

# Talking Bananas

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## Abstract

Session types provide static guarantees that concurrent programs respect communication protocols. In this paper, we give a novel account of recursive session types, based on the initial algebra semantics of recursion; we show a strong connection between our account of recursive session types and linear logic; and, we resolve long-standing problems in the syntactic treatment of duality for recursive session types.

Recent work established GV, a small concurrent extension of linear lambda calculus, as a foundation for functional programming with session types. We extend GV with recursive types and catamorphisms, and show that doing so naturally gives rise to recursive session types. By taking a principled semantic approach to recursion, we resolve long-standing problems in the syntactic treatment of duality for recursive session types.

We characterize the expressiveness of GV concurrency, by giving a CPS translation to (non-concurrent)  $\lambda$ -calculus and proving that reduction in GV is simulated by full reduction in  $\lambda$ -calculus. This shows that GV remains terminating in the presence of recursive types, and that such arguments extend to other extensions of GV, such as polymorphism or non-linear types, by appeal to normalization results for sequential  $\lambda$ -calculi. We also show that GV remains deadlock free and deterministic in the presence of recursive types.

Finally, we extend CP, a session-typed process calculus based on linear logic, with recursive types, and show that we preserve the connection between reduction in GV and cut elimination in CP.

## 1. Introduction

Concurrency and communication have become central problems in modern software design and engineering, from hand-held applications relying on remote services to provide key functionality, through traditional applications now running on multi-core hardware, to distributed applications running across data centers. Assuring correct behavior for concurrent programs requires reasoning not just about the type of data communicated, but about the order in which communication takes place. For instance, the messages between an SMTP server and client are all strings representing SMTP commands, but a client that sends the recipient’s address before the

sender’s address is in violation of the protocol despite having sent well-formed SMTP commands.

Session types, originally proposed by Honda [18], are an approach to statically verifying communicating concurrent programs. A session type specifies the expected communication along a channel. For example, consider a simplification of the client’s view of the SMTP protocol. After being authenticated, a client has the option of sending one or more messages, each consisting of a sender’s address, recipient’s address, and message, in that order. We could express this with the following session type:

$$Client \stackrel{\text{def}}{=} !FromAddress.!ToAddress.!Message.Client \oplus !Quit.end$$

where type constants *FromAddress*, *ToAddress*, *Message*, and *Quit* denote the corresponding SMTP commands. This definition makes use of several session type constructors. The type  $!T.S$  denotes sending a value of type  $T$  before continuing with behavior  $S$ ,  $S \oplus S'$  denotes communicating a choice between behaviors  $S$  and  $S'$ ,  $end$  denotes the end of a session, and finally we make use of recursive definition to specify repetition in the protocol. A key aspect of session typing is duality. The session type of an SMTP server is dual to that of the client:

$$Server \stackrel{\text{def}}{=} ?FromAddress.?ToAddress.?Message.Server \oplus ?Quit.end$$

This type definition uses dual features to those in the client’s type:  $?T.S$  denotes receiving a value of type  $T$  before continuing as  $S$  and  $S \oplus S'$  denotes receiving a choice between  $S$  and  $S'$ .

We present a novel account of recursive session types. Following initial algebra semantics, we characterize recursive computation by catamorphisms (folds) rather than by an arbitrary fixed-point operator. This formulation differs from traditional presentations of recursive session types in three ways. First, we identify dual notions of recursion, corresponding to producers and consumers, rather than having a single self-dual notion of recursion in session types. Second, as they are based in well-founded recursive data types, our recursive session types guarantee termination and lock freedom. Third, following algebraic ideas of recursion and duality leads to a sound syntactic characterization of duality for recursive session types. Previous syntactic formulations of session type duality rely on ad-hoc expansion of recursive types, and many incorrectly identify non-dual processes as dual.

We present our formulation of recursive session types as an extension, called  $\mu$ GV, to a core concurrent  $\lambda$ -calculus called GV. We extend GV with recursive types, recursive session types, and folds over both recursive types and recursive session types, and show that these are sufficient to write non-trivial programs using recursive session types. Previous work on GV has minimized the core calculus by encoding session-typed features in terms of functional features and simple input and output primitives. We continue this thread, showing that recursive session types can be encoded in terms of recursive data types. This result simplifies the concur-

rent semantics of  $\mu\text{GV}$ ; for example, it allows us to apply previous results on deadlock freedom and determinism of  $\text{GV}$  to  $\mu\text{GV}$  unchanged.

We also seek to characterize the expressiveness of  $\mu\text{GV}$ 's concurrency. To do so, we give a CPS translation from  $\text{GV}$  into linear  $\lambda$ -calculus (without concurrency), and show that full reduction in the latter simulates reduction in the former. This also allows us to extend standard results on termination in sequential  $\lambda$ -calculi to results for termination of extensions of  $\text{GV}$ . Most immediately, we can conclude that  $\mu\text{GV}$  is terminating. The approach applies equally well to other extensions of  $\text{GV}$ , such as with polymorphism or non-linear types.

Recent work by Caires and Pfenning [10] and Wadler [29] has developed a correspondence between reduction in process calculi and cut elimination in linear logics.  $\text{GV}$  has a close connection to Wadler's logic-based process calculus  $\text{CP}$ : Lindley and Morris [23] demonstrated translations between  $\text{GV}$  and  $\text{CP}$  such that reduction in each simulates reduction in the other. We extend this observation to recursive session types. To do so, we define an extension of  $\text{CP}$  to include recursive types, following Baelde's formulation of fixed points in classical linear logic [2], and then extend the semantics-preserving translation between  $\text{CP}$  and  $\text{GV}$  to include the recursive types and their inhabitants.

Finally, we consider extending  $\mu\text{GV}$  with corecursive types as well as recursive data types; correspondingly, we can encode corecursive session types as well as recursive session types. By identifying least and greatest fixed points in the resulting calculus, we obtain a system that admits non-terminating communication, but still guarantees deadlock freedom and productivity.

Recent work by Toninho et al [27] has also explored corecursive session types from a propositions-as-types perspective. Despite having similar aims, our approach differs from theirs in three significant ways. First, we identify parallels between concurrent and sequential abstractions, in this case between recursive and corecursive data types and recursive session types. Toninho et al., in contrast, develop corecursive session types directly from the corresponding proof theory. This simplifies our concurrent semantics, as we do not have to account for recursive communication directly. Second, we identify two forms of recursive session types—corresponding to encodings based on recursive and corecursive data types—and that their composition can provide unbounded computation. Toninho et al. identify one of these forms, but not the other. Third, as illustrated by the equivalence with  $\mu\text{CP}$ , our session types are fundamentally classical, while Toninho et al. build on intuitionistic proof theory. Thus, for example, our results on the duality of recursive session types do not arise from their approach. We see the coincidence of our typing rules with theirs, despite the significant differences in methodology and foundations, as reinforcing the relevance of both lines of inquiry.

**Outline.** We present the syntax and semantics of our session-typed functional language  $\mu\text{GV}$  (§2), and demonstrate that recursive session types can be encoded in terms of recursive data types. We characterize the expressivity of  $\text{GV}$  concurrency by a CPS translation to a non-concurrent  $\lambda$ -calculus (§3). In doing so, we show that  $\mu\text{GV}$  is terminating, and thus (in combination with existing work on  $\text{GV}$ ) free from livelock and deadlock. To establish a strong connection between  $\mu\text{GV}$  and linear logic, we present an extension of  $\text{CP}$ , called  $\mu\text{CP}$ , which includes least and greatest fixed points and corresponding recursive and corecursive proof terms, and show semantics-preserving translations from  $\mu\text{GV}$  to  $\mu\text{CP}$  and vice versa (§4). We extend  $\text{GV}$  with corecursive types and session types, and discuss the connection to languages with non-termination (§5). We conclude by discussing related work (§6).

## 2. The $\mu\text{GV}$ Language

We now turn to the  $\mu\text{GV}$  language and its semantics. We begin by introducing *functional  $\mu\text{GV}$* , the functional fragment of  $\mu\text{GV}$  (§2.1), and give an example of linear recursive data types. Then we consider the concurrent fragment of  $\mu\text{GV}$ , and give several examples of processes with recursive session types (§2.2). We show that recursive session types can be encoded using recursive data types, simplifying our type system and concurrent semantics (§2.3). Finally, we give a small-step operational semantics for the concurrent fragment of  $\mu\text{GV}$  (§2.4).

### 2.1 Functional $\mu\text{GV}$

Functional  $\mu\text{GV}$  is a core functional language, based on the multiplicative-additive fragment of intuitionistic linear logic. The syntax of functional  $\mu\text{GV}$ 's terms and types is given at the top of Figure 1. Types include binary and nullary multiplicative products ( $T \otimes U$  and  $\mathbf{1}$ ), binary and nullary sums ( $T \oplus U$  and  $\mathbf{0}$ ), and linear implication ( $T \multimap U$ ). We have omitted the additive product ( $T \& U$  and unit  $\top$ ) from our core calculus, as it can be simulated in terms of the other features of functional  $\mu\text{GV}$  using a CPS transformation. We will write  $M; N$  to abbreviate  $\text{let } () = M \text{ in } N$ . Our treatment of recursive types is based on the initial algebra semantics of recursion [17]. If  $F$  is a positive functor, then  $\mu F$  denotes its least fixed point:  $\mu F$  captures that  $\mu F$  itself is the carrier of an  $F$ -algebra, while the fold  $\langle M \rangle$  captures that  $\mu F$  is initial. This presentation of recursive types has a long history in the functional programming community, dating at least from the treatment of lists in Squigol [8], and generalized by Meijer et al. [25].

The typing rules for functional  $\mu\text{GV}$  are given at the bottom of Figure 1. The majority of the rules are standard for linear  $\lambda$ -calculus; in particular, the variable rule insists on a singleton environment, and rules with multiple hypotheses (such as the rule for application) split the type environment rather than duplicating it. The typing rule for  $\langle M \rangle$  mandates an empty environment, as the evaluation of a fold may require arbitrarily many copies of  $M$ . Our core  $\mu\text{GV}$  calculus contains only linear assumptions; adding the exponential modality would introduce non-linear assumptions, which could be used in the bodies of  $\langle - \rangle$  terms.

**Natural Numbers.** We turn to natural numbers as a characteristic example of recursive types. The definition of the type of naturals parallels the intuitionistic definition:

$$N(X) = X \oplus \mathbf{1} \quad \text{Nat} = \mu N$$

and we can give familiar definitions of the constructors:

$$\text{zero} = \text{in } (\text{inr } ()) \quad \text{succ} = \lambda z. \text{in } (\text{inl } z)$$

Now consider a standard recursive definition of addition:

$$0 + y = y \quad (Sx) + y = S(x + y)$$

We can give a curried definition of addition in our system as a fold on the first argument:

$$\text{plus} = \langle \lambda x. \text{case } x \{ \text{inrf} \mapsto \text{succ} \circ f; \text{inl } () \mapsto \text{id} \} \rangle$$

Unlike addition, however, the product of  $x$  and  $y$  cannot be computed without duplicating (in some way) either  $x$  or  $y$ . In an intuitionistic setting, we might accomplish this by capturing  $x$  in the body of the fold, and using it in each iteration. We cannot do the same in the linear setting. Instead, we will begin by demonstrating terms that duplicate and discard naturals. That is, we show that contraction and weakening are derivable for proposition  $\text{Nat}$ . This is an instance of a general result, due to Filinski [14], that contraction and weakening are derivable for the positive combinators in intuitionistic linear logic. In our setting this result is extended to

Syntax.	
Types	$T, U ::= X \mid \mathbf{1} \mid T \otimes U \mid \mathbf{0} \mid T \oplus U$ $\mid T \multimap U \mid \mu F$
Operators	$F, G ::= X.T$
Terms	$L, M, N ::= x \mid KM \mid \lambda x.M \mid MN$ $\mid (M, N) \mid \text{let } (x, y) = M \text{ in } N$ $\mid \text{inl } M \mid \text{inr } M \mid \text{in } M \mid \langle M \rangle$ $\mid \text{case } L \{ \text{inl } x \mapsto M; \text{inr } x \mapsto N \}$ $\mid () \mid \text{let } () = M \text{ in } N \mid \text{absurd } M$
Typing.	
$\frac{}{x : T \vdash x : T}$	$\frac{K : T \multimap U \quad \Gamma \vdash M : T}{\Gamma \vdash KM : U}$
$\frac{\Gamma, x : T \vdash M : U}{\Gamma \vdash \lambda x.M : T \multimap U}$	$\frac{\Gamma \vdash M : T \multimap U \quad \Gamma' \vdash N : T}{\Gamma, \Gamma' \vdash MN : U}$
$\frac{\Gamma \vdash M : T \quad \Gamma' \vdash N : U}{\Gamma, \Gamma' \vdash (M, N) : T \otimes U}$	$\frac{\Gamma \vdash M : T \otimes T' \quad \Gamma', x : T, y : T' \vdash N : U}{\Gamma, \Gamma' \vdash \text{let } (x, y) = M \text{ in } N : U}$
$\frac{\Gamma \vdash M : T}{\Gamma \vdash \text{inl } M : T \oplus U}$	$\frac{\Gamma \vdash M : U}{\Gamma \vdash \text{inr } M : T \oplus U}$
$\frac{\Gamma \vdash M : T \oplus T' \quad \Gamma', x : T \vdash N : U \quad \Gamma', x : T' \vdash N' : U}{\Gamma, \Gamma' \vdash \text{case } M \{ \text{inl } x \mapsto N; \text{inr } x \mapsto N' \} : U}$	
$\frac{}{\vdash () : \mathbf{1}}$	$\frac{\Gamma \vdash M : \mathbf{1} \quad \Gamma' \vdash N : T}{\Gamma, \Gamma' \vdash \text{let } () = M \text{ in } N : T}$
$\frac{\Gamma \vdash M : \mathbf{0}}{\Gamma, \Gamma' \vdash \text{absurd } M : T}$	$\frac{\Gamma \vdash M : F(\mu F)}{\Gamma \vdash \text{in } M : \mu F} \quad \frac{\vdash M : F(T) \multimap T}{\vdash \langle M \rangle : \mu F \multimap T}$

Figure 1: Functional  $\mu\text{GV}$  Terms and Typing.

include least fixed points  $\mu F$ .

$$\begin{aligned} \text{dup} &= (\lambda x. \text{case } x \{ \text{inl } (y, z) \mapsto (\text{succ } y, \text{succ } z) \\ &\quad \text{inr } () \mapsto \text{zero} \}) \\ \text{drop} &= (\lambda x. \text{case } x \{ \text{inl } () \mapsto () \\ &\quad \text{inr } () \mapsto () \}) \end{aligned}$$

We have that  $\text{dup} : \text{Nat} \multimap \text{Nat} \times \text{Nat}$ , where the output naturals are equal to the input natural, and  $\text{drop} : \text{Nat} \multimap \mathbf{1}$ , where we can then trivially eliminate the unit value. We can now implement multiplication:

$$\begin{aligned} \text{body} &= \lambda x. \lambda y. \text{case } y \{ \text{inl } (x, y) \mapsto \text{let } (x, x') = \text{dup } x \text{ in} \\ &\quad (x', \text{plus } x y); \\ &\quad \text{inr } () \mapsto (x, 0) \} \\ \text{times} &= \lambda x. \lambda y. \text{let } (x', z) = \langle \text{body } x \rangle y \text{ in } \text{drop } x'; z \end{aligned}$$

The body of the fold has type  $N(\text{Nat} \times \text{Nat}) \multimap \text{Nat} \times \text{Nat}$ ; in the inductive case, the input pair will be  $(x, x(y-1))$ , and the result is then  $(x, xy)$ . We duplicate  $x$  at each step: one copy is added to the product, while the other copy appears in the result. The result of the  $\langle \text{body } x \rangle y$  is the pair  $(x, xy)$ ; we call  $\text{drop}$  to discard the last copy of  $x$ , and return  $xy$ .

**Semantics.** Figure 2 gives a small-step operational semantics for functional  $\mu\text{GV}$ . To maintain a close connection with cut-elimination, we define term reduction using weak explicit substitutions [21]. In this approach, we capture substitutions directly

Values and Contexts.	
$V, W ::= x \mid \lambda^\sigma x.M \mid () \mid (V, W) \mid \text{inl } V \mid \text{inr } V \mid \langle M \rangle$	$\sigma ::= \{V_1/x_1, \dots, V_n/x_n\}$ where the $x_i$ are pairwise distinct
$E ::= [] \mid KE \mid EM \mid VE \mid \text{let } () = E \text{ in } M$	$\mid (E, M) \mid (V, E) \mid \text{let } (x, y) = E \text{ in } M$ $\mid \text{inl } E \mid \text{inr } E \mid \text{in } E$ $\mid \text{case } E \{ \text{inl } x \mapsto N; \text{inr } x \mapsto N' \}$
Covariant Functors.	
$(X.T)(M) = \lambda x.x$	$(X.X)(M) = M$
$(F \times G)(M) = \lambda x. \text{let } (y, z) = x \text{ in } (F(M)y, G(M)z)$	$(F \multimap G)(M) = \lambda f. G(M) \circ f \circ F^-(M)$
$(F \oplus G)(M) = \lambda x. \text{case } x \{ \text{inl } x \mapsto F(M)x; \text{inr } x \mapsto G(M)x \}$	$(X.\mu F)(M) = \langle \lambda x. \text{in } ((X.F(\mu F))(M)x) \rangle$
Reduction.	
$(\lambda^\sigma x.M) V \longrightarrow_V M\{V/x \uplus \sigma\}$	$\text{let } (x, y) = (V, W) \text{ in } M \longrightarrow_V M\{V/x, W/y\}$
$\text{case } (\text{inl } V) \left\{ \begin{array}{l} \text{inl } x \mapsto N; \\ \text{inr } y \mapsto N' \end{array} \right\} \longrightarrow_V N\{V/x\}$	$\text{let } () = () \text{ in } M \longrightarrow_V M$
$\langle M \rangle (\text{in } V) \longrightarrow_V M(F(\langle M \rangle) V)$	if $M : F(A) \multimap A$
$E[M] \longrightarrow_V E[M']$	if $M \longrightarrow_V M'$

Figure 2: Operational Semantics of Functional  $\mu\text{GV}$

at each  $\lambda$ -abstraction instead of them to the body of the abstraction. Our values thus include closures  $\lambda^\sigma x.M$ , which pair a function abstraction  $\lambda x.M$  with a captured environment  $\sigma$ . We extend the typing judgment to include closures by

$$\frac{\Gamma, x : T \vdash M\sigma : U \quad \text{dom}(\sigma) = \text{fv}(M) \setminus \{x\}}{\Gamma \vdash \lambda^\sigma x.M : T \multimap U}$$

where we write  $\text{fv}(M)$  to denote the free variables of  $M$ . The free variables of a closure are the free variables of the range of  $\sigma$ ; capture-avoiding substitution  $M\sigma$  is defined on the free variables of  $M$ . We implicitly treat plain abstractions  $\lambda x.M$  as closures  $\lambda^\sigma x.(M\sigma')$  where  $\sigma'$  maps each free variable  $x_i$  of  $M$  to a fresh variable  $y_i$ , and  $\sigma$  is its inverse. The reduction of a fold  $\langle M \rangle$  over functor  $F$  is defined in terms of the action of  $F$  on terms, given in the middle of Figure 2. With the exception of identity, each type constructor gives rise to both covariant ( $F$ ) and contravariant functors ( $F^-$ ). We have the expected typings that if  $M : T \multimap U$  then  $F(M) : F(T) \multimap F(U)$  and  $F^-(M) : F(U) \multimap F(T)$ . We use point-free notation for building functors over binary type constructors: for binary type constructor  $*$ , we write  $F * G$  as shorthand for  $X.F(X) * G(X)$ .

## 2.2 Communication and Concurrency

We now consider the concurrent fragment of  $\mu\text{GV}$ . The additional syntax of the concurrent fragment is listed at the top of Figure 3. Our primitive session types include input ( $?T.S$ ), output ( $!T.S$ ), and closed channels ( $\text{end}_?$ ,  $\text{end}_!$ ). Unlike many session type systems, but in keeping with logically-founded approaches, we have dual types for closed channels rather than a single, self-dual type  $\text{end}$ . (Lindley and Morris [23] discuss the semantic and logical consequences of providing a self-dual closed channel.) The remaining features of concurrent  $\mu\text{GV}$  can be encoded in terms of the primitive concurrent features, and the features of functional  $\mu\text{GV}$ . These include selection ( $S \oplus^! S'$ ), branching ( $S \oplus^? S'$ ) and recursive ses-

### Syntax.

Session types	$S ::= !T.S \mid ?T.S \mid \text{end}_! \mid \text{end}_?$
	$\mid \overline{S \oplus^! S' \mid \mathbf{0}^!} \mid \overline{S \oplus^? S' \mid \mathbf{0}^?}$
	$\mid \overline{\mathcal{X} \mid \overline{\mathcal{X}} \mid \mu^! \mathcal{F} \mid \mu^? \mathcal{F}}$
Types	$T, U ::= \dots \mid S$
Session functors	$\mathcal{F} ::= \mathcal{X}.S$
Terms	$L, M, N ::= \dots \mid \text{inl}^! M \mid \text{inr}^! M \mid \langle M \rangle^? \mid \text{in}^! M$
Constants	$K ::= \text{send} \mid \text{receive} \mid \text{fork} \mid \text{wait} \mid \text{link}$

### Duality.

$\overline{!T.S} = ?T.\overline{S}$	$\overline{\text{end}_!} = \text{end}_?$	$\overline{\mu^! \mathcal{F}} = \mu^? \overline{\mathcal{F}}$
$\overline{?T.S} = !T.\overline{S}$	$\overline{\text{end}_?} = \text{end}_!$	$\overline{\mu^? \mathcal{F}} = \mu^! \overline{\mathcal{F}}$
$\overline{S \oplus^! S'} = \overline{S} \oplus^? \overline{S'}$	$\overline{\mathbf{0}^!} = \mathbf{0}^?$	$\overline{\mathcal{X}.S} = \overline{\mathcal{X}}.\overline{S} \{ \overline{\mathcal{X}} / \mathcal{X} \}$
$\overline{S \oplus^? S'} = \overline{S} \oplus^! \overline{S'}$	$\overline{\mathbf{0}^?} = \mathbf{0}^!$	$\overline{\overline{X}.S} = X.\overline{S}$
	$\overline{\overline{S}} = S$	

### Typing.

$\frac{\Gamma \vdash M : S \oplus^! S'}{\Gamma \vdash \text{inl}^! M : S}$	$\text{send} : T \otimes !T.S \multimap S$
$\frac{\Gamma \vdash M : S \oplus^! S'}{\Gamma \vdash \text{inr}^! M : S}$	$\text{receive} : ?T.S \multimap T \otimes S$
$\frac{\Gamma \vdash M : S \oplus^! S'}{\Gamma \vdash \text{in}^! M : S}$	$\text{fork} : (S \multimap \text{end}_!) \multimap \overline{S}$
$\frac{\Gamma \vdash M : S \oplus^? S'}{\Gamma \vdash \text{in}^? M : S}$	$\text{wait} : \text{end}_? \multimap \mathbf{1}$
	$\text{link} : S \otimes \overline{S} \multimap \text{end}_!$
$\frac{\Gamma \vdash L : S \oplus^? S' \quad \Gamma, x : S \vdash M : T \quad \Gamma, x : S' \vdash N : T}{\Gamma \vdash \text{case}^? L \{ \text{inl } x \mapsto M; \text{inr } x \mapsto N \} : T}$	
$\frac{\Gamma \vdash L : \mathbf{0}^?}{\Gamma \vdash \text{case}^? L \{ \} : T}$	$\frac{\Gamma \vdash M : \mu^! \mathcal{F} \quad \vdash M : \mathcal{F}(S) \multimap S}{\Gamma \vdash \text{in}^! M : \mathcal{F}(\mu^! \mathcal{F}) \quad \vdash \langle M \rangle^? : \mu^? \mathcal{F} \multimap S}$

(Shaded terms and types, and their typing and duality, can be encoded in terms of the remaining terms and types.)

Figure 3: Concurrent  $\mu$ GV Terms and Typing

$\xi \in \{X, \mathcal{X}\}$
$p(\xi. ?T.S) = p(\xi.T) \wedge p(\xi.S), \quad \text{where } p \in \{\text{neg}, \text{pos}\}$
$\text{pos}(\xi. !T.S) = \text{neg}(\xi.T) \wedge \text{pos}(\xi.S)$
$\text{neg}(\xi. !T.S) = \text{pos}(\xi.T) \wedge \text{neg}(\xi.S)$

Figure 4: Positivity of Functors of Session Type

sion types  $(\mu^! \mathcal{F}, \mu^? \mathcal{F})$ . In traditional session typing notation,  $\oplus^!$  is written as  $\oplus$ ,  $\oplus^?$  as  $\&$ ,  $\text{inl}^!$  as  $\text{select inl}$ , and  $\text{case}^?$  as  $\text{offer}$ . To avoid conflicting with the base features of functional GV and to emphasize the uniformity of our extensions, we adopt notation which makes explicit the direction of communication. For example, the  $!$  denotes that  $\text{inl}^!$  sends a left injection along a channel.

Our treatment of recursive session types is also guided by initial algebra semantics. We introduce session type variables  $\mathcal{X}$  and session functors  $\mathcal{F}$ . We insist that the argument to a session functor be a session type, and guarantee that the result is a session type. We extend the standard notion of positivity to session functors and ordinary functors of session type (Figure 4). Just as we distinguished between consuming ( $\langle \_ \rangle$ ) and producing ( $\text{in}$ ) values of recursive types, we distinguish between consuming and producing recursive communication. Thus, we have two dual constructors for recursive session types,  $\mu^? \mathcal{F}$  for consuming recursive communication and  $\mu^! \mathcal{F}$  for producing it. The terms inhabiting recursive session types are similar to those for recursive data types:  $\text{in}^! M$  unfolds one iteration of a recursive session type, while  $\langle M \rangle^?$  consumes a recursive session type.

The notion of duality is central to session types: if the process holding one end of a channel expects to send a value of some type along that channel, the process holding the other end should expect to receive a value of the same type. We define the dual of session type  $S, \overline{S}$ , in the center of Figure 3. The dual of a recursive session type  $(\mu^! \mathcal{F}, \mu^? \mathcal{F})$  is defined in terms of the dualized session functor  $\overline{\mathcal{F}}$ . In the definition of  $\overline{\mathcal{F}}$ , note that we not only dualize the body of  $\mathcal{F}$ , but also the variable; this accounts for the duality between the two forms of recursion. We contrast this approach with standard approaches to duality for recursive session types following our discussion of corecursion (§5.2).

**Promises.** While aesthetically appealing, our formation of recursive session types seems to be of little practical use. The typing of  $\langle M \rangle^?$  requires that  $M$  transform  $\mathcal{F}(S)$  (a session) into  $S$  (itself a session); that is, it flattens nested sessions into single sessions. In contrast, most uses of recursive session types transform the data values carried by the session into a result value, only incidentally relying on the nesting of sessions. We can relate these views, however, if we notice that  $\mu$ GV has a natural notion of promises, and that promises allow us to treat arbitrary types as session types. Promises [24] introduce asynchrony between the computation of a value and its use; a promise of type  $T$  denotes a value of type  $T$  which may not yet have been computed. This abstraction is entirely natural in our setting, using channels of type  $?T.\text{end}_?$  as  $T$  promises. We introduce  $?T$  to abbreviate  $?T.\text{end}_?$ , and define mapping between promises and values:

$$\begin{aligned} \text{un}^? : ?T \multimap T & & \text{en}^? : T \multimap ?T \\ \text{un}^? M = \text{let } (z, c) = \text{receive } M \text{ in } & & \text{en}^? M = \text{fork } (\lambda x. \text{send } (M, x)) \\ & & \text{wait } c; z \end{aligned}$$

The operation  $\text{un}^? M$  retrieves a value from promise  $M$  (blocking until it is available), while  $\text{en}^? M$  constructs a new promise, already containing the value of  $M$ ; observe that  $\text{un}^? \circ \text{en}^?$  and  $\text{en}^? \circ \text{un}^?$  are both observationally equivalent to the identity function. The dual of the type  $?T$  is the type  $!T.\text{end}_!$ , which we will abbreviate  $!T$ . We can also define an operation to eliminate channels of type  $!T$  (that is, to provide a result to an unfulfilled promise):

$$\begin{aligned} \text{un}^! : ((\overline{S} \multimap T) \otimes !T) \multimap S \\ \text{un}^!(L, M) = \text{fork } (\lambda x. \text{send } (Lx, M)) \end{aligned}$$

The appearance of the continuation type  $S$  may be surprising; this is a consequence of the different treatment of the continuation in  $\text{send}$  and  $\text{receive}$ . As  $\mu$ GV lacks polymorphism, we will write  $\text{un}^? M$  to denote the substitution of  $M$  into the definition of  $\text{un}^?$ , rather than to denote an application in  $\mu$ GV, and similarly for the other definitions in this section.

**Channels of naturals.** We now present several examples of channels of naturals, building on our earlier representation of naturals numbers. We introduce type abbreviations for such channels:

$$\text{NC}(X) = \text{end}_? \oplus^? ?\text{Nat}.X \quad \text{Nats} = \mu^? \text{NC}$$

We begin with a term that sends two naturals along a given channel:

$$\begin{aligned} \text{twoNats} : \overline{\text{Nats}} \multimap \text{end}_! \\ \text{twoNats} = \lambda c. \text{let } c = \text{send } (\text{zero}, \text{inr}^! (\text{in}^! c)) \text{ in} \\ \quad \text{let } c = \text{send } (\text{succ zero}, \text{inr}^! (\text{in}^! c)) \text{ in} \\ \quad \text{inl}^! (\text{in}^! c) \end{aligned}$$

Now we present a slightly more interesting example. Given some starting natural  $n$ , we send the sequence  $n, n-1, \dots, 0$  along a

channel. We rely on  $Nat$  itself being defined recursively.

$$\begin{aligned}
& \text{downFrom} : \overline{Nats} \multimap \text{end}_! \\
& \text{downFrom } n = \text{let } (n', k) = \langle \text{body} \rangle n \text{ in } \text{drop } n'; k \\
& \text{body} : N(\text{Nat} \otimes (\overline{Nats} \multimap \text{end}_!)) \multimap (\text{Nat} \otimes (\overline{Nats} \multimap \text{end}_!)) \\
& \text{body } z = \text{case } z \left\{ \begin{array}{l} \text{inl } () \quad \mapsto \text{zc}; \\ \text{inr } (y, k) \quad \mapsto \text{sc } y k \end{array} \right. \\
& \text{zc} : \text{Nat} \otimes (\overline{Nats} \multimap \text{end}_!) \\
& \text{zc} = (\text{zero}, \lambda c. \text{let } c = \text{send } (\text{zero}, \text{inr}^! (\text{in}^! c)) \text{ in} \\
& \quad \text{inl}^! (\text{in}^! c)) \\
& \text{sc} : \text{Nat} \multimap (\overline{Nats} \multimap \text{end}_!) \multimap (\text{Nat} \otimes (\overline{Nats} \multimap \text{end}_!)) \\
& \text{sc } y k = \text{let } (y, y') = \text{dup } y \text{ in} \\
& \quad (\text{succ } y, \lambda c. k (\text{send } (y', \text{inr}^! (\text{in}^! c))))
\end{aligned}$$

We have a similar challenge in defining  $\text{body}$  that we did in defining  $\text{times}$ : at each step of the recursion, we must both send a value along the channel and produce the same value for the next step. Observe that  $\text{body}$  has type  $N(\text{Nat} \otimes (\overline{Nats} \multimap \text{end}_!)) \multimap \text{Nat} \otimes (\overline{Nats} \multimap \text{end}_!)$ , computing both the next natural in the sequence and the function that sends it along a channel.

We can also write functions that consume channels of naturals. For a simple example, we could compute the sum of the naturals received along a channel.

$$\begin{aligned}
& \text{sum} : \overline{Nats} \multimap \text{Nat} \\
& \text{sum} = \langle \lambda c. \text{case}^? c \left\{ \begin{array}{l} \text{inl } c \mapsto \text{wait } c; \text{en}^? \text{ zero} \\ \text{inr } c \mapsto \text{let } (x, c) = \text{receive } c \text{ in} \\ \quad \text{let } y = \text{un}^? c \text{ in} \\ \quad \text{en}^? (\text{plus } x y) \end{array} \right\} \rangle^?
\end{aligned}$$

We wrap the running sum in a promise to lift it to session type; the body of the fold has type  $NC(?Nat) \multimap ?Nat$ , so  $\text{sum}$  has type  $\mu^? NC \multimap ?Nat$ . We could compose this with one of the producers above to compute a value, such as:

$$\text{un}^? (\text{sum } (\text{fork } (\text{downFrom } \underline{4})))$$

where we write  $\underline{n}$  to indicate the representation of natural  $n$ . This term will evaluate to  $\underline{10}$ .

We can also define channel transformers: processes that consume the naturals on one channel to produce naturals along another. For each, we could compute the running total of the stream, inserting the total (to that point) after each element.

$$\begin{aligned}
& \text{running} : \overline{Nats} \multimap \overline{Nats} \multimap \text{end}_! \\
& \text{running } c = \text{un}^? (\langle \lambda c. \text{case}^? c \left\{ \begin{array}{l} \text{inl } c \mapsto \text{done } c; \\ \text{inr } c \mapsto \text{more } c \end{array} \right\}^? c \rangle 0) \\
& \text{done } c = \text{en}^? (\lambda z. \lambda d. \text{drop } z; \text{link } (c, \text{inl}^! (\text{in}^! d))) \\
& \text{more } c = \text{let } (y, c) = \text{receive } c \text{ in} \\
& \quad \text{let } k = \text{un}^? c \text{ in} \\
& \quad \text{let } (y, y') = \text{dup } y \text{ in} \\
& \quad \text{en}^? (\lambda z. \lambda d. \text{let } (w, w') = \text{dup } (\text{plus } y' z) \text{ in} \\
& \quad \quad \text{let } d = \text{send } (y, \text{inr}^! (\text{in}^! d)) \text{ in} \\
& \quad \quad \text{let } d = \text{send } (w, \text{inr}^! (\text{in}^! d)) \text{ in} \\
& \quad \quad k w' d)
\end{aligned}$$

Note that the body of the fold has type  $NC(?(\text{Nat} \multimap \overline{Nats} \multimap \text{end}_!)) \multimap ?(\text{Nat} \multimap \overline{Nats} \multimap \text{end}_!)$ ; the  $\text{Nat}$  argument stores the running sum, and so is initialized to zero by  $\text{running}$ .

### 2.3 Encoding Concurrent Features

Prior work on GV [23] has focused on keeping the core language as simple as possible. For example, rather than include branching and choice in the concurrent semantics directly, a choice can be encoded as the promise of a (data type) sum. Kobayashi et al [20] and Dardha et al. [13] make similar uses of linear promises to relate

#### Session types.

$$\begin{aligned}
& \mathcal{Q}[\mathcal{S} \oplus^! \mathcal{S}'] = !(\mathcal{Q}[\mathcal{S}] \oplus \mathcal{Q}[\mathcal{S}']) \\
& \mathcal{Q}[\mathcal{S} \oplus^? \mathcal{S}'] = ?(\mathcal{Q}[\mathcal{S}] \oplus \mathcal{Q}[\mathcal{S}']) \\
& \mathcal{Q}[\mathbf{0}^?] = ?0 \\
& \mathcal{Q}[\mathbf{0}^!] = !0 \\
& \mathcal{Q}[\mu^? \mathcal{F}] = ?\mu\mathcal{F}_? \\
& \mathcal{Q}[\mu^! \mathcal{F}] = !\mu\mathcal{F}_? \\
& \mathcal{F}_? = X. \mathcal{Q}[\mathcal{F}(?X)]
\end{aligned}$$

#### Terms.

$$\begin{aligned}
& \mathcal{Q}[\ell^! M] = \text{un}^! (\lambda x. \ell x, \mathcal{Q}[M]) \\
& \mathcal{Q} \left[ \text{case}^? L \left\{ \begin{array}{l} \text{inl } x \mapsto M; \\ \text{inr } x \mapsto N \end{array} \right\} \right] = \text{case } (\text{un}^? \mathcal{Q}[L]) \left\{ \begin{array}{l} \text{inl } x \mapsto \mathcal{Q}[M] \\ \text{inr } x \mapsto \mathcal{Q}[N] \end{array} \right\} \\
& \mathcal{Q}[\text{in}^! M] = \text{un}^! (\lambda x. \text{in } x, \mathcal{Q}[M]) \\
& \mathcal{Q}[(M)^? N] = (\lambda y. \text{en}^? (\mathcal{Q}[M] (\mathcal{Q}[\mathcal{F}](\text{un}^? y)))) \\
& \quad (\text{un}^? \mathcal{Q}[N])
\end{aligned}$$

Figure 5: Translation of  $\mu\text{GV}$  concurrency features into core  $\mu\text{GV}$

data types and session types in  $\pi$ -calculus. We extend this view to include recursive session types. We have two challenges in doing so: we must encode session functors, and their use of session type variables, and we must encode their fixed points.

Our translation is given by the homomorphic extension of the rules in Figure 5. We underline those portions of the translation that introduce purely administrative reduction. The session functor  $\mathcal{F}$  is translated to the ordinary function  $\mathcal{F}_?$ , using promises to lift an ordinary type variable to session type. This approach naturally accounts for the use of dualized session type variables; for example, if  $\mathcal{F}(\mathcal{X}) = !\overline{\mathcal{X}}.\mathcal{X}$ , then we have that  $\mathcal{F}_?(X) = !(\overline{?X}).?X = !(!X).?X$ . Recursive session types are interpreted as promises of recursive types, and the interpretation of their terms is directed by the interpretation of their types. The definition of  $(M)^?$  may seem surprisingly complicated. In fact, we can present a different form of session-typed catamorphism, directly encoded in terms of recursive types:

$$(M)^S N = (M) (\text{un}^? M)$$

with the following typing rule, which exchanges the restriction to session functors for a direct use of their encoding:

$$\frac{\vdash M : \mathcal{F}_?(T) \multimap T}{\vdash (M)^S : \mu^? \mathcal{F} \multimap T}$$

We can see that the encoding of  $(-)^?$  is an instance of  $(-)^S$ , and that our examples can be written directly using  $(-)^S$ , by removing calls to  $\text{en}^?$ . Nevertheless, we have preferred  $(-)^?$  as it does not rely on details of our encoding and is closer to the algebraic intuition.

### 2.4 Concurrent Semantics

We give a concurrent semantics of  $\mu\text{GV}$ , building on the small-step operational semantics for functional  $\mu\text{GV}$  given in the last section. As recursive session types and their terms can be encoded in terms of the core concurrency features, our semantics is mostly unchanged from that of Lindley and Morris [23]. Figures 6 and 7 give the syntax and typing of configurations and configuration contexts; we will write  $\Gamma \vdash C : T$  to denote that there is some  $\phi$  such that  $\Gamma \vdash^\phi C : T$ . Figure 8 gives reductions and configuration equivalence. Because of the importance of promises in our interpretation of  $\mu\text{GV}$ 's concurrent features, we give special cases of the functor map for the promise functor. These have the same behavior as that given in the general case, but expose potentially administrative reductions sooner. Our treatment of link repairs a defect in that given by Lindley and Morris and restores the diamond property for GV's concurrent semantics.

Configurations	$C ::= \phi M \mid (\text{new } x)C$ $\mid z = x \leftrightarrow y \mid C \parallel C'$
Flags	$\phi ::= \circ \mid \bullet$
Configuration contexts	$D ::= [] \mid (\text{new } x)D \mid C \parallel D$
Thread evaluation contexts	$H ::= \phi E$

**Figure 6:** Configurations and Contexts.

$\frac{\Gamma \vdash M : T}{\Gamma \vdash \bullet M : T}$	$\frac{\Gamma \vdash M : \text{end}_!}{\Gamma \vdash \circ M : \text{end}_!}$	$\frac{\Gamma, x : S^\# \vdash^\phi C : T}{\Gamma \vdash^\phi (\text{new } x)C : T}$
$\frac{}{x : S, y : \bar{S}, z : \text{end}_? \vdash^\circ z = x \leftrightarrow y : \text{end}_!}$		
$\frac{\Gamma, x : S \vdash^\phi C : T \quad \Gamma', x : \bar{S} \vdash^\circ C' : \text{end}_!}{\Gamma, \Gamma', x : S^\# \vdash^\phi C \parallel C' : T}$		

**Figure 7:** Configuration Typing.

**Theorem 1** (Diamond property). *If  $\Gamma \vdash C : T$ ,  $C \equiv \longrightarrow \equiv C_1$ , and  $C \equiv \longrightarrow \equiv C_2$ , then either  $C_1 \equiv C_2$  or there exists  $C_3$  such that  $C_1 \equiv \longrightarrow \equiv C_3$ , and  $C_2 \equiv \longrightarrow \equiv C_3$ .*

This proof extends to any deterministic extension of the core functional calculus, such as the addition of exponentials or polymorphism. The reader may be concerned that the WAIT rule does not apply in the case that  $x$  is returned from the main thread, and similarly for  $z$  in the LINK1 rule. However, these cases can never occur in a closed, well-typed configuration.

The other metatheoretic properties established by Lindley and Morris hold here as well. In particular, reduction in  $\mu\text{GV}$  preserves typing.

**Theorem 2.** *If  $\Gamma \vdash^\phi C : T$  and  $C \longrightarrow C'$  then  $\Gamma \vdash^\phi C' : T$ .*

While typing is not preserved by configuration equivalence, reduction never relies on ill-typed states.

**Theorem 3.** *If  $\Gamma \vdash C_1 : T$ ,  $C_1 \equiv C_2$ , and  $C_2 \longrightarrow C'_2$ , then there is some  $C'_1$  such that  $C'_2 \equiv C'_1$ ,  $C_1 \longrightarrow C'_1$  and  $\Gamma \vdash C'_1 : T$ .*

We have encoded recursive session types using features of functional  $\mu\text{GV}$ , and so they do not appear in the concurrent semantics directly. We would like to confirm that their encoding matches the intuition of the original, unencoded forms. That is, we hope that a configuration  $H[(\llbracket M \rrbracket^?)x] \parallel H'[\text{in}^!x]$  reduces to  $H[M(\mathcal{F}((\llbracket M \rrbracket^?)x))] \parallel H'[x]$ . This reduction is blocked by the administrative steps introduced in the encoding of  $(\llbracket - \rrbracket^?)$ . However, we can show that it holds if we can suitably ignore administrative reductions. To do so, we adapt a notion of weak bisimulation to our setting. Unlike standard presentations of concurrency, all  $\mu\text{GV}$  reductions are internal. Therefore, ignoring all internal reductions would trivially identify all processes that compute the same results. We intend a finer characterization, in which we ignore only administrative reductions. We have already identified (by underlining) the relevant sources of administrative reductions. We say that a reduction is administrative ( $\longrightarrow$ ) if all the reduced subexpressions are underlined. For example, the reduction of  $H[\text{send}(V, x)] \parallel H'[\text{receive } x]$  to  $H[x] \parallel H'[(V, x)]$  is administrative, but the reduction of  $H[\text{send}(M, x)]$  to  $H[\text{send}(M', x)]$  is not (unless  $M$  is itself identified as administrative). We write  $\longrightarrow^*$  for the reflexive, transitive closure of  $\longrightarrow$ , and write  $C \Longrightarrow C'$  to denote  $C \longrightarrow^* \longrightarrow \longrightarrow^* C'$ . Finally, we can adapt the standard notion of weak bisimulation to our setting.

**Definition 4.** A relation  $\mathcal{R}$  on configurations is an administrative weak bisimulation if, for each  $C_1 \mathcal{R} C_2$ , whenever  $C_1 \Longrightarrow C'_1$ , then there is a  $C'_2$  such that  $C_2 \Longrightarrow C'_2$  and  $C'_1 \mathcal{R} C'_2$ , and similarly for reduction from  $C_2$ . We define administrative weak bisimilarity  $\approx_{\text{adm}}$  to be the union of all administrative weak bisimulations.

We can now relate the encoding of recursive session types to their expected semantics:

**Theorem 5.** *If  $\cdot \vdash M : \mathcal{F}(S) \multimap S$ , then*

$$(\text{new } x)(H[(\llbracket M \rrbracket^?)x] \parallel H'[\text{in}^!x]) \longrightarrow^+ \approx_{\text{adm}} (\text{new } x)(H[M(\mathcal{F}((\llbracket M \rrbracket^?)x))] \parallel H'[x])$$

The key observations to establishing this result are that  $un^?$  and  $en^?$  introduce only incidental additional concurrency.

**Lemma 6.**

- $(\text{new } x)(H[\underline{un}^?x] \parallel H'[\underline{un}^!(\lambda x.M, x))]) \approx_{\text{adm}} (\text{new } x)(H[M] \parallel H'[x])$
- $E[\mathcal{F}(un^?) (\mathcal{F}(\lambda x.en^? (Mx)) N)] \approx_{\text{adm}} E[\mathcal{F}(\lambda x.Mx) N]$

The first is entirely straightforward, the second can be shown by induction on the structure of  $\mathcal{F}$ . The theorem follows directly from the lemmas and the definition of reduction.

### 3. Communication without Concurrency

We now show, via a CPS translation, that reduction in  $\mu\text{GV}$  can be simulated by reduction in functional  $\mu\text{GV}$ . We begin with a standard left-to-right call-by-value CPS translation from the core calculus into itself (Figures 9 and 10), where  $R$  is a fixed return type. In the rest of this subsection, we extend the CPS translation to session types and show that the CPS translation preserves reduction. As a corollary, we obtain that  $\mu\text{GV}$  is strongly normalising.

Following Danvy and Nielson [11], we mechanically transform the naive CPS translation  $\mathcal{N}[\llbracket - \rrbracket]$  of Figure 10 into a compositional first-order one-pass CPS transformation  $\mathcal{K}[\llbracket - \rrbracket]$ . By carefully distinguishing between values and non-values, the one-pass translation ensures that (most) administrative redexes are contracted by the translation itself. Contracting these redexes is necessary for the simulation result (Theorem 10). Due to lack of space, we omit the (entirely standard) details of the one-pass variant of the translation.

Figure 11 gives the CPS translation of concurrent  $\mu\text{GV}$ . The translations of send, fork, and link depend on the polarities (input or output) of their arguments and results. We use subscripts to distinguish output and input session types. To give a compositional translation of configurations, we restrict attention to a canonical class of configurations. We write  $C_1 \parallel_x C_2$  to denote a parallel composition in which channel  $x$  has input session type in  $C_1$  and the dual output session type in  $C_2$ . We say that a configuration  $C$  is *well-oriented* ( $WO(C)$ ) if all of the parallel compositions in  $C$  are of this form, and in any link configuration  $z = x \leftrightarrow y$  in  $C$ ,  $x$  has input session type. Without loss of generality, we need only consider reduction on well-oriented configurations.

**Lemma 7.** *If  $\Gamma \vdash C : T$ , then there exists well-oriented  $C' \equiv C$ .*

The translation of the main thread is the only place the continuation is actually used. The translation of a child thread supplies the identity continuation, which is well-typed as child threads always have type  $\text{end}_!$ . Name restrictions themselves are ignored, but names are used in the translation of well-oriented parallel composition. It is straightforward to verify that the CPS translation preserves typing.

**Theorem 8** (Type soundness).

- If  $\Gamma \vdash M : T$ , then  $\mathcal{K}[\llbracket \Gamma \rrbracket] \vdash \mathcal{K}[\llbracket M \rrbracket] : (\mathcal{K}[T] \multimap R) \multimap R$ .
- If  $\Gamma \vdash C : T$  and  $C$  is well-oriented, then  $\mathcal{K}[\llbracket \Gamma \rrbracket] \vdash \mathcal{K}[\llbracket C \rrbracket] : (\mathcal{K}[T] \multimap R) \multimap R$ .

<b>Covariant Functors.</b>	<b>Contravariant Functors.</b>	
$(!F.G)(M) = \lambda c. \text{fork} (\lambda d. \text{let } (z, d) = \text{receive } d \text{ in}$ $\quad \text{let } c = \text{send } (F^-(M) z, c) \text{ in}$ $\quad \text{link } (\overline{G}(M) d, G(M) c))$	$(!F.G)^-(M) = \lambda c. \text{fork} (\lambda d. \text{let } (z, d) = \text{receive } d \text{ in}$ $\quad \text{let } c = \text{send } (F(M) z, c) \text{ in}$ $\quad \text{link } (\overline{G}^-(M) d, G^-(M) c))$	
$(?F.G)(M) = \lambda c. \text{fork} (\lambda d. \text{let } (z, c) = \text{receive } c \text{ in}$ $\quad \text{let } d = \text{send } (F(M) z, d) \text{ in}$ $\quad \text{link } (\overline{G}(M) d, G(M) c))$	$(?F.G)^-(M) = \lambda c. \text{fork} (\lambda d. \text{let } (z, c) = \text{receive } c \text{ in}$ $\quad \text{let } d = \text{send } (F^-(M) z, d) \text{ in}$ $\quad \text{link } (\overline{G}^-(M) d, G^-(M) c))$	
$(?F.\text{end}_?) (M) = \lambda c. \underline{\text{en}}^? (F(M) (\underline{\text{un}}^? c))$	$(?F.\text{end}_?)^-(M) = \lambda c. \underline{\text{en}}^? (F^-(M) (\underline{\text{un}}^? c))$	
<b>Configuration Equivalence.</b>		
$H[\text{link } (x, y)] \equiv H[\text{link } (y, x)]$ $z = x \leftrightarrow y \equiv z = y \leftrightarrow x$	$C \parallel C' \equiv C' \parallel C$ $C_1 \parallel (C_2 \parallel C_3) \equiv (C_1 \parallel C_2) \parallel C_3$	
	$C \parallel (\text{new } x)C' \equiv (\text{new } x)(C \parallel C')$ , if $x \notin \text{fv}(C)$ $D[C] \equiv D[C']$ , if $C \equiv C'$	
<b>Configuration Reduction.</b>		
SEND	$(\text{new } x)(H[\text{receive } x] \parallel H'[\text{send } (V, x)]) \longrightarrow (\text{new } x)(H[(V, x)] \parallel H'[x])$	LIFTV $\frac{M \longrightarrow_V M'}{\phi M \longrightarrow \phi M'}$
FORK	$H[\text{fork } (\lambda^\sigma y. M)] \longrightarrow (\text{new } x)(H[x] \parallel \circ M(\{x/y\} \uplus \sigma))$ , $x$ fresh	
WAIT	$(\text{new } x)(H[\text{wait } x] \parallel \circ x) \longrightarrow H[()]$	
LINK0	$H[\text{link } (x, y)] \longrightarrow (\text{new } z)(z = x \leftrightarrow y \parallel H[z])$ , $z$ fresh	LIFT $\frac{C \longrightarrow C'}{D[C] \longrightarrow D[C']}$
LINK1	$(\text{new } z x)((z = x \leftrightarrow y \parallel \circ z) \parallel \phi M) \longrightarrow \phi M\{y/x\}$	

**Figure 8:** Concurrent Semantics of  $\mu\text{GV}$ : Functors, Equivalences, and Reductions.

To reason by induction over the reduction rules, which are defined in terms of evaluation contexts and configuration contexts, we extend the CPS translation to contexts (Figure 12). Evaluation contexts are interpreted as functions. The CPS translation of a configuration context takes two arguments. The first argument is a meta-level function, which we instantiate with the CPS translation of an appropriate configuration. The second is a continuation. The CPS translation respects decomposition of contexts.

**Lemma 9.**

1. If  $E \neq []$  and  $\Gamma \vdash E[I] : T$ , then  $\mathcal{K}[[E[I]]]k = \mathcal{I}[[I]](\lambda x. \mathcal{E}[[E]]k)$ .
2. If  $\Gamma \vdash D[C]$  and  $D[C]$  is well-oriented, then  $\mathcal{K}[[D[C]]]k = \mathcal{D}[[D]] \mathcal{K}[[C]]k$ .

To simulate all reduction paths in  $\mu\text{GV}$  by reduction in functional  $\mu\text{GV}$ , we must allow reduction under lambda abstractions. Otherwise, we would be limited to a single schedule in which order of communication is determined by the outermost input communication. For an intuition of this schedule, consider the translation of  $C \parallel_x C'$ . Reduction in  $C'$  cannot proceed until  $C$  is ready to receive a result along  $x$ , even if  $C'$  could perform some internal communication. Allowing reduction under lambda abstractions allows all valid schedules to be simulated. We define  $M \rightsquigarrow N$  by:

$$\frac{M \longrightarrow_V N}{M \rightsquigarrow N} \qquad \frac{M \rightsquigarrow N}{\lambda^\sigma x. M \rightsquigarrow \lambda^\sigma x. N}$$

The following theorem states that the CPS translation simulates  $\mu\text{GV}$  reduction.

**Theorem 10 (Simulation).**

1. If  $\Gamma \vdash M : T$  and  $M \longrightarrow N$ , then  $\mathcal{K}[[M]]k \rightsquigarrow^+ \mathcal{K}[[N]]k$ .
2. If  $\Gamma \vdash C : T$  and  $C \longrightarrow C'$ , then there exist well-oriented  $C'', C'''$  with  $C'' \equiv C$  and  $C''' \equiv C'$  such that  $\mathcal{K}[[C'']]k \rightsquigarrow^+ \mathcal{K}[[C''']]k$ .

Thus we can simulate concurrent communication using only the functional core of  $\mu\text{GV}$ . As a corollary, we obtain that  $\mu\text{GV}$  is strongly normalising.

**Theorem 11 (Strong normalization).** If  $\Gamma \vdash C : T$ , then there are no infinite  $\equiv \longrightarrow \equiv$  sequences starting from  $C$ .

The proof follows immediately from Theorem 10 and the quite standard result that the functional  $\mu\text{GV}$  (linear  $\lambda$ -calculus with positive recursive data types) is strongly normalising. (To show the latter, map functional  $\mu\text{GV}$  into System F, forgetting linearity, and encoding the positive recursive data types using polymorphism.) An immediate consequence is that our calculus is free from livelock; that is, that there are no oscillating sequences of configurations that diverge.

If our only goal was to prove termination for  $\mu\text{GV}$ , then we could do so more directly, as  $\mu\text{GV}$  is both linear (so reduction never increases the size of the term) and satisfies the diamond property (so strong normalization and weak normalization are equivalent). However, the CPS transformation is interesting in its own right as it provides insights into the restricted nature of the concurrency provided by  $\mu\text{GV}$ . Furthermore, the strong normalization result straightforwardly extends to the extension of  $\mu\text{GV}$  with the exponential modality [23], and to the setting where we allow reduction under lambdas in the source calculus, results which are considerably less obvious. Furthermore, by composing the CPS translation with the translation from  $\mu\text{CP}$  to  $\mu\text{GV}$  (§4.3), we obtain a translation from  $\mu\text{CP}$  into a typed lambda calculus. This shows that the concurrency of  $\mu\text{CP}$  is equivalent to that provided by full reduction in the  $\lambda$ -calculus.

We can make the translation more uniform by factoring it through a *polarization* phase. In particular, polarization allows us to give a single translation for each of the send, fork, and link cases. Polarization provides a way of encoding output session types as input session types and vice-versa. It also leads to a clean way of handling polymorphic session types by uniformly choosing either a positive (output) or a negative (input) representation for session type variables.

$$\begin{aligned}
\mathcal{K}[[T \multimap U]] &= \mathcal{K}[[T]] \multimap (\mathcal{K}[[U]] \multimap R) \multimap R \\
\mathcal{K}[[T \otimes U]] &= \mathcal{K}[[T]] \otimes \mathcal{K}[[U]] & \mathcal{K}[[\mathbf{1}]] &= \mathbf{1} \\
\mathcal{K}[[T \oplus U]] &= \mathcal{K}[[T]] \oplus \mathcal{K}[[U]] & \mathcal{K}[[\mathbf{0}]] &= \mathbf{0} \\
\mathcal{K}[[\mu X.T]] &= \mu X.\mathcal{K}[[T]] & \mathcal{K}[[X]] &= X
\end{aligned}$$

Figure 9: CPS Translation for Core Types

$$\begin{aligned}
\mathcal{N}[[x]]k &= k \ x \\
\mathcal{N}[[KM]]k &= \mathcal{N}[[M]](\lambda x.\mathcal{N}[[K]] \ x \ k) \\
\mathcal{N}[[\lambda^{\{\bar{V}/\bar{z}\}}x.M]]k &= k \ (\lambda x \ k.\mathcal{N}[[\bar{V}]](\lambda \bar{z}.\mathcal{N}[[M]]k)) \\
\mathcal{N}[[MN]]k &= \mathcal{N}[[M]](\lambda x.\mathcal{N}[[N]](\lambda y.x \ y \ k)) \\
\mathcal{N}[[M, N]]k &= \mathcal{N}[[M]](\lambda x.\mathcal{N}[[N]](\lambda y.k \ (x, y))) \\
\mathcal{N}[[\text{let } (x, y) = M \text{ in } N]]k &= \mathcal{N}[[M]](\lambda z.\text{let } (x, y) = z \ \text{in } \mathcal{N}[[N]]k) \\
\mathcal{N}[[\text{inl } M]]k &= \mathcal{N}[[M]](\lambda x.k \ (\text{inl } x)) \\
\mathcal{N}[[\text{inr } M]]k &= \mathcal{N}[[M]](\lambda x.k \ (\text{inr } x)) \\
\mathcal{N}[[\text{case } M \{ \\ & \quad \text{inl } x \mapsto N; \\ & \quad \text{inr } y \mapsto N' \}]]k &= \mathcal{N}[[M]] \left( \begin{array}{l} \text{case } z \{ \\ \lambda z. \quad \text{inl } x \mapsto \mathcal{N}[[N]]k; \\ \quad \quad \text{inr } y \mapsto \mathcal{N}[[N']k \} \end{array} \right) \\
\mathcal{N}[[\text{let } () = M \text{ in } N]]k &= \mathcal{N}[[M]](\lambda z.\text{let } () = z \ \text{in } \mathcal{N}[[N]]k) \\
\mathcal{N}[[\text{absurd } M]]k &= \mathcal{N}[[M]](\lambda z.\text{absurd } z) \\
\mathcal{N}[[\text{in } M]]k &= \mathcal{N}[[M]](\lambda x.k \ (\text{in } x)) \\
\mathcal{N}[[\langle M \rangle]]k &= \mathcal{N}[[M]](\lambda x.k \ (\langle x \rangle))
\end{aligned}$$

Figure 10: Naive CPS Translation for Core Terms

#### Types.

$$\begin{aligned}
\mathcal{K}[[\text{end}_!]] &= R & \mathcal{K}[[!T.S]] &= \mathcal{K}[[T]] \multimap \mathcal{K}[[S]] \multimap R \\
\mathcal{K}[[\text{end}_?] ] &= R \multimap R & \mathcal{K}[[?T.S]] &= (\mathcal{K}[[T]] \multimap \mathcal{K}[[S]] \multimap R) \multimap R
\end{aligned}$$

#### Constants.

$$\begin{aligned}
\mathcal{K}[[\text{send}_!]]V \ k &= \text{let } (x, c) = V \ \text{in } c \ x \ k \\
\mathcal{K}[[\text{send}_?]]V \ k &= \text{let } (x, c) = V \ \text{in } k \ (\lambda y.c \ x \ y) \\
\mathcal{K}[[\text{receive}]]V \ k &= V \ (\lambda x \ c.k \ (x, c)) \\
\mathcal{K}[[\text{fork}_!]]V \ k &= k \ (\lambda x.V \ x \ id) \\
\mathcal{K}[[\text{fork}_?]]V \ k &= V \ k \ id \\
\mathcal{K}[[\text{wait}]]V \ k &= V \ (k \ ()) \\
\mathcal{K}[[\text{link}_!]]V \ k &= \text{let } (x, y) = V \ \text{in } k \ x \ y \\
\mathcal{K}[[\text{link}_?]]V \ k &= \text{let } (x, y) = V \ \text{in } k \ y \ x
\end{aligned}$$

#### Shallow Polarization.

$$\begin{aligned}
S_! &::= !T.S \mid \text{end}_! & S_? &::= ?T.S \mid \text{end}_? \\
\text{send}_! &: T \otimes !T.S_! \multimap S_! & \text{send}_? &: T \otimes !T.S_? \multimap S_? \\
\text{fork}_! &: (S_! \multimap \text{end}_!) \multimap \bar{S}_! & \text{fork}_? &: (S_? \multimap \text{end}_!) \multimap \bar{S}_? \\
\text{link}_! &: S_! \otimes \bar{S}_! \multimap \text{end}_! & \text{link}_? &: S_? \otimes \bar{S}_? \multimap \text{end}_!
\end{aligned}$$

#### Configurations.

$$\begin{aligned}
\mathcal{K}[[\bullet M]]k &= \mathcal{K}[[M]]k & \mathcal{K}[[\text{(new } x)C]]k &= \mathcal{K}[[C]]k \\
\mathcal{K}[[\circ M]]k &= \mathcal{K}[[M]]id & \mathcal{K}[[z = x \leftrightarrow y]]k &= z(x \ y) \\
\mathcal{K}[[C \parallel_x C']]k &= (\mathcal{K}[[C]]k)\{\lambda x.\mathcal{K}[[C']]k/x\}
\end{aligned}$$

Figure 11: CPS Translation for Concurrent  $\mu\text{GV}$  and Contexts.

#### Evaluation Contexts.

$$\mathcal{E}[[E]]k = \lambda x.\mathcal{K}[[E[e]]]k$$

#### Configuration Contexts.

$$\begin{aligned}
\mathcal{D}[[\ ]]f \ k &= f \ k \\
\mathcal{D}[[\text{(new } x)D]]f \ k &= \mathcal{K}[[D]]f \ k \\
\mathcal{D}[[C \parallel_x D]]f \ k &= (\mathcal{K}[[C]]k)\{\lambda x.\mathcal{D}[[D]]f \ k/x\} \\
\mathcal{D}[[D \parallel_x C]]f \ k &= (\mathcal{D}[[D]]f \ k)\{\lambda x.\mathcal{K}[[C]]k/x\}
\end{aligned}$$

Figure 12: CPS Translation of  $\mu\text{GV}$  Contexts.

## 4. The $\mu\text{CP}$ Language

### 4.1 Syntax

Figure 13 gives the terms and typing of  $\mu\text{CP}$ , an extension of Wadler's process calculus CP with recursive and corecursive types. The syntax of types is that of the propositions of linear logic, extended with least ( $\mu F$ ) and greatest ( $\nu F$ ) fixed points. As in  $\mu\text{GV}$ , we have omitted polymorphism and the exponentials; their reintroduction is entirely orthogonal to our development. The definition of duality includes the duality of least and greatest fixed points; the dual of an operator is defined by  $F^\perp(X) = (F(X^\perp))^\perp$ , as for  $\mu\text{GV}$ . The terms of  $\mu\text{CP}$  are restricted compared to  $\pi$ -calculus in several ways. Most significantly, composition and name restriction are combined in a single syntactic form, and the composed processes are limited to share only the newly introduced name. The forwarding construct  $x \leftrightarrow y$  corresponds to the axiom rule in linear logic; it is necessary for the treatment of recursion and for the extension of  $\mu\text{CP}$  to include polymorphism.

**Recursion and Corecursion.** We extend  $\mu\text{CP}$  to include recursion and corecursion, following Baelde's extension of classical linear logic to include induction and coinduction [2]. We begin by considering sequent calculus presentations of introduction and elimination rules for induction and coinduction, as follows:

$$\frac{\Psi \vdash F(\mu F)}{\Psi \vdash \mu F} \quad \frac{F(A) \vdash A}{\mu F \vdash A} \quad \frac{\Psi, \nu F \vdash A}{\Psi, F(\nu F) \vdash A} \quad \frac{A \vdash F(A)}{A \vdash \nu F}$$

Note that the hypotheses of the right rule for  $\nu$  and left rule for  $\mu$  are restricted to account for linearity. Baelde observes that, when using duality to convert these two-sided sequents to one-sided sequents, the left rule for  $\mu$  and the right rule for  $\nu$  collapse, and similarly the right rule for  $\mu$  and the left rule for  $\nu$ . This leaves us with only two rules, with term assignments as follows:

$$\frac{P \vdash y^\perp : A, x : F(A)}{\text{corec } x(y).P \vdash y : A^\perp, x : \nu F} \quad \frac{P \vdash \Psi, x : F(\mu F)}{\text{rec } x.P \vdash \Psi : x : \mu F}$$

However, there is a problem with this formulation. Suppose that we have some term  $Q \vdash A$ . We then have the composition  $\text{new } y \ (Q \mid \text{corec } x(y).P) \vdash x : \nu F$ . However, we have no hope of reducing this cut, as we have no rule which can prove  $\nu F$  in isolation. We can address this problem by suspending the cut in question, moving it into the  $\nu$  rule and giving the rule in Figure 13. Lindley and Morris [23] observe a similar pattern in comparing the  $\otimes$  rule to the typical process calculus rule for output. As in that case, the version without the suspended cut may expose reductions not present in the suspended version. Nevertheless, we can still define the simpler term as syntactic sugar:

$$\text{corec } x(y).P = \text{corec } x[y](y \leftrightarrow z \mid P)$$

**Examples.** We return to the example of natural numbers to give some flavor of the use of recursion and corecursion in  $\mu\text{CP}$ . We can define the type of natural numbers much as before

$$N(X) = \mathbf{1} \oplus X \quad \text{Nat} = \mu N$$



### Syntax.

Types	$A, B ::= A \otimes B \mid A \wp B \mid A \oplus B \mid A \& B$ $\mathbf{1} \mid \perp \mid \top \mid \mathbf{0} \mid X \mid X^\perp \mid \mu F \mid \nu F$
Operators	$F, G ::= X.A$
Labels	$\ell \in \text{inl}, \text{inr}$
Processes	$P, Q, R ::= x[y].(P \mid Q) \mid x(y).P \mid x[].0 \mid x().P$ $\mid x[\ell].P \mid \text{case } x \{P; Q\} \mid \text{case } x \{ \}$ $\mid x \leftrightarrow y \mid \text{new } x (P \mid Q)$ $\mid \text{rec } x.P \mid \text{corec } x[y](P \mid Q)$

### Typing.

$\frac{}{x \leftrightarrow y \vdash x : A, y : A^\perp}$	$\frac{P \vdash \Psi, x : A \quad Q \vdash \Psi', x : A^\perp}{\text{new } x (P \mid Q) \vdash \Psi, \Psi'}$
$\frac{P \vdash \Psi, y : A \quad Q \vdash \Psi', x : B}{x[y].(P \mid Q) \vdash \Psi, \Delta', x : A \otimes B}$	$\frac{}{x[].0 \vdash x : \mathbf{1}}$
$\frac{P \vdash \Psi, x : B, y : A}{x(y).P \vdash \Psi, x : A \wp B}$	$\frac{P \vdash \Psi}{x().P \vdash \Psi, x : \perp}$
$\frac{P \vdash \Psi, x : A}{x[\text{inl}].P \vdash \Psi, x : A \oplus B}$	$\frac{P \vdash \Psi, x : A \quad Q \vdash \Psi, x : B}{\text{case } x \{P; Q\} \vdash \Psi, x : A \& B}$
$\frac{}{\text{case } x \{ \} \vdash \Psi, x : \top}$	$\frac{P \vdash \Psi, x : F(\mu F)}{\text{rec } x.P \vdash \Psi, x : \mu F}$
$\frac{P \vdash \Psi, y : A \quad Q \vdash y : A^\perp, x : F(A)}{\text{corec } x[y](P \mid Q) \vdash \Psi, x : \nu F}$	

### Duality.

$(A \otimes B)^\perp = A^\perp \wp B^\perp$	$\mathbf{1}^\perp = \perp$	$\perp^\perp = \mathbf{1}$
$(A \wp B)^\perp = A^\perp \otimes B^\perp$	$\mathbf{0}^\perp = \top$	$\top^\perp = \mathbf{0}$
$(A \oplus B)^\perp = A^\perp \& B^\perp$	$(\mu F)^\perp = \nu(F^\perp)$	$(X^\perp)^\perp = X$
$(A \& B)^\perp = A^\perp \oplus B^\perp$	$(\nu F)^\perp = \mu(F^\perp)$	$F^\perp(X) = (F(X^\perp))^\perp$

Figure 13:  $\mu\text{CP}$  Typing Rules

and we can give very similar definitions of the constructors

$$\begin{aligned} \text{zero}_x &= \text{rec } x.x[\text{inl}].x[].0 \\ \text{succ}_{xy} &= \text{rec } x.x[\text{inr}].x \leftrightarrow y \end{aligned}$$

with the expected typings  $\text{zero}_x \vdash x : \text{Nat}$  and  $\text{succ}_{xy} \vdash x : \text{Nat}, y : \text{Nat}^\perp$ . We can define the addition operation as follows:

$$\begin{aligned} \text{plus}_{xyz} &= \text{corec } z[w].(w \langle x \rangle. w \leftrightarrow y; \\ &\quad w \langle x \rangle. \text{case } z \{z \langle \rangle. w \leftrightarrow x; \\ &\quad \quad \text{rec } x.x[\text{inr}].z \langle x \rangle. z \leftrightarrow w\}) \end{aligned}$$

where the recursive body of the corec has type  $w : \text{Nat} \wp \text{Nat}^\perp, z : N(\text{Nat}^\perp \otimes \text{Nat})$  and so the term has typing  $\text{plus}_{xyz} \vdash x : \text{Nat}, y : \text{Nat}^\perp, z : \text{Nat}^\perp$ . Writing  $\underline{n}_z$  to denote the encoding of the natural number  $n$  along channel  $z$ , we have that

$$\text{new } z (\underline{2}_z \mid \text{new } y (\underline{2}_y \mid \text{plus}_{xyz}))$$

will reduce to  $\underline{4}_x$

## 4.2 Semantics

The semantics of  $\mu\text{CP}$  are given by the cut reduction rules in classical linear logic, extended to account for recursion and corecursion, as shown in Figure 14. We write  $\text{fv}(P)$  for the free names of process  $P$ . Terms are identified up to congruence  $\equiv$ . Many of the principle

cut reductions ( $\longrightarrow_c$ ) correspond to process calculus reductions. The reduction of input against output is complicated by the implicit name restriction and composition inherent in the term structure for output. The new rule for  $\mu\text{CP}$  is for  $\text{rec}$  against  $\text{corec}$ , and amounts to one unfolding of the corec term. In defining the unfolding, we rely on functoriality for the operators; if  $P \vdash x : A^\perp, y : B$ , then  $\text{map}_{x,y}^F(P) \vdash x : F^\perp(A^\perp), y : F(B)$ . (We show functoriality for the positive combinators; the remaining cases can be obtained by switching the channels in the given cases.) We write  $\longrightarrow$  for  $\longrightarrow_c^* \longrightarrow_{\text{CC}}^*$ . The following theorem is due to Baelde [2]:

**Theorem 12** (Cut elimination). *If  $P \vdash \Psi$ , then there is some  $P'$  such that  $P \longrightarrow P'$  and  $P'$  is not of the form  $\text{new } x (Q \mid Q')$  for any  $x, Q, Q'$ .*

This result corresponds to the termination and lock freedom results for  $\mu\text{GV}$ : any well-typed process reduces to one that is blocked on external communication. The commuting conversions ( $\longrightarrow_{\text{CC}}$ ) do not correspond to computational steps (and thus, do not correspond to reductions in process calculi), but play a crucial role in cut elimination by moving remaining internal communication behind any external communication.

## 4.3 Translating $\mu\text{CP}$ into $\mu\text{GV}$

We next show that (the concurrent fragment of)  $\mu\text{GV}$  can simulate  $\mu\text{CP}$ . Figure 15 gives the translation; we translate top-level cuts into configurations ( $\mathcal{G}_C[-]$ ), but cuts under prefixes into applications of fork ( $\mathcal{G}[-]$ ). As in the encoding of recursive session types in  $\mu\text{GV}$ , we interpret  $\mu\text{CP}$  type variables ( $X, X^\perp$ ) as promises ( $?X, !X$ ) in the translation. The translation of  $\mu\text{CP}$  terms is entirely to the concurrent fragment of  $\mu\text{GV}$ , so the result of translated terms is always the empty channel.

**Theorem 13.** *If  $P \vdash \Psi$ , then  $\mathcal{G}[\Psi] \vdash^\circ \mathcal{G}[P] : \text{end}_!$ .*

The proof is by induction on the typing derivation of  $P \vdash \Psi$ .

Finally, we can show that reduction of the translated terms simulates reduction in  $\mu\text{CP}$ . As we did we relating the encoding of recursive session types to their intuitive interpretation in  $\mu\text{GV}$  (§2.4), we rely on administrative weak bisimulation to account for administrative reductions.

**Theorem 14.** *If  $P \vdash \Psi$  and  $P \longrightarrow_c Q$  then  $\mathcal{G}_C[P] \longrightarrow^+ \approx \mathcal{G}_C[Q]$ .*

The commuting conversions do not expose additional computation, and so we do not have corresponding reductions in  $\mu\text{GV}$ .

## 4.4 Translating $\mu\text{GV}$ into $\mu\text{CP}$

We conclude our discussion of  $\mu\text{CP}$  by showing that it can simulate  $\mu\text{GV}$ . The translation on types and terms is given in Figure 16; in the translation of terms, we write  $\text{xsdy}.P$  to abbreviate  $x[z](y \leftrightarrow z \mid P)$ . The translation is essentially identical to that of Lindley and Morris [22, 23], extended with recursive types. We interpret functional  $\mu\text{GV}$  types as  $\mu\text{CP}$  types corresponding to their interfaces, not their implementations. Hence, their interpretations are dualized. As  $\mu\text{CP}$  processes do not return values, the translation of a  $\mu\text{GV}$  term  $M$  is parameterized by an output channel  $z$ , which provides the behavior of the result of  $M$ . The translation of session terms include apparently trivial axiom cuts, which we have highlighted. These expose terms necessary to simulate  $\mu\text{GV}$  reduction. Another feature that is necessary for the simulation result to hold is the use of closures. We cannot directly simulate substitution under an abstraction with  $\mu\text{CP}$ , as  $\mu\text{CP}$  can substitute names but not entire processes. Closures avoid the need to do so.

The treatment of recursion in  $\mu\text{CP}$  differs from that in  $\mu\text{GV}$  in two ways that effect the translation. First, a fold ( $\llbracket - \rrbracket$ ) is treated as a function in  $\mu\text{GV}$ , whereas (for cut elimination reasons) a fold

### Structural Congruence.

$$\begin{array}{l} x \leftrightarrow y \equiv y \leftrightarrow x \\ \text{new } x (P \mid Q) \equiv \text{new } x (Q \mid P) \end{array} \quad \begin{array}{l} \text{new } y (P \mid \text{new } x (Q \mid R)) \equiv \text{new } x (\text{new } y (P \mid Q) \mid R) \quad \text{if } y \notin \text{fv}(R) \\ \text{new } x (P_1 \mid Q) \equiv \text{new } x (P_2 \mid Q) \quad \text{if } P_1 \equiv P_2 \end{array}$$

### Functoriality (positive cases).

$$\begin{array}{l} \text{map}_{x,y}^{X,A}(P) = x \leftrightarrow y, \quad X \notin \text{FTV}(A) \\ \text{map}_{x,y}^{X,X}(P) = P \\ \text{map}_{x,y}^{F \otimes G}(P) = x(x').y[y'].(\text{map}_{x',y'}^F(P\{x'/x, y'/y\}) \mid \text{map}_{x,y}^G(P)) \end{array} \quad \begin{array}{l} \text{map}_{x,y}^{F \oplus G}(P) = \text{case } x \{y[\text{inl}].\text{map}_{x,y}^F(P); y[\text{inr}].\text{map}_{x,y}^G(P)\} \\ \text{map}_{x,y}^{X, \mu F}(P) = \text{corec}^{F^\perp} x(y).\text{rec } y.\text{map}_{x,y}^{X, F(\mu F)}(P) \end{array}$$

### Primary Cut Reductions.

$$\begin{array}{l} \text{new } x (x \leftrightarrow y \mid P) \longrightarrow_C P\{y/x\} \\ \text{new } x (x[y].(P \mid Q) \mid x(y).R) \longrightarrow_C \text{new } x (Q \mid \text{new } y (P \mid R)) \\ \text{new } x (p[\text{inl}].P \mid \text{case } x \{Q; R\}) \longrightarrow_C \text{new } x (P \mid Q) \\ \text{new } x (\text{corec}^F x[y](P \mid Q) \mid \text{rec } x.R) \longrightarrow_C \text{new } y (P \mid \text{new } z (Q\{z/x\} \mid \text{new } x (\text{map}_{x,z}^F (\text{corec}^F x[y](z \leftrightarrow y \mid Q)) \mid R))) \end{array}$$

### Commuting Conversions.

$$\begin{array}{l} \text{new } z (x[y].(P \mid Q) \mid R) \longrightarrow_{\text{CC}} x[y].(\text{new } z (P \mid R) \mid Q) \\ \text{new } z (x[y].(P \mid Q) \mid R) \longrightarrow_{\text{CC}} x[y].(P \mid \text{new } z (Q \mid R)) \\ \text{new } z (x(y).P \mid Q) \longrightarrow_{\text{CC}} x(y).\text{new } z (P \mid Q) \\ \text{new } z (x().P \mid Q) \longrightarrow_{\text{CC}} x().\text{new } z (P \mid Q) \\ \text{new } z (x[\text{inl}].P \mid Q) \longrightarrow_{\text{CC}} x[\text{inl}].\text{new } z (P \mid Q) \end{array} \quad \begin{array}{l} \text{new } z (\text{case } x \{P; Q\} \mid R) \longrightarrow_{\text{CC}} \text{case } x \{\text{new } z (P \mid R); \text{new } z (Q \mid R)\} \\ \text{new } z (\text{case } x \{ \} \mid P) \longrightarrow_{\text{CC}} \text{case } x \{ \} \\ \text{new } z (\text{rec } x.P \mid Q) \longrightarrow_{\text{CC}} \text{rec } x.\text{new } z (P \mid Q) \\ \text{new } z (\text{corec } x[y](P \mid Q) \mid R) \longrightarrow_{\text{CC}} \text{corec } x[y](\text{new } z (P \mid R) \mid Q) \end{array}$$

Figure 14:  $\mu\text{CP}$  Reduction Rules

### Types.

$$\begin{array}{ll} \mathcal{G}[A \otimes B] = !\overline{\mathcal{G}[A]}. \mathcal{G}[B] & \mathcal{G}[\mathbf{1}] = \text{end}_! \\ \mathcal{G}[A \wp B] = ?\mathcal{G}[A]. \mathcal{G}[B] & \mathcal{G}[\perp] = \text{end}_? \\ \mathcal{G}[A \oplus B] = \mathcal{G}[A] \oplus^! \mathcal{G}[B] & \mathcal{G}[\mathbf{0}] = \mathbf{0}^! \\ \mathcal{G}[A \& B] = \mathcal{G}[A] \oplus^? \mathcal{G}[B] & \mathcal{G}[\top] = \mathbf{0}^? \\ \mathcal{G}[\mu F] = \mu^! \mathcal{G}[F] & \mathcal{G}[X] = ?X \\ \mathcal{G}[\nu F] = \mu^? \mathcal{G}[F] & \mathcal{G}[X^\perp] = !X \end{array}$$

### Operators.

$$\mathcal{G}[X.A] = X.\mathcal{G}[A]$$

### Terms.

$$\begin{array}{l} \mathcal{G}[\text{new } x (P \mid Q)] = \text{let } x = \text{fork } (\lambda x.\mathcal{G}[P]) \text{ in } \mathcal{G}[Q] \\ \mathcal{G}[x \leftrightarrow y] = \text{link } (x, y) \\ \mathcal{G}[x[y].(P \mid Q)] = \text{let } x = \text{send } (\text{fork } (\lambda y.\mathcal{G}[P]), x) \text{ in } \mathcal{G}[Q] \\ \mathcal{G}[x(y).P] = \text{let } (y, x) = \text{receive } x \text{ in } \mathcal{G}[P] \\ \mathcal{G}[x[]].0 = x \\ \mathcal{G}[x().P] = \text{let } x = () \text{ in wait } x \mathcal{G}[P] \\ \mathcal{G}[x[\text{inl}].P] = \text{let } x = \text{inl}^! x \text{ in } \mathcal{G}[P] \\ \mathcal{G}[\text{case } x \{P; Q\}] = \text{case}^? \{ \text{inl } x \mapsto \mathcal{G}[P]; \text{inr } x \mapsto \mathcal{G}[Q] \} \\ \mathcal{G}[\text{case } x \{ \}] = \text{let } (y, x) = \text{receive } x \text{ in absurd } x \\ \mathcal{G}[\text{rec } x.P] = \text{let } x = \text{inl}^! x \text{ in } \mathcal{G}[P] \\ \mathcal{G}[\text{corec } x[y](P \mid Q)] = \text{link } (\text{fork } (\lambda y.\mathcal{G}[P]), (\lambda x.\text{fork } (\lambda y.\mathcal{G}[Q]))^? x) \end{array}$$

### Configurations.

$$\begin{array}{l} \mathcal{G}_C[\text{new } x (P \mid Q)] = (\text{new } x)(\mathcal{G}_C[P] \parallel \mathcal{G}_C[Q]) \\ \mathcal{G}_C[M] = \circ \mathcal{G}[M] \end{array}$$

Figure 15: Translation of  $\mu\text{CP}$  into  $\mu\text{GV}$

and its argument are combined in  $\mu\text{CP}$ . Second, the functor  $\text{map } F(-)$  is treated as a function in  $\mu\text{GV}$ , whereas the corresponding  $\mu\text{CP}$  feature,  $\text{map}_{x,y}^F(-)$  is a term with two free names. We account for these differences in the translation from  $\mu\text{GV}$  to  $\mu\text{CP}$  by giving translations for terms  $(M)N$  and  $F(M)N$ , rather than to their components. Note that this imposes no expressiveness limitation on  $\mu\text{GV}$ :  $F(-)$  is only introduced in fully applied form, and uses of  $(-)$  can be  $\eta$ -expanded if necessary.

We use the  $C \parallel_x C'$  notation (§3) in giving the translation of configurations, but do not insist that configurations be well-oriented; for instance,  $x$  may have an output session type in  $C$ .

### Theorem 15 (Type soundness).

1. If  $\Gamma \vdash M : T$ , then  $\mathcal{C}[M]z \vdash \mathcal{C}[\Gamma], z : \mathcal{C}[T]^\perp$ .
2. If  $\Gamma \vdash C : T$ , then  $\mathcal{C}[C]z \vdash \mathcal{C}[\Gamma], z : \mathcal{C}[T]^\perp$ .

We write  $\Longrightarrow$  for  $(\equiv \longrightarrow \equiv)^+$ . One step of reduction in  $\mu\text{GV}$  is simulated by one or more cut reductions in  $\mu\text{CP}$ .

**Theorem 16 (Simulation).** *If  $\Gamma \vdash C : T$  and  $C \longrightarrow C'$ , then  $\mathcal{C}[C]z \Longrightarrow \mathcal{C}[C']z$ .*

## 5. Extensions

### 5.1 Corecursion and Nontermination

This section describes the extension of  $\mu\text{GV}$  with corecursive data types ( $\nu F$ ), the greatest fixed point of the functor  $F$ , and the communication patterns they can encode. We also consider the case in which the greatest and least fixed points are identified (they coincide in many semantic models). This gives rise to a notions of non-terminating computation in both functional and concurrent settings.

**Corecursive Types.** Figure 17 gives the extension of  $\mu\text{GV}$  to include corecursive types. Note that the typing rules and interpretation of corecursive types are dual to those for recursive types: where recursive types provide an iterated fold operation and a finite number of unfolding steps, corecursive types provide an iterated unfold operation and a finite number of folding steps. We restrict the type environment in unfolding to avoid duplicating linear resources. We can similarly extend the concurrent fragment of  $\mu\text{GV}$  with corecur-

<p><b>Session Types.</b></p> $\begin{aligned} \mathcal{C}[\! T.S]\!] &= \mathcal{C}[\! T]\!]^\perp \otimes \mathcal{C}[\! S]\!] \\ \mathcal{C}[\! ?T.S]\!] &= \mathcal{C}[\! T]\!] \wp \mathcal{C}[\! S]\!] \\ \mathcal{C}[\! T]\!] &= \mathcal{C}_\lambda[\! T]\!]^\perp \end{aligned}$ <p><b>Functional Types.</b></p> $\begin{aligned} \mathcal{C}_\lambda[\! T \otimes U]\!] &= \mathcal{C}_\lambda[\! T]\!] \otimes \mathcal{C}_\lambda[\! U]\!] & \mathcal{C}_\lambda[\! \mathbf{1}\!] &= \mathbf{1} \\ \mathcal{C}_\lambda[\! T \oplus U]\!] &= \mathcal{C}_\lambda[\! T]\!] \oplus \mathcal{C}_\lambda[\! U]\!] & \mathcal{C}_\lambda[\! \mathbf{0}\!] &= \mathbf{0} \\ \mathcal{C}_\lambda[\! T \multimap U]\!] &= \mathcal{C}_\lambda[\! T]\!]^\perp \wp \mathcal{C}_\lambda[\! U]\!] & \mathcal{C}_\lambda[\! X]\!] &= X \\ \mathcal{C}_\lambda[\! \mu X.T]\!] &= \mu X.\mathcal{C}_\lambda[\! T]\!] & \mathcal{C}_\lambda[\! S]\!] &= \mathcal{C}[\! S]\!]^\perp \end{aligned}$ <p><b>Session Terms.</b></p> $\begin{aligned} \mathcal{C}[\! \text{send } (M, N)]z &= \text{new } x (\mathcal{C}[\! N]\!]x \mid \text{new } y (\mathcal{C}[\! M]\!]y \mid x(y).x \leftrightarrow z)) \\ \mathcal{C}[\! \text{receive } M]z &= \text{new } y (\mathcal{C}[\! M]\!]y \mid \\ &\quad y(x).\text{new } w (w \leftrightarrow y \mid z(x).w \leftrightarrow z)) \\ \mathcal{C}[\! \text{fork } M]z &= \text{new } w (w \leftrightarrow z \mid \text{new } x (\mathcal{C}[\! M]\!]x \mid \\ &\quad \text{new } y (x(w).x \leftrightarrow y \mid y().0))) \\ \mathcal{C}[\! \text{wait } M]z &= \mathcal{C}[\! M]\!]z \\ \mathcal{C}[\! \text{link } (M, N)]z &= \text{new } w (w \leftrightarrow z \mid \text{new } x (\mathcal{C}[\! M]\!]x \mid \\ &\quad \text{new } y (\mathcal{C}[\! N]\!]y \mid w().x \leftrightarrow y)) \end{aligned}$ <p><b>Configurations.</b></p> $\mathcal{C}[\! \circ M]z = \text{new } y (\mathcal{C}[\! M]\!]y \mid y()) \quad \mathcal{C}[\! \bullet M]z = \mathcal{C}[\! M]z \quad \mathcal{C}[\! (\text{new } x)C]z = \mathcal{C}[\! C]z \quad \mathcal{C}[\! C \parallel_x C']z = \text{new } x (\mathcal{C}[\! C]z \mid \mathcal{C}[\! C']z)$	<p><b>Terms.</b></p> $\begin{aligned} \mathcal{C}[\! x]z &= x \leftrightarrow z \\ \mathcal{C}[\! \lambda^\sigma x.M]z &= \mathcal{C}[\! \sigma](z(x).\mathcal{C}[\! M]z) \\ \mathcal{C}[\! LM]z &= \text{new } x (\mathcal{C}[\! M]\!]x \mid \\ &\quad \text{new } y (\mathcal{C}[\! L]\!]y \mid y(x).y \leftrightarrow z)) \\ \mathcal{C}[\! ()]z &= z \\ \mathcal{C}[\! \text{let } () = M \text{ in } N]z &= \text{new } y (\mathcal{C}[\! M]\!]y \mid y().\mathcal{C}[\! N]z) \\ \mathcal{C}[\! (M, N)]z &= \text{new } x (\mathcal{C}[\! M]\!]x \mid \\ &\quad \text{new } y (\mathcal{C}[\! N]\!]y \mid z(x).y \leftrightarrow z)) \\ \mathcal{C}[\! \text{let } (x, y) = M \text{ in } N]z &= \text{new } y (\mathcal{C}[\! M]\!]y \mid y(x).\mathcal{C}[\! N]z) \\ \mathcal{C}[\! \text{inl } M]z &= \text{new } x (\mathcal{C}[\! M]\!]x \mid z[\text{inl}].x \leftrightarrow z) \\ \mathcal{C}[\! \text{inr } M]z &= \text{new } x (\mathcal{C}[\! M]\!]x \mid z[\text{inr}].x \leftrightarrow z) \\ \mathcal{C}[\! \text{case } L \left\{ \begin{array}{l} \text{inl } x \mapsto M; \\ \text{inr } x \mapsto N \end{array} \right\}]z &= \text{new } x (\mathcal{C}[\! L]\!]x \mid \\ &\quad \text{case } x \{ \mathcal{C}[\! M]z; \mathcal{C}[\! N]z \}) \\ \mathcal{C}[\! \text{absurd } L]z &= \text{new } x (\mathcal{C}[\! L]\!]x \mid \text{case } x \{ \}) \\ \mathcal{C}[\! \text{in } M]z &= \text{new } x (\mathcal{C}[\! M]\!]x \mid \text{rec } z.x \leftrightarrow z) \\ \mathcal{C}[\! (M)N]z &= \text{new } x (\mathcal{C}[\! N]\!]x \mid \\ &\quad \text{corec}.x(z).\text{new } y (\mathcal{C}[\! M]\!]y \mid \\ &\quad y(x).y \leftrightarrow z)) \\ \mathcal{C}[\! F(M)N]z &= \text{new } x (\text{map}_{z,x}^F(\mathcal{C}[\! M]x)z \mid \mathcal{C}[\! N]x) \end{aligned}$
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Figure 16: Translation of  $\mu\text{GV}$  into  $\mu\text{CP}$

<b>Syntax.</b>	
Session types	$S ::= \dots \mid \nu^1 \mathcal{F} \mid \nu^2 \mathcal{F}$
Types	$T ::= \dots \mid \nu F$
Terms	$M ::= \dots \mid \text{out } M \mid \llbracket M \rrbracket \mid \text{out}^2 M \mid \llbracket M \rrbracket^\dagger$
<b>Typing.</b>	
$\frac{\Gamma \vdash M : \nu F}{\Gamma \vdash \text{out } M : F(\nu F)}$	$\frac{\vdash M : A \multimap F(A)}{\vdash \llbracket M \rrbracket : A \multimap \nu F}$
$\frac{\Gamma \vdash M : \nu^2 \mathcal{F}}{\Gamma \vdash \text{out}^2 M : \mathcal{F}(\nu^2 \mathcal{F})}$	$\frac{\vdash M : S \multimap \mathcal{F}(\bar{T}) \multimap \text{end}_!}{\vdash \llbracket M \rrbracket^\dagger : S \multimap \nu^1 \mathcal{F} \multimap \text{end}_!}$
<b>Semantics.</b>	
$\text{out}(\llbracket M \rrbracket V) \longrightarrow_V F(\llbracket M \rrbracket)(M V)$	

Figure 17: Extension of  $\mu\text{GV}$  with Corecursive Data Types.

sive session types; typed similarly to, and implemented by, corecursive types. Following this pattern, we introduce the types  $\nu^1 \mathcal{F}$  and  $\nu^2 \mathcal{F}$ , with the duality relationship  $\overline{\nu^1 \mathcal{F}} = \nu^2 \mathcal{F}$ . The typing rule for  $\text{out}^2 M$  is a direct reflection of the rule for  $\text{out } M$ . As the communication primitives all consume terms of session type,  $\llbracket - \rrbracket^\dagger$  consumes a channel of type  $\nu^1 \mathcal{F}$ , and returns the remaining (empty) expectations of the channel.

**Nontermination.** Freyd [15] observed that, first, the greatest and least fixed points of functors coincide in many denotational models of functional languages and that, second, recognizing this coincidence gives an interpretation to many non-terminating recursive programs. We can apply this observation to  $\mu\text{GV}$  by identifying the types  $\mu F$  and  $\nu F$ . Doing so has several consequences for the term language. First, observe that  $\text{out}$  and  $\text{in}$  now compose (in either order), giving the identity. We introduce two new reduction rules to

account for these compositions:

$$\text{out}(\text{in } V) \longrightarrow_V V \quad \text{in}(\text{out } V) \longrightarrow_V V$$

Second, and more interestingly, we can now compose folds and unfolds to define recursive computations. Such compositions are frequently called hylomorphisms [25]. We account for hylomorphisms by adding the following reduction:

$$\langle \!|N\rangle \!|(\llbracket M \rrbracket V) \longrightarrow_V N(F(\langle \!|N\rangle \!|)(F(\llbracket M \rrbracket)(M V)))$$

Intuitively, each evaluation of a hylomorphism corresponds to one folding step and one unfolding step. This approach applies to  $\mu\text{GV}$  concurrency as well. If we identify  $\mu F$  and  $\nu F$  in our encodings of recursive session types and their terms, we get  $\mu^2 \mathcal{F} = \nu^2 \mathcal{F}$ ,  $\mu^1 \mathcal{F} = \nu^1 \mathcal{F}$  and thus  $\overline{\mu^2 \mathcal{F}} = \nu^1 \mathcal{F}$ , allowing composition of concurrent folds and unfolds.

## 5.2 Recursion, Duality, and Session Types

We relate our statement of duality to previous accounts of recursive session types. Most existing approaches use equirecursive, self-dual recursive session types, and do not include dualized type variables. Our system lacks self-dual constructs, and so cannot encode self-dual recursive types. However, we can imagine extending our language with a construct  $\mu^S \mathcal{F}$  such that  $\overline{\mu^S \mathcal{F}} = \mu^S \mathcal{F}$ .

Honda et al. [19] originally proposed recursive session types for a system of *first order* session types in which messages did not include channel names. Duality was given by  $\overline{\mu^S X.T} = \mu^S X.\bar{T}$ , where  $\bar{X} = X$ . To distinguish this notion from ours, we write *naive*( $T$ ) instead of  $\bar{T}$ . In contrast, our approach gives  $\overline{\mu^2 X.T} = \mu^2 X.\bar{T}\{\bar{X}/X\}$ . It is not hard to see that logical duality coincides with naive duality for first-order session types. Intuitively, if  $\mu^S X.T$  is first-order, then if we compute  $\overline{\mu^S X.T}\{\bar{X}/X\}$  each instance of  $X$  in  $T$  will first be dualised by  $\bar{T}$  and then again by the substitution  $\{\bar{X}/X\}$ .

Independently, Bono and Padovani [9] and Bernardi and Hennessy [5] observed that naive duality is not enough for *higher-order* session types, that is, session types with support for del-

egation. Consider  $S = \mu^S X. ?X.X$ . The logical dual of  $S$  is  $\mu^S X.!(\mu^S X. ?X.X).X$ , whereas the naive dual of  $S$  is  $\mu^S X. !X.X$ , which is (equirecursively) equivalent to  $\mu^S X.!(\mu^S X. !X.X).X$ . It is not difficult to show that the logical dual yields the correct behaviour, whereas the naive dual does not. They (each) proposed a new definition of duality for recursive session types, using a selective form of substitution which applies only inside carried types. Later, Bernardi and Hennessy [6] observed that even this approach fails on examples such as  $\mu^S X. \mu^S Y. ?Y.X$ . They propose converting each recursive session type into a so-called *m-closed* recursive session type before applying native duality. A recursive session type  $\mu^S X.T$  is m-closed if  $X$  does not occur free inside a carried type in  $T$ . It is straightforward to show that every recursive session type is (equirecursively) equivalent to an m-closed one, and that, as they they are essentially first-order, naive duality and logical duality coincide on m-closed recursive session types.

Duality for recursive session types clearly needs to be treated carefully. We are encouraged that our definition coincides with the state of the art for equirecursive self-dual session types. We believe that this also shows the value in our deconstruction of recursive session types to well-understood primitives: we are guided immediately to a correct, compact, and general definition of duality.

**Remark.** Dualized session type variables are redundant in equirecursive session types, as every session type  $\mu^S X.T$  is equivalent to  $\mu^S X.T\{\mu^S Y.T\{X/\bar{Y}\}/\bar{X}\}$ , where  $Y$  is a fresh type variable. However, dualized session type variables do lead to a cleaner compositional definition of duality.

## 6. Related Work

**Session Types and Linear Logic.** Session types were originally introduced by Honda [18] as a typing discipline for a CCS-like process calculus. Takeuchi et al [26] and Honda et al. [19] extended the original approach to include delegation and recursion. Honda’s system relied on a substructural type system, and borrowed some syntax from linear logic, but did not draw a direct connection between the systems nor suggest the connection between the input and output session types and the  $\otimes$  and  $\wp$  connectives. Abramsky [1] and Bellin and Scott [4] give interpretations of linear logic proofs as  $\pi$ -calculus processes, and of cut elimination as  $\pi$ -calculus reduction. Their interpretation of  $\otimes$  and  $\wp$  are very different from the interpretations of input and output in session types. Caires and Pfenning [10] give the first formal correspondence between session types and linear logic, interpreting the propositions of intuitionistic linear logic as session types, and showing that  $\pi$ -calculus reduction corresponds with cut reduction. As a consequence of the latter correspondence, they show that cut elimination in linear logic proves deadlock freedom for session-typed  $\pi$  calculus terms. Vasconcelos et al. [28] and Gay and Vasconcelos [16] consider functional languages extended with session-typed concurrency. The functional fragments of their calculi are generally less fully featured than ours (for example, they omit sums) while their concurrent fragments include non-determinism and deadlock. Wadler [29] presents a process calculus, called CP, similar to that of Caires and Pfenning, but based on classical rather than intuitionistic linear logic. He also gives a functional calculus and shows a type-preserving translation from his functional calculus to his process calculus; however, his functional calculus is less expressive than his process calculus. Lindley and Morris [23] give a more expressive functional calculus and show semantics-preserving translations to and from Wadler’s CP.

**Recursive and Corecursive Definition.** The interpretation of recursive data types, and their connection to recursive functions, has been studied extensively; we highlight the direct precursors of our

approach. Goguen et al [17] introduced the use of initial algebras, and the corresponding folds, in understanding recursive data types and their use. Meijer et al. [25] characterized the use of both folds and unfolds, among other patterns, in the definition of recursive functional programs. The coincidence of least and great fixed points for data type constructors in many models was first observed by Freyd [15]; he argues that this observation justifies the use of such fixed points for recursive data types. Baelde and Miller [3] first described an extension of linear logic with induction and coinduction, encoded using exponentials and second-order quantification. Baelde [2] gives a treatment of induction and coinduction without encoding; in particular, he gives a cut reduction rule for recursive and corecursive terms, and shows cut elimination directly.

**Recursive Session Types.** There have been several recent developments of recursive session types and their relationship with linear logic. We highlight three closely related to our development.

Toninho et al [27] present a system with recursive session types based on intuitionistic linear logic extended with corecursion. They arrive at a similar (albeit intuitionistic) typing discipline for corecursive session types to ours (§5.1), and give a direct proof of termination for the resulting system (without encoding). However, their approach differs from ours in several significant ways. First, they treat recursive processes as primitive, and so do not expose the connection with recursive data types. In contrast, we believe that the parallels with data types (and thus, our ability to present a simple core calculus) is one of the principal benefits of our approach. One consequence is that they have only corecursive processes ( $\nu^1, \nu^2$  in our notation), but not recursive processes ( $\mu^2, \mu^1$ ) nor the possibility of identifying greatest and least fixed points. Finally, our session types are classical, while theirs are intuitionistic. One consequence of our approach is that we are explicit about the role of duality, and thus identify a new notion of duality for recursive session types, while their notion of duality is implicit in the type system. We see the similarities, despite theoretical and methodological differences, as indicative of the strength of both programs.

Dardha [12] gives an encoding of recursive session-typed  $\pi$ -calculus into recursive (non-linear)  $\pi$ -calculus, and shows that this encoding preserves both typing and semantics. Her encoding is based on self-referential replicated processes, and thus supports arbitrary non-termination, while not attempting to guarantee deadlock or livelock freedom. She adopts a coinductive definition of duality from Bernardi et al. [7], which relies on partially unfolding recursive types at each computation of their duals.

Bono and Padovani [9] and Bernardi and Hennessy [5] independently observed that the standard definition of duality for recursive session types fails when recursion occurs in a carried type. Bernardi et al [7] systematically study several duality relations, and propose a notion of session typing independent of the particular duality relation. They also give a coinductive characterization of duality, and suggest a syntactic instance of their characterization. A particular concern of their work, absent from ours, is subtyping: a process may offer more choices than those from its partner selects. However, their definitions are more complex than ours even without considering subtyping; in particular, they rely on partially unfolding recursive types in each computation of their duals.

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