

# Machine Translation

## 13: Syntax

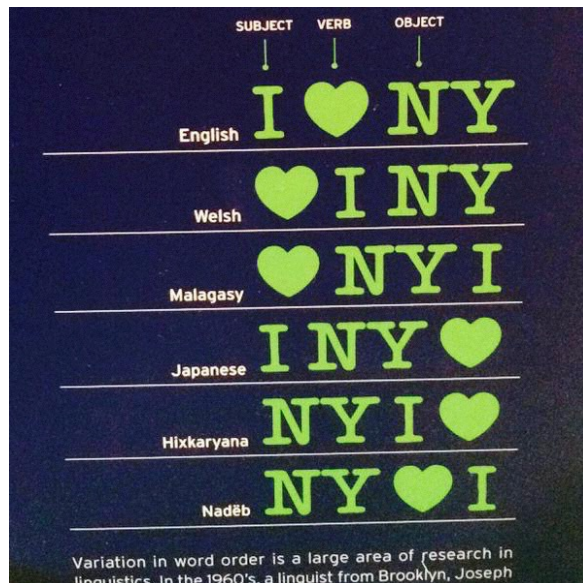
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## Overview

### today

- some syntactic phenomena that make (machine) translation difficult
- some solutions from MT research



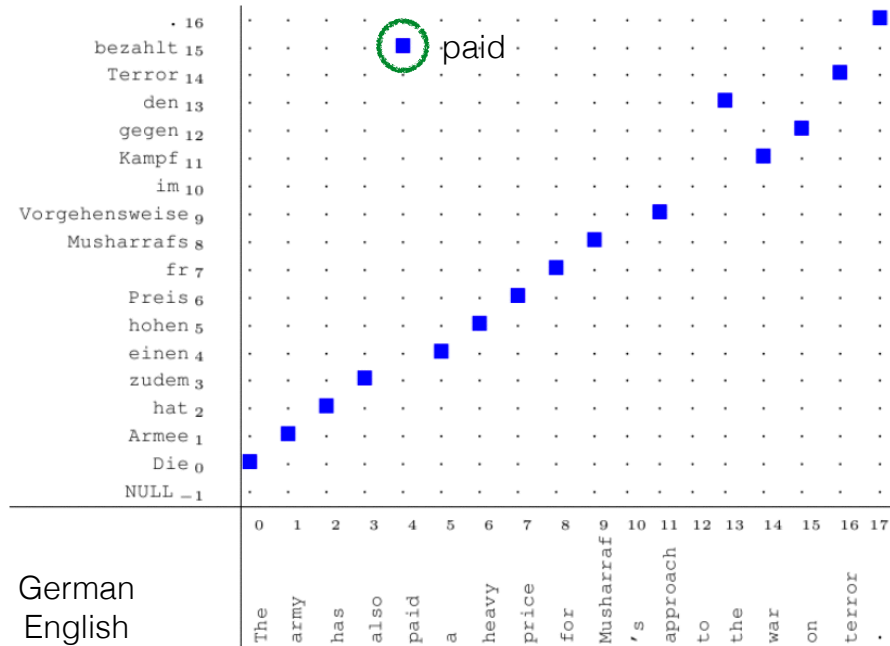
## Word Order

- languages differ in word order:
  - SVO: English, French, Mandarin, Russian, ...
  - SOV: Hindi, Latin, Japanese, Korean, ...
  - VSO, VOS, OSV, OSV exist, but less common
  - German is V2 in main clause, SOV in subordinate clause
  - word order more flexible when function is morphologically marked

### example: German–English

der Mann , der den letzten Marathon gewonnen hat

the man who won the last marathon



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		Indo-European			Afro-Asiatic	Altaic	Japanese	Sino-Tibet.
Features		English	German	French	Arabic	Turkish	Japanese	Chinese
Phrase-level	Noun,Adpositions [with] [a stick]	Adp-N	Adp-N	Adp-N	Adp-N	N-Adp	N-Adp	N-Adp/ Adp-N
	Noun,Genitive [Tom's] [stick]	N-Gen/ Gen-N	N-Gen	N-Gen	N-Gen	Gen-N	Gen-N	Gen-N
	Noun,Adjective [hungry] [Tom]	A-N	A-N	N-A	N-A	A-N	A-N	A-N
	Noun,Demonstrative [this] [stick]	Dem-N	Dem-N	Dem-N	Dem-N	Dem-N	Dem-N	Dem-N
	Noun,Numeral [two] [sticks]	Num-N	Num-N	Num-N	Num-N	Num-N	Num-N	Num-N
	Adjective,DegreeW. [very] [hungry]	Deg-A	Deg-A	Deg-A	A-Deg	Deg-A	Deg-A	Deg-A
Feature		English	German	French	Arabic	Turkish	Japanese	Chinese

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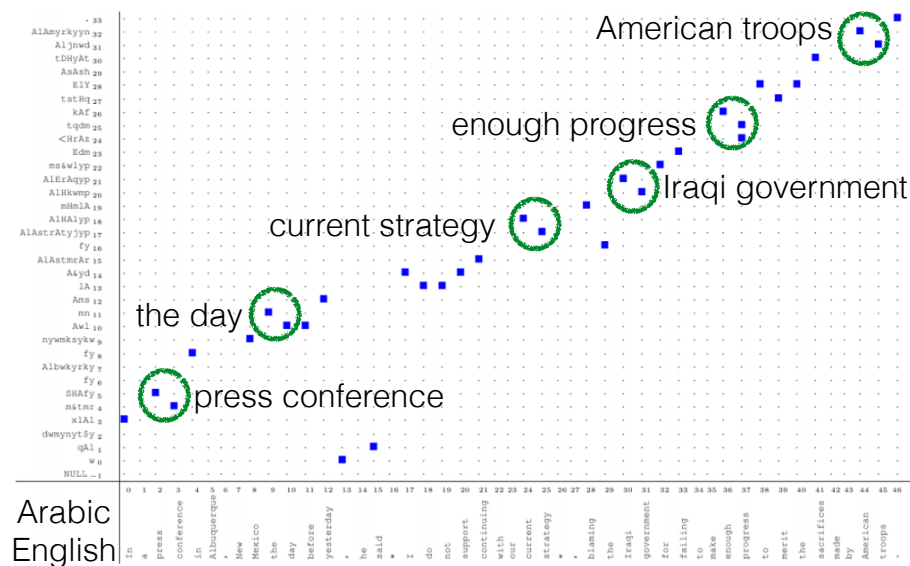
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## Word Order

translation units can be discontinuous

example: German separable verb prefixes are clause-final

he **proposes** a trade  
er **schlägt** einen Handel **vor**



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## "The Awful German Language" by Mark Twain

The Germans have another kind of parenthesis, which they make by splitting a verb in two and putting half of it at the beginning of an exciting chapter and the other half at the end of it. Can any one conceive of anything more confusing than that? These things are called "separable verbs." The German grammar is blistered all over with separable verbs; and the wider the two portions of one of them are spread apart, the better the author of the crime is pleased with his performance.

## source side pre-reordering

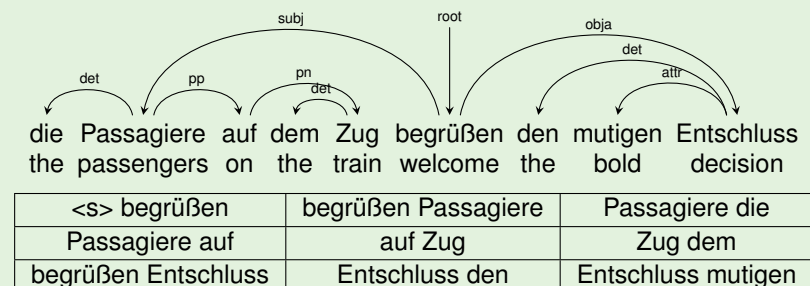
- preprocess the source text to better match target language word order
- standard for some language pairs for phrase-based SMT
- various approaches based on syntactic analysis of source sentence:
  - hand-written rules [Nießen and Ney, 2000, Collins et al., 2005]
  - automatically learned rules [Xia and McCord, 2004, Genzel, 2010]
  - neural pre-reordering [Miceli Barone and Attardi, 2015]
- negative results for neural MT [Du and Way, 2017]

## target side pre-reordering?

- in principle, we can reorder target side before training
- need second step to restore original target language word order  
→ this is hard
- some research, but never became standard

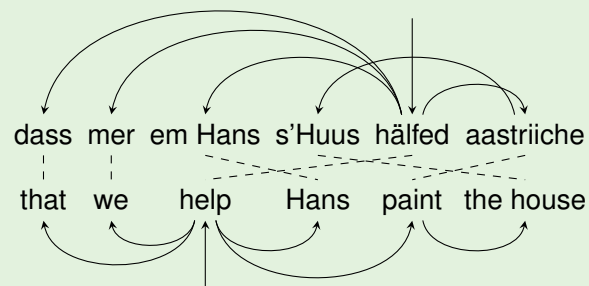
- n-grams may not be meaningful if word order is flexible
- syntactic n-grams for evaluation: head-word-chain metric (HWCM) [Liu and Gildea, 2005]

## syntactic n-grams



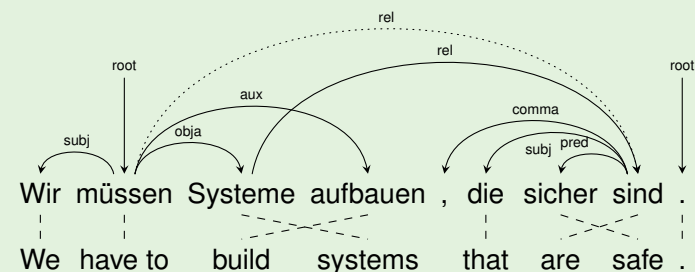
## Non-projective Structures

classical example of context-sensitive structures  
in Swiss German (Zurich dialect)



## Non-projective Structures

non-projective German dependency tree



- most syntax-based SMT systems are context-free
- either they can't produce non-projective structures, or we use pseudo-projective arcs (dotted line)

## Subcategorization

- words occur with specific syntactic arguments
- complex mapping between syntactic arguments and meaning

example

- *remember* can have direct object or clausal object
- semantic role: content of the memory
  - *he remembers his medical appointment.*
  - *he remembers that he has a medical appointment.*
- *remind* can have direct object, and prep. or clausal object
  - direct object: recipient of information
  - prep. or clausal object: information
  - *I remind him of his medical appointment.*
  - *I remind him that he has a medical appointment.*
- ungrammatical (or semantically nonsensical):
  - \**he remembers of his medical appointment.*
  - \**he reminds his medical appointment.*

## Subcategorization

subcategorization rules often differ between languages

- *he remembers the medical appointment.*
- \**er erinnert den Arzttermin.*
- *er erinnert sich an den Arzttermin.*
- \**he remembers himself to the medical appointment.*

for some translations, syntactic arguments swap semantic roles

- *he misses the cat*
- *die Katze fehlt ihm*  
(the cat is missing to him)

## Subcategorization

different meanings of words occur with different subcategories:

- *she applies for a job.*  
prep. object *for*: submit oneself as a candidate (German: “bewerben”)
- *this rule applies to everyone.*  
intransitive: be relevant (German: “gelten”)
- *he applies the wrong test.*  
transitive: use (German: “anwenden”)

## Syntax and Neural MT

attentional encoder–decoder is less limited than previous approaches

- reordering can be learned by attention model
- consequence of subcategorization constraints:  
you should not translate syntactic arguments independently
- recurrent model can handle discontinuous and non-projective structures

### recent research

does neural MT benefit from syntactic structure/information?

## Linguistic Input Features

### guide reordering with syntactic information

source	<i>Gefährlich<sub>pred</sub> ist die Route<sub>subj</sub> aber dennoch.</i>
reference	<i>However the route is dangerous.</i>
baseline NMT	<i>*Dangerous is the route, however.</i>

## Linguistic Input Features

### disambiguate words by POS

	English	German
	close <sub>verb</sub>	schließen
	close <sub>adj</sub>	nah
	close <sub>noun</sub>	Ende
source	<i>We thought a win like this might be close<sub>adj</sub>.</i>	
reference	<i>Wir dachten, dass ein solcher Sieg nah sein könnte.</i>	
baseline NMT	<i>*Wir dachten, ein Sieg wie dieser könnte schließen.</i>	

## Neural Machine Translation: Multiple Input Features

Use separate embeddings for each feature, then concatenate  
(same method as for inclusion of lemma)

baseline: only word feature

$$E(close) = \begin{bmatrix} 0.5 \\ 0.2 \\ 0.3 \\ 0.1 \end{bmatrix}$$

$|F|$  input features

$$E_1(close) = \begin{bmatrix} 0.4 \\ 0.1 \\ 0.2 \end{bmatrix} \quad E_2(adj) = [0.1] \quad E_1(close) \parallel E_2(adj) = \begin{bmatrix} 0.4 \\ 0.1 \\ 0.2 \\ 0.1 \end{bmatrix}$$

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## Experiments

### Features

- lemmas
- morphological features
- POS tags
- dependency labels
- BPE tags

### Data

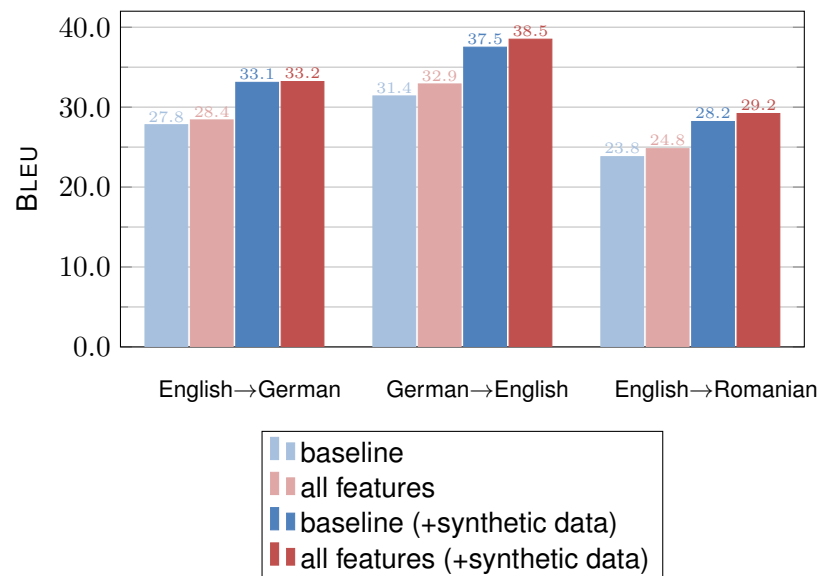
- WMT16 training/test data
- English↔German and English→Romanian

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## Results: BLEU ↑



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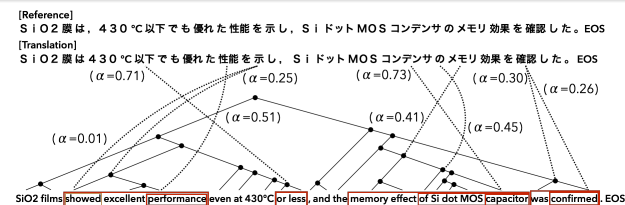
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## Tree-Structured Encoders [Eriguchi et al., 2016]

- represent source sentence as binary tree
- leaf nodes: states of sequential RNN
- tree-based encoder computes state of  $k$ -th parent node ( $h_k^p$ ) as function of left and right child nodes ( $h_k^l$  and  $h_k^r$ ):

$$h_k^p = f(h_k^l, h_k^r)$$

- allow attention on original encoder states (leaves) and tree nodes



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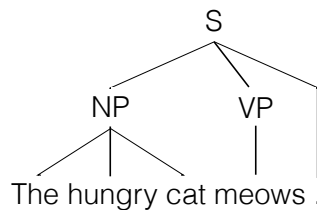
## hypothesis:

- recurrent neural networks have recency bias
- instead, we want to induce syntactic bias

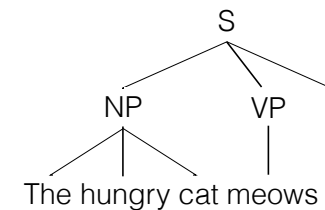
## One theory of hierarchy

- Generate symbols sequentially using an RNN
- Add some “control symbols” to rewrite the history periodically
  - Periodically “compress” a sequence into a single “constituent”
- Augment RNN with an operation to compress recent history into a single vector (-> “reduce”)
- RNN predicts next symbol based on the history of compressed elements and non-compressed terminals (“shift” or “generate”)
- RNN must also predict “control symbols” that decide how big constituents are
- We call such models **recurrent neural network grammars**.

## Trees as sequences

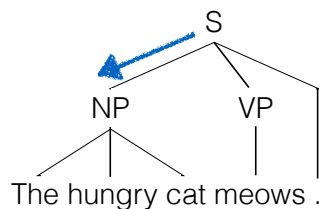


## Trees as sequences



S( NP( The hungry cat ) VP( meows ) . )

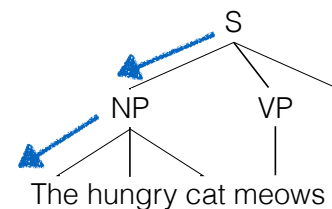
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Tree traversals correspond to stack operations

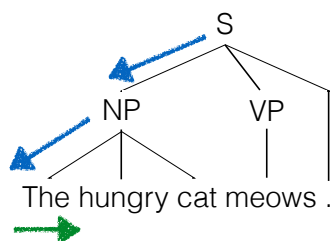
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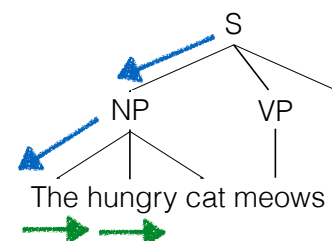
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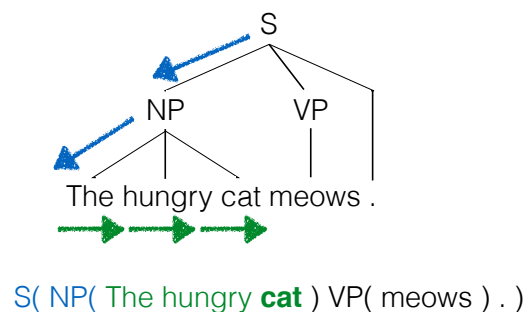


S( NP( **The hungry** cat ) VP( meows ) . )

Tree traversals correspond to stack operations

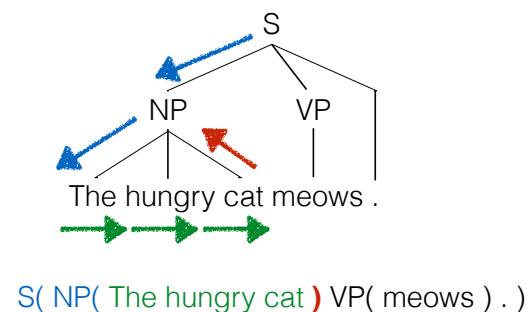


## Trees as sequences



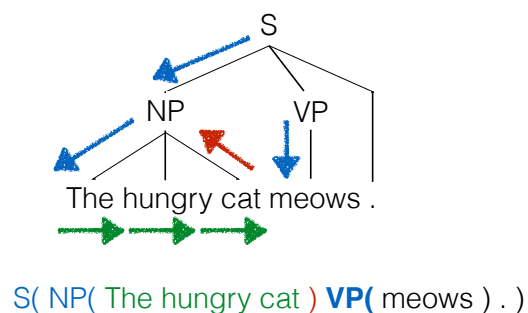
Tree traversals correspond to stack operations

## Trees as sequences



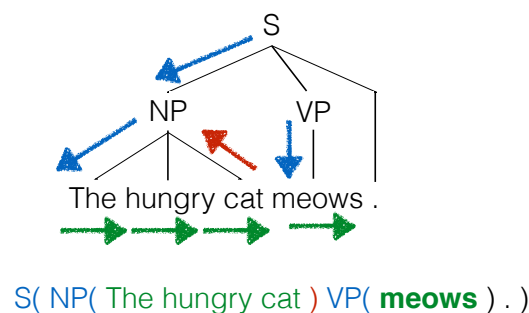
Tree traversals correspond to stack operations

## Trees as sequences



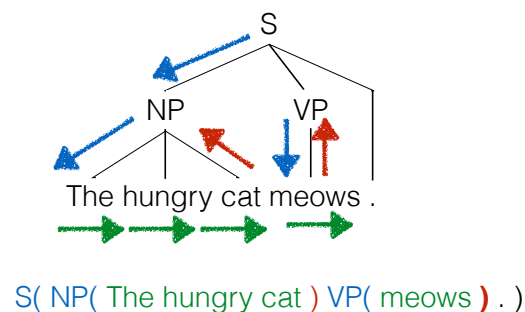
Tree traversals correspond to stack operations

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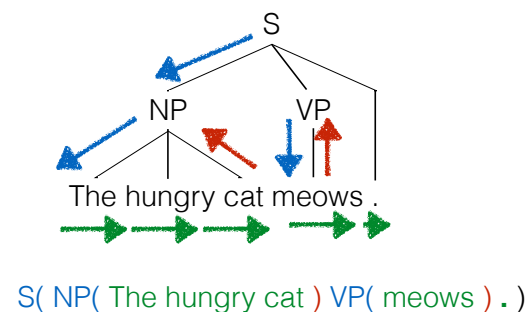
Tree traversals correspond to stack operations

## Trees as sequences



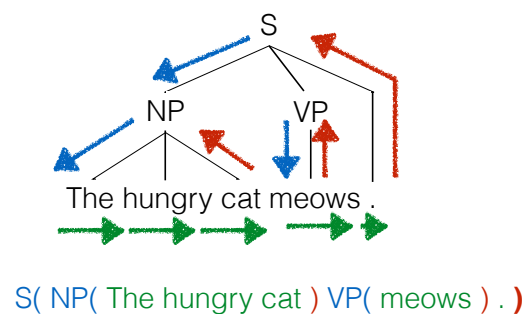
Tree traversals correspond to stack operations

## Trees as sequences



Tree traversals correspond to stack operations

## Trees as sequences



Tree traversals correspond to stack operations

Terminals	Stack	Action

## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)

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# Recurrent Neural Network Grammars

Terminals	Stack	Action
		<b>NT</b> (S)
	(S	<b>NT</b> (NP)
	(S (NP	<b>GEN</b> (The)
The	(S (NP The	<b>GEN</b> (hungry)
The hungry	(S (NP The hungry	<b>GEN</b> (cat)
The hungry cat	(S (NP The hungry cat	

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# Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE

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# Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat )	

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# Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat )	
	(S (NP The hungry cat)	

Compress “The hungry cat”  
into a single composite symbol

Compress “The hungry cat”  
into a single composite symbol

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat)	

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat)	NT(VP)

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat)	NT(VP)
The hungry cat	(S (NP The hungry cat) (VP	

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## Recurrent Neural Network Grammars

Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
	(S (NP	GEN(The)
The	(S (NP The	GEN(hungry)
The hungry	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat)	NT(VP)
The hungry cat	(S (NP The hungry cat) (VP	GEN(meows)

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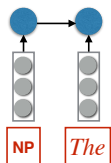
Terminals	Stack	Action
		NT(S)
	(S	NT(NP)
The	(S (NP	GEN(The)
The hungry	(S (NP The	GEN(hungry)
The hungry cat	(S (NP The hungry	GEN(cat)
The hungry cat	(S (NP The hungry cat	REDUCE
The hungry cat	(S (NP The hungry cat)	NT(VP)
The hungry cat	(S (NP The hungry cat) (VP	GEN(meows)
The hungry cat meows	(S (NP The hungry cat) (VP meows	REDUCE
The hungry cat meows	(S (NP The hungry cat) (VP meows)	GEN(.)
The hungry cat meows .	(S (NP The hungry cat) (VP meows) .	REDUCE
The hungry cat meows .	(S (NP The hungry cat) (VP meows) .)	

## Syntactic Composition

Need representation for: (NP The hungry cat)

## Syntactic Composition

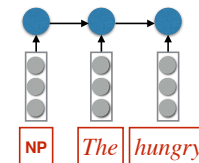
Need representation for: (NP The hungry cat)



What head type?

## Syntactic Composition

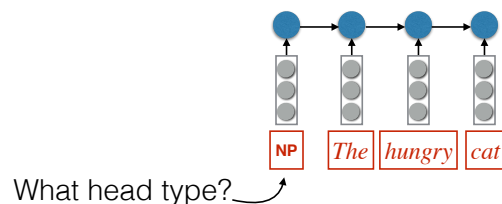
Need representation for: (NP The hungry cat)



What head type?

# Syntactic Composition

Need representation for: (NP *The hungry cat*)



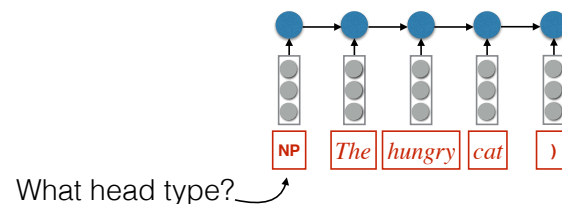
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# Syntactic Composition

Need representation for: (NP *The hungry cat*)



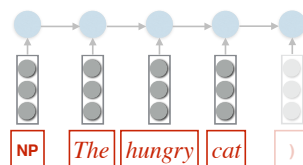
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# Syntactic Composition

Need representation for: (NP *The hungry cat*)



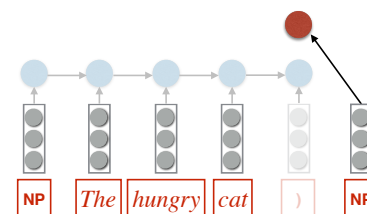
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# Syntactic Composition

Need representation for: (NP *The hungry cat*)



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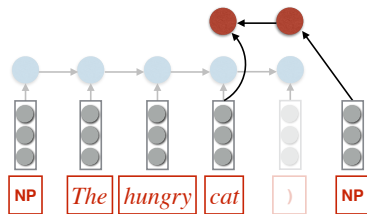
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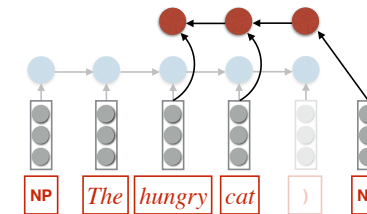
# Syntactic Composition

Need representation for: (NP *The hungry cat*)



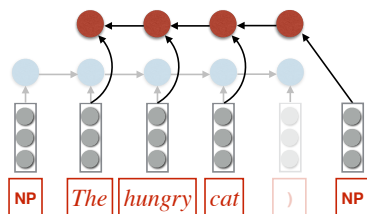
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Need representation for: (NP *The hungry cat*)



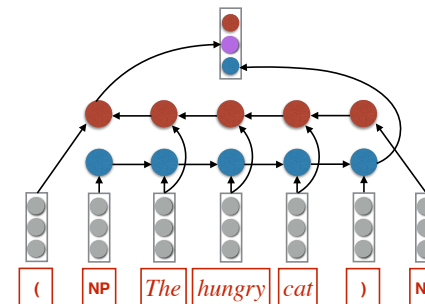
# Syntactic Composition

Need representation for: (NP *The hungry cat*)



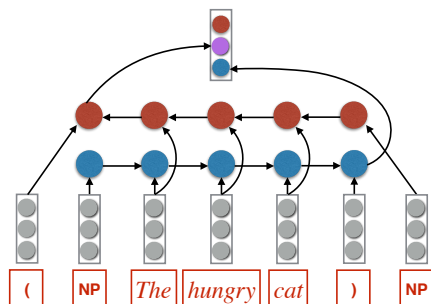
# Syntactic Composition

Need representation for: (NP *The hungry cat*)



## Recursion

Need representation for: (NP *The hungry cat*)  
(NP *The (ADJP very hungry) cat*)



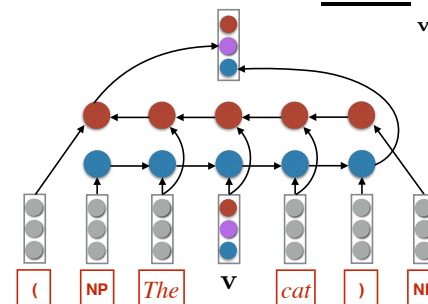
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## Recursion

Need representation for: (NP *The hungry cat*)  
(NP *The (ADJP very hungry) cat*)



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## RNNGs in MT

Eriguchi et al., Feb 2017

- Basic idea: learn decoder-encoder MT model and RNNG on parallel data with parsed target side, sharing target word embedding parameters. (multi-task learning). To translate, just use MT model.

	De-En	Ru-En	Cs-En	Jp-En
BLEU				
NMT	16.61	12.03	11.22	17.88
NMT+RG	16.41	<b>12.46<sup>†</sup></b>	<b>12.06<sup>†</sup></b>	<b>18.84<sup>†</sup></b>
RIBES				
NMT	73.75	69.56	69.59	71.27
NMT+RG	<b>75.03<sup>†</sup></b>	<b>71.04<sup>†</sup></b>	<b>70.39<sup>†</sup></b>	<b>72.25<sup>†</sup></b>

Table 2: BLEU and RIBES scores by the baseline and proposed models on the test set. We use the bootstrap resampling method from Koehn (2004) to compute the statistical significance. We use † to mark those significant cases with  $p < 0.005$ .

Jp-En (Dev)	BLEU
NMT+RG	18.60
w/o Buffer	18.02
w/o Action	17.94
w/o Stack	17.58
NMT	17.75

Table 3: Effect of each component in RNNG.

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- slide credit for slides 2,4-6,24-27: Adam Lopez

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