

The Complexity of Planar Boolean #CSP with Complex Weights

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(joint work with Tyson Williams)

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Riga, Latvia
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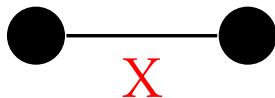
Definition

A **vertex cover** of a graph is a set of vertices such that each edge of the graph is incident to at least one vertex in the set.

#VertexCover

Definition

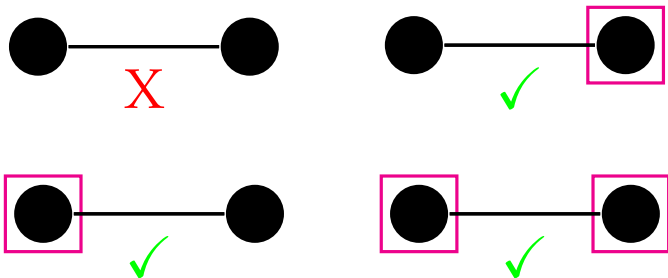
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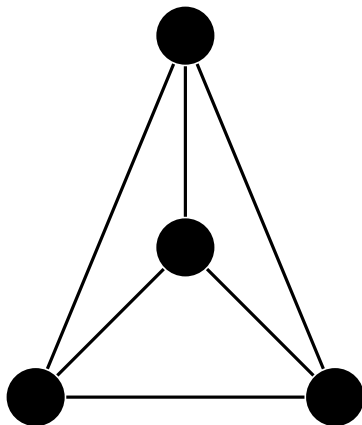
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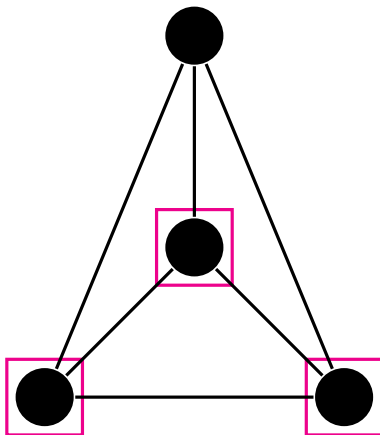
Systematic Approach to #VertexCover

- $G = (V, E)$



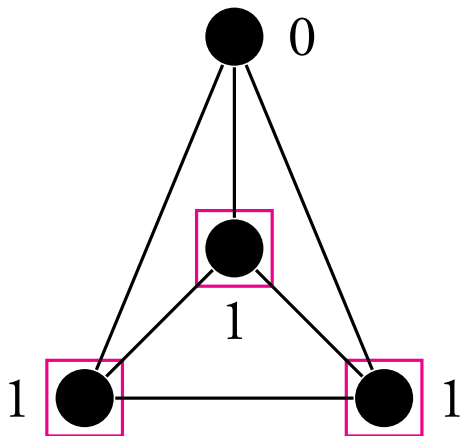
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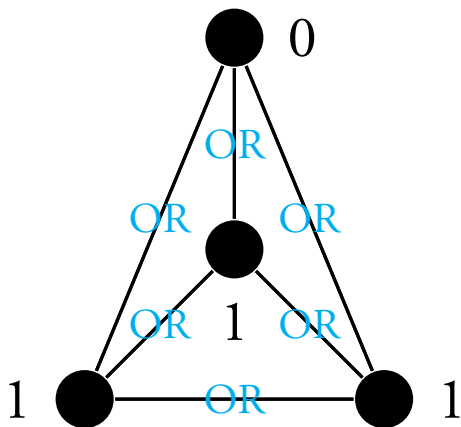
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- $G = (V, E)$
- $\sigma : V \rightarrow \{0, 1\}$



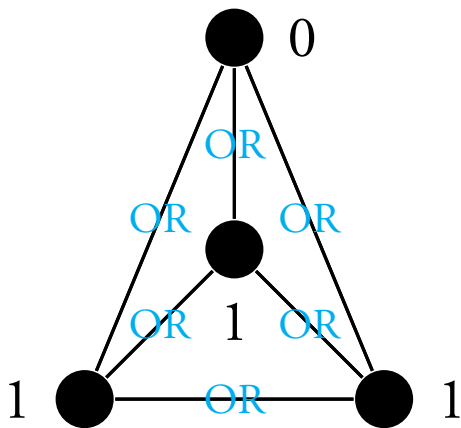
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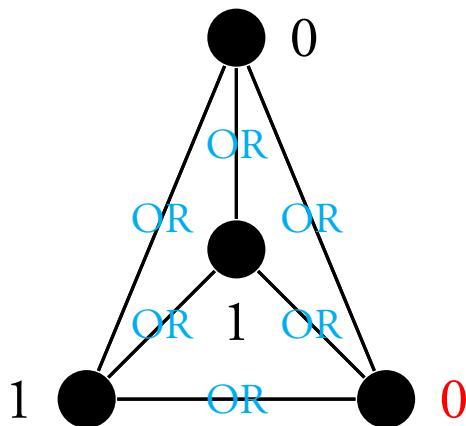
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$$\prod_{(u,v) \in E} \text{OR}(\sigma(u), \sigma(v)) = 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 = 1$$

Systematic Approach to #VertexCover

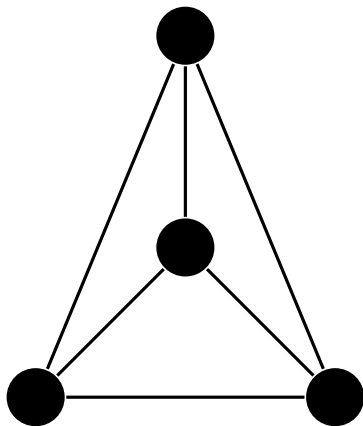
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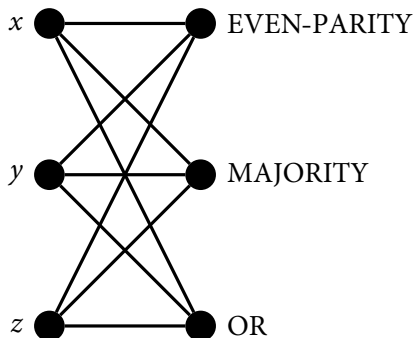
$$\#\text{VERTEXCOVER}(G) = \sum_{\sigma: V \rightarrow \{0,1\}} \prod_{(u,v) \in E} \text{OR}(\sigma(u), \sigma(v))$$

Constraint Graph

$$\text{EVEN-PARITY}(x, y, z) \wedge \text{MAJORITY}(x, y, z) \wedge \text{OR}(x, y, z)$$

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Constraint Satisfaction Problems

$\#\text{CSP}(\mathcal{F})$

- On input with (bipartite) constraint graph $G = (V, C, E)$, compute

$$\sum_{\sigma: V \rightarrow \{0,1\}} \prod_{c \in C} f_c(\sigma|_{N(c)}),$$

where $N(c)$ are the neighbors of c .

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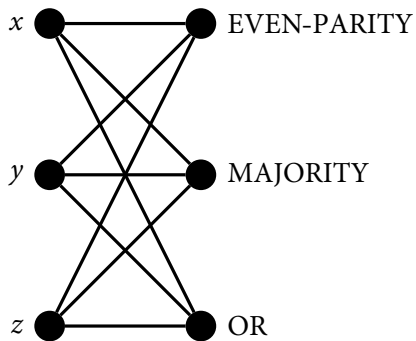
- In this talk we consider the case where the constraint graph is planar, denoted $Pl\text{-}\#CSP(\mathcal{F})$.

Planar Constraint Graph

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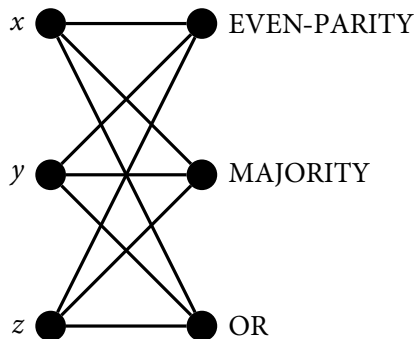
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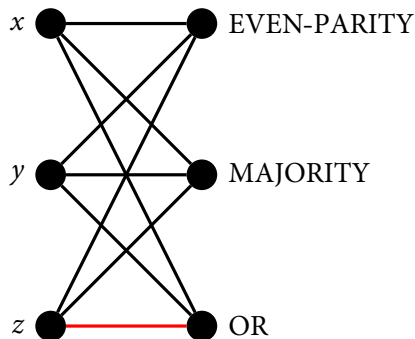
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NOT planar, so **NOT** an instance of
 $\text{Pl-}\#\text{CSP}(\{\text{EVEN-PARITY}_3, \text{MAJORITY}_3, \text{OR}_3\})$

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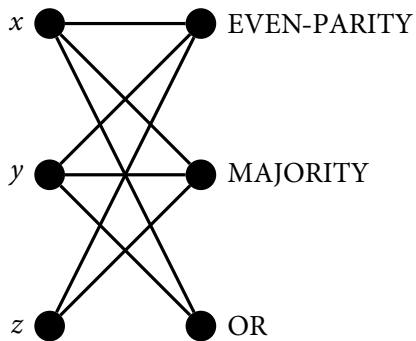
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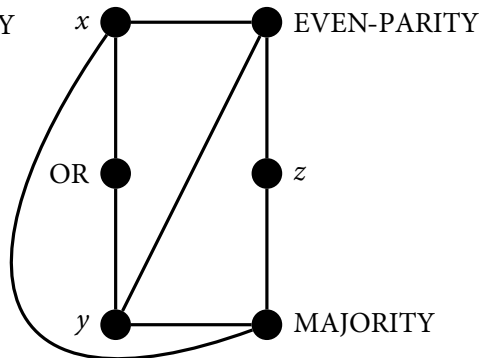
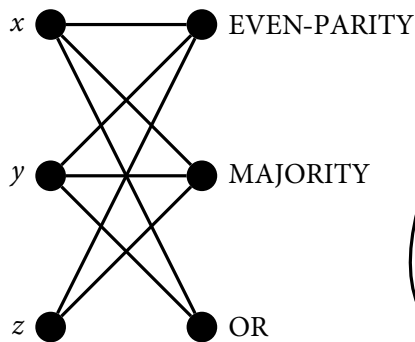
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VALID instance of $\text{Pl-}\# \text{CSP}(\{\text{EVEN-PARITY}_3, \text{MAJORITY}_3, \text{OR}_2\})$

#CSP(\mathcal{F}) in Holant Framework

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- On input with (bipartite) constraint graph $G = (V, C, E)$, compute

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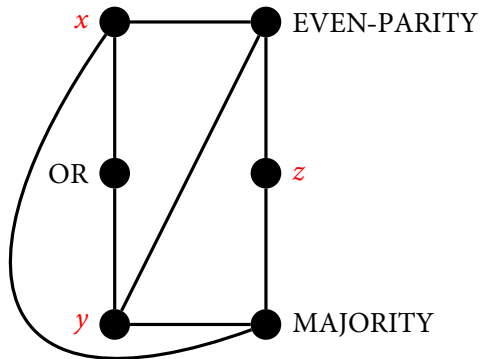
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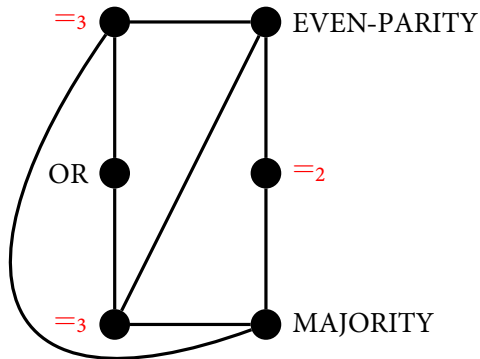
$$\#CSP(\mathcal{F}) \equiv_T \text{Holant}(\mathcal{EQ} \cup \mathcal{F}),$$

where $\mathcal{EQ} = \{=_1, =_2, =_3, \dots\}$ is the set of equalities of all arities.

Example



Example



Symmetric signatures

Symmetric Signatures: value only depends on the Hamming weight of the inputs.

$$\text{OR}_2 = [0, 1, 1]$$

$$\text{AND}_3 = [0, 0, 0, 1]$$

$$\text{EVEN-PARITY}_4 = [1, 0, 1, 0, 1]$$

$$\text{MAJORITY}_5 = [0, 0, 0, 1, 1, 1]$$

$$(\text{=}_6) = \text{EQUALITY}_6 = [1, 0, 0, 0, 0, 0, 1]$$

Holographic transformation

- The action of a 2-by-2 non-singular matrix T on a signature f of arity n is $T^{\otimes n}f$. We use $T\mathcal{F}$ to denote that T acts upon every element of \mathcal{F} .

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- Example: Let $H_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$

$$H_2^{\otimes n}(=n) = \text{EVEN-PARITY}_n$$

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$$\text{Note: } H_2\widehat{\mathcal{F}} = \mathcal{F} \text{ since } H_2\widehat{\mathcal{F}} = H_2H_2\mathcal{F} = \mathcal{F}$$

Some Signature Sets

Affine signatures \mathcal{A} :

- 1 $[1, 0, \dots, 0, \pm 1]$
- 2 $[1, 0, \dots, 0, \pm i]$
- 3 $[1, 0, 1, 0, \dots, 0 \text{ or } 1]$
- 4 $[1, -i, 1, -i, \dots, (-i) \text{ or } 1]$
- 5 $[0, 1, 0, 1, \dots, 0 \text{ or } 1]$
- 6 $[1, i, 1, i, \dots, i \text{ or } 1]$
- 7 $[1, 0, -1, 0, 1, 0, -1, 0, \dots, 0 \text{ or } 1 \text{ or } (-1)]$
- 8 $[1, 1, -1, -1, 1, 1, -1, -1, \dots, 1 \text{ or } (-1)]$
- 9 $[0, 1, 0, -1, 0, 1, 0, -1, \dots, 0 \text{ or } 1 \text{ or } (-1)]$
- 10 $[1, -1, -1, 1, 1, -1, -1, 1, \dots, 1 \text{ or } (-1)]$

Product-type signatures \mathcal{P} :

- 1 $[0, x, 0]$
- 2 $[y, 0, \dots, 0, z]$ (includes all unary signatures)

Some Signature Sets

Matchgate signatures \mathcal{M} :

- 1 $[\alpha^n, 0, \alpha^{n-1}\beta, 0, \dots, 0, \alpha\beta^{n-1}, 0, \beta^n]$
- 2 $[\alpha^n, 0, \alpha^{n-1}\beta, 0, \dots, 0, \alpha\beta^{n-1}, 0, \beta^n, 0]$
- 3 $[0, \alpha^n, 0, \alpha^{n-1}\beta, 0, \dots, 0, \alpha\beta^{n-1}, 0, \beta^n]$
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Example

$$\widehat{\mathcal{E}\mathcal{Q}} = \{\text{EVEN-PARITY}_n \mid n \in \mathbb{Z}^+\}$$

Previous Work: Planar Dichotomy Theorems

[Cai, Lu, Xia 10]

- Dichotomy for $\text{Pl-}\#\text{CSP}(\mathcal{F})$ with **real** weights

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- Dichotomy for $\text{Pl-Holant}(f)$ for **arity 3 signature** with **complex** weights

[Cai, Kowalczyk 10]

- Dichotomy for $\text{Pl-}\#\text{CSP}([a, b, c])$ with **complex** weights

Main Result

Theorem

Let \mathcal{F} be any set of symmetric, complex-valued signatures in Boolean variables. Then $\text{Pl-}\#\text{CSP}(\mathcal{F})$ is $\#\text{P}$ -hard unless $\mathcal{F} \subseteq \mathcal{A}$, $\mathcal{F} \subseteq \mathcal{P}$, or $\mathcal{F} \subseteq \widehat{\mathcal{M}}$, in which case the problem is in P .

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Theorem

Let \mathcal{F} be any set of symmetric, complex-valued signatures in Boolean variables. Then $\text{Pl-Holant}(\mathcal{F} \cup \widehat{\mathcal{Q}})$ is $\#\text{P}$ -hard unless $\mathcal{F} \subseteq \mathcal{A}$, $\mathcal{F} \subseteq \widehat{\mathcal{P}}$, or $\mathcal{F} \subseteq \mathcal{M}$, in which case the problem is in P .

Secondary Result

Theorem

If f is a non-degenerate, symmetric, complex-valued signature of arity 4 in Boolean variables, then $\text{Pl-Holant}(f)$ is $\#P$ -hard unless f is

- \mathcal{A} -transformable,
- \mathcal{P} -transformable,
- vanishing, or
- \mathcal{M} -transformable,

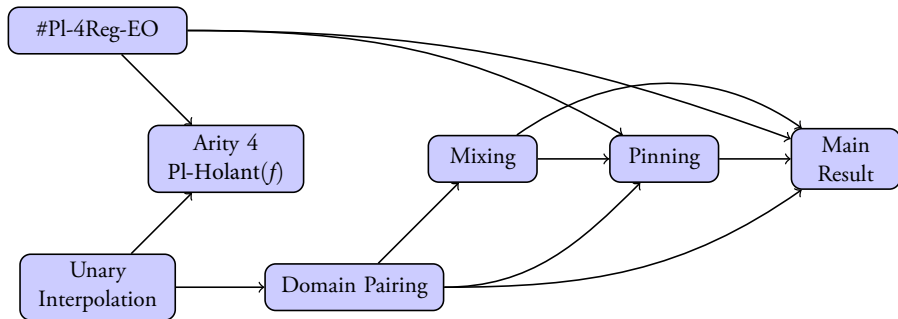
in which case the problem is in P .

Definition (\mathcal{F} -transformable)

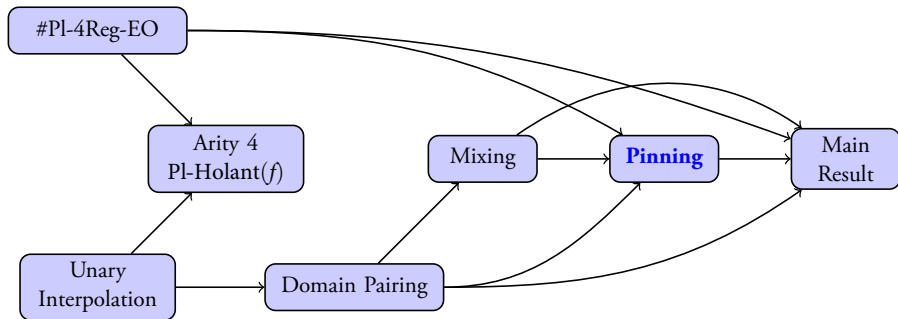
A signature f is \mathcal{F} -transformable if there exists $T \in \mathbb{C}^{2 \times 2}$ such that

- $f \in T\mathcal{F}$ and
- ${}_{=2}T^{\otimes 2} \in \mathcal{F}$.

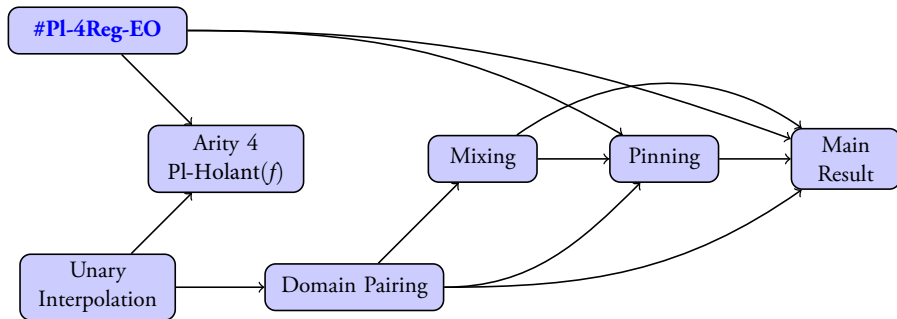
Proof Outline: Dependency Graph



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Pinning

Graph Homomorphism

- [Dyer, Greenhill 00]
- [Bulatov, Grohe 05]
- [Goldberg, Grohe
Jerrum, Thurley 10]
- [Cai, Chen, Lu 10]

#CSP

- [Bulatov, Dalmau 07]
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Lemma (Dyer, Goldberg, Jerrum 09)

For *complex weights*, $\#\text{CSP}(\mathcal{F} \cup \{[1, 0], [0, 1]\}) \leq_T \#\text{CSP}(\mathcal{F})$.

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PI- $\#CSP(\widehat{\mathcal{M}} \cup \{[1, 0], [0, 1]\})$ #P-hard but PI- $\#CSP(\widehat{\mathcal{M}})$ tractable

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Pl- $\#CSP(\widehat{\mathcal{M}} \cup \{[1, 0], [0, 1]\})$ #P-hard but Pl- $\#CSP(\widehat{\mathcal{M}})$ tractable

Lemma (Cai, Lu, Xia 10)

For any set of signatures \mathcal{F} with *real* weights,

Pl-Holant($\widehat{\mathcal{E}\mathcal{Q}} \cup \mathcal{F}$) is #P-hard (or in P)

\Updownarrow

Pl-Holant($\widehat{\mathcal{E}\mathcal{Q}} \cup \mathcal{F} \cup \{[1, 0], [0, 1]\})$ is #P-hard (or in P)

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Pl-#CSP($\widehat{\mathcal{M}} \cup \{[1, 0], [0, 1]\}$) #P-hard but Pl-#CSP($\widehat{\mathcal{M}}$) tractable

Lemma (G, Williams 13)

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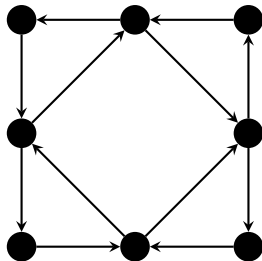
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#P1-4Reg-EO: Eulerian Orientation

Definition

At each vertex in an **Eulerian orientation** of a graph,
in-degree equals out-degree.

Example



#Pl-4Reg-EO: Theorem and Proof Overview

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

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Proof.

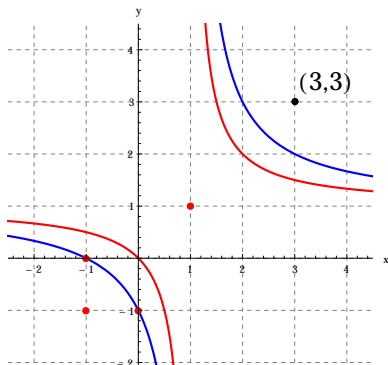
Reduction from the evaluation of the Tutte polynomial at the point $(3, 3)$ for **planar** graphs:

$$\begin{aligned} \text{Pl-Tutte}(3, 3) &\leq_T \quad \vdots \\ &\leq_T \text{\#Pl-4Reg-EO} \end{aligned}$$

#P1-4Reg-EO: Tutte Polynomial

Theorem (Vertigan 05)

For any $x, y \in \mathbb{C}$, the problem of computing the Tutte polynomial at (x, y) over *planar* graphs is #P-hard unless $(x - 1)(y - 1) \in \{1, 2\}$ or $(x, y) \in \{(1, 1), (-1, -1), (j, j^2), (j^2, j)\}$, where $j = e^{2\pi i/3}$. In each of these exceptional cases, the computation can be done in polynomial time.

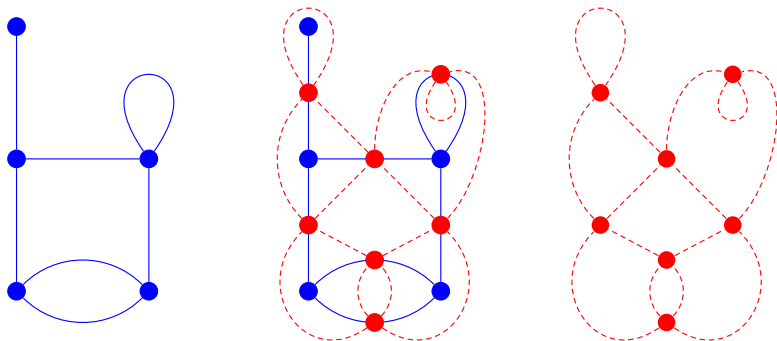


#P1-4Reg-EO: Medial Graph

Definition

For a connected **plane** graph G , its **medial graph** H has a vertex for each edge of G and two vertices in H are joined by an edge for each face of G in which their corresponding edges occur consecutively.

Example



#Pl-4Reg-EO: The Connection

Theorem (Las Vergnas 88)

Let G be a connected *plane* graph and let $\mathcal{O}(H)$ be the set of all *Eulerian orientations* in the *medial graph* H of G . Then

$$2 \cdot \text{Pl-Tutte}_G(3, 3) = \sum_{O \in \mathcal{O}(H)} 2^{\beta(O)},$$

where $\beta(O)$ is the number of *saddle vertices* in the orientation O , i.e. vertices in which the edges are oriented "in, out, in, out" in cyclic order.

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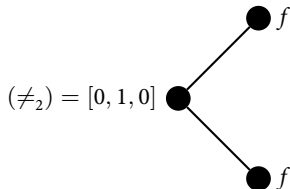
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Pl-Holant ($[0, 1, 0] \mid f$)



#PI-4Reg-EO: The Connection

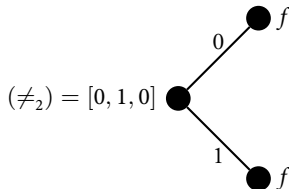
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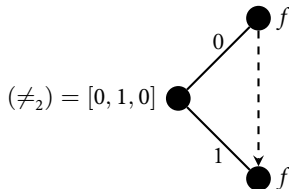
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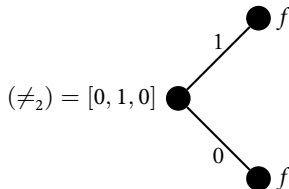
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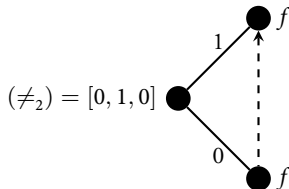
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Signature matrix:

- Let $f(w, x, y, z) = f^{wxyz}$ be an arity 4 signature
- Row index is (w, x) ,
BUT the column index is (z, y)
(order reversed)

$$M_f = \begin{bmatrix} f^{0000} & f^{0010} & f^{0001} & f^{0011} \\ f^{0100} & f^{0110} & f^{0101} & f^{0111} \\ f^{1000} & f^{1010} & f^{1001} & f^{1011} \\ f^{1100} & f^{1110} & f^{1101} & f^{1111} \end{bmatrix}$$

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#Pl-4Reg-EO: Proof Overview

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

Proof.

$$\begin{aligned} \text{Pl-Tutte}(3, 3) &\equiv_T \text{Pl-Holant} \left([0, 1, 0] \mid \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 2 & 0 \\ 0 & 2 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right) \\ &\leq_T \quad \vdots \\ &\leq_T \text{\#Pl-4Reg-EO} \end{aligned}$$

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#Pl-4Reg-EO: Holographic Transformations

To remove bipartiteness, do holographic transformation by $Z = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ i & -i \end{bmatrix}$:

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$$\text{Pl-Holant}([0, 1, 0] \mid f) \equiv_T \text{Pl-Holant}(f'),$$

where

$$M_{f'} = \begin{bmatrix} 2 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 2 \end{bmatrix}.$$

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Similarly,

$$\text{Pl-Holant}([0, 1, 0] \mid [0, 0, 1, 0, 0]) \equiv_T \text{Pl-Holant}([3, 0, 1, 0, 3]).$$

#Pl-4Reg-EO: Proof Overview

Theorem

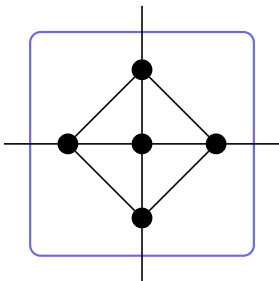
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#PI-4Reg-EO: Planar Tetrahedron Gadget

Assign $[3, 0, 1, 0, 3]$ to every vertex of this gadget...



...to get a signature $16g'$ with

$$M_{g'} = \begin{bmatrix} 19 & 0 & 0 & 7 \\ 0 & 7 & 5 & 0 \\ 0 & 5 & 7 & 0 \\ 7 & 0 & 0 & 19 \end{bmatrix}.$$

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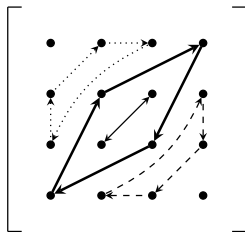
#PI-4Reg-EO: Rotationally Symmetric

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$$M_{g'} = \begin{bmatrix} 19 & 0 & 0 & 7 \\ 0 & 7 & 5 & 0 \\ 0 & 5 & 7 & 0 \\ 7 & 0 & 0 & 19 \end{bmatrix}$$



(a) A counterclockwise rotation.



(b) Movement of signature matrix entries under a counterclockwise rotation.

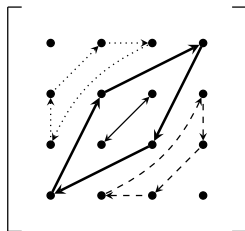
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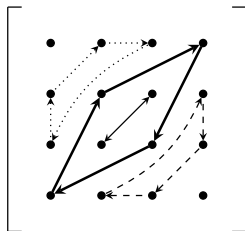
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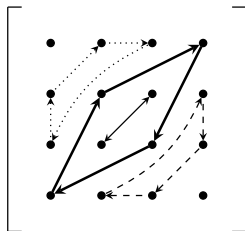
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#P1-4Reg-EO: Diagonalization

$$\text{Let } T = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}.$$

#P1-4Reg-EO: Diagonalization

Let $T = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}$. Then

$$M_{g'} = T\Lambda_{g'}T^{-1} = T \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix} T^{-1}$$

and

$$M_{g''} = T\Lambda_{g''}T^{-1} = T \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 6 & 0 & 0 \\ 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 13 \end{bmatrix} T^{-1}.$$

#Pl-4Reg-EO: Diagonalization

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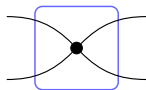
Follows from being both **rotationally symmetric** and **complement invariant**.

#Pl-4Reg-EO: Interpolation

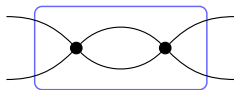
Suppose that f appears n times in Ω of $\text{Pl-Holant}(f)$.

Construct instances Ω_s of $\text{Holant}(g')$ indexed by $s \geq 1$.

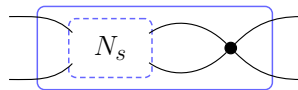
Obtain Ω_s from Ω by replacing each f with N_s (g' assigned to all vertices).



N_1



N_2



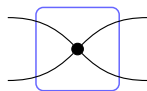
N_{s+1}

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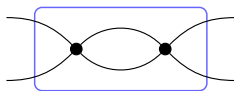
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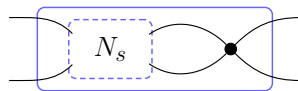
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N_1



N_2



N_{s+1}

To obtain Ω_s from Ω ,

we effectively replace M_f with $M_{N_s} = (M_{g'})^s$.

#PI-4Reg-EO: Interpolation

$$\Lambda_{f'} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix} \quad \Lambda_{g'} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 6 & 0 & 0 \\ 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 13 \end{bmatrix}$$

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To obtain Ω_s from Ω ,
we effectively replace $M_{g'}$ with $M_{N_s} = (M_{g'})^s$.

- 1 To obtain Ω_s from Ω ,
we first replace $M_{g'}$ with $T\Lambda_{g'}T^{-1}$. (Holant unchanged)

#PI-4Reg-EO: Interpolation

$$\Lambda_{g'} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix} \quad \Lambda_{g''} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 6 & 0 & 0 \\ 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 13 \end{bmatrix}$$

To obtain Ω_s from Ω ,
we effectively replace $M_{g'}$ with $M_{N_s} = (M_{g''})^s$.

- 1 To obtain Ω_s from Ω ,
we first replace $M_{g'}$ with $T\Lambda_{g'}T^{-1}$. (Holant unchanged)
- 2 Then we replace $T\Lambda_{g'}T^{-1}$ with $T(\Lambda_{g''})^sT^{-1}$.

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We only need to consider the assignments to $\Lambda_{\mathcal{J}}$ that assign

- 0000 j many times,
- 0110 or 1001 k many times, and
- 1111 ℓ many times.

Let c_{jkl} be the sum over all such assignments of the products of evaluations (including the contributions from T and T^{-1}) on Ω .

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#Pl-4Reg-EO: Interpolation

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Then

$$\text{Pl-Holant}_{\Omega} = \sum_{j+k+\ell=n} 3^{\ell} c_{jkl}$$

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Then

$$\text{PI-Holant}_{\Omega} = \sum_{j+k+l=n} 3^l c_{jkl}$$

and

$$\text{PI-Holant}_{\Omega_s} = \sum_{j+k+l=n} (6^k 13^l)^s c_{jkl}$$

is a full rank Vandermonde system (row index s , column index c_{jkl}).

#Pl-4Reg-EO: Proof Overview

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

Proof.

$$\begin{aligned} \text{Pl-Tutte}(3, 3) &\equiv_T \text{Pl-Holant} \left([0, 1, 0] \mid \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 2 & 0 \\ 0 & 2 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right) \\ &\equiv_T \text{Pl-Holant} \left(\begin{bmatrix} 2 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 2 \end{bmatrix} \right) \\ &\leq_T \text{Pl-Holant} \left(\begin{bmatrix} 19 & 0 & 0 & 7 \\ 0 & 7 & 5 & 0 \\ 0 & 5 & 7 & 0 \\ 7 & 0 & 0 & 19 \end{bmatrix} \right) \\ &\leq_T \text{Pl-Holant}([3, 0, 1, 0, 3]) \\ &\equiv_T \text{Pl-Holant}([0, 1, 0] \mid [0, 0, 1, 0, 0]) \\ &\equiv_T \# \text{Pl-4Reg-EO} \quad \square \end{aligned}$$

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Major proof techniques:

- 1 Holographic transformation
- 2 Gadget construction
- 3 Interpolation

Thank You