# **Hashing Functions**

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# Dictionary data type

Dictionary. Given a universe U of possible elements, maintain a subset  $S \subseteq U$  so that inserting, deleting, and searching in S are efficient.

Dictionary interface.

• create(): initialise a dictionary with  $S = \emptyset$ .



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Challenge. Universe U can be extremely large so defining an array b is infeasible.

Applications. File systems, databases, networks, cryptography, web caching.



DINBURGH

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Hash function.  $h: U \rightarrow [n]$ , where  $[n] := \{0, 1, \dots, n-1\}$ .

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birthday paradox

Collision. When h(u) = h(v) but  $n \neq v$ .

- collision is expected after  $\Theta(\sqrt{n})$  random insertions.
- Separate chaining: a[i] stores linked list of elements u with h(u) = i.



# Hashing with chaining





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Approach. Use randomisation for the choice of h.

adversary knows the randomised algorithm you are using, but doesn't know random choices that the algorithm makes.



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$$\mathbb{P}_{h\in H}[h(a)=h(b)]=1/2$$

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Q: How can we design a universal class of hash functions?





Integer encoding. Identify each element  $u \in U$  with a base-p integer of r digits:  $x = (x_1, x_2, \dots, x_r).$ 



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• Thus 
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Bonus fact. Can replace "at most one" with "exactly one" in above fact.

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### Universal hashing: summary

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- Fact: There exits a prime number between n and 2n.



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Consequence.

- Space used =  $\Theta(n)$ .
- Expected number of collisions per operation is  $\leq 1$ .

 $\Rightarrow O(1)$  time per insert, delete, or lookup



Problem. Suppose there are two documents X and Y located at two different places, and we want to know if these two documents are the same.







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A naive solution. Send two documents to the same place, and make a deterministic comparison.

This method has zero-error, but produces high communication cost.



An alternative solution. Use a universal hash function h to map each document to a k-bit string. We only need to send h, and h(X)(or h(Y)) instead.





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Analysis of the error probability.

$$\mathbb{P}_{h\in H}[\mathsf{err}] = \mathbb{P}_{h\in H}[h(X) \neq h(Y)|X = Y] + \mathbb{P}_{h\in H}[h(X) = h(Y)|X \neq Y]$$
$$= 0 + \mathbb{P}_{h\in H}[h(X) = h(Y)|X \neq Y]$$
$$\leq 1/2^k.$$



#### PAIRWISE INDEPENDENCE

A family of functions  $H = \{h \mid h : U \mapsto [n]\}$  is pairwise independent if, for any h chosen uniformly at random from H, the following holds:

- 1. h(x) is uniformly distributed in [n] for any  $x \in U$ ;
- 2. For any  $x_1 \neq x_2 \in U$ ,  $h(x_1)$  and  $h(x_2)$  are independent.



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These two conditions state that for any different  $x_1 \neq x_2 \in U$ , and any  $y_1, y_2 \in [n]$ , it holds that

$$\mathbb{P}_{h\in\mathcal{H}}[h(x_1) = y_1 \wedge h(x_2) = y_2] = \frac{1}{n^2},$$

where the probability above is over all random choices of a function from H.



Let p be a prime number, and let  $h_{a,b} = (ax + b) \mod p$ . Define

$$H = \{h_{a,b} \mid 0 \le a, b \le p - 1\}.$$

Then H is a family of pairwise independent hash functions.



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Recall  $\mathbb{Z}_p = \{0, 1, \dots, p-1\}$ 

Proof. We need to show that, for any two  $x_1 \neq x_2 \in \mathbb{Z}_p$  and any  $y_1, y_2 \in \mathbb{Z}_p$ , it holds

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For any a,b, the conditions that  $h_{a,b}(x_1)=y_1$  and  $h_{a,b}(x_2)=y_2$  yield two equations

$$ax_1 + b = y_1 \mod p$$
,  
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,

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Such system has a unique solution of a and b, out of  $p^2$  possible pairs of (a, b). Hence, the equation above holds.



The set  $H = \{h : U \to [n]\}$  is call a set of k-wise independent family of hash functions if for any distinct  $x_1, \ldots, x_k \in U$ , and any  $y_1, \ldots, y_k \in [n]$ ,

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CONSTRUCTION OF k-WISE HASH FUNCTIONS

Let p be a prime, and  $k \geq 2$  be an integer. Assume that a seed  $s = (a_0, \ldots, a_{k-1})$  is chosen uniformly at random from  $\mathbb{Z}_p^k$ . Then, the set of functions  $H = \{h_s | s \in \mathbb{Z}_p^k\}$ , where

$$h_s(x) = \sum_{i=0}^{k-1} a_i x^i \mod p$$

is k-wise independent.

