# Hashing Functions 

He Sun<br>University of Edinburgh

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Easy solution. Build an array $b$ of length $|U|$, where $b[u]$ indicates if $u$ appears in $S$.

Challenge. Universe $U$ can be extremely large so defining an array $b$ is infeasible.

Applications. File systems, databases, networks, cryptography, web caching.

## Hashing

Hash function. $h: U \rightarrow[n]$, where $[n]:=\{0,1, \ldots, n-1\}$.
Hashing. Create an array $a$ of length $n$. When processing element $u$, access array element $a[h(u)$ ].

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Collision. When $h(u)=h(v)$ but $n \neq v$.

```
birthday paradox
```

- collision is expected after $\Theta(\sqrt{n})$ random insertions.
- Separate chaining: $a[i]$ stores linked list of elements $u$ with $h(u)=i$.

Huge universe $U$

hash table of size $n$

## Hashing with chaining



## Hashing performance

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Approach. Use randomisation for the choice of $h$.
adversary knows the randomised algorithm you are using, but doesn't know random choices that the algorithm makes.

## Universal hashing (Carter-Wegman 1980s)

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Lemma
Let $H$ be a universal family of hash functions mapping a universe $U$ to the set $\{0,1, \ldots, n-1\}$. Let $h \in H$ be chosen uniformly at random from $H$; let $S \subseteq U$ be a subset of size at most $n$, and $u \notin S$. Then, the expected number of items in $S$ that collide with $u$ is at most 1 .

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Proof. For any $s \in S$, define random variable $X_{s}=1$ if $h(s)=h(u)$, and 0 otherwise. Let $X$ be a random variable counting the total number of collisions with $u$, so $X=\sum_{s \in S} X_{s}$.

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Q: How can we design a universal class of hash functions?

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## Designing a universal family of hash functions

## Theorem

$H=\left\{h_{a}: a \in A\right\}$ is a universal family of hash functions.

Proof: Let $x=\left(x_{1}, x_{2}, \ldots, x_{r}\right)$ and $y=\left(y_{1}, \ldots, y_{r}\right)$ be two distinct elements of
$U$. We need to show that $\mathbb{P}\left[h_{a}(x)=h_{a}(y)\right] \leq 1 / p$.

- Since $x \neq y$, there exists an integer $j$ such that $x_{j} \neq y_{j}$.
- We have $h_{a}(x)=h_{a}(y)$ iff $\sum_{i=1}^{r} a_{i} x_{i} \equiv \sum_{i=1}^{r} a_{i} y_{i} \bmod p$, i.e.,

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- It follows that $\left(a_{1}-a_{2}\right)$ is divisible by $p$.
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Bonus fact. Can replace "at most one" with "exactly one" in above fact.

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Universal hash function family. $H=\left\{h_{a}: a \in A\right\}$,

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h_{a}(x)=\left(\sum_{i=1}^{r} a_{i} x_{i}\right) \quad \bmod p
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Consequence.

- Space used $=\Theta(n)$.
- Expected number of collisions per operation is $\leq 1$.
$\Rightarrow O(1)$ time per insert, delete, or lookup


## Applications of hashing: finger printing

Problem. Suppose there are two documents $X$ and $Y$ located at two different places, and we want to know if these two documents are the same.


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A naive solution. Send two documents to the same place, and make a deterministic comparison.

This method has zero-error, but produces high communication cost.

## Applications of hashing: finger printing (cont.)

An alternative solution. Use a universal hash function $h$ to map each document to a $k$-bit string. We only need to send $h$, and $h(X)$ (or $h(Y)$ ) instead.


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Analysis of the error probability.

$$
\begin{aligned}
\mathbb{P}_{h \in H}[\mathrm{err}] & =\mathbb{P}_{h \in H}[h(X) \neq h(Y) \mid X=Y]+\mathbb{P}_{h \in H}[h(X)=h(Y) \mid X \neq Y] \\
& =0+\mathbb{P}_{h \in H}[h(X)=h(Y) \mid X \neq Y] \\
& \leq 1 / 2^{k}
\end{aligned}
$$

## Pairwise independent hash functions

## PAIRWISE INDEPENDENCE

A family of functions $H=\{h \mid h: U \mapsto[n]\}$ is pairwise independent if, for any $h$ chosen uniformly at random from $H$, the following holds:

1. $h(x)$ is uniformly distributed in $[n]$ for any $x \in U$;
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These two conditions state that for any different $x_{1} \neq x_{2} \in U$, and any $y_{1}, y_{2} \in[n]$, it holds that

$$
\mathbb{P}_{h \in \mathcal{H}}\left[h\left(x_{1}\right)=y_{1} \wedge h\left(x_{2}\right)=y_{2}\right]=\frac{1}{n^{2}},
$$

where the probability above is over all random choices of a function from $H$.

Construction of pairwise independent hash functions

## Theorem

Let $p$ be a prime number, and let $h_{a, b}=(a x+b) \bmod p$. Define

$$
H=\left\{h_{a, b} \mid 0 \leq a, b \leq p-1\right\}
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Then $H$ is a family of pairwise independent hash functions.

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\text { Recall } \mathbb{Z}_{p}=\{0,1, \ldots, p-1\}
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Proof. We need to show that, for any two $x_{1} \neq x_{2} \in \mathbb{Z}_{p}$ and any $y_{1}, y_{2} \in \mathbb{Z}_{p}$, it holds

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For any $a, b$, the conditions that $h_{a, b}\left(x_{1}\right)=y_{1}$ and $h_{a, b}\left(x_{2}\right)=y_{2}$ yield two equations

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& a x_{1}+b=y_{1} \quad \bmod p \\
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Such system has a unique solution of $a$ and $b$, out of $p^{2}$ possible pairs of $(a, b)$. Hence, the equation above holds.

## Generalisation: $k$-wise independence

The set $H=\{h: U \rightarrow[n]\}$ is call a set of $k$-wise independent family of hash functions if for any distinct $x_{1}, \ldots, x_{k} \in U$, and any $y_{1}, \ldots, y_{k} \in[n]$,

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\mathbb{P}_{h \in H}\left[h\left(x_{1}\right)=y_{1} \wedge h\left(x_{2}\right)=y_{2} \wedge \cdots \wedge h\left(x_{k}\right)=y_{k}\right]=\frac{1}{n^{k}}
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Construction of $k$-wise hash functions
Let $p$ be a prime, and $k \geq 2$ be an integer. Assume that a seed $s=$ $\left(a_{0}, \ldots, a_{k-1}\right)$ is chosen uniformly at random from $\mathbb{Z}_{p}^{k}$. Then, the set of functions $H=\left\{h_{s} \mid s \in \mathbb{Z}_{p}^{k}\right\}$, where

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h_{s}(x)=\sum_{i=0}^{k-1} a_{i} x^{i} \quad \bmod p
$$

is $k$-wise independent.

