

List Objects with Algebraic Structure

Marcelo Fiore and Philip Saville

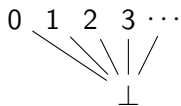
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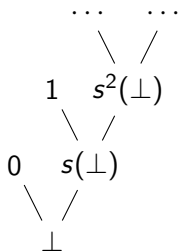
*A unifying framework for many diverse examples of list objects
with algebraic structure*

Notions of natural number in \mathbf{Cpo}

Flat natural numbers,
 $\mu A.(1 + A)$:



Lazy natural numbers,
 $\mu A.(1 + A)_\perp$:

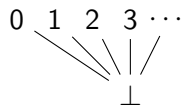


Strict natural numbers,
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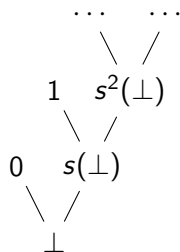


Notions of natural number in **Cpo**

Flat natural numbers,
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Strict natural numbers,
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Our contribution: all these are natural numbers objects with algebraic structure.

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Definition

The *list transformer* of Jaskelioff takes a monad T to the monad $\text{Lt}(T)X := \mu A. T(1 + X \times A)$.

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Our contribution: universal description as a list object with algebraic structure.

Abstract syntax with binding and metavariables (Fiore *et al.*)

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- ▶ A *monoid structure* modelling binding,
- ▶ A *compatibility law* between binding and constructors, so that e.g. $\text{app}(\sigma, \tau)[x \mapsto \omega] = \text{app}(\sigma[x \mapsto \omega], \tau[x \mapsto \omega])$.

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Abstract syntax = free such structure
= a list object with algebraic structure.

*A unifying framework for many diverse examples of list objects
with algebraic structure*

- ▶ Notions of natural numbers in domain theory,
- ▶ The monadic list transformer,
- ▶ Abstract syntax with binding and metavariables,
- ▶ Algebraic operations,
- ▶ Instances of the Haskell MonadPlus type class,
- ▶ Higher-dimensional algebra.

This talk

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list objects



***T*-list objects**

This talk

list objects

- ▶ well-understood datatype



***T*-list objects**

- ▶ extends datatype of lists

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list objects

- ▶ well-understood datatype
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T -list objects

- ▶ extends datatype of lists
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- ▶ well-understood datatype
- ▶ are free monoids
- ▶ described by $\mu A.(I + X \otimes A)$.

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Gives a *concrete* way to reason about free T -monoids.

Gives an algebraic structure for T -list objects.

Past work: list objects in CCCs (Joyal, Cockett)

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A list object $L(X)$ on X consists of

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that is initial: given any $(1 \xrightarrow{n} A \xleftarrow{c} X \times A)$, there exists a unique iterator

$$\begin{array}{ccccc} 1 & \xrightarrow{\text{nil}} & L(X) & \xleftarrow{\text{cons}} & X \times L(X) \\ \parallel & & \downarrow \text{it}(n,c) & & \downarrow X \times \text{it}(n,c) \\ 1 & \xrightarrow{n} & A & \xleftarrow{c} & X \times A \end{array}$$

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that is *parametrised initial*: given any $(P \xrightarrow{n} A \xleftarrow{c} X \otimes A)$, there exists a unique iterator

$$\begin{array}{ccccc}
 I \otimes P & \xrightarrow{\text{nil} \otimes P} & L(X) \otimes P & \xleftarrow{\text{cons} \otimes P} & X \otimes L(X) \otimes P \\
 \cong \downarrow & & \downarrow \text{it}(n,c) & & \downarrow X \otimes \text{it}(n,c) \\
 P & \xrightarrow{n} & A & \xleftarrow{c} & X \otimes A
 \end{array}$$

List objects in a monoidal category $(\mathcal{C}, \otimes, I)$

Remark

If each $(-)\otimes P$ has a right adjoint, parametrised initiality is equivalent to the non-parametrised version:

$$\begin{array}{ccccc} I & \xrightarrow{\text{nil}} & L(X) & \xleftarrow{\text{cons}} & X \otimes L(X) \\ \parallel & & \downarrow \text{it}(n,c) & & \downarrow X \otimes \text{it}(n,c) \\ I & \xrightarrow{n} & A^P & \xleftarrow{c} & X \otimes A^P \end{array}$$

List objects in a monoidal category $(\mathcal{C}, \otimes, I)$

Connection to past work

- ▶ Closely connected to Kelly's notion of *algebraically-free* monoid in a monoidal category.
- ▶ The list object $L(I)$ is precisely a *left natural numbers object* in the sense of Paré and Román. *E.g.* the flat natural numbers $\mu A.(1 + A)$ in **Cpo**.

List objects are free monoids

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Definition

A *monoid* in a monoidal category $(\mathcal{C}, \otimes, I)$ is an object $(I \xrightarrow{e} M \xleftarrow{m} M \otimes M)$ such that the multiplication m is associative and e is a neutral element for this multiplication.

List objects are free monoids

Lemma

1. *Every list object $L(X)$ is a monoid.*

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1. Every list object $L(X)$ is a monoid.
2. This monoid is the free monoid on X , with universal map

$$X \xrightarrow{\cong} X \otimes I \xrightarrow{X \otimes \text{nil}} X \otimes L(X) \xrightarrow{\text{cons}} L(X)$$

taking $x \mapsto (x, *) \mapsto (x, []) \mapsto x :: [] = [x]$.

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We can reason concretely about free monoids by reasoning about lists.

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Lemma

If $(\mathcal{C}, \otimes, I)$ is a monoidal category with finite coproducts $(0, +)$ and ω -colimits, both preserved by all $(-) \otimes P$ for $P \in \mathcal{C}$, then the initial algebra of the functor $(I + X \otimes (-))$ is a list object on X .

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Remark

This result relies on a general theory of *parametrised initial algebras*.

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(new work)

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...and instantiate this for applications

Compatible algebraic structure

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A *monad* on a category \mathcal{C} is a functor $T : \mathcal{C} \rightarrow \mathcal{C}$ equipped with a multiplication $\mu : T^2 \rightarrow T$ and a unit $\eta : \text{Id}_{\mathcal{C}} \rightarrow T$ satisfying associativity and unit laws.

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An *algebra* for a monad (T, μ, η) is a pair $(A, \alpha : TA \rightarrow A)$ satisfying unit and associativity laws.

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Definition

A *strong monad* T is a monad on a monoidal category (\otimes, I) that is equipped with a natural transformation $st_{A,B} : T(A) \otimes B \rightarrow T(A \otimes B)$ satisfying coherence laws.

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there exists a unique mediating map $\text{it}(n, c, \alpha) : M(X) \otimes P \rightarrow A$

T-list objects

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$$\begin{array}{ccccc} I \otimes P & \xrightarrow{\text{nil} \otimes P} & M(X) \otimes P & \xleftarrow{\text{cons} \otimes P} & X \otimes M(X) \otimes P \\ \cong \downarrow & & \downarrow \text{it}(n,c,\alpha) & & \downarrow X \otimes \text{it}(n,c,\alpha) \\ P & \xrightarrow{n} & A & \xleftarrow{c} & X \otimes A \end{array}$$

and

$$\begin{array}{ccccc} T(M(X)) \otimes P & \xrightarrow{\text{st}_{M(X),P}} & T(M(X) \otimes P) & \xrightarrow{T(\text{it}(n,c,\alpha))} & TA \\ \tau \otimes P \downarrow & & & & \downarrow \alpha \\ M(X) \otimes P & \dashrightarrow & & & A \\ & & \text{it}(n,c,\alpha) & & \end{array}$$

T -list objects

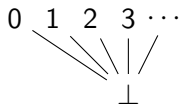
Remark

Every list object is a T -list object.

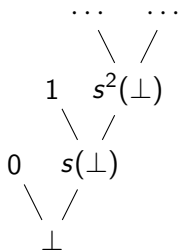
If every $(-)\otimes P$ has a right adjoint, the iterator $\text{it}(n, c, \alpha)$ is a T -algebra homomorphism.

Natural numbers in **Cpo**, revisited

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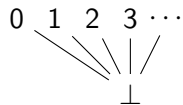


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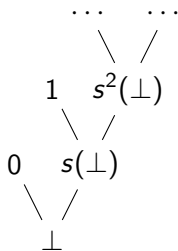


Natural numbers in **Cpo** as T -list objects on the unit

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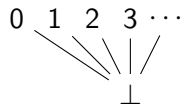


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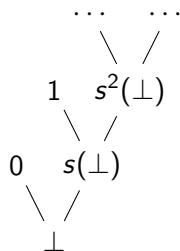


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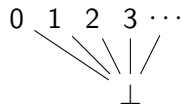
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T -list object with
 $(\times, 1)$ structure
 and monad $T = \text{Id}$

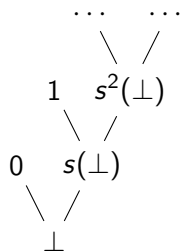
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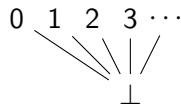
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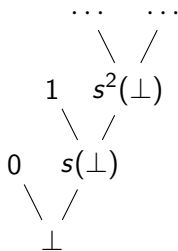
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Monoids with compatible algebraic structure

T -monoids

T -monoids

Let (T, st) be a strong monad on a monoidal category (\otimes, I) . A T -monoid (*EM-monoid* (Piróg)) is a monoid

$$I \longrightarrow M \longleftarrow M \otimes M$$

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Let (T, st) be a strong monad on a monoidal category (\otimes, I) . A T -monoid (*EM-monoid* (Piróg)) is a monoid equipped with a T -algebra

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compatible in the sense that

$$\begin{array}{ccccc} T(C) \otimes C & \xrightarrow{st_{C,C}} & T(C \otimes C) & \xrightarrow{Tm} & TC \\ c \otimes C \downarrow & & & & \downarrow c \\ C \otimes C & \xrightarrow{\quad m \quad} & & & C \end{array}$$

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Remark

T -monoids generalise both monoids and T -algebras.

T -monoids

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In the context of abstract syntax, T is freely generated from some theory, and T -monoids are models of this theory.

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Lemma

For every monoid M the endofunctor $T := M \otimes (-)$ is a monad, and $T\text{-Mon}(\mathcal{C}) \simeq (M/\text{Mon}(\mathcal{C}))$.

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Example

In particular, a T -monoid for the endofunctor $T := S \otimes (-)$ is precisely an *algebraic operation with signature S* in the sense of Jaskelioff, and can be identified with a map $S \xrightarrow{\eta} L(S) \rightarrow M$ interpreting S inside M .

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Example

Thinking of a Lawvere theory as a monoid L_M in $(\mathbf{Set}^{\mathbb{F}}, \mathbf{y}(1), \bullet)$, we can identify Lawvere theories extending L_M with T -monoids for $T := M \bullet (-)$.

T -list objects are free T -monoids

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$$X \xrightarrow{\cong} X \otimes I \xrightarrow{X \otimes \text{nil}} X \otimes M(X) \xrightarrow{\text{cons}} M(X)$$

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We can reason concretely about free T -monoids by reasoning about T -lists.

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For a strong monad (T, st) on a monoidal category (\otimes, I) ,

Lemma

If every $(-)\otimes P$ preserves binary coproducts, and the initial algebra exists, then $\mu A.T(I + X \otimes A)$ is a T -list object on X .

Theorem

Let T be a strong monad on a monoidal category $(\mathcal{C}, I, \otimes)$ with binary coproducts $(+)$. If

1. for every $P \in \mathcal{C}$, the endofunctor $(-) \otimes P$ preserves binary coproducts, and
 2. for every $X \in \mathcal{C}$, the initial algebra of $T(I + X \otimes -)$ exists
- Then \mathcal{C} has all T -list objects and, thereby, the free T -monoid monad \mathbb{M}_T .

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Remark

Thinking in terms of T -list objects makes the proof straightforward!

Technical contribution

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Remark

A natural extension: algebraic structure encapsulated by *Lawvere theories* or *operads*. This gives rise to a notion of *near-semiring category*, which underlies many of the applications.

Applications

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T -NNOs

In a monoidal category (\otimes, I) :

NNO = list object on I

T -NNO = T -list object on I

In **Cpo**: gives rise to the *flat*-, *lazy*- and *strict* natural numbers.

Applications

Functional programming

- ▶ In the bicartesian closed setting: Jaskelioff's monadic list transformer $Lt(T)X := \mu A. T(1 + X \times A)$ is just the free T -monoid monad.

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- ▶ In the category of endofunctors over a cartesian category: the `MonadPlus` type class $Mp(F)X := \mu A. List(X + FA)$ of Rivas *et al.* is a `List-list` object.
- ▶ In the category of endofunctors over a cartesian category: the datatype

$$Bun(F)X := \mu A. (1 + X \times A + F(A) \times A + A \times A)$$

is an instance of Spivey's `Bunch` type class that is a `T-list` object for `T` the extension of the theory of monoids with a unary operator.

Applications

Functional programming

- ▶ In the bicartesian closed setting: Jaskelioff's monadic list transformer $\text{Lt}(T)X := \mu A. T(1 + X \times A)$ is just the free T -monoid monad.
- ▶ In an nsr-category: the `MonadPlus` type class $\text{Mp}(F)X := \mu A. \text{List}_*(X + F \otimes A)$ is a List_* -list object.
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$$\text{Bun}(F)X := \mu A. (J + (I + X \otimes A + A) * A)$$

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Applications

Abstract syntax and variable binding (Fiore *et al.*)

In the category of presheaves $\mathbf{Set}^{\mathbb{F}}$ with *substitution tensor product*

$$(P \bullet Q)(n) = \int^{m \in \mathbb{F}} (Pm) \times (Qn)^m$$

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Remark

This relies on a slightly more general theory, in which the strength $st_{X,I \rightarrow P} : T(X) \otimes P \rightarrow T(X \otimes P)$ only acts on *pointed objects*.

Applications

Higher-dimensional algebra

The *web monoid* in Szawiel and Zawadowski's construction of opetopes is a T -list object in an nsr-category.

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A journal-length version is in preparation.