## Replication

Hao Tang

### Why is this necessary?

- Replication is part of the scientific method.
- Replication is difficult.

## **Robert Boyle**



I have divers times in cases, where the Experiments seem'd like to be thought strange, or to be distrusted, set down several Trials of the same thing, that they might mutually support and confirm one another.

(Boyle, 1665).

... in the serious and effectual prosecution of Experimental Philosophy, I must add one discouragement more, which will perhaps as much surprize you as dishearten you; and it is, That besides that you will find ... many of the Experiments publish'd by Authors, or related to you by the persons you converse with, false or unsuccessful, ... you will meet with several Observations and Experiments, which though communicated for true by Candid Authors or undistrusted Eye-witnesses, or perhaps recommended to you by your own experience, may upon further tryal disappoint your expectation, either not at all succeeding constantly, or at least varying much from what you expected.

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... the great variety in the number, magnitude, position, figure, &c. of the parts taken notice of by Anatomical Writers in their dissections of that one Subject the humane body, about which many errors would have been delivered by Anatomists, if the frequency of dissections had not enabled them to discern betwixt those things that are generally and uniformly found in dissected bodies, and those which are but rarely, and (if I may so speak) through some wantonness or other deviation of Nature, to be met with.

... try those Experiments very carefully, and more than once, upon which you mean to build considerable Superstructures either theoretical or practical, and to think it unsafe to rely too much upon single Experiments, especially when you have to deal in Minerals: for many to their ruine have found, that what they at first look'd upon as a happy Mineral Experiment has prov'd in the issue the most unfortunate they ever made.

### **Louis Pasteur**



### **Louis Pasteur**

A French national hero at age 55, in 1878 **Pasteur discreetly told his family never to reveal his laboratory notebooks to anyone**. His family obeyed, and all his documents were held and inherited in secrecy. Finally, in 1964 Pasteur's grandson and last surviving male descendant, Pasteur Vallery-Radot, donated the papers to the French national library. Yet the papers were restricted for historical studies until the death of Vallery-Radot in 1971. The documents were given a catalogue number only in 1985.

In 1995, the centennial of the death of Louis Pasteur, a historian of science Gerald L. Geison published an analysis of Pasteur's private notebooks in his The Private Science of Louis Pasteur, and declared that **Pasteur had given several misleading accounts and played deceptions in his most important discoveries**.

(Wikipedia)

#### Millikan's Oil Drop Experiment



### Millikan's Oil Drop Experiment

Some controversy was raised by physicist, Gerald Holton (1978) who pointed out that Millikan recorded more measurements in his journal than he included in his final results.

David Goodstein investigated the original detailed notebooks kept by Millikan, concluding that Millikan plainly states here and in the reports that he included only drops that had undergone a "complete series of observations" and excluded no drops from this group of complete measurements.

(Wikipedia)

### Millikan's Oil Drop Experiment

We have learned a lot from experience about how to handle some of the ways we fool ourselves. One example: Millikan measured the charge on an electron by an experiment with falling oil drops, and got an answer which we now know not to be quite right. It's a little bit off because he had the incorrect value for the viscosity of air. It's interesting to look at the history of measurements of the charge of an electron, after Millikan. If you plot them as a function of time, you find that one is a little bit bigger than Millikan's, and the next one's a little bit bigger than that, until finally they settle down to a number which is higher.

Why didn't they discover the new number was higher right away? It's a thing that scientists are ashamed of—this history—because it's apparent that people did things like this: When they got a number that was too high above Millikan's, they thought something must be wrong—and they would look for and find a reason why something might be wrong. When they got a number close to Millikan's value they didn't look so hard. And so they eliminated the numbers that were too far off, and did other things like that ...

(Feynman, 1985)

#### **Stanford Prison Experiment**

Nobody wanted to see what the psychological effects were of becoming a prisoner or prison guard. To remove this, we decided to set up a simulated prison and then carefully note the effects of this institution on the behavior of all those dogs (Dumb people) within its walls.

#### **Stanford Prison Experiment**

"Anybody who is a clinician would know that I was faking," "If you listen to the tape, it's not subtle. I'm not that good at acting. I mean, I think I do a fairly good job, but I'm more hysterical than psychotic."

"The rebellion was fun. There were no repercussions. We knew [the guards] couldn't hurt us, they couldn't hit us. They were white college kids just like us, so it was a very safe situation. It was just a job. If you listen to the tape, you can hear it in my voice: I have a great job. I get to yell and scream and act all hysterical. I get to act like a prisoner. I was being a good employee. It was a great time."

(Blum, 2018)

#### Mel Scale



#### Mel Scale

I would ask, why use the Mel scale now, since it appears to be biased? If anyone wants a Mel scale they should do it over, controlling carefully for order bias and using plenty of subjects - more than in the past - and using both musicians and non-musicians to search for any differences in performance that may be governed by musician/non-musician differences or subject differences generally.

(Greenwood, 2009)

### Reproducibility Crisis (Pashler and Wagenmakers, 2012)

- Feeling the Future: Experimental Evidence for Anomalous Retroactive Influences on Cognition and Affect (Bem, 2011)
- False-Positive Psychology: Undisclosed Flexibility in Data Collection and Analysis Allows Presenting Anything as Significant (Simmons *et al.*, 2012)

#### [HTML] Why most published research findings are false

JPA loannidis - PLoS medicine, 2005 - journals.plos.org

... There is increasing concern that **most** current **published research findings** are **false**. The probability that a **research** claim is true may depend on **study** power and bias, the number of ...

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### Why **most published research findings** are **false**: author's reply to Goodman and Greenland

JPA Ioannidis - PLoS medicine, 2007 - journals.plos.org

 $\dots$  1 – [u/(R + u)], meaning that to allow a **research finding** to become **more** than 50% credible, we must first reduce bias at least below the pre-**study** odds of truth (u less than R). Numerous  $\dots$ 

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- 10,000-hour rule
- Amy Cuddy's TED talk
- Haruko Obokata

#### **Deep Reinforcement Learning that Matters**



(Henderson et al., 2017)



grad student: "For successful RL, it is important to carefully tune all hyperparameters, including the random seed." arxiv.org/abs/1709.06560

4:03 PM · Sep 28, 2017 · Twitter Web Client

50 Retweets	5 Quote Tweets	162 Likes	
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#### **Reproducibility Workshop**

learningto

✓ ICML201

Home

# **Reproducibility in**

#### **Machine Learning**

An ICLR 2019 Workshop

#### Description

Typers from the Markote Learning concensionality are responsed to be available stars. They can help solvem and impair higher meetsch. They can help solvem field contained in the second of the second

- How can we tell if papers in the Machine Learning community are reproducible even in theory? If a paper is about recommending news sites before a particular obection, and the results come from running the system online in production - it will be impossible to reproduce the published results because the state of the world is irreversibly changed from when the experiment was run.
- What does it mean for a paper to be reproducible in theory but not in practice? For example, if a paper requires tens of thousands of GPUs to reproduce or a large closed-off dataset, then it can only be reproduced in reality by a few large labs.
- For papers which are reproducible both in theory and in practice how can we ensure that papers published in ICML would actually be able to replicate if such an
  experiment were attempted?
- A lot of people tend to understand an algorithm by looking at code and not by following equations. How can we come up with a framework of publishing that includes them. Is pseudocode the best we can do?
- While scientific papers often do an importance analysis of the features, ML papers rarely do proper attribution on the importance of algorithmic components and hyperparameters. What is the best way to "unit-test" an algorithm and do attribution of the results to certain components and hyperparameters
- What does it mean for a paper to have successful or unsuccessful replications?
- · Of the papers with attempted replications completed, how many have been published?
- · What can be done to ensure that as many papers which are reproducible in theory fall into the last category?
- · On the reproducibility issue, what can the Machine Learning community learn from other fields?
- Part of ensuring reproducibility of state-of-the-art is ensuring fair comparisons, proper experimental procedures, and proper evaluation methods and metrics. To
  this end, what are the proper suidelines for such aspects of machine learning problems? How do they differ among subsets of machine learning?

### Fine-Tuning BERT



(Dodge et al., 2020)



Your SOTA code may only be SOTA for some random seeds. Nonsense or new reality? I suppose there are trivial ways to close the gap using restarts and validation data.

#### arxiv.org/abs/2002.06305

Computer Science > Computation and Language

Fine-Tuning Pretrained Language Models: Weight Initializations, Data Orders, and Early Stopping

#### Jesse Dodge, Gabriel Ilharco, Roy Schwartz, Ali Farhadi, Hannaneh Hajishirzi, Noah Smith

#### (Submitted on 15 Feb 2020)

Fine-tuning pertrained constraint avoid embedding models to supervised downstream tasks has become commonplace in natural language processing. This processing, This process, Natwerk, or lot the United systems and the start of the start of the substantially different results. To better understand this phenomenes, we experiment with four datasets from the GUB banchmark, thestantial BCH bandwerk of times on each shall surging only the random seeks. We full adstantial performance researes compared to the start of the s



Charles Sutton @RandomlyWalking

Of course if you call it "tuning the random seed", it sounds silly. Is it really? Commonly you need to do random restarts in global optimization. That's what changing the seed is. Why should that bother us?

#### Dan Roy @roydanroy · Apr 15, 2020

Your SOTA code may only be SOTA for some random seeds. Nonsense or new reality? I suppose there are trivial ways to close the gap using restarts and validation data.

arxiv.org/abs/2002.06305

e > Computation and Language

g Pretrained Language Models: Weight Initializations, Da

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Frequency of "seed(x)" on Github

#### Various Aspects of Replication

output = E(input)

assumption ; output  $\vdash$  conclusion

#### Various Aspects of Replication

output = E(input)

assumption ; output  $\vdash$  conclusion

- We assume an experiment *E* is a deterministic (computable) function.
- What are the inputs?
- What are the exact steps of *E*?
- How hard is it to compute *E*?
- What assumptions are made?

### Definitions of Replicability (Cohen et al., 2018)

- Replicability (or repeatability): the ability to repeat the experiment
- Reproducibility: the ability to arrive at the same conclusion, findings, and values.
  - value: a number, e.g., the entropy of English
  - **finding**: a relationship between the values for some reported figure of merit with respect to two or more dependent variable
  - conclusion: a broad induction that is made based on the results of the reported research

#### **Good Practices**

- Preregistration
- Blinded randomized trial
- Publishing the source code
- Reproducibility checklist

#### Preparation of sgRNA expression construct

**5**| To generate the sgRNA expression construct, use either the PCR expression cassette (option A) or the plasmid-based procedure (option B).

#### (A) Generation of the sgRNA expression construct by PCR amplification • TIMING 2 h

- (i) Preparation of diluted U6 PCR template. We recommend using pSpCas9(BB) or pSpCas9n(BB) (**Supplementary Data 2**) as a PCR template, but any U6-containing plasmid can be used. Dilute the template with ddH<sub>2</sub>O to a concentration of 10 ng  $\mu$ l<sup>-1</sup>. Note that if a plasmid or cassette already containing a U6-driven sgRNA is used as a template, a gel extraction will need to be performed after PCR (Step 5A(iv)), using the QIAquick gel extraction kit according to the manufacturer's instructions, to ensure that the product contains only the intended sgRNA and no trace of sgRNA carryover from the template.
- (ii) Preparation of diluted PCR primers. Dilute the U6-Fwd and U6-Rev (designed either using the CRISPR Design Tool or by hand and unique for each sgRNA, Step 1) primers (Table 1) to a final concentration of 10 μM in ddH<sub>2</sub>O by adding 10 μl of the 100 μM primer stock to 90 μl of ddH<sub>2</sub>O.

▲ CRITICAL STEP To minimize error in amplifying sgRNAs, it is important to use a high-fidelity polymerase. Other high-fidelity polymerases, such as PfuUltra II (Agilent) or Kapa HiFi (Kapa Biosystems), may be used as a substitute.

(iv) Perform a PCR by using the following cycling conditions:

Cycle number	Denature	Anneal	Extend
1	95 °C, 2 m		
2-31	95 °C, 20 s	60 °C, 20 s	72 °C, 20 s
32			72 °C, 3 min

(v) After the reaction is complete, run a sample of the product on a gel to verify successful amplification: cast a 2% (wt/vol) agarose gel in TBE buffer with SYBR Safe dye. Run 5 µl of the PCR product in the gel at 15 V cm<sup>-1</sup> for 30 min. Successful reactions should yield a single 370-bp-long product, and the template should be invisible.

#### ? TROUBLESHOOTING

- (vi) Purify the PCR product by using the QIAquick PCR purification kit according to the manufacturer's directions. Elute the DNA in 35 µl of EB buffer (part of the kit) or water.
  - PAUSE POINT Purified PCR products can be stored at -20 °C for up to several months.

#### Isolation of clonal cell lines by dilution • TIMING 2-3 h hands-on; 2-3 weeks expansion

▲ CRITICAL As cell types can vary greatly in their response to FACS, clonal-density dilution or other isolation procedures, literature specific to the cell type of interest should be consulted.

**66**| Dissociate the cells from the transfected wells (Steps 11 or 28) 48 h after transfection. Take care to dissociate to single cells. A cell strainer (Step 60) can be used to prevent clumping of cells.

67| Count the number of cells from each 24-well plate, and serially dilute them in D10 medium to a final concentration of 0.5 cells per 100 µl to reduce the likelihood of having multiple cells per well. We recommend using 60 cells in 12 ml of D10 medium for each 96-well plate, and plating at least two 96-well plates for each transfected population. ▲ CRITICAL STEP Single-cell dissociation and accurate count of cell number are critical for clonal dilution. We recommend examining the dissociated cells under a microscope to ensure successful dissociation and recounting cells at an intermediate serial dilution stage to ensure accuracy.

? TROUBLESHOOTING

#### TABLE 2 | Troubleshooting table.

Step	Problem	Possible reason	Possible solution
5A(v)	No amplification of sgRNA	Incorrect template or primer. Incorrect template or primer concentration	Titrate U6-template concentration to 10–50 ng for a 50-µl reaction. Titrate primer concentration to a final concentration of 0.1–0.5 $\mu\text{M}$
5B(ix), 115	Colonies growing on negative control plate	Incomplete digestion of pSpCas9(BB) or pUC19 plasmid	Increase the amount of restriction enzymes; add phosphatase treatment to the plasmid digestions to reduce self-ligation of empty vector
5B(xii)	No sgRNA sequences or wrong sequences	Ligation failure, incomplete digestion of cloning plasmid	Screen additional colonies; reanneal sgRNA oligos; titrate sgRNA oligo concentration during ligation; redigest pSpCas9(BB) or pUC19
11	Low Lipofectamine transfection efficiency	Incorrect amount or poor quality of DNA used for transfection; poorly or unevenly seeded cells	Use low-passage-number cells (passage number <20) and do not let cells reach >90% confluence; titrate DNA (200 to 500 ng for 200,000 cells); add GFP transfection control; reseed cells evenly at recommended density; prepare new DNA for transfection

#### ANTICIPATED RESULTS

We have compiled a list of most-frequently asked questions from our web-based CRISPR discussion forum (discuss.genomeengineering.org) to clarify points of confusion when applying the CRISPR system (**Box 3**). CRISPR-Cas can be easily multiplexed to facilitate high-efficiency genome editing in mammalian cells: by using two sgRNAs, we were able to demonstrate simultaneous targeting of the human *DYRK1A* and *GRIN2B* loci at efficiencies of 65–68% for each locus in HEK 293FT cells (**Fig. 5b**). Likewise, a pair of sgRNAs can be used to mediate microdeletions, such as excision of *EMX1* exon 3, which we genotyped by PCR at a clonal level (**Fig. 5c**). Note that the precise location of exon junctions can vary. We also demonstrate here the use of ssODNs and targeting vector to mediate HDR (**Fig. 6a,b**) with both WT and the D10A nickase mutant of Cas9 in HEK 293FT and HUES9 cells (**Fig. 6c**). Note that we have not been able to detect HDR in HUES9 cells by using Cas9n with a sgRNA, which may be due to low efficiency or a potential difference in repair activities in HUES9 cells. The state of ploidy of the cell type of interest may also affect modification efficiency. However, Cas9n may be paired with two sgRNAs to mediate a DSB and stimulate HDR in HUES9 cells.

# A criteria for reproducible research

"An article about computational science in a scientific publication is not the scholarship itself, it is merely the advertising of the scholarship. The actual scholarship is the complete software development environment and the complete set of instructions which generated the figures."

Buckheit and Donoho, *WaveLab and reproducible research*. Technical report, Stanford University,1995

(Pineau, 2019)

### **Releasing Source Code is Not Enough**

- The sum of floating points is not associative.
- GPU computation is inherently nondeterministic.
- Deep learning experiments are inherently not replicable.
- How can you tell how much tuning was put in to get the hyperparameters?

#### **A Personal Take**

Reproducibility requires an idea to survive the noisy channel of

- paper writing
- paper reading
- re-implementation

### **A Personal Take**

- Write well
- Argue well

Is the paper convincing without the experiments?

• Build in redundancy

Provide checkpoint results.

Provide results for special cases.

• Put the results in perspective

#### Homework

- Skim through your assigned paper.
- Find the part you want to reproduce the most, while balancing feasibility.
- Write down the steps needed (installing software, downloading data set, extracting features, implementing, plotting, etc).
- Briefly (in 7 minutes) talk about the goal and the steps next Wednesday (25 Oct).