Sept. 4, 2023

## Something about log-supermodular distributions



Shuji Kijima (Shiga University)



--- As an introduction of log-supermodular

Tutte polynomial -- as an introduction of log-supemodular

The *Tutte polynomial* of a graph G = (V, E) is given by

$$T_G(x, y) \coloneqq \sum_{A \in 2^E} (x - 1)^{r(E) - r(A)} (y - 1)^{|A| - r(A)}$$

for  $x, y \in \mathbb{R}$  where  $r(A) = \max\{|F| | F \subseteq A \text{ is a forest (cycle free})\},\$ 

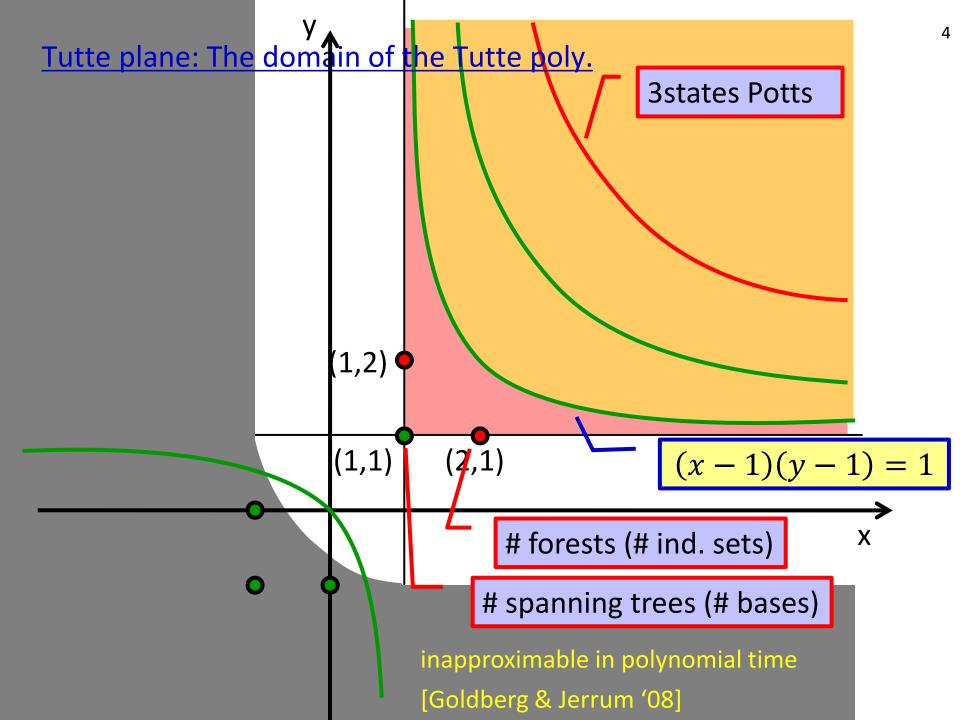
i.e., rank function of the graphic matroid (a.k.a. cycle matroid).

Tutte polynomial contains a lot of information on G:

- $T_G(1,1) =$ #spanning trees of G
- $T_G(2,1) =$ #forests of G
- $T_G(1,2) =$ #spanning subgraphs of G

 $H_q = \{(x, y) | (x - 1)(y - 1) = q\}$ : part. func. Potts model w/ q-states

 $T_G(x, 0)$ : chromatic polynomial  $T_G(2, 0) =$ #acyclic orientation

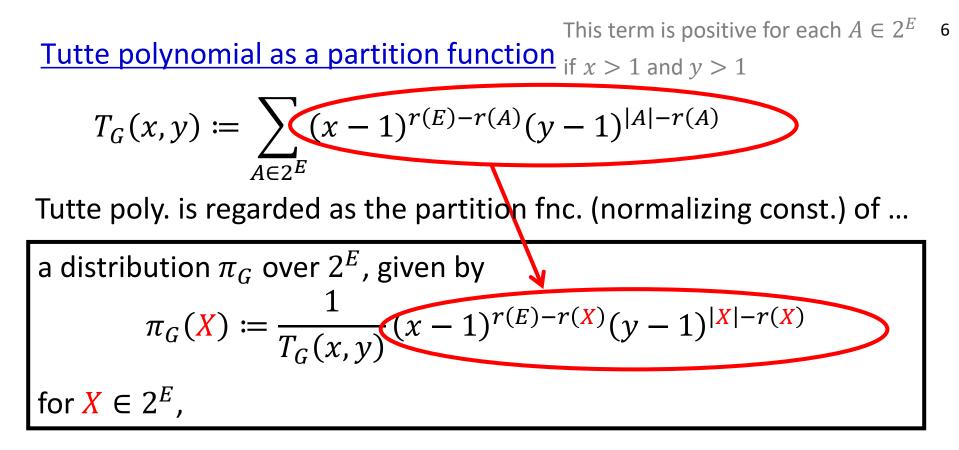


This term is positive for each  $A \in 2^E$  5

Tutte polynomial as a partition function if x > 1 and y > 1

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Tutte poly. is regarded as the partition fnc. (normalizing const.) of ...



when x > 1 and y > 1.

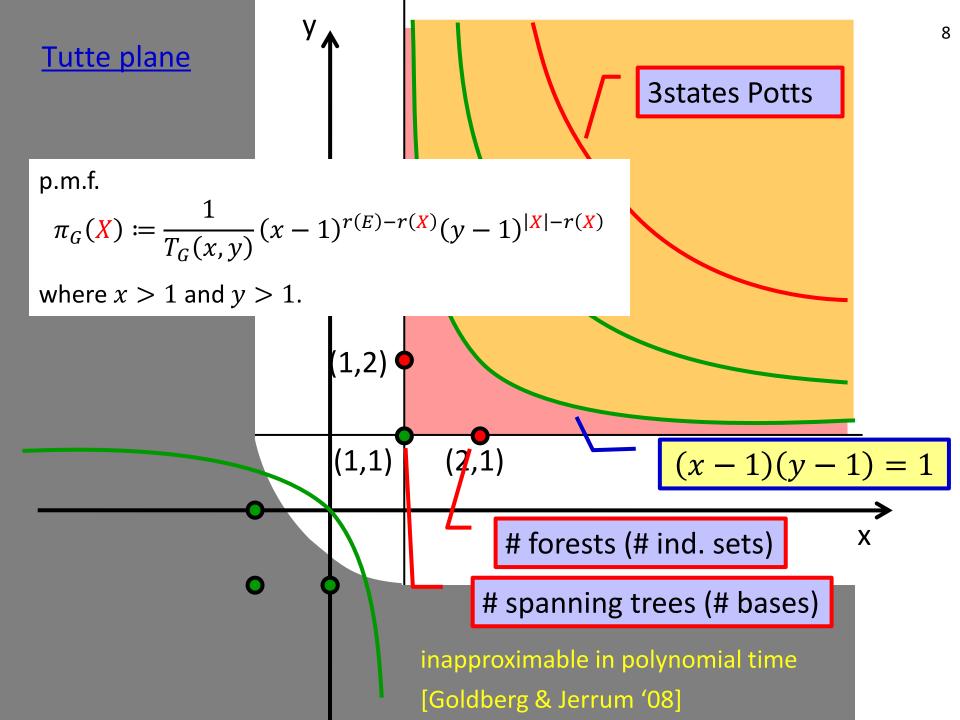
This term is positive for each  $A \in 2^E$  7 <u>Tutte polynomial as a partition function</u> if x > 1 and y > 1 $T_G(x,y) \coloneqq \sum_{x \to 1}^{\infty} (x-1)^{r(E)-r(A)} (y-1)^{|A|-r(A)}$  $A \in 2^E$ Tutte poly. is regarded as the partition fnc. (normalizing const.) of ... a distribution  $\pi_G$  over  $2^E$ , given by  $\pi_G(X) \coloneqq \frac{1}{T_G(x, y)} (x - 1)^{r(E) - r(X)} (y - 1)^{|X| - r(X)}$ for  $X \in 2^E$ ,

when x > 1 and y > 1. Furthermore,

✓  $\pi_G$  is log-supermodular if  $(x - 1)(y - 1) \ge 1$ ,

✓  $\pi_G$  is log-submodular if  $(x - 1)(y - 1) \le 1$ 

log-supermodular:  $\pi_G(X)\pi_G(Y) \le \pi_G(X \cup Y)\pi_G(X \cap Y)$ log-submodular:  $\pi_G(X)\pi_G(Y) \ge \pi_G(X \cup Y)\pi_G(Y \cap Y)$ 



### Goal of the talk

## □ Why log-supermodular?

✓ Seemingly "Tractable"

Monotone coupling (cf. FKG ineq.), "log-concave" etc.

✓ #BIS-hard

#Ideal, #stable matching

## □ What we (or I) know?

✓ Log-concave?



J. Nakashima, Y. Yamauchi, S. Kijima and M. Yamashita, Finding submodularity hidden in symmetric difference, SIAM Journal on Discrete Mathematics, 34:1 (2020), 571--585.

## ✓ Subclass for #BIS-hard

T. Fujii and S. Kijima, Every finite distributive lattice is isomorphic to the minimizer set of an M<sup>4</sup> -concave set function, Operations Research Letters, 49:1 (January 2021), 1--4.

## Goal of the talk

## □ Why log-supermodular?

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A set function 
$$g: 2^N \to \mathbb{R}_{>0}$$
 is *log-supermodular* if  
 $g(X)g(Y) \leq g(X \cup Y)g(X \cap Y)$   
holds for any  $X, Y \in 2^N$ , where  $N = \{1, 2, ..., n\}$ .

Define a transition from  $X \in 2^N$  to  $X' \in 2^N$  as follows

1. Choose 
$$i \in N$$
 u.a.r.

2. Let 
$$X' = \begin{cases} X \cup \{i\} & \text{w. p.} \frac{g(X \cup \{i\})}{g(X \cup \{i\}) + g(X \setminus \{i\})}, \\ X \setminus \{i\} & \text{otherwise.} \end{cases}$$

#### Prop. (cf. FKG ineq.)

The Markov chain admits a natural monotone coupling if (and only if) g is log-supermodular

### Log-supermodularity is "iff condition" for a monotone CFTP

A naïve CFTP requires simulation from all the states  $(2^N, \text{ in our case})$ . If the Markov chain is **stochastically monotone**, then two chains (from Max. and Min.) are sufficient for the CFTP algorithm.

> J. G. Propp, D. B. Wilson, Exact sampling with coupled Markov chains and applications to statistical mechanics, Random Struct. Algorithms, 9(1-2), 223-252, 1996.

<u>Thm. [K. @HJ '11]</u>

A reversible Hasse walk on a distributive lattice

has a *monotone* update function

⇔ its stationary distribution is *log-supermodular* 

Remark.

We have an example that a hit-and-run chain (it's not a Hasse walk) admits a monotone CFTP for discretized Dirichlet distribution, which is not a log-supermodular distribution for some parameter [Matsui&K. `07] A set function  $g: 2^N \to \mathbb{R}_{>0}$  is *log-supermodular* if  $g(X)g(Y) \leq g(X \cup Y)g(X \cap Y)$ holds for any  $X, Y \in 2^N$ , where  $N = \{1, 2, ..., n\}$ .

□ Equivalently, g is log-supermodular iff  $-\log g$  is submodular, where a set function  $f: 2^N \to \mathbb{R}$  is submodular if  $f(X) + f(Y) \ge f(X \cup Y) + f(X \cap Y)$ holds for any  $X, Y \in 2^N$ .

Submodularity is often regarded as a discrete analogue of convexity:

- $\checkmark f$  is submodular iff its Lovasz's extension is convex.
- ✓ Minimization is in P, Maximization is NP-hard.

## Set fncs.

- f is supermodular
- $\Leftrightarrow -f$  is submodular

f is concave  $\Leftrightarrow -f$  is convex

- Log-supermodular is compared with log-concave: Maximum likelihood estimation is efficiently found.
- Log-submodular is compared with log-convex: Maximum likelihood estimation is hard in general.

Log-supermodular distributions

- Ferromagnetic Ising
- Tutte polynomial
- ➢ FKG inequality

**Q.** Is there an efficient algorithm to sample from log-supermodular distribution?

Log-concave distributions

Gaussian distribution

Possible to sample from logconcave distribution, efficiently.

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  - > Monotone coupling (cf. FKG ineq.), "log-concave" etc.

## ✓ #BIS-hard

#Ideal, #stable matching

- What we (or I) know?
  - ✓ Log-concave?



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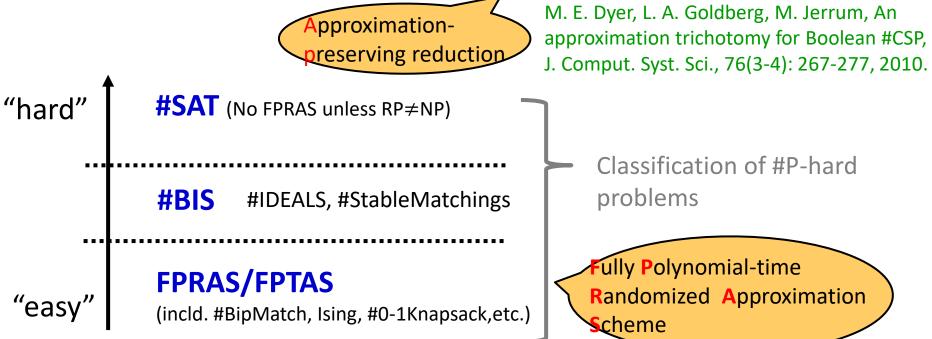
## Prob. #BIS

Given G = (U, V; E) Bipartite graph.

Count the number of Independent Sets, where

 $X \subseteq U \cup V$  is an *independent set* if  $\{x, y\} \notin E$  for any  $x, y \in X$ .

**#BIS** is conjectured to be located between **#SAT**-hard (no FPRAS unless RP=NP) and **FPRAS**able under **AP-reduction**.



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### Prob. #IDEALS

Given  $\mathcal{P} = (N, \preccurlyeq)$  partially ordered set (poset).

Count the number of ideals, where

 $X \subseteq N$  is an *ideal* if  $x \in X$  and  $y \leq x$  then  $y \in X$ .

Thm.

**#BIS** has an FPRAS iff **#IDEALS** has an FPRAS.

M. E. Dyer, L. A. Goldberg, C. S. Greenhill, M. Jerrum, The relative complexity of approximate counting problems, Algorithmica 38(3), 471-500, 2004

Simply we say

"#IDEALS is #BIS-hard"



If **#IDEALS** has an FPRAS then **#BIS** has an FPRAS.

Proof sketch.

□ If **#IDEALS** has an FPRAS then so does **#MaxBIS**.

Idea: Suppose  $(A_1, B_1) \subseteq (U, V)$  and  $(A_2, B_2) \subseteq (U, V)$  are respectively maximum independent sets of G = (U, V; E). Then, both  $(A_1 \cap A_2, B_1 \cup B_2)$  and  $(A_1 \cup A_2, B_1 \cap B_2)$  are max. ind. set., meaning that it forms a distributive lattice w/appropriate meet/join. In fact, the representing poset is found in a polynomial time by Dulmage-Mendelsohn decomp.

□ If **#MaxBIS** has an FPRAS then so does **#BIS**.

Idea: By a Cook reduction (many-to-many).

Cf. M. E. Dyer, L. A. Goldberg, C. S. Greenhill, M. Jerrum, The relative complexity of approximate counting problems, Algorithmica 38(3): 471-500 (2004)



Conversely, if **#BIS** has an FPRAS then **#IDEALS** has an FPRAS. Proof sketch.

□ If **#BIS** has an FPRAS then so does **#MaxBIS**.

Idea: Let G' be a graph adding a pendant to every vertex in G. Then, ind. sets of G are bijective to max. ind. sets of G'.

□ If **#MaxBIS** has an FPRAS then so does **#IDEALS**.

Idea: As given  $\mathcal{P} = (N, \leq)$ , let G = (U, V; E) be given by |U| = |V| = |N| and  $\{u_i, v_j\} \in E$  if  $i \leq j$ . Then max. ind. sets of G are bijective to ideals of  $\mathcal{P}$ .

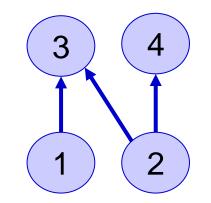
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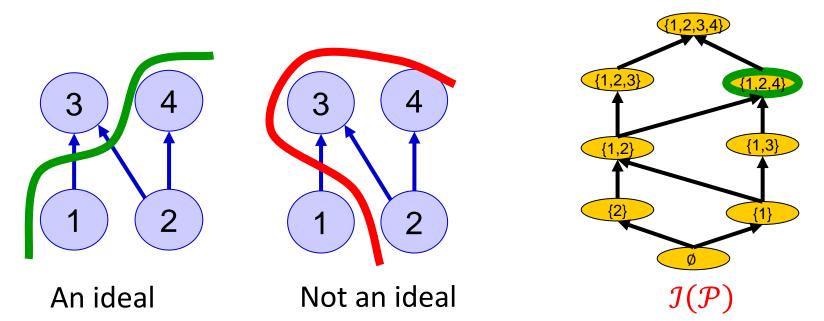


Let  $\mathcal{I}(\mathcal{P}) = \{X \subseteq V \mid X \text{ is an ideal of } \mathcal{P}\}.$ 

$$\mathcal{P} = (\{1,2,3,4\}, \preccurlyeq)$$

✓  $\mathcal{I}(\mathcal{P})$  forms a **distributive lattice** w.r.t. U and  $\cap$ .

✓ Any finite distributive lattice is isomorphic to the set family of ideals of a poset (Birkhoff's representation theorem).



Prop. (representation by minimizers of a submodular fncs.) As given a finite poset  $\mathcal{P} = (N, \preccurlyeq)$ , let  $f: 2^N \to \mathbb{R}$  be given by  $f(X) = |\{i \in X | \exists j \text{ such that } j \prec i \text{ and } j \notin X\}|$ for  $X \in 2^N$ . Then f is submodular, and  $f(X) \begin{cases} = 0 & \text{if } X \in \mathcal{I}(\mathcal{P}) \\ \geq 1 & \text{otherwise} \end{cases}$ holds for  $X \in 2^N$ .

Let 
$$g(X) = 2^{-(n+1)f(X)}$$
 for  $X \in 2^N$ , where  $n = |N|$ .  
Notice that  $g$  is log-supermodular. Then  
 $|\mathcal{I}(\mathcal{P})| \le C \le |\mathcal{I}(\mathcal{P})| + \frac{1}{2}$ 
 $g(X) \begin{cases} = 1 & \text{if } X \in \mathcal{I}(\mathcal{P}) \\ \le \frac{1}{2^{n+1}} & \text{otherwise} \end{cases}$ 
where recall  $C = \sum_{X \in 2^N} g(X)$ . Thus  $[C] = |\mathcal{I}(\mathcal{P})|$ .

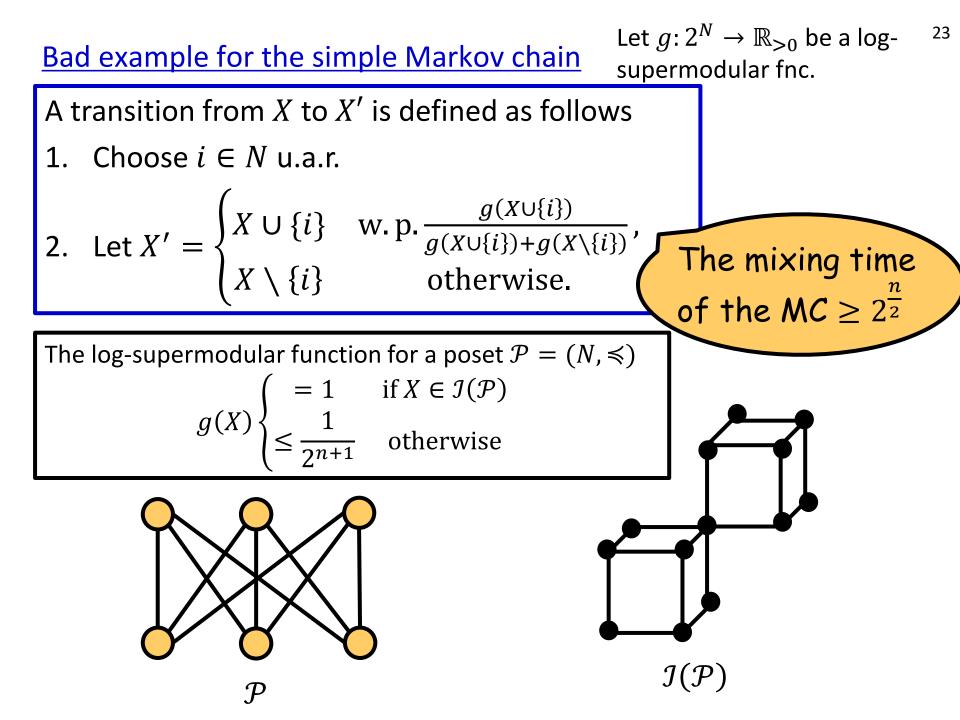
 $\Rightarrow$  If we have an FPRAS for C we have an FPRAS for  $|\mathcal{I}(\mathcal{P})|$ .

#### <u>Intermediate</u>

So far, we have seen that sampling from logsupermodular distribution is #BIS-hard, which is conjectured between #SAT (no FPRAS unless RP=NP) and FPRASable.

M. E. Dyer, L. A. Goldberg, M. Jerrum, An approximation trichotomy for Boolean #CSP, J. Comput. Syst. Sci., 76(3-4): 267-277, 2010.

- Why is it hard to sample from log-supermodular?
- => Two Hints(?)
- 1. Bad example for the simple Markov chain
- log-supermodularity is not invariant under "transformation of variables".



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#### FINDING SUBMODULARITY HIDDEN IN SYMMETRIC DIFFERENCE\*

#### JUNPEI NAKASHIMA<sup>†</sup>, YUKIKO YAMAUCHI<sup>†</sup>, SHUJI KIJIMA<sup>†</sup>, AND MASAFUMI YAMASHITA<sup>†</sup>

Abstract. A set function f on a finite set V is submodular if  $f(X)+f(Y) \ge f(X \cup Y)+f(X \cap Y)$ for any pair  $X, Y \subseteq V$ . The symmetric difference transformation (SD-transformation) of f by a canonical set  $S \subseteq V$  is a set function g given by  $g(X) = f(X \triangle S)$  for  $X \subseteq V$ , where  $X \triangle$  $S = (X \setminus S) \cup (S \setminus X)$  denotes the symmetric difference between X and S. Submodularity and SD-transformations are recorded as the counterparts of converts and affine transformations in a

Submodularity is often regarded as a discrete counter part of convexity,

e.g., minimization is in P, maximization is NP-hard

submodular, although it requires exponentially many oracle calls in general.

Key words. submodular functions, symmetric difference

A function  $f : \mathbb{R}^n \to \mathbb{R}$  is *convex* if

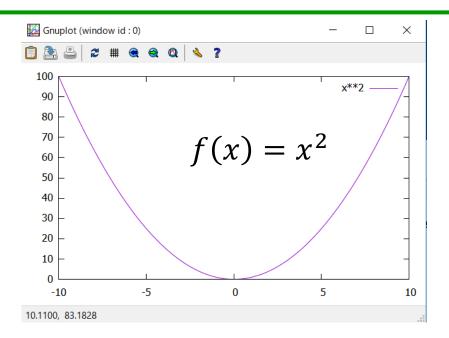
$$\lambda f(\mathbf{x}) + (1 - \lambda)f(\mathbf{y}) \ge f(\lambda \mathbf{x} + (1 - \lambda)\mathbf{y})$$

holds for any  $x, y \in \mathbb{R}^n$  and for any  $\lambda \in [0,1]$ .

A function  $f : \mathbb{R}^n \to \mathbb{R}$  is *convex* if

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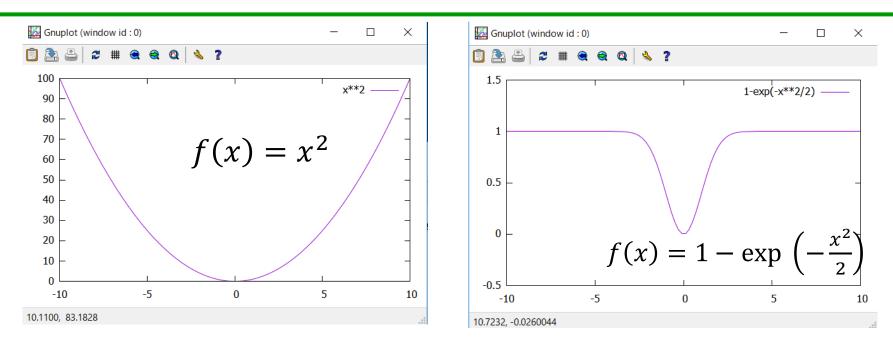
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convex

### <u>Ex. 1.</u>

$$f(x, y) = 2x^2 + 2xy + 5y^2$$

Is the function *f* convex?

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Is the function *f* convex?

#### Answer.

Let

$$x \coloneqq s + 2t$$
$$y \coloneqq s - t.$$

Then,  

$$g(s,t) = f(s+2t, s-t)$$

$$= 2(s+2t)^{2} + 2(s+2t)(s-t) + 5(s-t)^{2}$$

$$= (2s^{2} + 8st + 8t^{2}) + (2s^{2} + 2st - 4t^{2}) + (5s^{2} - 10st + 5t^{2})$$

$$= 9s^{2} + 9t^{2}.$$

Now, it is easy to observe that g(s, t) is **convex**.

Ex. 2.  $f(x,y) = x^{2} + 4xy + 3y^{2}$ Is the function *f* convex?

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#### Answer.

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$$y \coloneqq s - t.$$

#### Then,

$$g(s,t) = f(s+3t,s-t)$$
  
=  $(s+3t)^2 + 4(s+3t)(s-t) + 3(s-t)^2$   
=  $(s^2 + 6st + 9t^2) + (4s^2 + 8st - 12t^2) + (3s^2 - 6st + 3t^2)$   
=  $8s^2 + 8st$ 

g(s,t) is **not convex**, that is confirmed by e.g., g(1,-2) = 8 - 16 = -8, g(-1,2) = 8 - 16 = -8,  $g\left(\frac{1}{2}(1,-2) + \frac{1}{2}(-1,2)\right) = g(0,0) = 0 > \frac{1}{2}g(1,-2) + \frac{1}{2}g(-1,2).$ 

A function  $f : \mathbb{R}^n \to \mathbb{R}$  is *convex* if

$$\lambda f(\mathbf{x}) + (1 - \lambda)f(\mathbf{y}) \ge f(\lambda \mathbf{x} + (1 - \lambda)\mathbf{y})$$

holds for any  $x, y \in \mathbb{R}^n$  and for any  $\lambda \in [0,1]$ .

## Thm. (cf. [Rockafellar])

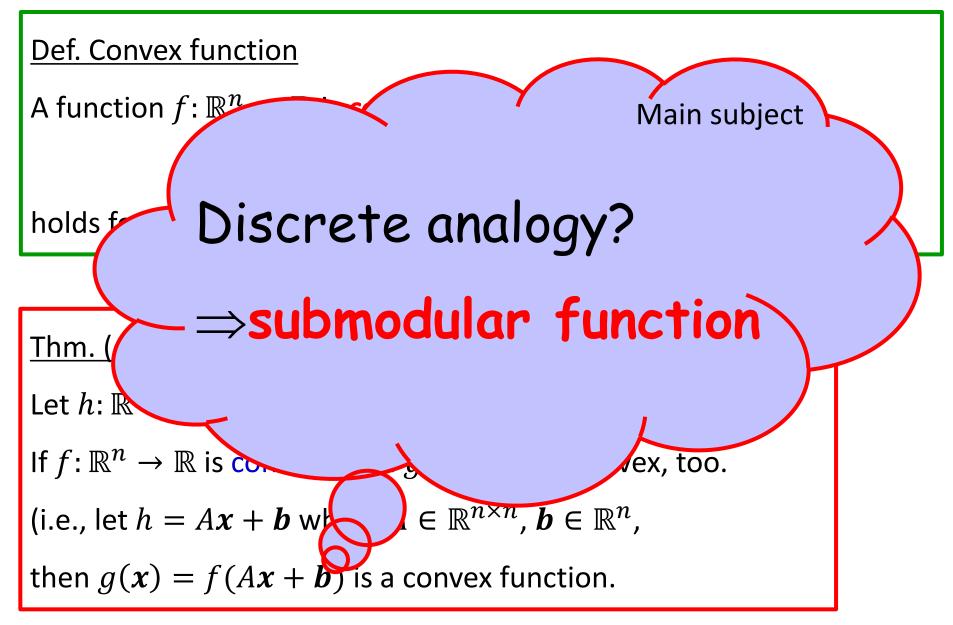
Let  $h: \mathbb{R}^n \to \mathbb{R}^n$  be an affine map.

If  $f : \mathbb{R}^n \to \mathbb{R}$  is convex, then  $g \coloneqq f \circ h$  is convex, too.

(i.e., let  $h = A\mathbf{x} + \mathbf{b}$  where  $A \in \mathbb{R}^{n \times n}$ ,  $\mathbf{b} \in \mathbb{R}^{n}$ ,

then  $g(\mathbf{x}) = f(A\mathbf{x} + \mathbf{b})$  is a convex function.

#### Convexity is invariant under affine transformation



Def. submodular function

For a set function  $f: \{0,1\}^V \to \mathbb{R}$ , let  $\Phi_f: \{0,1\}^V \times \{0,1\}^V \to \mathbb{R}$  be given by  $\Phi_f(X,Y) \coloneqq f(X) + f(Y) - f(X \cup Y) - f(X \cap Y).$ 

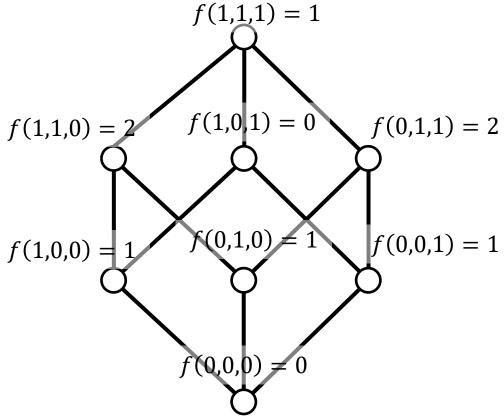
• A set function f is subdmodular if  $\Phi_f(X, Y) \ge 0$  holds for any  $X, Y \in \{0, 1\}^V$ .

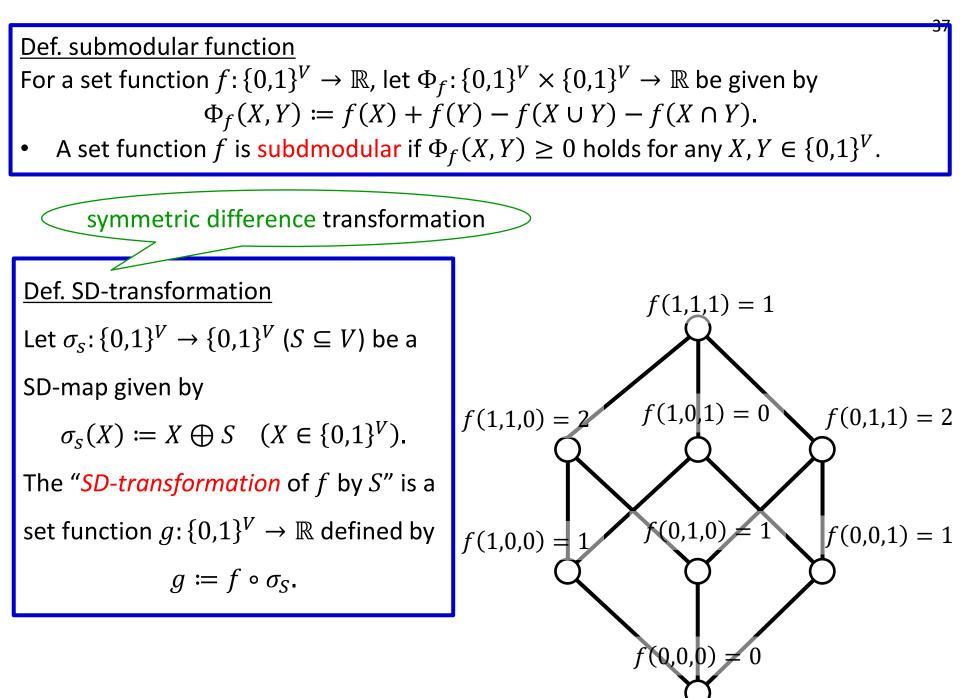
What is natural for "*discrete* variable transformation"?

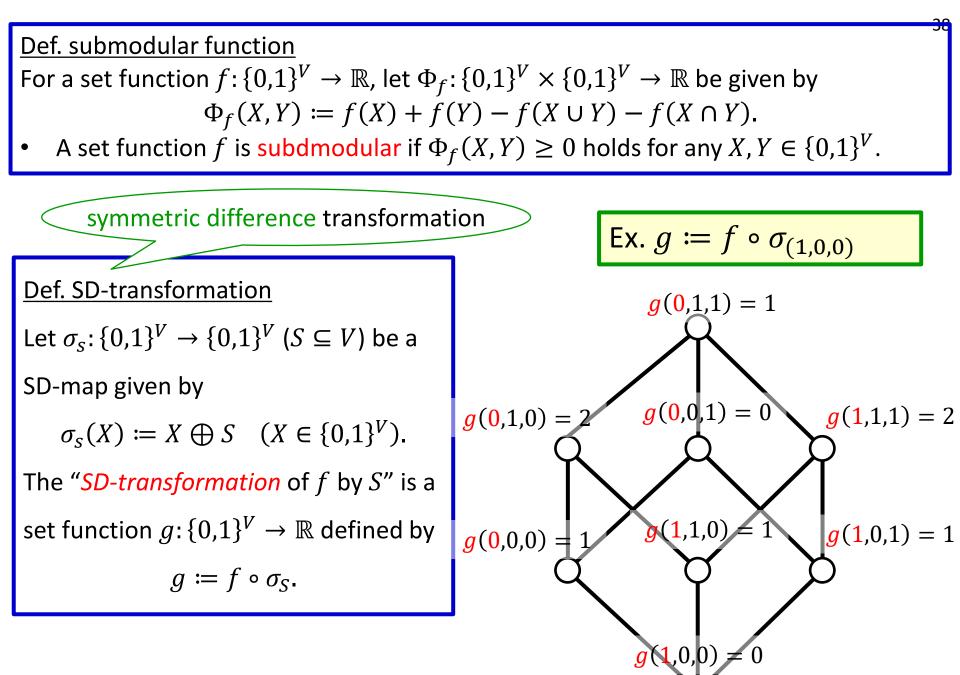
"Change origin" (+ rename)

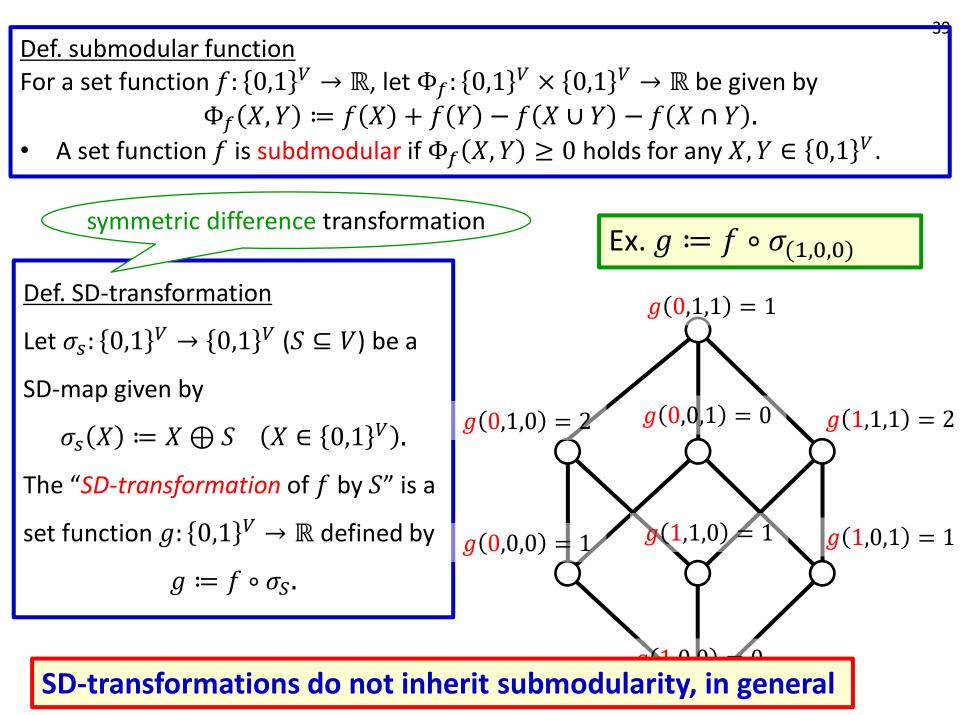
Once assign **an origin**, a Boolean lattice is *uniquely* determined (except for the *name* of items).

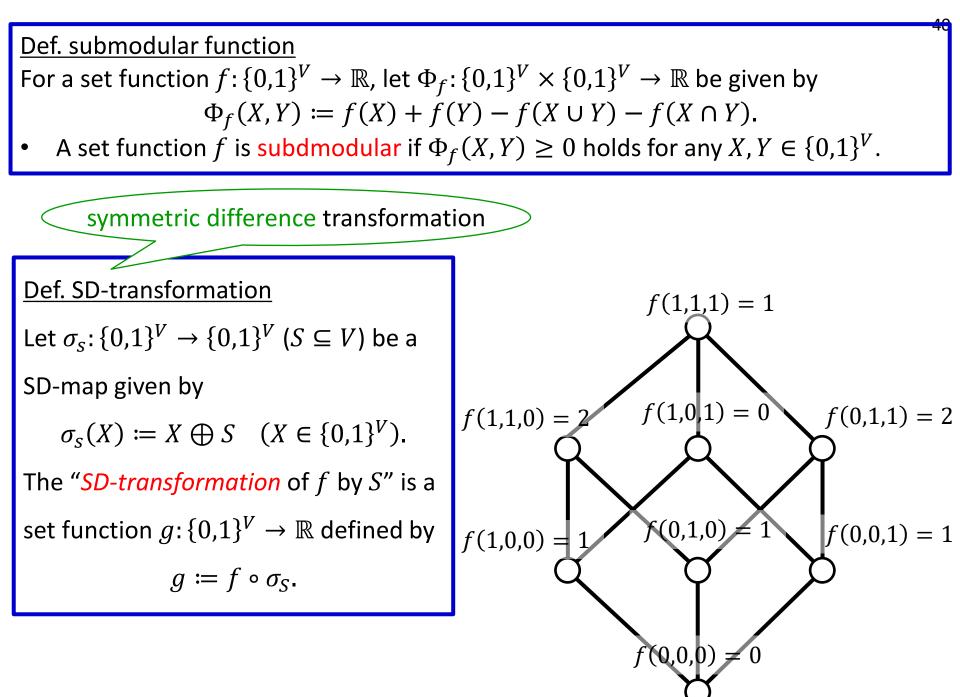
We describe an "assignment of an origin" by a *symmetric difference transformation*, in the next slides.

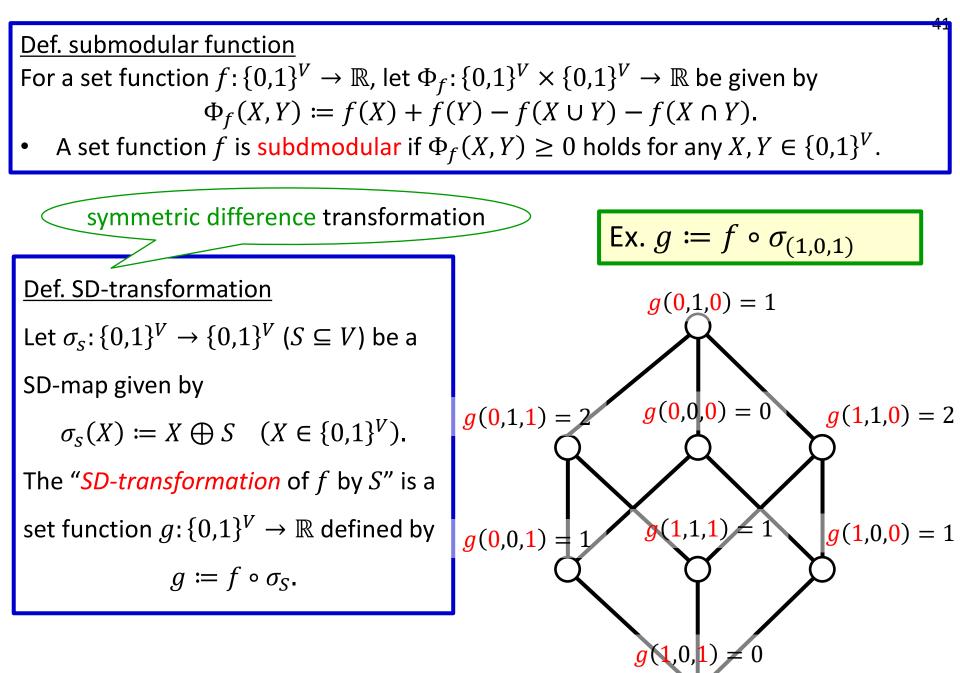


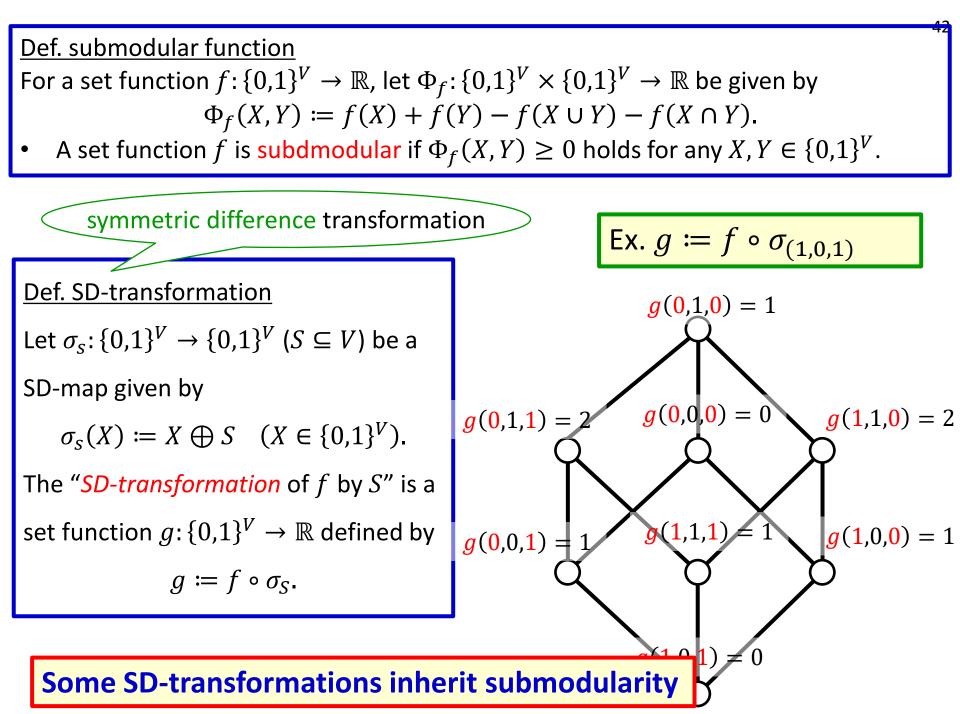


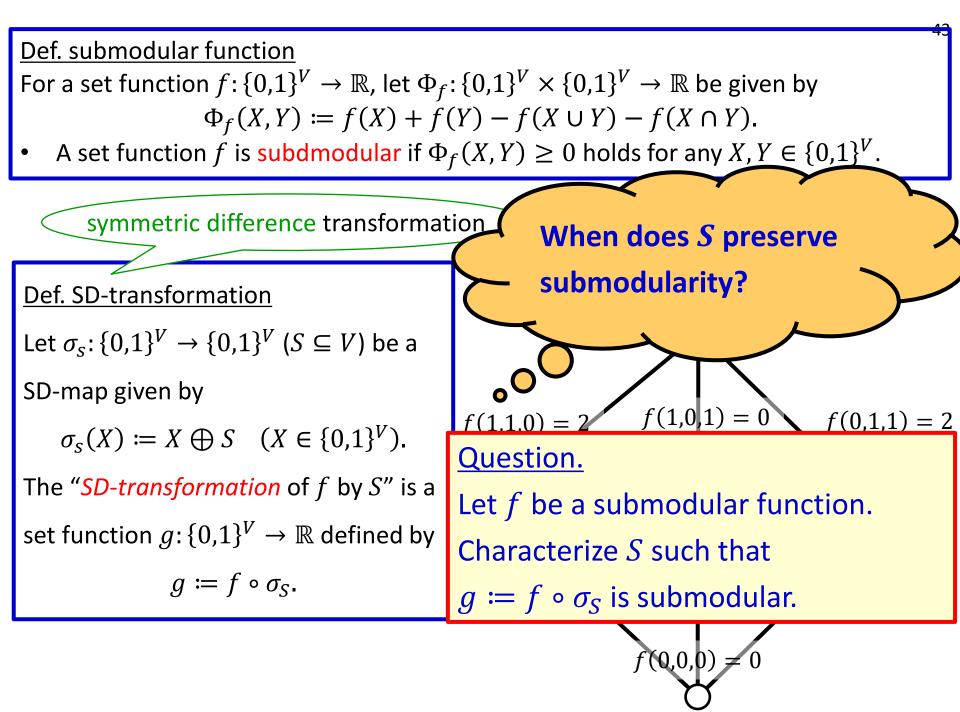






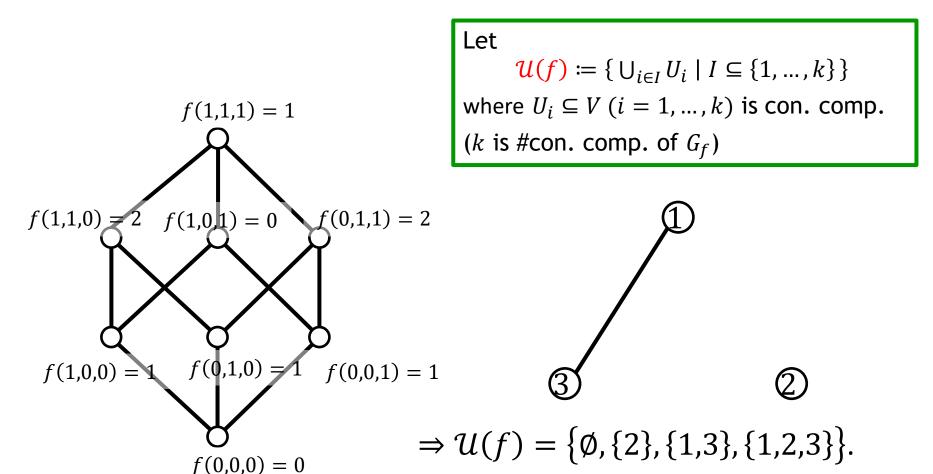






### <u>Main Result</u>

Thm. 2 (Main Thm.) For any  $S \subseteq V$ ,  $[f \circ \sigma_S \text{ is submodular } \Leftrightarrow S \in \mathcal{U}(f)]$ 



### <u>Intermediate</u>

So far, we have seen that sampling from logsupermodular distribution is #BIS-hard, which is conjectured between #SAT (no FPRAS unless RP=NP) and FPRASable.

M. E. Dyer, L. A. Goldberg, M. Jerrum, An approximation trichotomy for Boolean #CSP, J. Comput. Syst. Sci., 76(3-4): 267-277, 2010.

- Why is it hard to sample from log-supermodular?
- => Two Hints(?)
- 1. Bad example for the simple Markov chain
- log-supermodularity is not invariant under "transformation of variables".

Almost end



Kazuo Murota said ...

"Kijima, do you know *M*<sup>#</sup>-*concave set functions* form a proper subclass of submodular fns."

(So, sampling from log-M<sup>#</sup>-convex distributions may be easier than from log-supermodular distr., as I understand)

Both Min/Maximization of an M<sup>#</sup>-concave fn. is in P.
(It looks like a matroid rank function, but not "monotone")

(It looks like a matroid rank function, but not "monotone")

Answer: Sampling from log-M<sup>#</sup>-convex distribution is still hard.

T. Fujii and S. Kijima, Any finite distributive lattice is isomorphic to the minimizer set of an M  $^{\natural}$  -concave set function, arXiv 1903.08343, 2019.

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Monotone coupling (cf. FKG ineq.), "log-concave" etc.

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> #Ideal, #stable matching

# □ What we (or I) know?

# ✓ Log-concave?



J. Nakashima, Y. Yamauchi, S. Kijima and M. Yamashita, Finding submodularity hidden in symmetric difference, SIAM Journal on Discrete Mathematics, 34:1 (2020), 571--585.

# ✓ Subclass for #BIS-hard

T. Fujii and S. Kijima, Every finite distributive lattice is isomorphic to the minimizer set of an M<sup>4</sup>-concave set function, Operations Research Letters, 49:1 (January 2021), 1--4.



# Every finite distributive lattice is isomorphic to the minimizer set of an M<sup>\(\beta\)</sup>-concave set function



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#### ABSTRACT

 $M^{\natural}$ -concavity is a key concept in discrete convex analysis. For set functions, the class of  $M^{\natural}$ -concavity is a proper subclass of submodularity. It is a well-known fact that the set of minimizers of a submodular function forms a distributive lattice, where every finite distributive lattice is possible to appear. It is a natural question whether every finite distributive lattice appears as the minimizer set of an  $M^{\natural}$ -concave set function. This paper affirmatively answers the question.

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#### 1. Introduction

**Proposition 2** (See e.g., [9]). Given a finite poset  $\mathcal{P} = (N, \preccurlyeq)$ , let

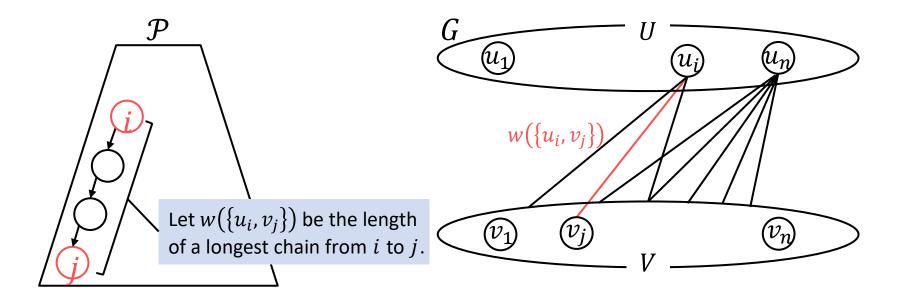
### Formal Definition of f (1/2)

Let  $\mathcal{P} = (N, \preccurlyeq)$  be a poset and  $N \coloneqq \{1, 2, ..., n\}$ .

We define a weighted bipartite graph  $G \coloneqq (U, V; E)$  as follows.

The vertex set is given by the union of  $U \coloneqq \{u_1, u_2, \dots, u_n\}$  and  $V \coloneqq \{v_1, v_2, \dots, v_n\}$ . The edge set  $E \coloneqq \{\{u_i, v_j\}: u_i \in U, v_j \in V \text{ and } j \prec i \text{ on } \mathcal{P}\}$ .

The edge weight  $w: E \to \mathbb{Z}_{\geq 0}$  is given by  $w(\{u_i, v_j\}) = \max\{|X| - 1 : X \subseteq N \text{ is a chain between } j \text{ and } i\}.$ 



## Formal Definition of f (2/2)

We define a set function  $f: 2^N \to \mathbb{R}$  by

 $f(X) = \max\left\{\sum_{e \in M} w(e) : M \text{ is a matching between } U_X \text{ and } V_{\overline{X}}\right\}$ 

where  $U_X \coloneqq \{u_i \in U : i \in X\}$  and  $V_{\overline{X}} \coloneqq \{v_i \in V : i \notin X\}$ .

### <u>Thm.</u>

f is M<sup>#</sup>-concave, and its minimizer set is  $\mathcal{I}(\mathcal{P})$ .

### <u>Cor.</u>

If we have an efficient sampler from log-M<sup>#</sup>-convex distribution, then we have an FPRAS for #IDEALS, and hence for #BIS.

### Goal of the talk

# □ Why log-supermodular?

✓ Seemingly "Tractable"

Monotone coupling (cf. FKG ineq.), "log-concave" etc.

✓ #BIS-hard

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For efficient sampling from an arbitrary log-supermodular distribution, #BIS needs to have an FPRAS.

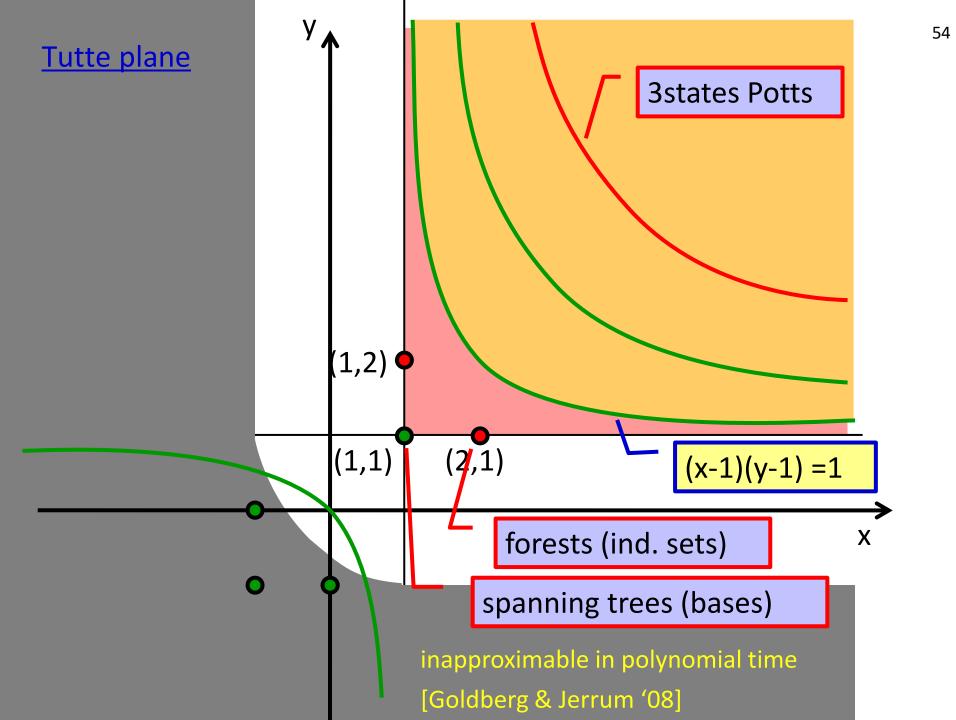
Some people conjecture that #BIS is located between #SAT (no FPRAS, in general) and FPRASable.

- Bad News: sampling from log-M<sup>#</sup>-concave distribution is still #BIS-hard.
- Good News: #BIS (#IDEALS, precisely) is restricted to log-M<sup>#</sup>-concave distribution, from log-supermodular distribution.

### Good News? Recent development

N. Anari, K. Liu, S. O. Gharan, C. Vinzant, Log-concave polynomials II: high-dimensional walks and an FPRAS for counting bases of a matroid, STOC '19.

Provides an FPRAS for  $T_G(2,1)$  ( $T_M(1,1)$  and  $T_M(2,1)$ , in fact)





Thank you for the attention.