)\) succeeds, returning \((\Theta_2, \theta_2, A)\) and there exists \( \theta''_2 \) such that
\[
\begin{align*}
\Delta \vdash \theta''_2 : \Theta_2 &= \Theta' \\
\theta''_2 &= \theta''_2 \circ \theta_2 \\
\Delta, \Theta' \vdash \theta''_2(A) &= A_N \to A_0
\end{align*}
\]
By Theorem 6, \( \Delta \vdash \theta_2 : \Theta_1 \Rightarrow \Theta_2 \) (44) as well as \( \Delta, \Theta_2; \theta_0, \Gamma \vdash N : \Theta , \) which implies \( \Delta, \Theta_2 \vdash A \) (45).

Let \( \theta_b \) be defined such that
\[
\begin{align*}
\theta_b(c) &= \begin{cases} 
\theta''_2(c) & \text{if } c \in \Theta_2 \\
\theta''_1 \circ \theta_2(b) & \text{if } c = b
\end{cases}
\end{align*}
\]
We have \( \theta_b(b) = \theta''_1 \circ \theta_2(b) = \theta''_1(b) = A_0 \) (47). By (32) and (41) we thus have \( \Delta \vdash \theta_b : (\Theta_2, b : \star) \Rightarrow \Theta' \). Due to (45), we further have \( \theta_b(A) = \theta''_2(A) \) (48).

We show applicability of the completeness of unification theorem:
\[
\begin{align*}
\theta_b \theta_2(A') &= \theta_0 \theta_2(b) \quad \text{(by (36))} \\
&= \theta''_0 \circ \theta_2(b) \quad \text{(by (22), (24), (25) and (27))} \\
&= \theta''_0 \circ \theta_2(b) \quad \text{(by (19), (28))} \\
&= \theta''_0 \circ \theta_2(b) \quad \text{(by (29), (30))}
\end{align*}
\]
By the equality above as well as \( \Delta, \Theta_2 \vdash \theta_2(A') \) and \( \Delta, \Theta_2, b : \star \vdash (A \to b) \), Theorem 5 states that unify \( \Delta, (\Theta_2, b : \star), \theta_2(H), A \to b \) succeeds, returning \( \Theta_3, \theta_3 \), and there exists \( \theta''_3 \) such that \( \Delta \vdash \theta''_3 : \Theta_3 \Rightarrow \Theta_3 \) (49) and \( \theta_b = \theta''_3 \circ \theta_3 \) (50).

The latter implies \( \Delta \vdash \theta''_3 : (\Theta_2, b : \star) \Rightarrow \Theta_3 \). This makes defining \( \theta''_3 = \theta_3[b \to B] \) (51) succeed, resulting in \( \Delta \vdash \theta_3 : \Theta_3 \Rightarrow \Theta_3 \) (52).

Observe that \( \theta''_3 \) arises from \( \theta_b \) in the same way as \( \theta_3 \) arises from \( \theta''_3 \) by removing \( b \) from its domain. Therefore, (50) yields \( \theta''_2 = \theta''_3 \circ \theta_3 \) (53).
By (39), (44), (52), and composition, we have $\Delta \vdash \theta_3 \circ \theta_2 \circ \theta_1 : \Theta \Rightarrow \Theta_3$.

We assume without loss of generality that $\Delta$ succeed and it returns $(\Theta'', \theta', A_R) = (\Theta_3, \theta_3 \circ \theta_2 \circ \theta_1, B)$ (54).

Let $\theta''$ be defined as $\theta''_3$, satisfying (II), by (49).

We show satisfaction of (III) as follows:

$$\theta_0 = \theta''_3 \circ \theta_1 \quad \text{(by (34))}$$

$$= (\theta'_3 \circ \theta_2) \circ \theta_1 \quad \text{(by (42))}$$

$$= ((\theta''_3 \circ \theta_2) \circ \theta_1) \quad \text{(by (53))}$$

$$= \theta'' \circ \theta'$$

We show (IV):

$$\theta''(A_R) = \theta''(\theta_3) \quad \text{(by (39)) and weakening, we have}$$

$$\theta''(\theta_3) = \theta''(\theta_3 \circ \theta_2 \circ \theta_1) \quad \text{(by (51))}$$

$$= \theta_3(b) \quad \text{(by (50))}$$

$$= A_0 \quad \text{(by (47))}$$

Case let $x = M$ in $N$: By (4) and LET, there exist $A'$, $A_x$, and $\Delta_G$ such that

$$\Delta_G = \text{ftv} (A') - (\Delta, \Theta') \quad \text{(55)}$$

$$\Delta, \Theta', \Delta_G : \theta_3 \Gamma \vdash M : A' \quad \text{(56)}$$

$$((\Delta, \Theta'), \Delta_G, M, A') \in A_x \quad \text{(57)}$$

$$\Delta, \Theta' : \theta_3 \Gamma, x : x \vdash N : A_0 \quad \text{(58)}$$

principal$(\Delta, \Theta', \theta_3 \Gamma, \Delta_G, A') \quad \text{(59)}$

We assume without loss of generality that $\Delta_G$ is fresh, in particular $\Delta_G \# \Theta$. This is justified, as we may otherwise apply Lemma G.15 to (56) using a substitution that does the necessary freshening. This would yield corresponding judgements for deriving $\Delta, \Theta', \theta_3 \Gamma \vdash x = M \in N : A_0$.

By (3) and weakening, we have $\Delta \vdash \theta_3 : \Theta \Rightarrow \Theta', \Delta_G$. Together with (56) we then have that infer$(\Delta, \Theta, \Gamma, M)$ succeeds, returning $(\Theta_1, \theta_1, A)$, and there exists $\theta''_3$ such that

$$\Delta \vdash \theta''_3 : \Theta_1 \Rightarrow (\Theta', \Delta_G) \quad \text{(60)}$$

$$\theta_0 = \theta''_3 \circ \theta_1 \quad \text{(61)}$$

$$\theta''_3(A) = A' \quad \text{(62)}$$

By (1) and (2), Theorem 6 yields $\Delta \vdash \theta_1 : \Theta \Rightarrow \Theta_1 (63)$ and $\Delta, \Theta_1, \theta_1 \Gamma \vdash M : A$, which implies $\Delta, \Theta_1 \vdash A (64)$.

Note that $\Delta_G$ does not appear as part of the input to infer, and we therefore have $\Delta_G \# \Theta_1$.

Let $\Theta_0 = \text{ftv}(\theta_1) - \Delta$, which implies $\Theta_0 \subseteq \Theta_1$ and $\Delta'' \# \Theta_0$ and $\Delta'' \# \Theta_0$. By (3), (60) and (61) we have $\Delta, \Theta' \vdash \theta''_3 (a) : K$ for all $(a : K) \in \Theta_0$ (65).

By (3), (55), (59), (60) to (62) and (64), we can apply Lemma 1.8, yielding $\theta''(\Delta'') = \Delta_G$ (66).

We have $\Delta'' \# \Theta_0$, and can therefore strengthen (63) to $\Delta \vdash \theta_1 : \Theta \Rightarrow \Theta_1 - \Delta''$ (67).

We distinguish two cases based on the shape of $M$. In each case we show that there exists $\theta''_3$ such that

$$\Delta \vdash \theta''_3 : (\Theta_1' - \Delta'') \Rightarrow \Theta' \quad \text{(68)}$$

$$\Delta, \Theta'; \theta''_3 (\theta_1(\Gamma), x : \forall \Delta'' . A) \vdash N : A_0 \quad \text{(69)}$$

$$\theta_0 = \theta''_3 \circ \theta_1 \quad \text{(70)}$$

Subcase 1, $M \in \text{GVal}$: We have $\Delta'' = \Delta''$. By (57), we have that $A_x = \forall A_G . A'$ holds.

According to $\Delta'' = \Delta''$ and $\Theta'_1 = \text{denote}(\bullet, \Theta_1, \Delta'')$ we have that $\Theta'_1 - \Delta'' = \Theta_1 - \Delta''$.

Let $\theta''_3$ be defined as follows for all $c \in \Theta_1 - \Delta'' = \Theta_1' - \Delta''$:

$$\theta''_3 (c) = \begin{cases} \theta''_3 (c) & \text{if } c \in \Theta_0 \\ A_D & \text{if } c \in \Theta_1 - \Delta'' - \Theta_0 \\ \end{cases}$$

Where $A_D$ is some arbitrary type with $\Delta, \Theta' + A_D : \bullet$ (e.g., Int). By $\Theta_0 \subseteq \Theta_1$, this definition is well-formed.

By $\Delta'' = \Delta'' = \text{ftv}(A) - \Delta - \Theta_0$ we have $\theta''_3 (c) = \theta''(c)$ for all $c \in \text{ftv}(A) - \Delta''$ (71).

Together with (65) and $\Delta, \Theta' + A_D : \bullet$, we then have $\Delta, \Theta' + \theta''_3 (c) : K$ for all $(c : K) \in \Theta_1 - \Delta''$ and therefore $\Delta \vdash \theta''_3 : \Theta'_1 - \Delta'' \Rightarrow \Theta'$.
By (61) and (67) and $\theta''_N(c) = \theta''_1(c)$ for all $c \in \Theta_1$, we also have $\theta_0 = \theta''_N \circ \theta_1$.

We have
\[
\begin{align*}
\theta''_N(\forall \Delta''. A) &= \theta''_N(\forall \Delta_G. A[\Delta_G/\Delta'']) \\
&= \forall \Delta_G. \theta''_N(A[\Delta_G/\Delta'']) \\
&= (\text{by } \forall \theta''_N(c) \subseteq \Delta, \Theta' \text{ and } \Delta, \Theta' \neq \Delta_G \neq \Theta_1) \\
&= \forall \Delta_G. \theta''_N(A) \\
&= (\text{by } \Delta'' = \Delta''' \text{ and (66) and (71)}) \\
&= A_x \\
&= (\text{by } A_x = \forall \Delta_G. A'[\Delta_G/\Delta''/\Delta_G])
\end{align*}
\]

Thus, (58) is equivalent to $\Delta, \Theta'; \theta''_N((\theta_1, \Gamma), x : \forall \Delta''. A) \vdash N : A_0$.

**Subcase 2, $M \notin \text{GVal}$:** We have $\Delta'' = \cdot$. By (57), we have $A_x = \delta(A')$ for some $\delta$ with $\Delta, \Theta' + \delta : \Delta_G \Rightarrow \cdot$.

Let $\theta''_N$ be defined as follows for all $c \in \Theta_1 - \Delta'' = \Theta_1$:
\[
\theta''_N(c) = \begin{cases} 
\theta''_1(c) & \text{if } c \in \Theta_1 \\
A_D & \text{if } c \in \Theta_1 - \Delta'' - \Theta_1 \\
\delta(\theta''_1(c)) & \text{if } c \in \Delta''
\end{cases}
\]

(73)

Here, $A_D$ is defined as before.

By $\Delta'' \neq \Theta_1$, $\Delta'' \subseteq \Theta_1$, and $\Theta_1 \subseteq \Theta_1$, the three cases are non-overlapping and exhaustive for $\Theta_1$.

Using (65), we have that $\Delta, \Theta' + \theta''_N(c') : K$ for all $(c : K) \in \Theta_1$. Note that by $\Delta'' \neq \Theta_1$, we have $\Theta_1(c) = \Theta_1'(c)$ for all $c \in \Theta_1$.

By (72), we have $\Delta, \Theta' + \delta(c) : \cdot$ for all $c \in \Delta_G$, and therefore $\Delta, \Theta' + \theta''_N(c') : \cdot$ for all $(c : K) \in \Delta''$. Together with $\Delta, \Theta' + A_D : \cdot$, we then have $\Delta + \theta''_N : \Theta_1' \Rightarrow \Theta'$. By Lemma G.10, we also have $\Delta + \theta''_N : \Theta_1 \Rightarrow \Theta'$. We have $\theta''_N(c) = \theta''(c)$ for all $c \in \Theta_1$, and together with (63), (61), and $\Delta'' = \cdot$ we then have $\theta_0 = \theta''_N \circ \theta_1$.

We have
\[
\begin{align*}
\theta''_N(\forall \Delta''. A) &= \theta''_N(A) \\
&= \theta''_1(A) [\delta(\Delta_G)/\Delta_G] \\
&= A'[\delta(\Delta_G)/\Delta_G] \\
&= \delta(A') \\
&= A_x
\end{align*}
\]

We have shown that in each case, (68), (69), and (70) hold. Using the same reasoning as in the case for unannotated let in the proof of Theorem 6, we obtain $\Delta, \Theta_1 - \Delta'' \vdash \theta_1 : \Gamma$.

Thus, by induction, we have that infer($\Delta, \Theta_1' - \Delta'', \theta_1, \Gamma, N$) succeeds, returning ($\theta_2, \theta_2, B$), and there exists $\theta''_N$ such that
\[
\begin{align*}
\Delta + \theta''_N : \Theta_2 \Rightarrow \Theta' \\
\theta''_N = \theta''_1 \circ \theta_2 \\
\theta''_N(B) = A_0
\end{align*}
\]

(74) (75) (76)

By the return values of infer, we have $\Theta' := \Theta_2$, and $\theta' := \theta_2 \circ \theta_1$ and $A_R := B$.

Let $\theta'' = \theta''_N$. By (74), this choice immediately satisfies (II).

We have
\[
\begin{align*}
\theta_0 &= \theta''_1 \circ \theta_1 \quad \text{(by (70))} \\
\theta_0 &= \phi(\theta_2 \circ \theta_1) \quad \text{(by (75))}
\end{align*}
\]

and therefore $\theta_0 = \theta'' \circ \theta'$ (III).

We show satisfaction of (IV) as follows:
\[
A_0 = \theta''(B) \quad \text{(by (76))} \\
= \theta''(B) \quad \text{(by $\theta'' := \theta''_N$)}
\]

**Case let $x : A = M$ in $N$:** By (4) and LET-ASCRIBE, there exist $\Delta_G$ and $A_M$ such that we have
\[
\begin{align*}
\Delta_G, A_M &= \text{split}(A, M) \\
\Delta, \Theta', \Delta_G, \theta_0 &\vdash M : A_M \\
A &= \forall \Delta_G, A_M \\
\Delta, \Theta'; \theta_0, \Gamma, (x : A) &\vdash N : A_0
\end{align*}
\]

(77) (78) (79) (80)

By alpha-equivalence, we assume $\Delta_G \neq \Theta$. 

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We then have

\[ \Delta, \Delta' \vdash \theta_0 : \Theta \Rightarrow \Theta' \]

By inversion on (1), we have \( \Delta, \Delta' \vdash M \) and \( \Delta \vdash N \) and and \( \Delta \vdash A \) (83), which implies \( \Delta, \Delta' \vdash A' \) (84).

Together with (78) we then have the following by induction: infer((\( \Delta, \Delta' \), \( \Theta, \Gamma, M \)) succeeds, returning \( (\Theta_1, \theta_1, A_1) \) and there exists \( \theta_1'' \) such that

\[
\begin{align*}
\Delta, \Delta' & \vdash \theta_1'' : \Theta_1 \Rightarrow \Theta' \\
\theta_0 & = \theta_1'' \circ \theta_1 \\
\theta_1''(A_1) & = \Lambda_M
\end{align*}
\]

Theorem 6 yields \( \Delta, \Delta' \vdash \theta_1 : \Theta \Rightarrow \Theta_1 \) (88) and \( \Delta, \Delta', \Theta_1; \theta_1(\Gamma) \vdash M : A_1 \), which implies \( \Delta, \Delta', \Theta_1 \vdash A_1 \) (89).

We then have

\[
\begin{align*}
\theta_1''(A_1) \\
= & \ A_1 \\
= & \ A' \\
= & \ = \theta_1''(A') \\
& \text{(by (87))} \\
& \text{(by (81))} \\
& \text{(by (84) and (85))}
\end{align*}
\]

In addition to above equality and (85) as well as (89), we have \( \Delta, \Delta', \Theta_1 \vdash A' \) by weakening (84). Hence, Theorem 5 yields the following: unify((\( \Delta, \Delta' \), \( \Theta_1, A', A_1 \)) succeeds, returning \( (\Theta_2, \theta_2') \), and there exists \( \theta_2'' \) such that

\[
\begin{align*}
\Delta, \Delta' & \vdash \theta_2'' : \Theta_2 \Rightarrow \Theta' \\
\theta_2' & = \theta_2'' \circ \theta_1' \\
\theta_2''(A_1) & = \Lambda_3
\end{align*}
\]

By Theorem 4, we have \( \Delta, \Delta' \vdash \theta_2' : \Theta \Rightarrow \Theta_2 \) (92).

By (86) and (91), we have \( \theta_0 = \theta_2'' \circ \theta_2' \circ \theta_1 = \theta_2'' \circ \theta_2 \) (93). We show \( \text{ftv}(\theta_2) \subseteq \Delta, \Theta_2 \): Otherwise, if \( a \in \Theta \) and \( b \in \Delta' \) such that \( b \in \text{ftv}(\theta_2(a)) \), then by (90), \( \theta_2''(b) = b \) and \( b \in \text{ftv}(\theta_2''(\theta_2(a))) = \text{ftv}(\theta_2(a)) \), violating (3).

Therefore, the assertion \( \text{ftv}(\theta_2) \neq \Delta' \) succeeds, allowing us to strengthen (92) to \( \Delta \vdash \theta_2 : \Theta \Rightarrow \Theta_2 \) (94).

By (83) we have \( \text{ftv}(A) \subseteq \Delta \), and together with (90) this yields \( \theta_2''(A) = A \) (95).

We have

\[
\begin{align*}
\Delta, \Theta'; \theta_0, x : A & \vdash N : A_0 \\
& \text{(by (80))} \\
\text{implies } \Delta, \Theta'; \theta_2'' \theta_2 \Gamma, x : A & \vdash N : A_0 \\
& \text{(by (90), (92) and (93))} \\
\text{implies } \Delta, \Theta'; \theta_2''(\theta_2(\Gamma), x : A) & \vdash N : A_0 \\
& \text{(by (95))}
\end{align*}
\]

By (2) and (94), we have \( \Delta, \Theta_2 \vdash \theta_2(\Gamma) \). Together with (83), we then have \( \Delta, \Theta_2 \vdash \theta_2(\Gamma), x : A \).

Hence, induction on (94) and (96) shows that infer((\( \Delta, \Theta_2, (\theta_2\Gamma, x : A), N \)) succeeds, returning \( (\Theta_3, \theta_3, B) \) and there exists \( \theta_3'' \) such that

\[
\begin{align*}
\Delta & \vdash \theta_3'' : \Theta_3 \Rightarrow \Theta' \\
\theta_2'' & = \theta_3'' \circ \theta_3 \\
\theta_3''(B) & = A_0
\end{align*}
\]

We have shown that all steps of the algorithm succeed. According to the return values of infer, we have \( \Theta'' = \Theta_3 \), \( \theta'' = \theta_3 \circ \theta_2 \), and \( A_R = B \). Let \( \theta'' = \theta_3'' \). By (97), this choice immediately satisfies (II).

We show (III):

\[
\begin{align*}
\theta_0 & = \theta_2'' \circ \theta_2 \circ \theta_1 \\
& = \theta_3'' \circ \theta_3 \circ \theta_2 \circ \theta_1 \\
& = \theta'' \circ \theta' \ 	ext{(by } \theta' := \theta_3 \circ \theta_2, \theta'' := \theta_3''\text{)}
\end{align*}
\]

By \( \theta'' = \theta_3'' \), (99) yields (IV).