## Free-Algebra Models for the $\pi$ -Calculus

#### Ian Stark

Laboratory for Foundations of Computer Science School of Informatics University of Edinburgh

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### Summary

The finite  $\pi$ -calculus:

$$P ::= \bar{x}y.P \mid x(y).P \mid \nu x.P \mid P + Q \mid P \mid Q \mid 0$$

has an explicit set-theoretic model, fully-abstract for strong late bisimulation congruence. [Fiore, Moggi, Sangiorgi; Stark]

We characterise this as the minimal free algebra for certain operations and equations, in the setting of Power and Plotkin's enriched Lawvere theories.

#### Overview

- Equational theories for different features of computation
- ullet Using the functor category  $Set^{\mathcal{I}}$
- ullet A theory of  $\pi$
- Free-algebra models and full abstraction

### Notions of computation

Moggi: Computational monads for programming language features

• Nondeterminism 
$$TX = \mathcal{P}_{fin}X$$

• Mutable state 
$$TX = (S \times X)^S$$

• Interactive I/O 
$$TX = \mu Y.(X + V \times Y + Y^{V})$$

• Exceptions 
$$TX = X + E$$

Power and Plotkin:

Use correspondence to characterize each T as free model for appropriate "notion of computation"

# Algebras for nondeterministic computation

An object of nondeterministic computation A in Cartesian  ${\mathcal C}$  needs  $\dots$ 

#### Operations

choice: 
$$A^2 \longrightarrow A$$

 $nil: 1 \longrightarrow A$ 

#### Equations

$$choice(p, q) = choice(q, p)$$

$$choice(nil, p) = choice(p, p) = p$$

$$choice(p, (choice(q, r)) = choice(choice(p, q), r)$$

... giving a category  $\mathcal{ND}(\mathcal{C})$  of algebras (A, choice, nil)

### Free algebras

Free  $\mathcal{ND}$ -algebras over sets give a computational monad:

$$\begin{array}{cccc} & \mathcal{ND}(Set) \\ & & & \\ \text{free} & & F & \begin{pmatrix} \neg & & \\ \neg & & & \\ & & & \\ & & Set & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\$$

Operations induce generic effects in the Kleisli category:

$$\begin{array}{c} \text{choice}: A^2 \longrightarrow A^1 \\ \text{nil}: A^0 \longrightarrow A^1 \end{array} \right\} \quad \Longrightarrow \quad \left\{ \begin{array}{c} \text{arb}: 1 \longrightarrow \mathsf{T2} \\ \text{deadlock}: 1 \longrightarrow \mathsf{T0} \end{array} \right.$$

## Notions of computation determine monads

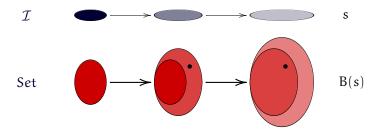
#### Power/Plotkin

$$\begin{array}{ccc} \mathsf{Operations} + \mathsf{Equations} & \longrightarrow & \mathsf{Free}\text{-algebra models} \\ & \mathsf{of} \ \mathsf{computational} \ \mathsf{features} \\ & \longrightarrow & \mathsf{Monads} + \mathsf{generic} \ \mathsf{effects} \end{array}$$

- Characterisation of known computational monads and effects
- Simple and flexible combination of theories
- ullet Enriched models and arities: countably infinite, posets,  $\omega C po$

### Varying sets

Functor category  $\mathbf{Set}^{\mathcal{I}}:\mathbf{structures}$  that vary with the available names where  $\mathcal{I}=\mathbf{finite}$  name sets and injections



Object  $B \in Set^{\mathcal{I}}$  is a varying set: for finite name set s it gives a set B(s) of values using names from s, and says how they change with renaming.

### Structure in $Set^{\mathcal{I}}$

### $Set^{\mathcal{I}}$ has two jobs:

- Arena for building name-aware algebras and monads
- Source of arities for operations

#### Relevant structure:

- Pairs  $A \times B$  and function space  $A \rightarrow B$
- Separated pairs  $A \otimes B$  and fresh function space  $A \multimap B$
- Object of names N
- Shift endofunctor  $\delta A = A(\bot + 1)$ , with  $\delta A \cong N \multimap A$

In particular, object N serves as a varying arity.

### Theory of $\pi$ : operations

### Nondeterministic computation

 $nil: 1 \longrightarrow A$  inactive process 0

choice:  $A^2 \longrightarrow A$  process sum P + Q

### Input/Output

out:  $A \longrightarrow A^{N \times N}$  output prefix  $\bar{x}y.P$ 

 $in: A^N \longrightarrow A^N$  input prefix x(y).P

 $tau: A \longrightarrow A$  silent prefix  $\tau.P$ 

### Dynamic name creation

new:  $\delta A \longrightarrow A$  restriction  $\nu x.P$ 

## Theory of $\pi$ : component equations

### Nondeterministic computation

choice: commutative, associative and idempotent with unit nil

#### Input/Output

None

#### Dynamic name creation

$$new(x.p) = p$$

$$new(x.new(y.p)) = new(y.new(x.p))$$

# Theory of $\pi$ : combining equations

### Commuting component theories

```
\begin{split} \text{new}(\textbf{x}.\text{choice}(\textbf{p},\textbf{q})) &= \text{choice}(\text{new}(\textbf{x}.\textbf{p}),\text{new}(\textbf{x}.\textbf{q})) \\ \text{new}(\textbf{z}.\text{out}_{\textbf{x},\textbf{y}}(\textbf{p})) &= \text{out}_{\textbf{x},\textbf{y}}(\text{new}(\textbf{z}.\textbf{p})) \\ \text{new}(\textbf{z}.\text{in}_{\textbf{x}}(\textbf{p}_{\textbf{y}})) &= \text{in}_{\textbf{x}}(\text{new}(\textbf{z}.\textbf{p}_{\textbf{y}})) \\ \text{new}(\textbf{z}.\text{tau}(\textbf{p})) &= \text{tau}(\text{new}(\textbf{z}.\textbf{p})) \end{split}
```

#### Interaction between component theories

```
new(x.out_{x,y}(p)) = nil

new(x.in_x(p_y)) = nil
```

### Models for the theory of $\pi$

- Category  $\mathcal{PI}(\mathsf{Set}^{\mathcal{I}})$  of  $\pi$ -algebras  $(A \in \mathsf{Set}^{\mathcal{I}}; \mathsf{in}, \mathsf{out}, \ldots, \mathsf{new})$
- ullet Process P with free names in s interpreted by  $\llbracket P \rrbracket_A : N^s \longrightarrow A$
- Definition by induction over the structure of P, using operations of the theory (and the expansion law for parallel composition)

#### Theorem

Every such  $\pi$ -algebra interpretation respects strong late bisimulation congruence:

$$\mathbf{P} \approx \mathbf{Q} \quad \Longrightarrow \quad \llbracket \mathbf{P} \rrbracket_A = \llbracket \mathbf{Q} \rrbracket_A$$

Of course, this doesn't yet give us any actual  $\pi$ -algebras to work with

#### Free models for $\pi$

Each component theory has a standard monad:

$$\begin{array}{ll} \text{Nondeterminism} & \mathcal{P}_{\text{fin}}(X) \\ \\ \text{Input/Output} & \mu Y.(X+N\times N\times Y+N\times Y^N+Y) \\ \\ \text{Name creation} & \text{Dyn}(X) = \int^k X(\underline{\ } + k) \end{array}$$

For the full theory of  $\pi$ :

$$\text{Pi}(X) = \mu Y. \mathcal{P}_{\text{fin}}(\text{Dyn}(X) + N \times N \times Y + N \times \delta Y + N \times Y^N + Y)$$

... which is not quite an interleaving of the component monads

#### Results

#### Theorem

The category of  $\pi$ -algebras is monadic over  $Set^{\mathcal{I}}$ :

Monad  $T_{\pi} = (U \circ Pi)$  for concurrent name-passing programs:

 $arb: 1 \longrightarrow T2$   $send: N \times N \longrightarrow T1$   $deadlock: 1 \longrightarrow T0$   $receive: N \longrightarrow TN$   $skip: 1 \longrightarrow T1$   $fresh: 1 \longrightarrow TN$ 

#### Results

#### We have the following:

• A category  $\mathcal{PI}(\mathsf{Set}^{\mathcal{I}})$  of  $\pi$ -algebras, all sound models of  $\pi$ -calculus bisimulation:

$$P \approx Q \implies [P]_A = [Q]_A$$

• An explicit free-algebra construction  $Pi: Set^{\mathcal{I}} \to \mathcal{PI}(Set^{\mathcal{I}})$  such that all Pi(X) are fully-abstract models of  $\pi$ :

$$P \approx Q \iff \llbracket P \rrbracket_{Pi(X)} = \llbracket Q \rrbracket_{Pi(X)}$$

 The initial free algebra Pi(0) is in fact the previously known fully-abstract model.

#### What next?

- Use FM-Cpo for the full  $\pi$ -calculus
- Partial order arities for testing equivalences

[Hennessy]

- Modify equations for early/open/weak bisimulation
- Try Pi(X) for applied  $\pi$
- Investigate algebraic pαr

(with effect fork:  $1 \rightarrow T2$ )

• Expose  $Set^{\mathcal{I}}$  as the category of algebras for a theory of equality testing in  $Set^{\mathcal{F}}$ ; and redo everything in the single Cartesian closed structure of  $Set^{\mathcal{F}}$ . ( $\mathcal{F}$  finite sets and all maps)