

# On the Orthographic Dimension of Definable Sets

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## Abstract

A formula  $\varphi(x_1, \dots, x_n)$  conforms to a partition  $P$  of  $\{x_1, \dots, x_n\}$  if it is equivalent to a Boolean combination of formulae that do not have free variables from more than one block of  $P$ . We show that if  $\varphi$  conforms to two partitions  $P_1$  and  $P_2$ , it also conforms to their greatest lower bound in the partition lattice. As a corollary, we obtain that the concept of orthographic dimension of a constraint-definable set, introduced in the field of constraint databases, is well-defined.

## 1 Introduction

The primary motivation for the concept of orthographic dimension is from the field of constraint databases [5, 6], but it may also be of independent interest. The idea of constraint databases is that database relations are first-order definable sets over some structure. This way one can store a finite representation of an infinite set in a database, and query it as if the entire set were stored. Query evaluation over constraint databases then reduces to constraint processing. For large constraint datasets, this could become very expensive if the dimension (number of variables) is high.

However, in many applications data of high dimensionality can be described by a combination of several components of lower dimension. For example, a cadastral database may contain spatial information (who owns what land) and time (who owns it when). Or, in a 3-dimensional GIS, it may be possible to losslessly describe the data as a set of 2-dimensional layers. In other words, some variables may be independent of each other. One can often benefit from such independence: for example, query evaluation can be considerably improved [4, 3]. It was also shown in [1], that spatial aggregates can be safely introduced into query languages under such independence conditions.

A related notion is that of the “orthographic dimension” – the size of the largest block of dependent variables. This concept is important since many algorithms on constraint databases have running time of the form  $O(n^{O(d)})$  where  $n$  is the size of the constraint representation and  $d$  the orthographic dimension; thus, establishing a lower orthographic dimension decreases complexity. This concept was introduced in [4], but it was not clear whether it was well-defined: conceivably, there could be various ways of breaking free variables into independent blocks of variables, and for two such ways the sizes of the largest blocks may not coincide.

In this note, we show that this is not the case – for any constraint-definable set, the orthographic

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dimension is well-defined. In fact, we prove a more general result: if there are two ways to partition variables into independent blocks, then there is another way to do so that refines both partitions.

This result, and its algorithmic consequences described in [7], may be related to work on variable elimination and early projection in CLP (see, e.g., [2]), and perhaps to other constraint processing algorithms. It also seems close in spirit to various interpolation theorems in logic, but despite these similarities, the notion of orthographic dimension does not appear to have been studied outside of the field of constraint databases.

## 2 Definitions and Main Theorem

Suppose we are given a first-order language  $L$ , an  $L$ -structure  $\mathcal{M}$ , and an  $L$ -formula  $\varphi(x_1, \dots, x_n)$  with free variables  $x_1, \dots, x_n$ . Let  $P$  be a partition on  $\{x_1, \dots, x_n\}$ . We say that  $\varphi$   $\mathcal{M}$ -conforms to  $P$  (written as  $\varphi \sim_{\mathcal{M}} P$ ) if there exists a finite collection of formulae  $\gamma_i, i \in I$ , such that:

1.  $\varphi$  is equivalent to a Boolean combination of formulae  $\gamma_i$ , and
2. no  $\gamma_i$  has free variables from two different blocks of the partition  $P$ .

For example, if  $L = (+, *, 0, 1, <)$ ,  $\mathcal{M}$  is the real field,  $\varphi(x_1, x_2) = ((x_1 > 0) \wedge (x_2 > 0) \wedge (x_1 \geq x_2)) \vee ((x_1 > 0) \wedge (x_2 > 0) \wedge (x_2 \geq x_1))$ , then  $\varphi \sim_{\mathcal{M}} \{\{x_1\}, \{x_2\}\}$ , since for  $\gamma_1(x_1) = (x_1 > 0)$  and  $\gamma_2(x_2) = (x_2 > 0)$  one has  $\varphi(x_1, x_2) \leftrightarrow \gamma_1(x_1) \wedge \gamma_2(x_2)$ .

If  $\mathcal{M}$  admits quantifier-elimination (that is, for every  $\varphi(\vec{x})$ , there exists a quantifier-free  $\psi(\vec{x})$  such that  $\mathcal{M} \models \forall \vec{x} \varphi(\vec{x}) \leftrightarrow \psi(\vec{x})$ ), the definition of  $\varphi \sim_{\mathcal{M}} P$  can be restated as the existence of a family  $\gamma_i^j(\vec{x}_{B_j}), i = 1, \dots, k, j = 1, \dots, m$  of quantifier-free formulae, where  $P = \{B_1, \dots, B_m\}$ , and  $\vec{x}_{B_j}$  is the subtuple of  $\vec{x}$  consisting of  $x_l$ s with  $l \in B_j$ , such that

$$\mathcal{M} \models \varphi(\vec{x}) \leftrightarrow \bigvee_{i=1}^k (\gamma_i^1(\vec{x}_{B_1}) \wedge \dots \wedge \gamma_i^m(\vec{x}_{B_m})) \quad (1)$$

The set of partitions of a set  $X$  forms a lattice  $\text{Part}(X)$  where  $P \sqsubseteq P'$  iff  $P$  is a refinement of  $P'$ . The meet operation  $\sqcap$  in  $\text{Part}(X)$  is defined in the following way: the blocks of  $P_1 \sqcap P_2$  are the nonempty sets of the form  $B_1 \cap B_2$ , where  $B_i$  is a block of  $P_i, i = 1, 2$ .

Given  $\mathcal{M}$  with a universe  $U$ , a formula  $\varphi(x_1, \dots, x_n)$ , the set *definable* by  $\varphi$  is the set  $\varphi(\mathcal{M}) = \{\vec{a} \in U^n \mid \mathcal{M} \models \varphi(\vec{a})\}$ . The *orthographic dimension* of definable sets was defined as follows [4]. Let  $\mathcal{P}_{\mathcal{M}}(\varphi) = \{P \in \text{Part}(\{x_1, \dots, x_n\}) \mid \varphi \sim_{\mathcal{M}} P\}$ . Then the orthographic dimension of  $\varphi(\mathcal{M})$  is the maximal size of a block of a minimal element  $P \in \mathcal{P}_{\mathcal{M}}(\varphi)$  (that is, any  $P' \sqsubset P$  does not belong to  $\mathcal{P}_{\mathcal{M}}(\varphi)$ ). In other words, one takes a partition that  $\varphi$  conforms to, and that cannot be further refined while preserving this property, and defines the orthographic dimension to be the maximum size of a block of such a partition.

The problem with this definition is that it is not clear whether one can have two minimal elements  $P_1, P_2 \in \mathcal{P}_{\mathcal{M}}(\varphi)$  with different maximum block sizes. We prove that this is impossible. In fact, we prove a more general result, implying that  $\mathcal{P}_{\mathcal{M}}(\varphi)$  always has a unique minimal element.

**Theorem 1** *Let  $\mathcal{M}$  be an  $L$ -structure, and  $\varphi(x_1, \dots, x_n)$  be an  $L$ -formula. Assume that  $\varphi \sim_{\mathcal{M}} P_1$  and  $\varphi \sim_{\mathcal{M}} P_2$  for  $P_1, P_2 \in \text{Part}(\{x_1, \dots, x_n\})$ . Then  $\varphi \sim_{\mathcal{M}} P_1 \sqcap P_2$ .*

**Corollary 1** For any  $\mathcal{M}$  and  $\varphi$  as above, the set  $\mathcal{P}_{\mathcal{M}}(\varphi) = \{P \mid \varphi \sim_{\mathcal{M}} P\}$  is nonempty and has a unique least element.

Thus, the concept of the orthographic dimension is well-defined for any constraint-definable set.

### 3 Proof of the theorem

The structure of the proof is as follows. We start by proving an easy case of two partitions of the form  $A, B \cup C$  and  $A \cup B, C$  (Lemma 2), which is preceded by Lemma 1 establishing a certain kind of unambiguous representations of formulae. After that we use Lemma 2 to prove a general case of two two-block partitions (Lemma 4), which again relies on certain unambiguous representations (Lemma 3). With this preparatory work, we conclude the proof by induction: the base case is provided by Lemma 4, and the induction step follows from Lemma 2.

We now fix a structure  $\mathcal{M}$  and a formula  $\varphi(x_1, \dots, x_n)$ . For a subset  $B$  of  $\{x_1, \dots, x_n\}$ , we let  $\vec{x}_B$  be the subtuple of  $(x_1, \dots, x_n)$  that consists of variables in  $B$ . For blocks of partitions, we sometimes write  $AB$  instead of  $A \cup B$  and subsequently  $\vec{x}_{AB}$ , or  $\vec{x}_A \vec{x}_B$ . When  $\mathcal{M}$  is clear from the context, we write  $\varphi \sim P$ .

Suppose we have a partition  $P = \{B_1, \dots, B_m\}$  and let  $\varphi \sim P$ . By putting  $\varphi$  in DNF we assume that it is of the form

$$\bigvee_{i=1}^N (\alpha_i^1(\vec{x}_{B_1}) \wedge \dots \wedge \alpha_i^m(\vec{x}_{B_m})) \quad (2)$$

We call the above representation *unambiguous in  $B_l$*  if  $\mathcal{M} \models \neg \exists \vec{y} (\alpha_i^l(\vec{y}) \wedge \alpha_j^l(\vec{y}))$  for  $i \neq j$ . That is, formulae  $\alpha_j^l$  are mutually exclusive: no  $\vec{y}_{B_l}$  can satisfy more than one  $\alpha_j^l$ .

**Lemma 1** Let  $\varphi$  and  $P$  be as above, with  $\varphi \sim P$ . Fix  $1 \leq l \leq m$ . Then  $\varphi$  admits a representation unambiguous in  $l$ . Furthermore, if in the representation (2) every  $\alpha_i^l$  conforms to some fixed partition  $P_l$  on  $B_l$ , then there is a representation unambiguous in  $l$  in which all formulae that depend on  $\vec{x}_{B_l}$  also conform to  $P_l$ .

*Proof.* Make each disjunct in (2) into  $2^{N-1}$  ones by replacing each  $\alpha_i^l$  with all the possible conjunctions  $\alpha_i^l \wedge \bigwedge_{j \neq i} (\alpha_j^l)^{\sigma(j)}$ , where  $\sigma$  is a map from an  $N-1$  element set to  $\{+, -\}$  and  $(\alpha)^+ = \alpha$ ,  $(\alpha)^- = \neg \alpha$ . Then use distributivity to ensure that no two identical formulae in the variables  $\vec{x}_{B_l}$  are present in the DNF.  $\square$

Now, suppose a partition  $P$  is given, and let  $C$  be a union of some of its blocks. Then  $P$  naturally induces a partition  $P_C$  on  $C$ . Suppose we have a formula  $\psi(\vec{x}_C)$ . If it conforms to  $P_C$ , we shall say that it conforms to  $P$ , in order to simplify the notation.

**Lemma 2** Let  $P = \{A, B, C\}$  be a partition. Consider two partitions  $P_A$  on  $A$  and  $P_C$  on  $C$ . Let  $P'$  be the partition whose blocks are those of  $P_A$  and  $B \cup C$ , and let  $P''$  be the partition whose blocks are those of  $P_C$  and  $A \cup B$ . Assume that  $\varphi \sim P'$  and  $\varphi \sim P''$ . Then  $\varphi \sim P' \sqcap P''$ .

*Proof.* Note that the blocks of  $P' \sqcap P''$  are those of  $P_A$ ,  $P_C$  and  $B$ . By the preceding lemma, we write  $\varphi$  in two equivalent ways, as

$$\bigvee_{i=1}^N (\alpha_i(\vec{x}_A) \wedge \beta_i(\vec{x}_B \vec{x}_C))$$

and as

$$\bigvee_{j=1}^M (\gamma_j(\vec{x}_A \vec{x}_B) \wedge \delta_j(\vec{x}_C)) ,$$

with  $\exists \vec{x}_A. \alpha_{i_1}(\vec{x}_A) \wedge \alpha_{i_2}(\vec{x}_A)$  being false for  $i_1 \neq i_2$  and with  $\alpha_i$ s conforming to  $P_A$  and  $\delta_j$ s conforming to  $P_C$ . We now construct for each  $1 \leq i \leq N$  and  $1 \leq j \leq M$  a formula  $\psi_{ij}(\vec{x}) \equiv \alpha_i(\vec{x}_A) \wedge \delta_j(\vec{x}_C) \wedge \chi_{ij}(\vec{x}_B)$  where  $\chi_{ij}(\vec{x}_B)$  is  $\exists \vec{z} (\alpha_i(\vec{z}) \wedge \gamma_j(\vec{z} \vec{x}_B))$ . We claim that  $\varphi$  is equivalent to  $\bigvee_{ij} \psi_{ij}$ . Clearly this will suffice as subformulae of  $\psi_{ij}$ s conform to partitions  $P_A$  and  $P_C$ .

One must now show  $\mathcal{M} \models \forall \vec{x} (\varphi(\vec{x}) \leftrightarrow \bigvee_{ij} \psi_{ij}(\vec{x}))$ . If  $\varphi(\vec{a})$ , we have that for some  $i, j$ ,  $\alpha_i(\vec{a}_A) \wedge \beta_i(\vec{a}_B \vec{a}_C)$  is true and  $\gamma_j(\vec{a}_A \vec{a}_B) \wedge \delta_j(\vec{a}_C)$ , and thus  $\psi_{ij}(\vec{a})$  is true. Conversely, if  $\psi_{ij}(\vec{a})$  is true, we have that for some  $\vec{u}$  of the same length as  $\vec{x}_A$ , it is the case that  $\alpha_i(\vec{u})$  is true and  $\gamma_j(\vec{u} \vec{a}_B)$  is true; furthermore,  $\alpha_i(\vec{a}_A)$  and  $\delta_j(\vec{a}_C)$  are true. We thus obtain that  $\varphi(\vec{u} \vec{a}_B \vec{a}_C)$  is true. Hence, for some index  $1 \leq l \leq N$ , we have  $\alpha_l(\vec{u})$  and  $\beta_l(\vec{a}_B \vec{a}_C)$ . Since we know that  $\alpha_i(\vec{u})$  is true, by unambiguity of the first representation in  $A$ -variables, we have  $l = i$ ; hence,  $\beta_i(\vec{a}_B \vec{a}_C)$  is true and thus  $\varphi(\vec{a})$  is true. This proves the lemma.  $\square$

Next, we need a variant of Lemma 1 that involves two different formulae.

**Lemma 3** *Let  $\Phi(\vec{x}, \vec{y})$  be  $\bigvee_{i=1}^n (\zeta_i(\vec{x}) \wedge \psi_i(\vec{y}))$  and let  $\Psi(\vec{x}, \vec{z})$  be  $\bigvee_{i=1}^m (\chi_i(\vec{x}) \wedge \xi_i(\vec{z}))$  where  $\vec{x}$  and  $\vec{y}$  (and likewise  $\vec{x}$  and  $\vec{z}$ ) have no variables in common. Then there exist formulae  $\alpha_i(\vec{x})$ ,  $\beta_i(\vec{y})$ ,  $\gamma_i(\vec{z})$ ,  $i = 1, \dots, k$ , such that*

$$\mathcal{M} \models \Phi(\vec{x}, \vec{y}) \leftrightarrow \bigvee_{i=1}^k (\alpha_i(\vec{x}) \wedge \beta_i(\vec{y})) ,$$

$$\mathcal{M} \models \Psi(\vec{x}, \vec{z}) \leftrightarrow \bigvee_{i=1}^k (\alpha_i(\vec{x}) \wedge \gamma_i(\vec{z})) ,$$

and furthermore  $\mathcal{M} \models \neg \exists \vec{x} (\alpha_i(\vec{x}) \wedge \alpha_j(\vec{x}))$  for any  $i \neq j$ .

*Proof.* Assume without loss of generality that  $n, m > 0$ . Let  $\Sigma$  be the set of all  $2^{n+m}$  mappings from  $\{1, \dots, n, n+1, \dots, n+m\}$  to  $\{+, -\}$ . Let  $\delta^+ = \delta$  and  $\delta^- = \neg \delta$  for any formula  $\delta$ . For each  $\sigma \in \Sigma$ , let

$$\alpha_\sigma(\vec{x}) \equiv \bigwedge_{i=1}^n \zeta_i^{\sigma(i)}(\vec{x}) \wedge \bigwedge_{j=1}^m \chi_j^{\sigma(n+j)}(\vec{x}) .$$

Then  $\Phi$  is equivalent to the following formula  $\Phi'(\vec{x}, \vec{y})$ :

$$\bigvee_{i=1}^n \left( \bigvee_{\sigma \in \Sigma, \sigma(i)=+} (\alpha_\sigma(\vec{x}) \wedge \psi(\vec{y})) \right) \vee \left( \bigvee_{\sigma \in \Sigma, \sigma(i)=-} (\alpha_\sigma(\vec{x}) \wedge F(\vec{y})) \right) ,$$

where  $F(\vec{y})$  is any unsatisfiable formula (e.g.,  $\vec{y} \neq \vec{y}$ ). Thus,  $\Phi'$  is equivalent to the formula of the form

$$\bigvee_{\sigma \in \Sigma} (\alpha_\sigma(\vec{x}) \wedge \beta_\sigma(\vec{y})) ,$$

where each  $\beta_\sigma$  is either a disjunction of some  $\psi_i$ s, or an unsatisfiable formula. Clearly, any  $\alpha_\sigma$  and  $\alpha_{\sigma'}$  for  $\sigma \neq \sigma'$  are inconsistent. The proof that  $\Psi$  admits a similar representation with the same family  $\{\alpha_\sigma\}$  is identical.  $\square$

We next consider the key case of two two-block partitions, e.g.,  $\{AB, CD\}$  and  $\{AC, BD\}$ .

**Lemma 4** *Let  $\Phi_1(\vec{x}, \vec{y}, \vec{u}, \vec{v})$  be  $\bigvee_{i=1}^n (\alpha_i(\vec{x}, \vec{y}) \wedge \beta_i(\vec{u}, \vec{v}))$ , and let  $\Phi_2(\vec{x}, \vec{y}, \vec{u}, \vec{v})$  be  $\bigvee_{j=1}^m (\gamma_j(\vec{x}, \vec{u}) \wedge \delta_j(\vec{y}, \vec{v}))$ , where  $\vec{x}, \vec{y}, \vec{u}, \vec{v}$  are pairwise disjoint nonempty tuples of variables. Assume that  $\mathcal{M} \models \Phi_1 \leftrightarrow \Phi_2$ . Then there exists a collection of formulae  $\psi_k(\vec{x}), \chi_k(\vec{y}), \xi_k(\vec{u}), \rho_k(\vec{v})$  such that*

$$\mathcal{M} \models \Phi_1 \leftrightarrow \bigvee_k (\psi_k(\vec{x}) \wedge \chi_k(\vec{y}) \wedge \xi_k(\vec{u}) \wedge \rho_k(\vec{v})) .$$

*Proof.* First, we assume, in view of Lemma 1, that the representation for  $\Phi_1$  is unambiguous in  $\vec{x}, \vec{y}$  (that is,  $\mathcal{M} \models \neg \exists \vec{x} \vec{y} (\alpha_i(\vec{x}, \vec{y}) \wedge \alpha_{i'}(\vec{x}, \vec{y}))$  for  $i \neq i'$ ). Consider  $\Phi'_1(\vec{x}, \vec{y}, \vec{v}) \equiv \exists \vec{u} (\Phi_1(\vec{x}, \vec{y}, \vec{u}, \vec{v}))$ . Let  $\gamma'_j(\vec{x})$  be  $\exists \vec{u} \gamma_j(\vec{x}, \vec{u})$  and let  $\beta'_i(\vec{v})$  be  $\exists \vec{u} \beta_i(\vec{u}, \vec{v})$ . Then  $\Phi'_1$  is equivalent to both

$$\bigvee_{i=1}^n (\alpha_i(\vec{x}, \vec{y}) \wedge \beta'_i(\vec{v}))$$

and

$$\bigvee_{j=1}^m (\gamma'_j(\vec{x}) \wedge \delta_j(\vec{y}, \vec{v})) .$$

Applying Lemma 2, we find a collection of formulae  $\tilde{\psi}_l(\vec{x}), \tilde{\chi}_l(\vec{y}), \tilde{\rho}_l(\vec{v})$  such that  $\Phi'_1$  is equivalent to  $\bigvee_l (\tilde{\psi}_l(\vec{x}) \wedge \tilde{\chi}_l(\vec{y}) \wedge \tilde{\rho}_l(\vec{v}))$ .

Applying the same argument to  $\Phi''_1(\vec{x}, \vec{y}, \vec{u}) \equiv \exists \vec{v} (\Phi_1(\vec{x}, \vec{y}, \vec{u}, \vec{v}))$ , we find a collection of formulae  $\hat{\psi}_s(\vec{x}), \hat{\chi}_s(\vec{y}), \hat{\xi}_s(\vec{u})$  such that  $\Phi''_1$  is equivalent to  $\bigvee_s (\hat{\psi}_s(\vec{x}) \wedge \hat{\chi}_s(\vec{y}) \wedge \hat{\xi}_s(\vec{u}))$ . Now using Lemma 3, we find a collection of formulae  $\psi_k(\vec{x}), \chi'_k(\vec{y}), \chi''_k(\vec{y}), \xi_k(\vec{u}), \rho_k(\vec{v})$  such that  $\Phi'_1$  is equivalent to

$$\bigvee_k \psi_k(\vec{x}) \wedge \chi'_k(\vec{y}) \wedge \xi_k(\vec{v}) ,$$

$\Phi''_1$  is equivalent to

$$\bigvee_k \psi_k(\vec{x}) \wedge \chi''_k(\vec{y}) \wedge \rho_k(\vec{u}) ,$$

and  $\mathcal{M} \models \neg \exists \vec{x} (\psi_i(\vec{x}) \wedge \psi_j(\vec{x}))$  for  $i \neq j$  (this is because the formulae  $\beta_i$  and  $\gamma_j$  produced in the proof of Lemma 3 conform to the same partitions as the formulae in the  $\vec{y}$  and  $\vec{z}$  variables in the original DNF formulae).

Now let  $\chi_k(\vec{y}) \equiv \chi'_k(\vec{y}) \wedge \chi''_k(\vec{y})$  and define  $\Psi(\vec{x}, \vec{y}, \vec{u}, \vec{v})$  to be  $\bigvee_k (\psi_k(\vec{x}) \wedge \chi_k(\vec{y}) \wedge \xi_k(\vec{u}) \wedge \rho_k(\vec{v}))$ . We now claim that  $\mathcal{M} \models \Phi_1(\vec{a}, \vec{b}, \vec{c}, \vec{d}) \leftrightarrow \Psi(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  for any  $\vec{a}, \vec{b}, \vec{c}, \vec{d}$  of appropriate arity over  $U$ .

First, if  $\Phi_1(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  holds, then for some  $k_1, k_2$ , both  $(\psi_{k_1}(\vec{a}) \wedge \chi_{k_1}(\vec{b}) \wedge \xi_{k_1}(\vec{c}))$  and  $(\psi_{k_2}(\vec{a}) \wedge \chi_{k_2}(\vec{b}) \wedge \rho_{k_2}(\vec{d}))$  hold, which implies  $k_1 = k_2$  and thus that  $\Psi(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  holds.

Next, let  $m$  be the length of  $\vec{x}$ . Define  $L^*$  to be an extension of  $L$  with  $m$  new constant symbols, and let  $\mathcal{M}^{\vec{a}}$  be the  $L^*$ -expansion of  $\mathcal{M}$ , where the extra constants are interpreted as  $\vec{a}$ . For every

$L$ -formula  $\chi(\vec{x}, \vec{s})$ , where  $\vec{x}$  is of length  $m$ , let  $\chi^*(\vec{s})$  be an  $L^*$  formula in which each free occurrence of a variable  $x_i$  in  $\vec{x}$  is replaced by the corresponding constant symbol in  $L^*$ . Then  $\mathcal{M} \models \chi(\vec{a}, \vec{e})$  iff  $\mathcal{M}^{\vec{a}} \models \chi^*(\vec{e})$ .

Now assume that  $\Psi(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  holds. Then  $\exists u(\Phi_1(\vec{a}, \vec{b}, \vec{u}, \vec{d}))$  holds, and thus  $\Phi_1(\vec{a}, \vec{b}, \vec{c}_0, \vec{d})$  holds in  $\mathcal{M}$  for some  $\vec{c}_0$ . Similarly,  $\Phi_1(\vec{a}, \vec{b}, \vec{c}, \vec{d}_0)$  holds in  $\mathcal{M}$  for some  $\vec{d}_0$ .

The formula  $\Phi_1^*(\vec{y}, \vec{u}, \vec{v})$  is equivalent, over  $\mathcal{M}^{\vec{a}}$ , to

$$\bigvee_i (\alpha_i^*(\vec{y}) \wedge \beta_i(\vec{u}, \vec{v}))$$

and

$$\bigvee_j (\gamma_j^*(\vec{u}) \wedge \delta_j(\vec{y}, \vec{v})) .$$

Applying Lemma 2 to  $\mathcal{M}^{\vec{a}}$ , we find that  $\Phi_1^*$  is equivalent to a formula of the form

$$\bigvee_r (\mu_r^*(\vec{y}) \wedge \eta_r^*(\vec{u}) \wedge \nu_r^*(\vec{v})) ,$$

and we may assume, by Lemma 1, that this representation is unambiguous in  $\vec{y}$ . Since  $\Phi_1^*(\vec{b}, \vec{c}_0, \vec{d})$  holds in  $\mathcal{M}^{\vec{a}}$ , we obtain  $(\mu_{r_1}^*(\vec{b}) \wedge \eta_{r_1}^*(\vec{c}_0) \wedge \nu_{r_2}^*(\vec{d}))$  for some  $r_1$ . Since  $\Phi_1^*(\vec{b}, \vec{c}, \vec{d}_0)$  holds in  $\mathcal{M}^{\vec{a}}$ , we obtain  $(\mu_{r_2}^*(\vec{b}) \wedge \eta_{r_2}^*(\vec{c}) \wedge \nu_{r_2}^*(\vec{d}_0))$  for some  $r_2$ . Using unambiguity, we conclude  $r_1 = r_2$ , and thus  $(\mu_{r_1}^*(\vec{b}) \wedge \eta_{r_1}^*(\vec{c}) \wedge \nu_{r_2}^*(\vec{d}))$  holds in  $\mathcal{M}^{\vec{a}}$ . Hence,  $\Phi_1^*(\vec{b}, \vec{c}, \vec{d})$  holds in  $\mathcal{M}^{\vec{a}}$ , and thus  $\Phi(\vec{a}, \vec{b}, \vec{c}, \vec{d})$  holds in  $\mathcal{M}$ , which concludes the proof.  $\square$

Using Lemma 4, we prove the following result, which is the basis of the main induction argument. Let  $D_1, \dots, D_k$  enumerate the blocks of partition  $P_1 \sqcap P_2$ . Let  $P_{12}(i)$  be the partition whose two blocks are  $D_i$  and  $\cup_{j \neq i} D_j$ .

**Lemma 5** *For every  $i$ ,  $\varphi \sim P_{12}(i)$ .*

*Proof.* Let  $D_i$  arise as  $B \cap C$ , where  $B$  is a block in  $P_1$  and  $C$  is a block in  $P_2$ . Let  $A = B - C$ ,  $E = C - B$  and let  $F$  be the complement of  $B \cup C$  (some of these sets may be empty).  $\varphi$  therefore conforms to  $\{D_i A, EF\}$  and to  $\{D_i E, AF\}$ . If two or more sets among  $A, D_i, E, F$  are empty, the result is immediate. If one of these sets is empty, the result follows from Lemma 2. If all four are nonempty, it follows from Lemma 4.  $\square$

We now complete the proof of the main theorem by a simple induction. Let  $P(i)$  be the partition whose blocks are  $D_1, \dots, D_i$ , and  $D_{i+1} \cup \dots \cup D_k$ . We show that  $\varphi \sim P(i)$  for all  $i$ ; hence,  $\varphi \sim P(k) = P_1 \sqcap P_2$ . The base case,  $\varphi \sim P(1)$ , follows from Lemma 5. Suppose  $\varphi \sim P(i)$ ; we have to show  $\varphi \sim P(i+1)$ . Let  $A = D_1 \cup \dots \cup D_i$ ,  $B = D_{i+1}$  and  $C = D_{i+2} \cup \dots \cup D_k$ . We then have that  $\varphi$  conforms to the partition with blocks  $A$  and  $B \cup C$ , as well as that with blocks  $B$  and  $A \cup C$ ; furthermore, it conforms to the subpartition  $D_1, \dots, D_i$  on  $A$ . From Lemma 2 it then follows that  $\varphi$  conforms to the partition with blocks  $D_1, \dots, D_i, B = D_{i+1}, C$ , that is, to  $P(i+1)$ . This completes the proof.  $\square$

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